



QCD + SMEFT ANALYSES WITH XFITTER

WORKSHOP ON TOP QUARK MASS MEASUREMENTS

VALENCIA, MAY 21TH, 2024

SIMONE AMOROSO,
BASED ON ONGOING WORK IN COLLABORATION WITH:
XIAOMIN SHEN, JUN GAO, KATERINA LIPKA

THE XFITTER PROJECT

- * **xFitter** (former HERAFitter) an open-source QCD fitting framework

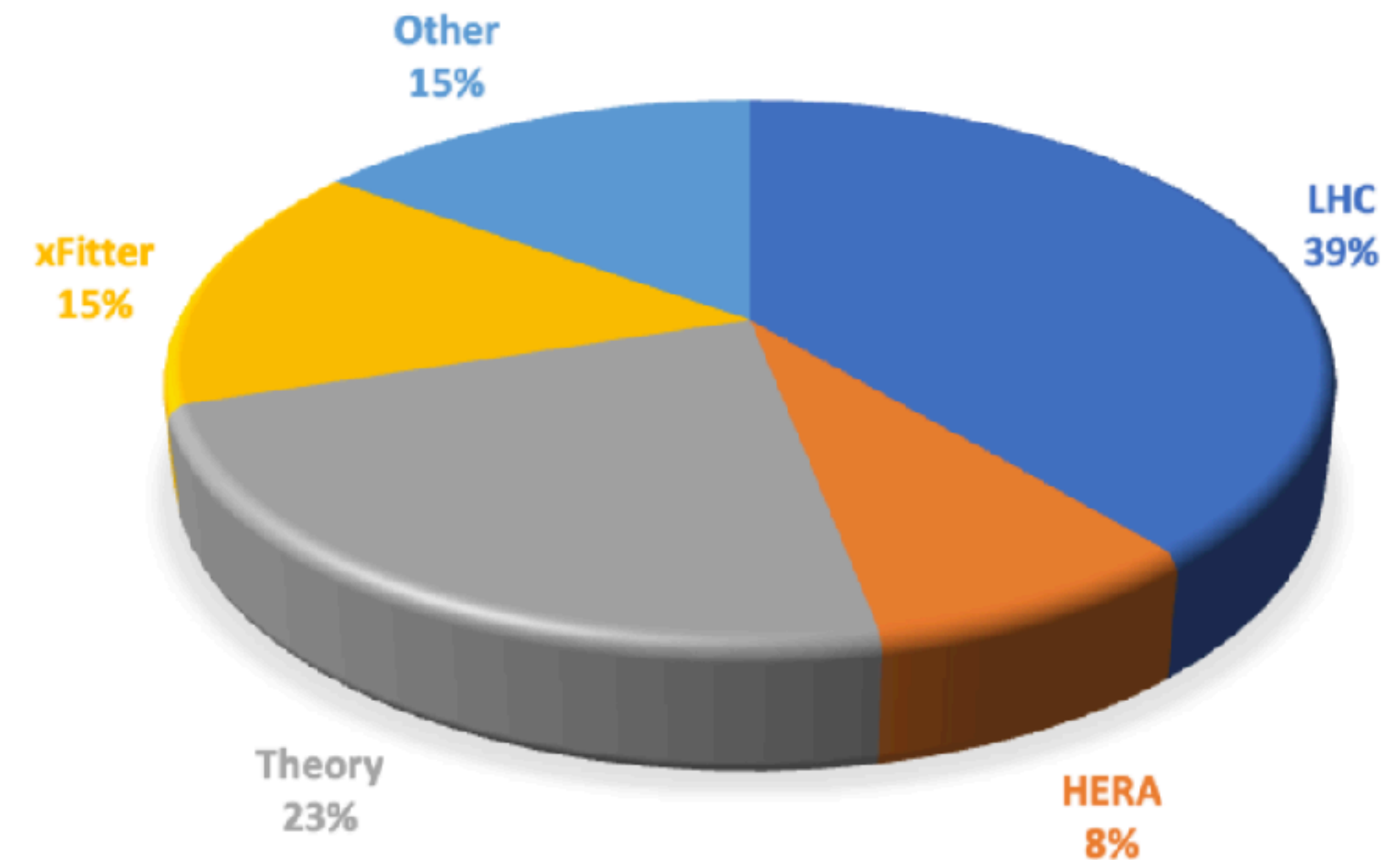
<https://gitlab.cern.ch/fitters/xfitter>

- * Designed to

- ▶ Evaluate **consistency between data and theoretical predictions**
- ▶ **Perform inference** on theoretical model parameters (m_t , α_S , $\sin^2 \theta$, ...)
- ▶ **Extract Parton Distribution Functions**

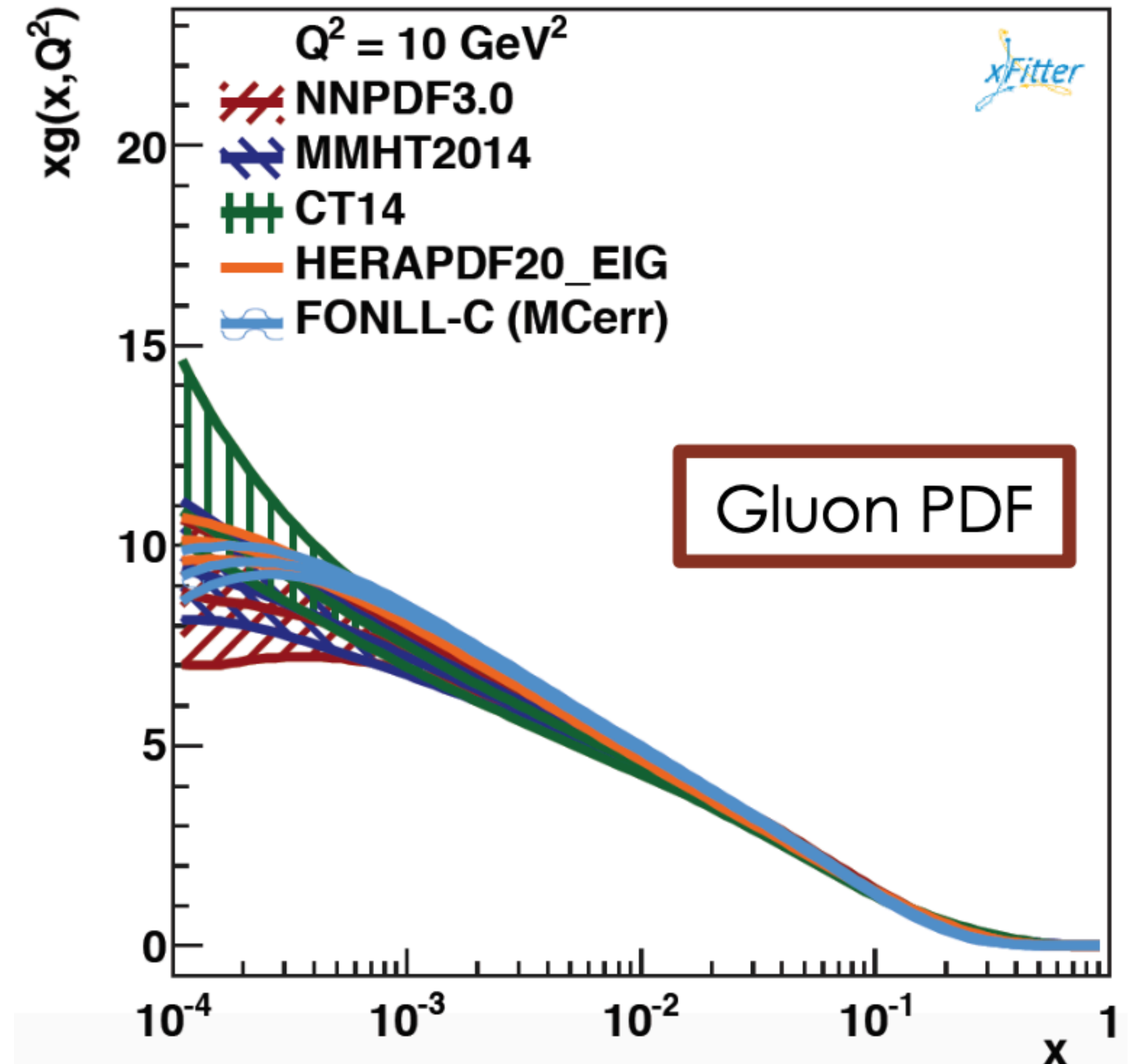
- * Developers community of both **experimentalists and theorists**

- * Used in more than **100 publications** so far

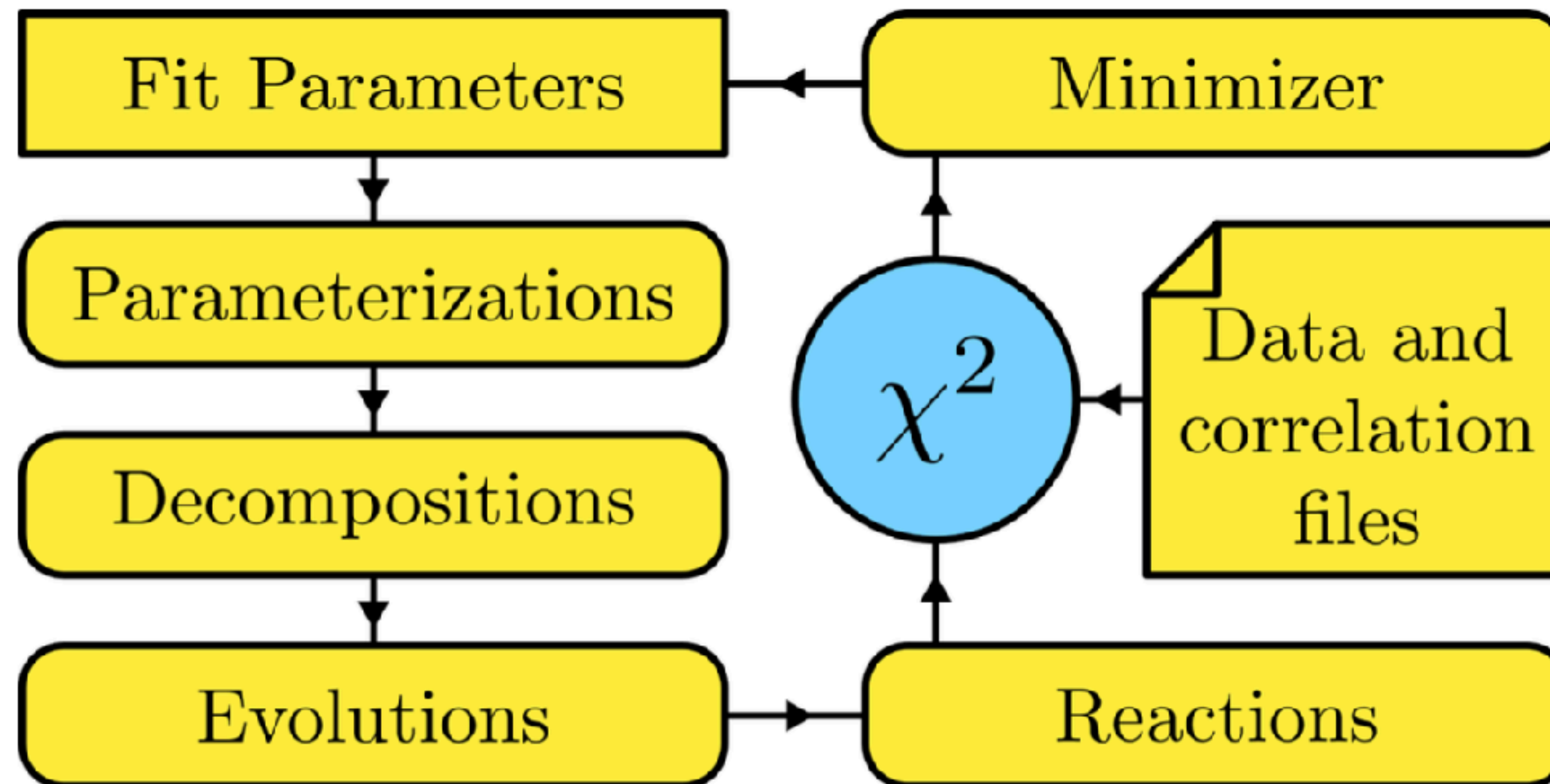


WHAT IS XFITTER - I

- * **Parametrise PDFs** at a given scale
 - ▶ Several parametrisations and decompositions
- * **Evolve them** at the scale of the measured data
 - ▶ DGLAP evolution up to N3LO QCD and NLO QED with APFEL/APFEL++/QCDNUM
- * **Compute theory** predictions:
 - ▶ DIS at NNLO with different mass schemes: ZM-VFNS, ACOT, FONLL, TR
 - ▶ Fast interfaces: APPLgrid, FastNLO, pineAPPL
- * **Compare with data** using a χ^2 :
 - ▶ Systematics are linearized: “profiling” as a trivial matrix inversion
 - ▶ For unconstrained minimisation: MINUIT, ceres-solver

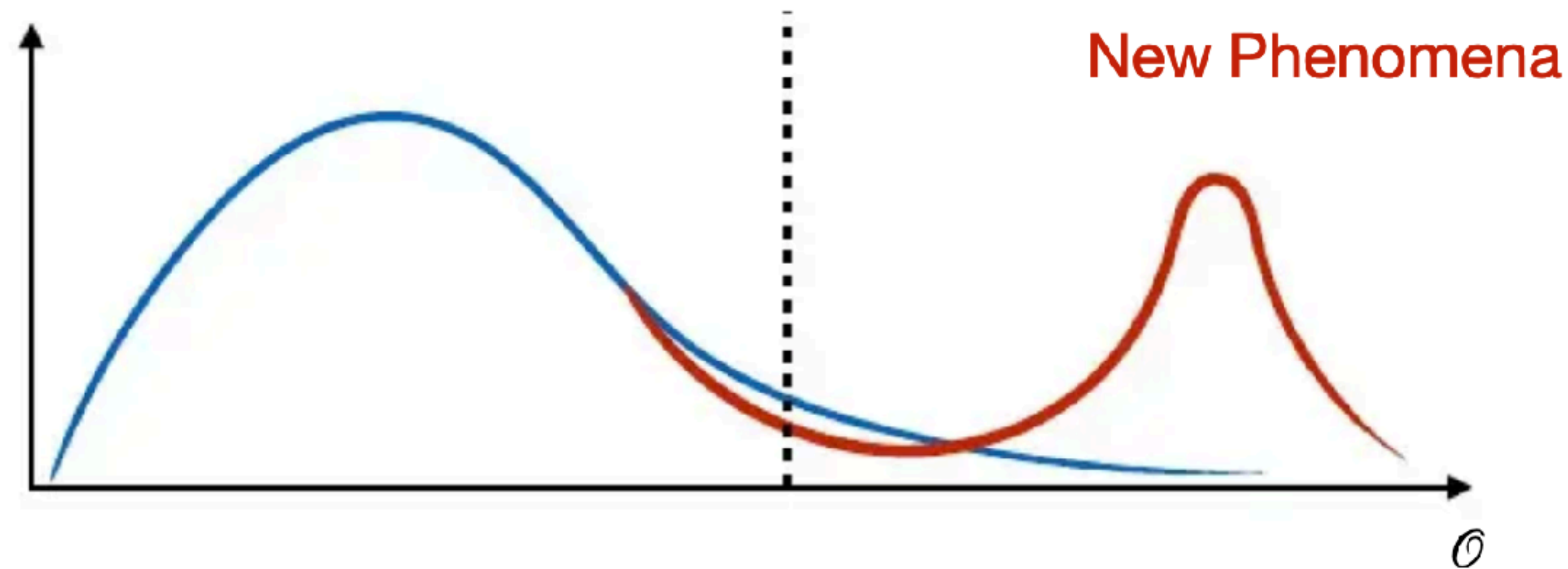


WHAT IS XFITTER - II



- * Significant **overhauling of the code** starting from version 2.0
- * **Flexible interfaces** to minimizers, PDF parameterisation and decomposition, evolution and many new theory reactions
- * Many example of analyses available as a starting point
- * For a nice overview talk [xFitterIntroduction](#)

PDF AND SMEFT INTERPLAY



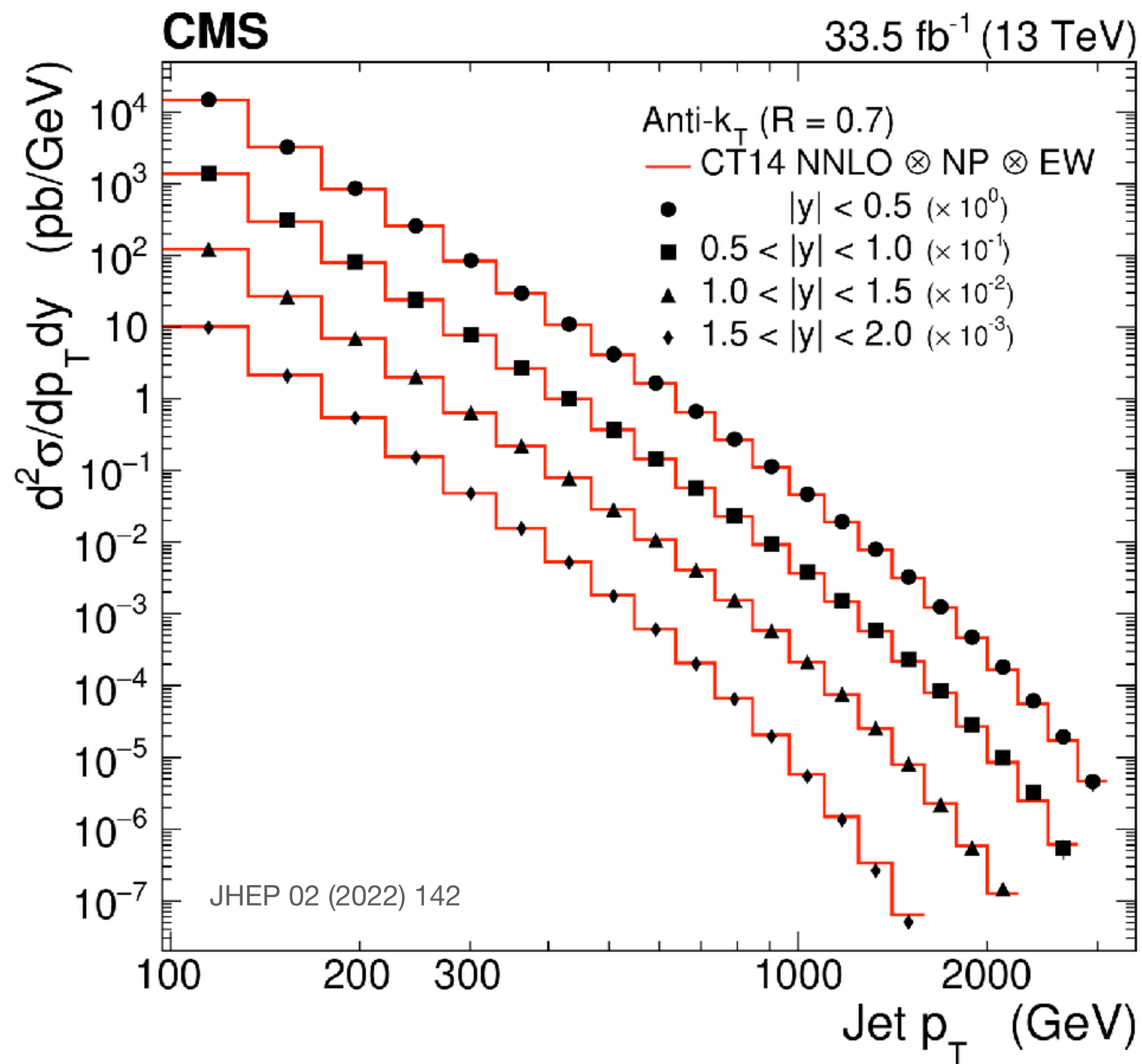
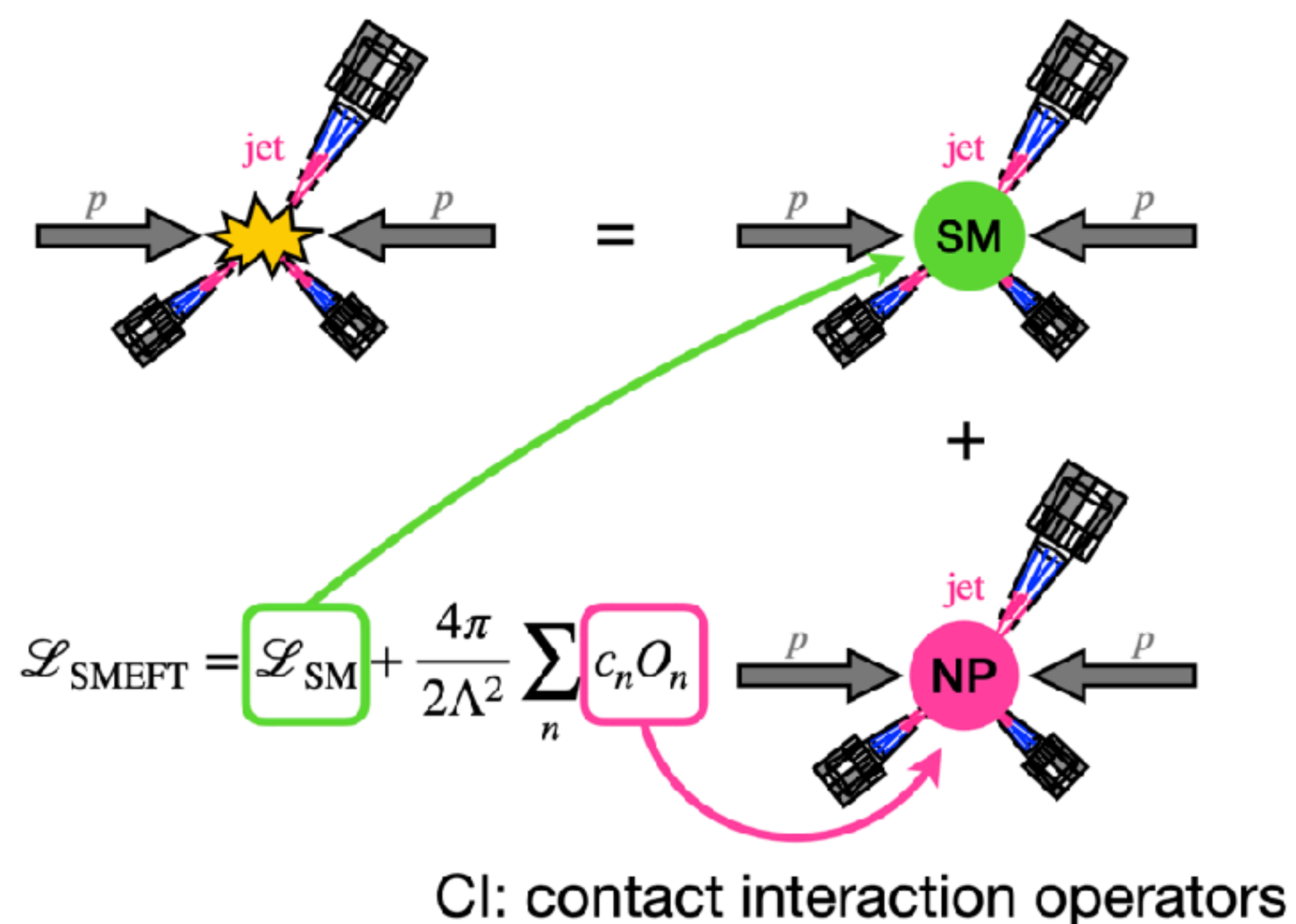
Standard Model (SM)

- * Can EFT constraints be washed away by a refit of Parton Distribution Functions?
 - Few studies on this by the PDF fitting groups (NNPDF, CTEQ)
 - A more and more relevant question as our measurements improve in accuracy
- * **Simultaneous determinations** of PDFs and SMEFT the consistent way out

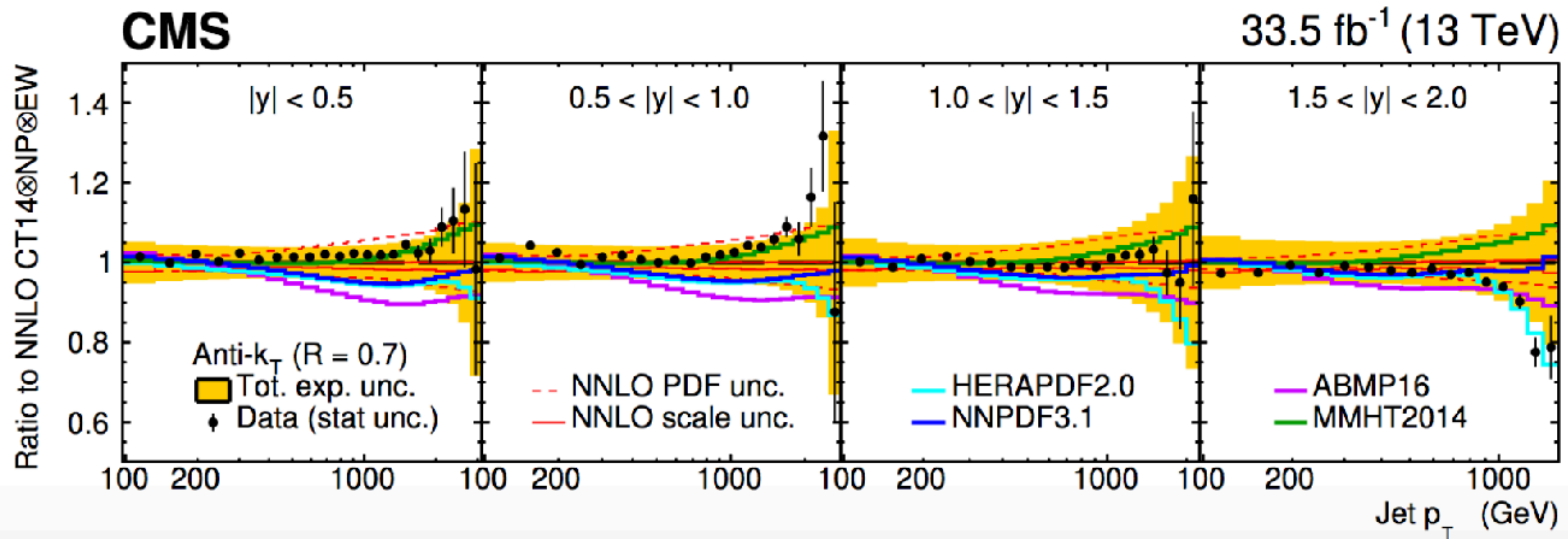
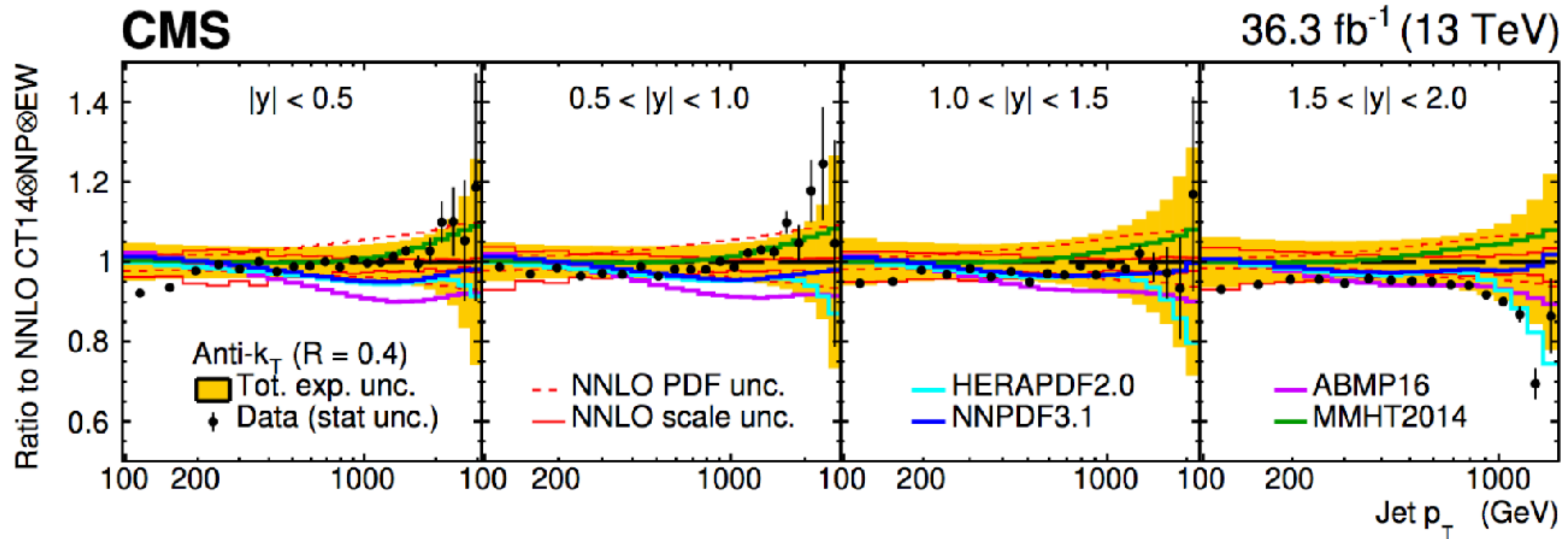
CMS INCLUSIVE JETS AT 13 TEV

* 13 TeV inclusive jet cross-sections using 36 fb⁻¹ of data

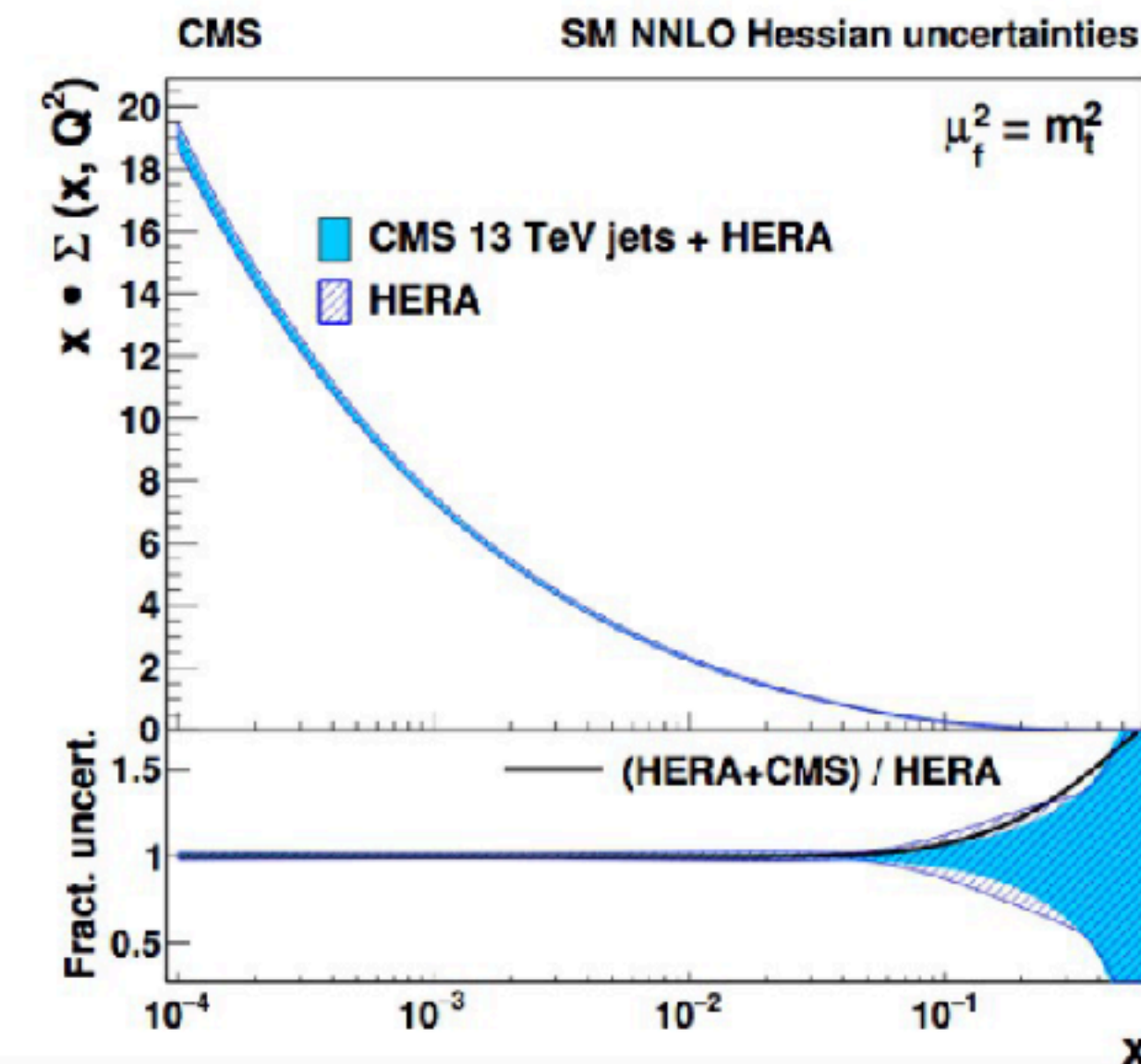
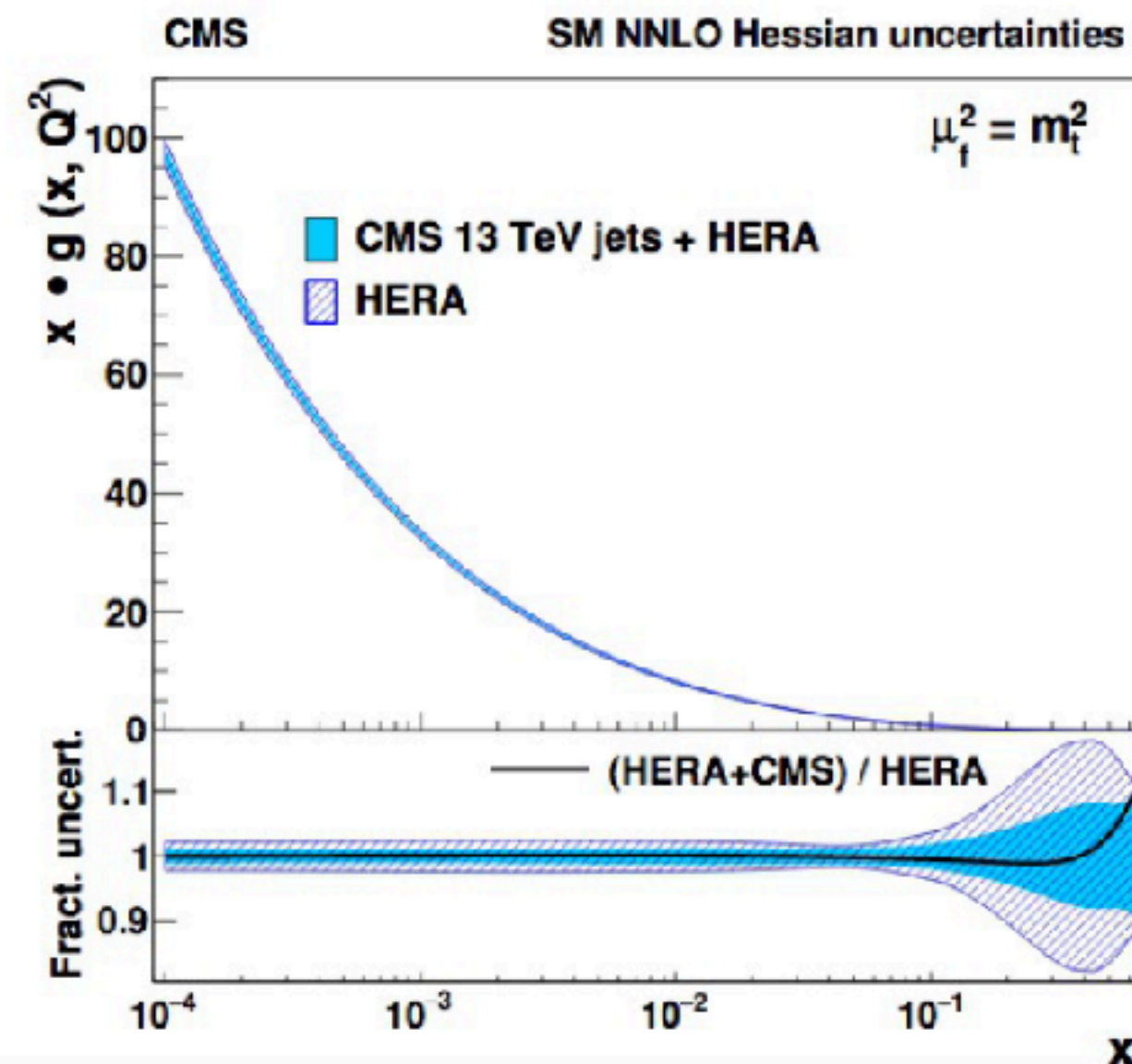
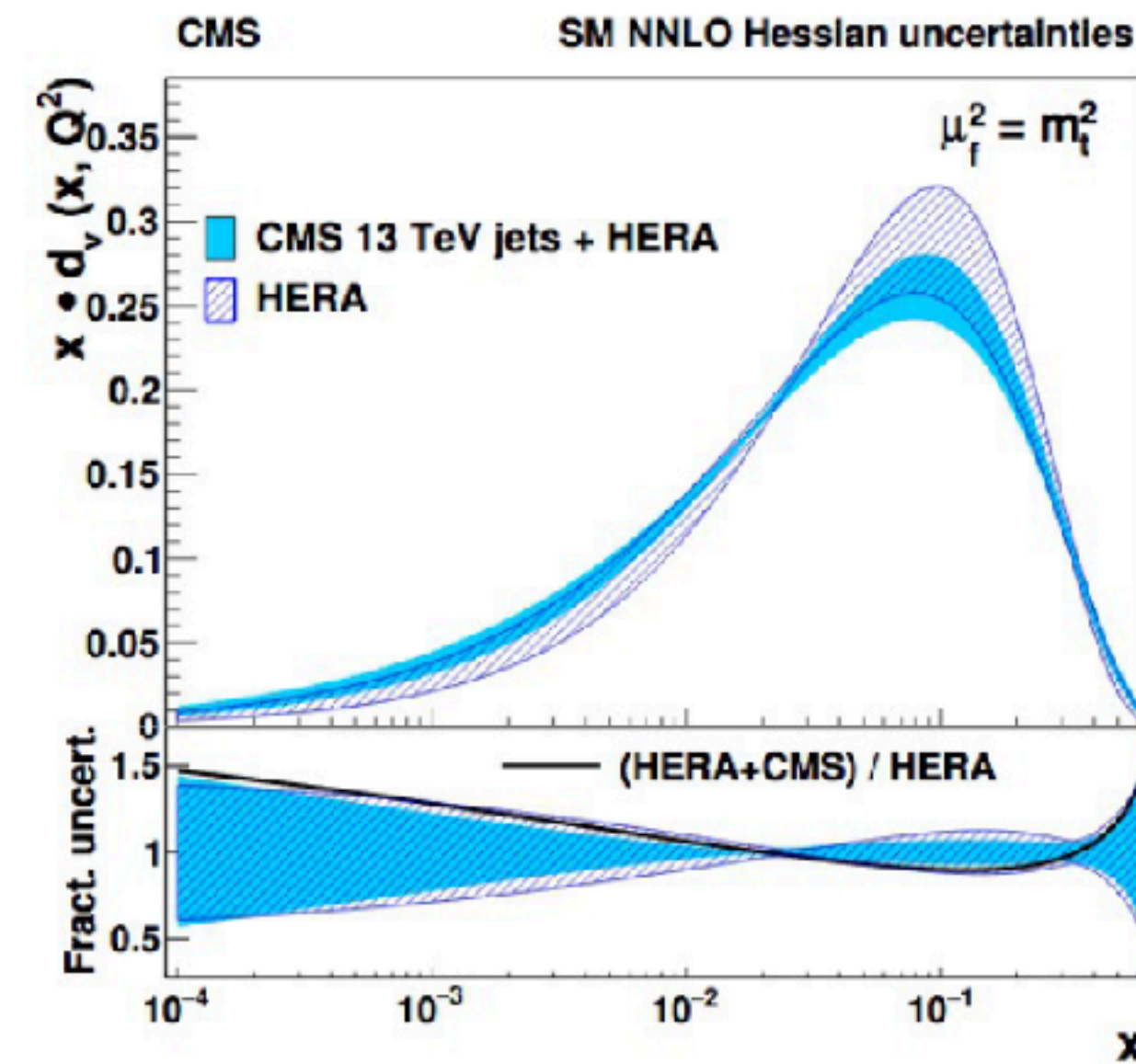
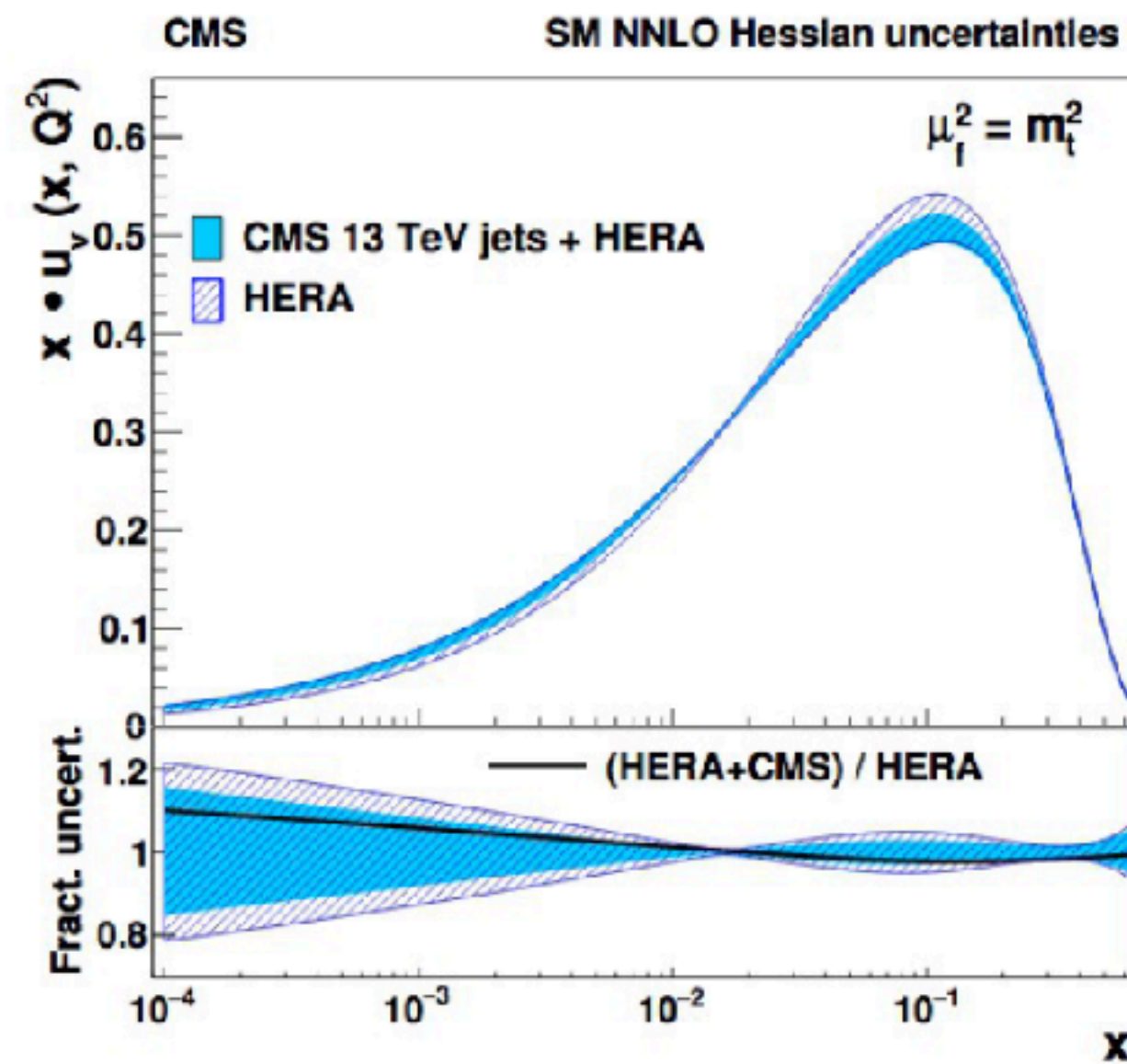
- PDF, PDF+SMEFT analyses and strong coupling measurement
- NNLO theory using NLO fast grids and NNLO k-factors



DATA / PREDICTIONS COMPARISON



DETERMINATION OF PDFs AND STRONG COUPLING



- * **Improved gluon constraints** in the “usual” HERA+X fit
- * **Reduction in the MHOU** on the strong coupling constant using the NNLO grids

With NNLO k-factors

$$\alpha_S(m_Z) = 0.1170 \pm 0.0014 (\text{fit}) \pm 0.0007 (\text{model}) : \\ \pm 0.0008 (\text{scale}) \pm 0.0001 (\text{param.})$$

With NNLO grids

$$\alpha_S(m_Z) = 0.1166 \pm 0.0014 (\text{fit}) \pm 0.0007 (\text{model}) \\ \pm 0.0004 (\text{scale}) \pm 0.0001 (\text{param.})$$

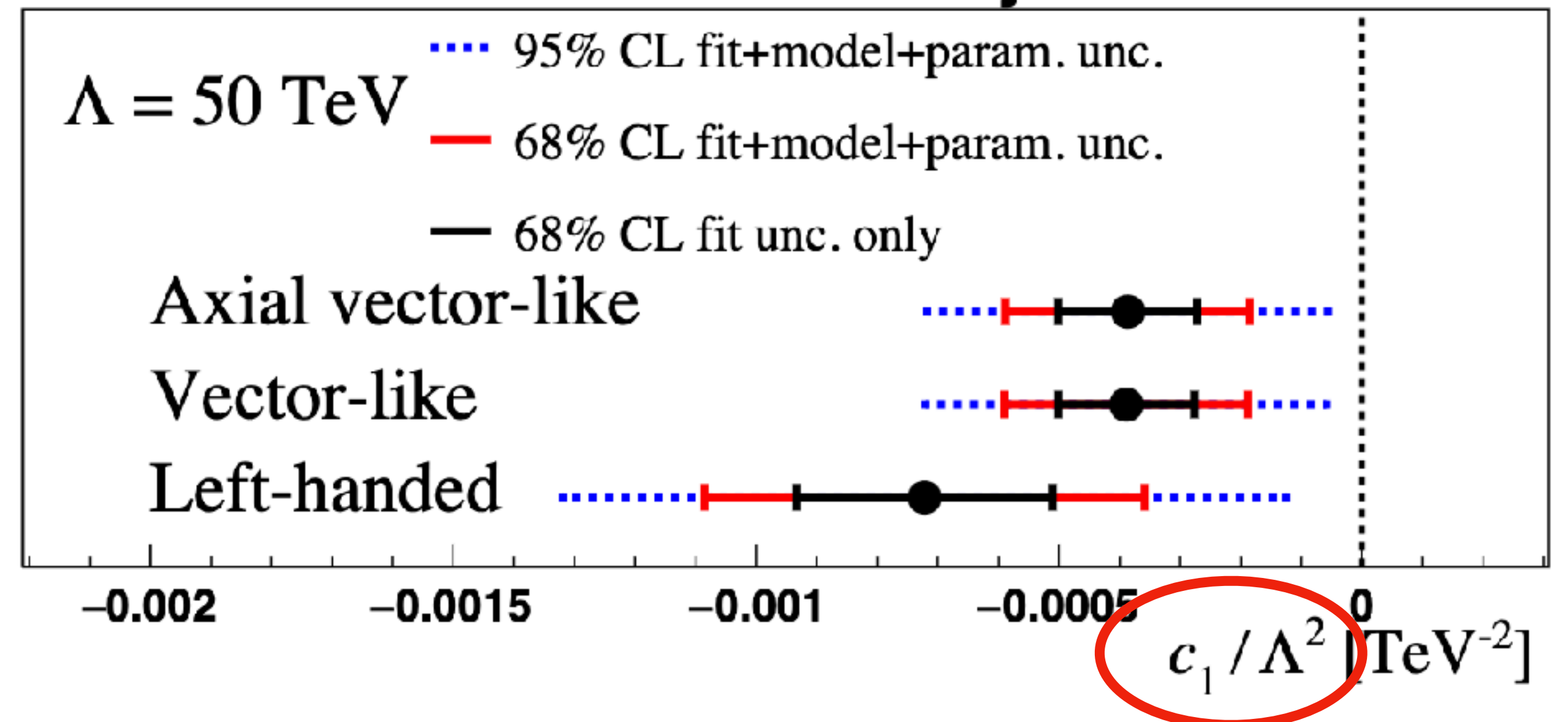
SMEFT INTERPRETATION

- * Effect of **Contact Interactions** (CI) added to the fit using an interface to CIJET
 - **CIJET**: Incorporate exact CI dependence at NLO accuracy into fast grids [[1301.7263](#)]
- * Simultaneous determination of PDFs, the strong coupling and Wilson coefficients
- * Fit of **jets and top-quark pair data** at NLO QCD (for consistency with SM)

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \frac{2\pi}{\Lambda^2} \sum_{n \in \{1,3,5\}} c_n O_n.$$

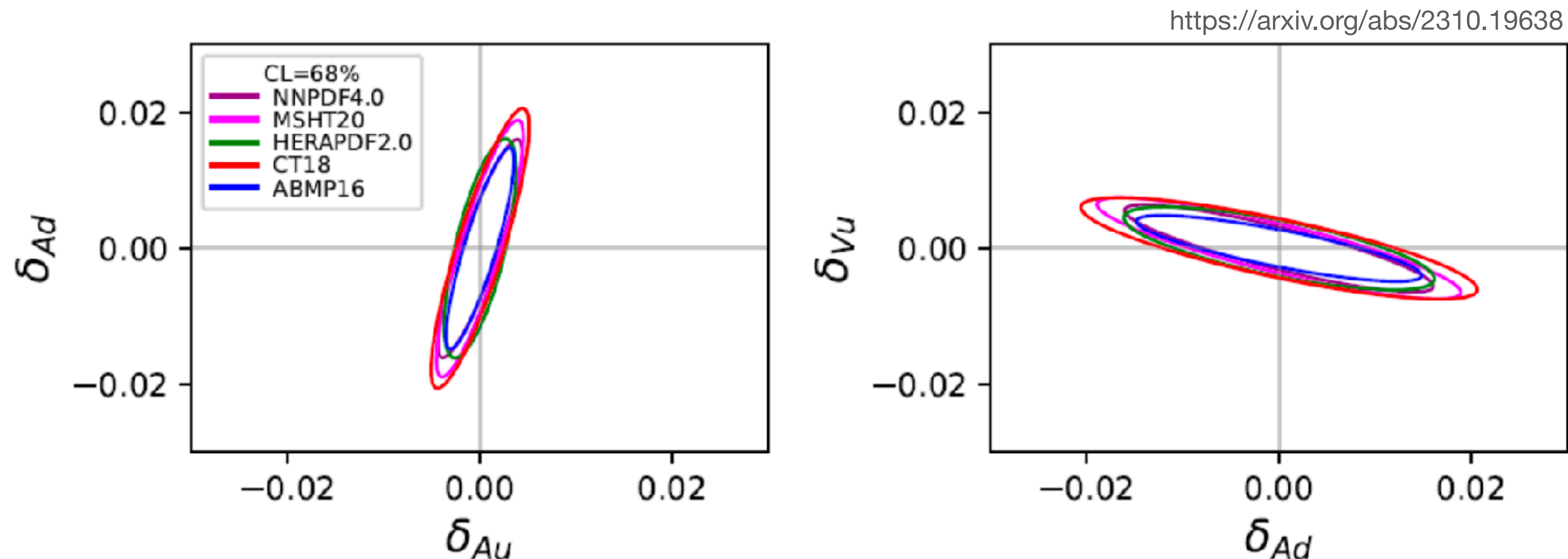
95% CL on Λ with $c_1 = -1$:
 Axial vector-like: 24 TeV
 Vector-like: 31 TeV
 Left-handed: 31 TeV

CMS SMEFT NLO 13 TeV jets & $t\bar{t}$ + HERA



DRELL-YAN A_{FB} IN THE SMEFT

- * Drell-Yan forward-backward asymmetry is sensitive to **anomalous Z couplings to fermions**
 - ▶ Z coupling to leptons very well constrained by LEP measurements
 - ▶ LEP **constraints on quark couplings much weaker** (some constraints by HERA, Tevatron)
- * Evaluated the sensitivity of HL-LHC Drell-Yan measurements to vector/axial coupling to quarks
 - ▶ Fast LO computation of Drell-Yan cross-sections with anomalous couplings
 - ▶ In this exercise PDFs are simultaneously constrained (but not refitted)



EXTENSIONS TO ARBITRARY EFT OPERATORS

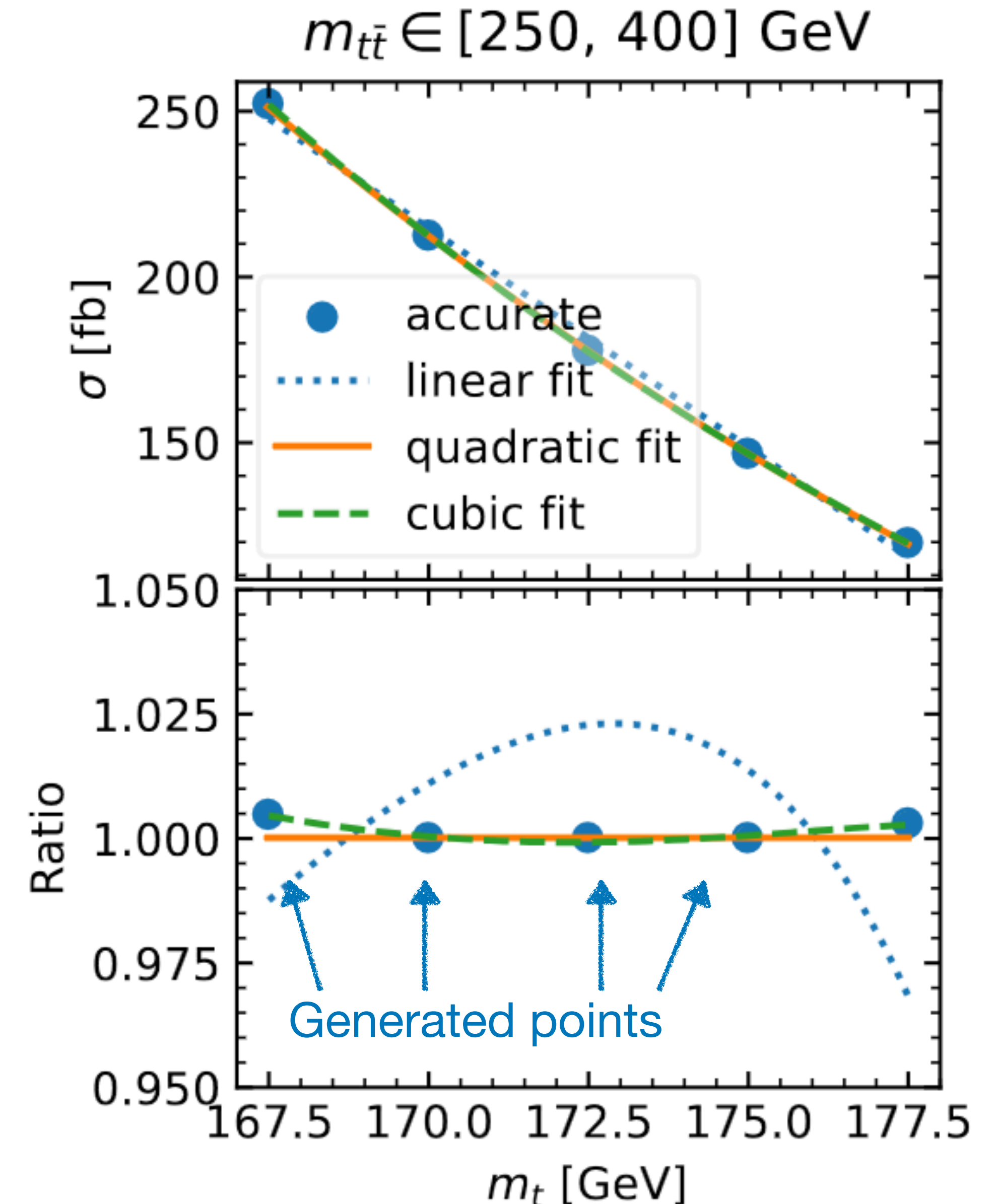
- * The two previous examples of PDF+SMEFT analyses relied on interfaces specific to a given process or set of operators
- * We aim to develop a **flexible interface** allowing us to parametrize the theory dependence on **arbitrary operators** and for **any process**
- * **Two approaches** considered, both using SMEFT@NLO model in mg5_aMC@NLO:
 - ▶ **Tabulate the cross-section predictions** for linear and quadratic variations of a given set of operators (using reweighting), **interpolate them** for each bin, and pass the interpolation to xFitter to minimize the Wilson coefficients
 - ▶ Incorporate the EFT dependence into **fast grids** (pineAPPL).
Consider the exact dependence of the EFT modifications on the PDFs

PARAMETRISATION OF EFT/ M_{TOP} DEPENDENCE

- * New xFitter reaction able to derive a polynomial dependence of a measurement σ on a parameter \mathbf{c}

$$\begin{aligned}\sigma^{(\alpha)}(\mathbf{c}) &= \sigma_0^{(\alpha)} + \sum_i c_i \sigma_i^{(\alpha)} + \sum_{i \leq j} c_i c_j \sigma_{ij}^{(\alpha)} \\ &= \sigma_0^{(\alpha)} \left(1 + \sum_i c_i K_i^{(\alpha)} + \sum_{i \leq j} c_i c_j K_{ij}^{(\alpha)} \right).\end{aligned}$$

- * Requires the computation of the linear and quadratic \mathbf{K} -factors: $K_i = \sigma_i/\sigma_0$, $K_{ij} = \sigma_{ij}/\sigma_0$
- * The \mathbf{K} can either be provided as tabulated numbers or through interpolation grids
- * Example application to the interpolation of the top mass dependence for a cross-section measurement in the $m_{t\bar{t}}$ threshold region

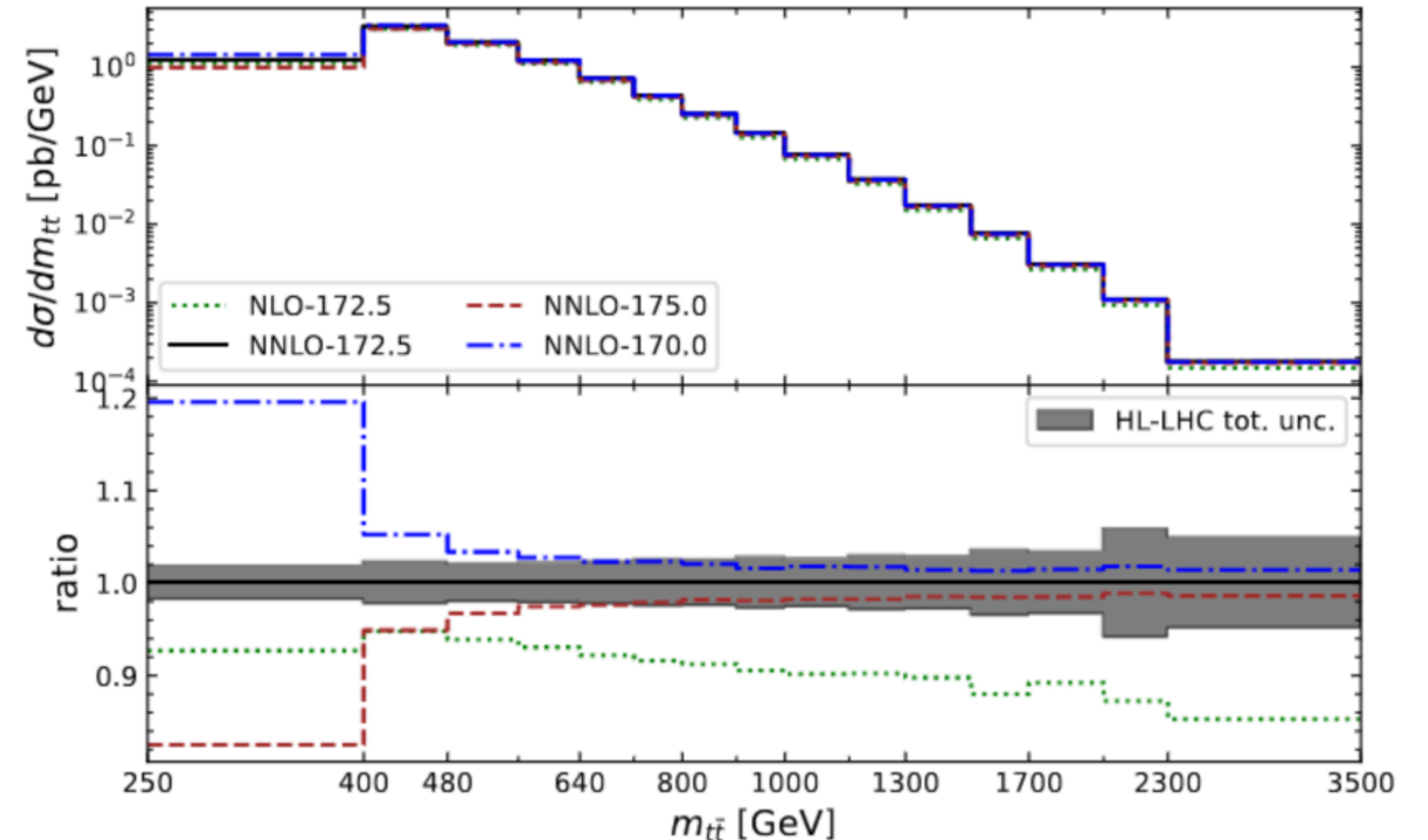
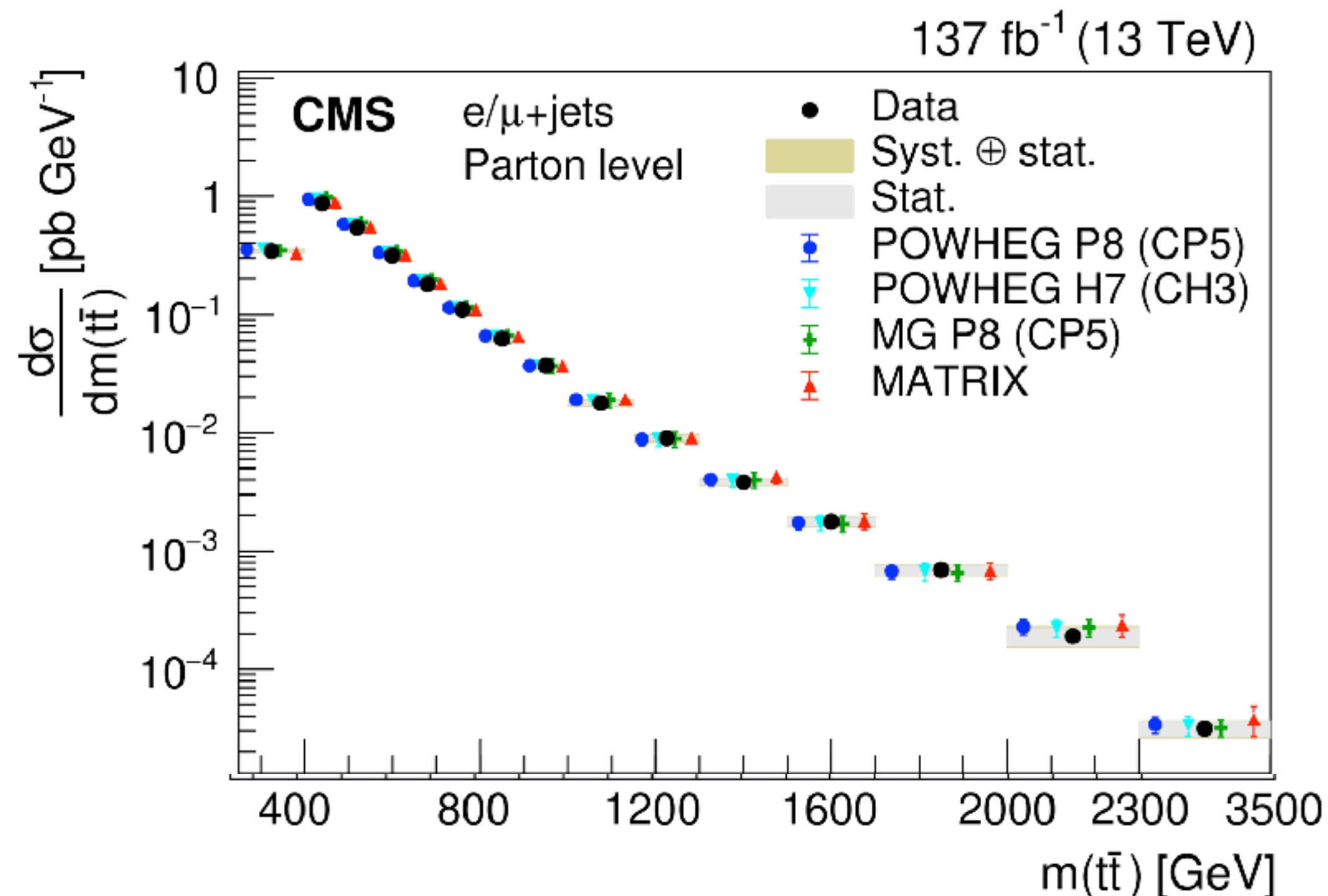


HL-LHC PROJECTION STUDY

- * We illustrate the capabilities and functionality of the new reaction in a sensitivity study using High Luminosity LHC projections of the invariant mass of the top-quark pairs, $m_{t\bar{t}}$
- * Consider a simultaneous determination of the PDFs, the top-quark mass and of four relevant EFT operators
- * To properly determine PDFs, the HL-LHC pseudo-data are used in conjunction with the HERA-II combination of inclusive DIS cross-sections

HL-LHC PSEUDODATA GENERATION

- * We generated HL-LHC pseudodata starting from a CMS measurement of the $m_{t\bar{t}}$ distribution at 13 TeV (TOP-20-001) and rescaling its uncertainties
 - Statistical uncertainties: Rescaled to 3 ab⁻¹ of integrated luminosity
 - Systematic Uncertainties: Rescaled by a factor of two wrt existing uncertainties
 - Luminosity: Consider a 1% luminosity uncertainty (following Yellow Report)



SMEFT FIT TO 13 TEV TOP DATA

- Four representative dim-6 SMEFT operators considered:

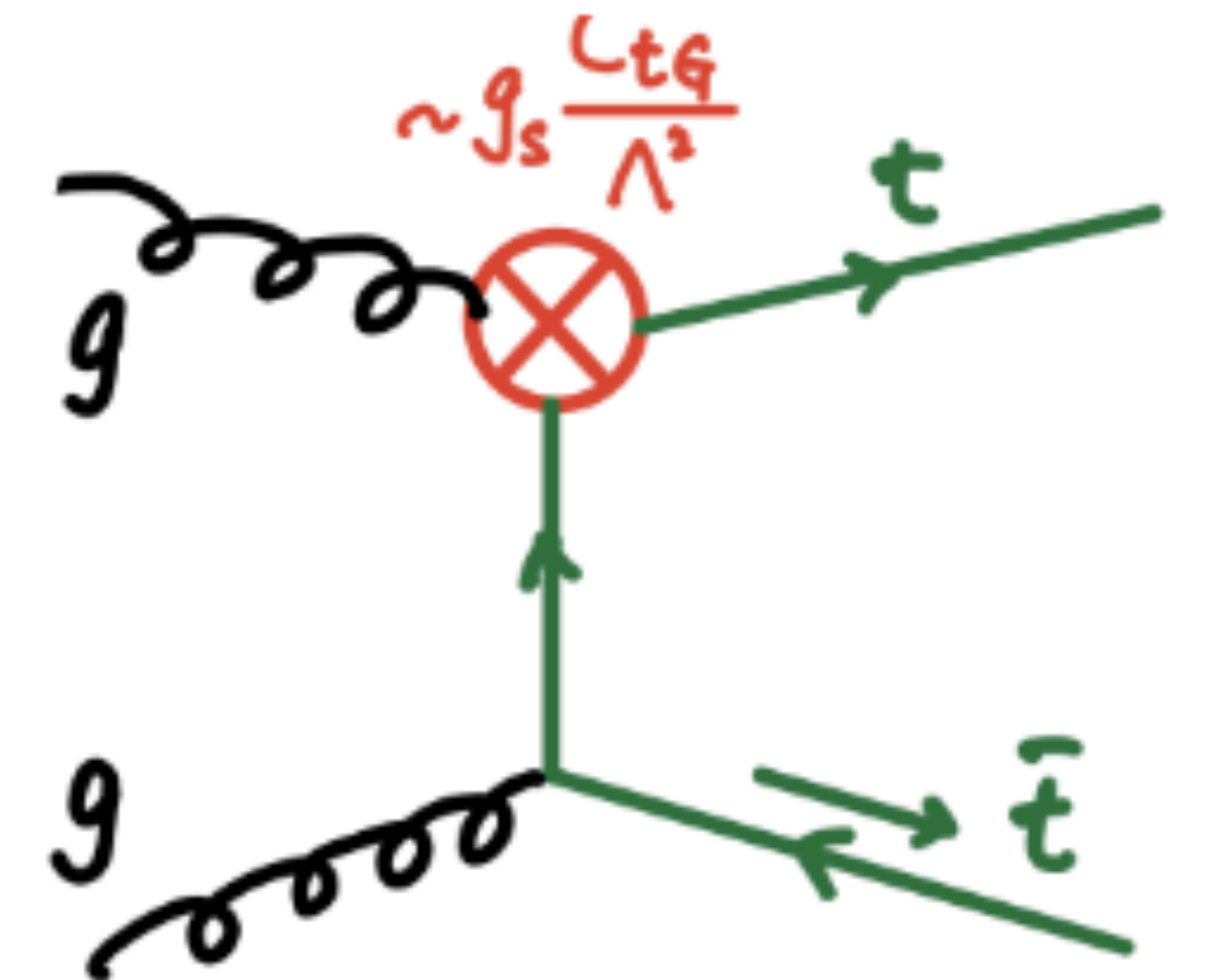
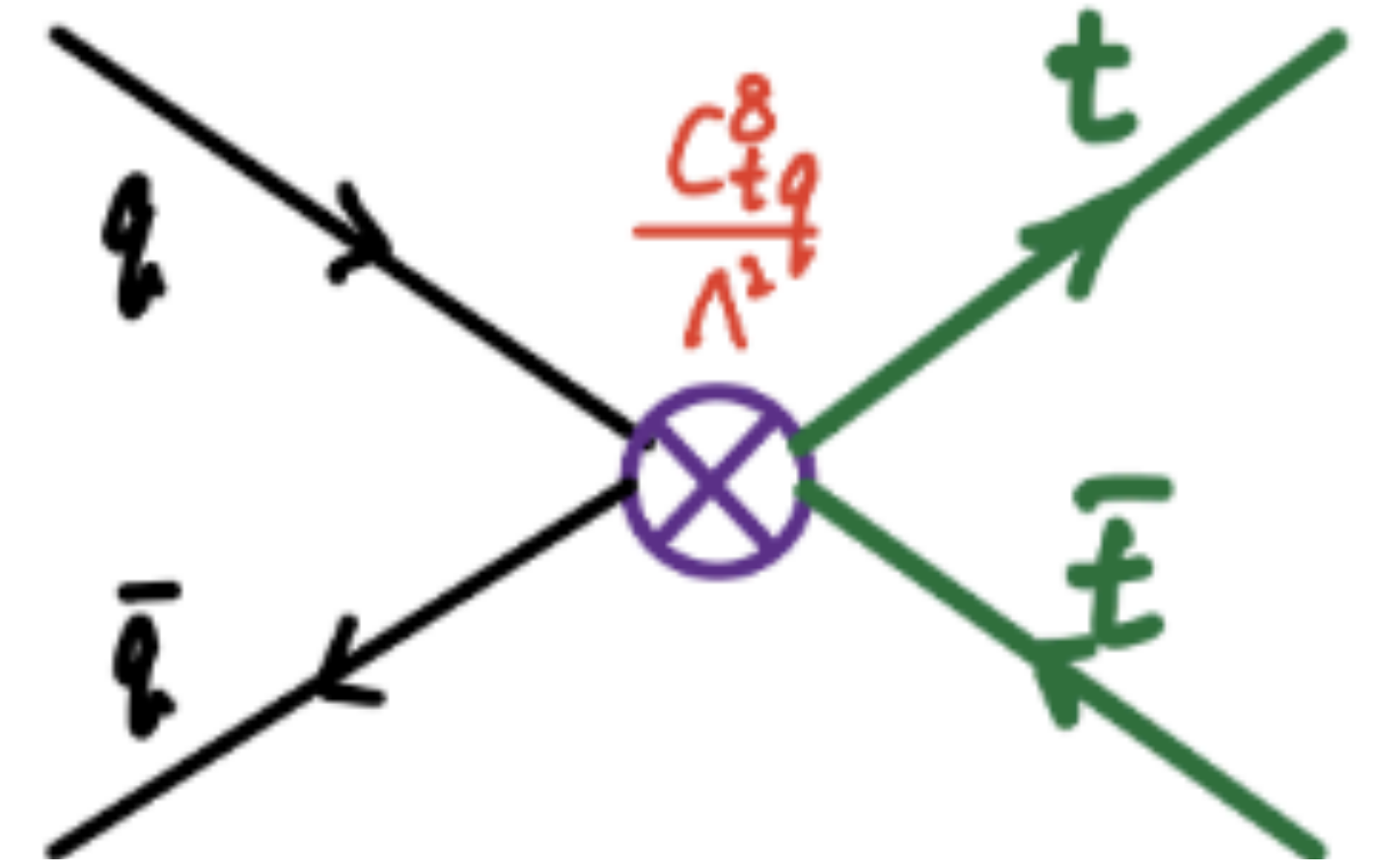
$$O_{tu}^1 = \sum_{i=1}^2 (\bar{t} \gamma_\mu t) (\bar{u}_i \gamma^\mu u_i) ,$$

$$O_{td}^1 = \sum_{i=1}^3 (\bar{t} \gamma^\mu t) (\bar{d}_i \gamma_\mu d_i) ,$$

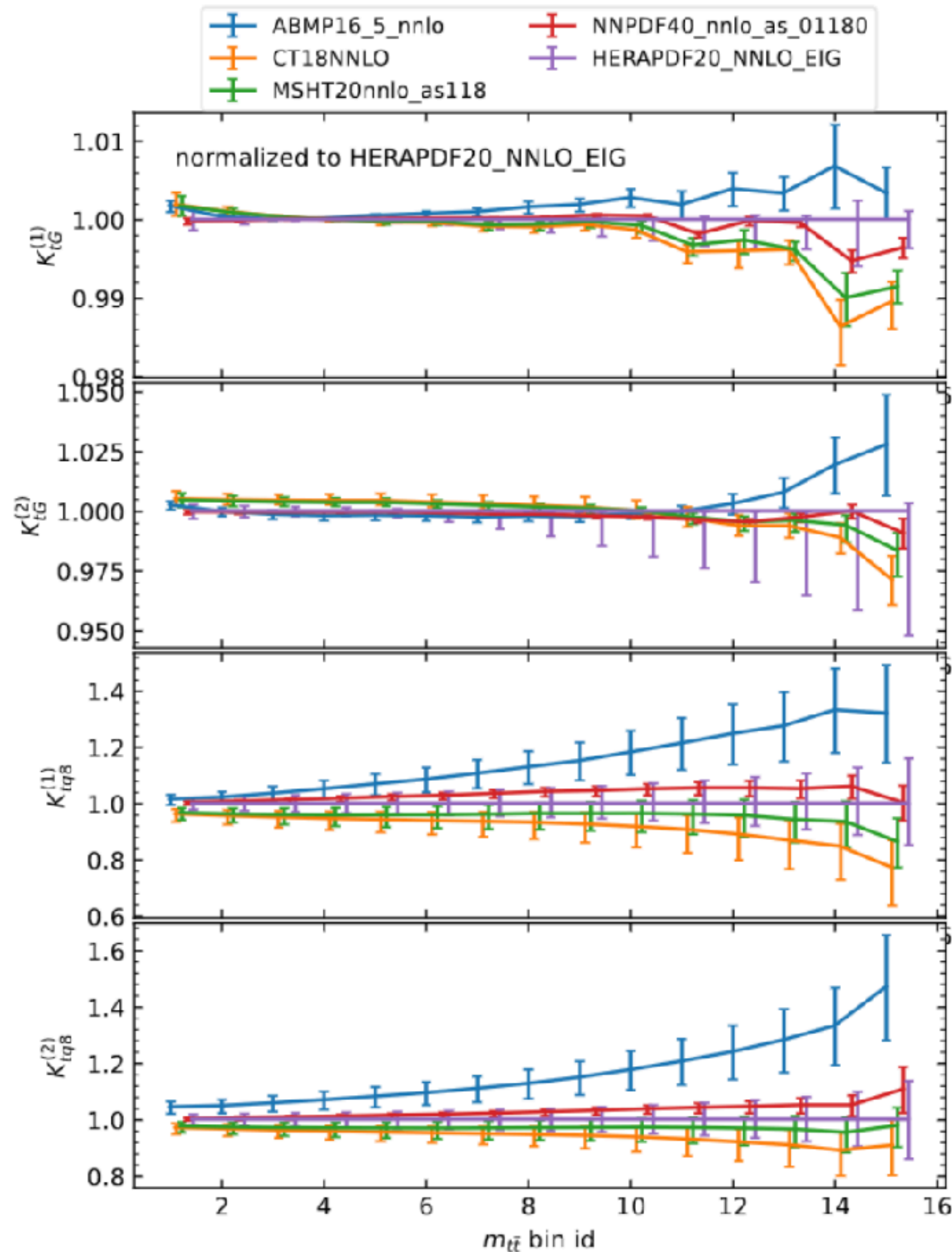
$$O_{tG} = ig_s (\bar{q}_{L3} \tau^{\mu\nu} T^A t) \tilde{\varphi} G_{\mu\nu}^A + \text{h.c.} ,$$

$$O_{tq}^8 = \sum_{i=1}^2 (\bar{q}_{Li} \gamma_\mu T^A q_{L,i}) (\bar{t} \gamma^\mu T^A t) ,$$

- Predictions for varied Wilson coefficients obtained at NLO QCD using mg5_aMC@NLO and SMEFT@NLO



PDF DEPENDENCE OF EFT CONTRIBUTIONS



$$\sigma_{\text{EFT}} = \sigma_{\text{SM}} + (c_{tG} \sigma_{tG,l} + c_{tG}^2 \sigma_{tG,q}) + (c_{tq8} \sigma_{tq8,l} + c_{tq8}^2 \sigma_{tq8,q}) + c_{tq8} c_{tG} \sigma_{tG,tq}$$

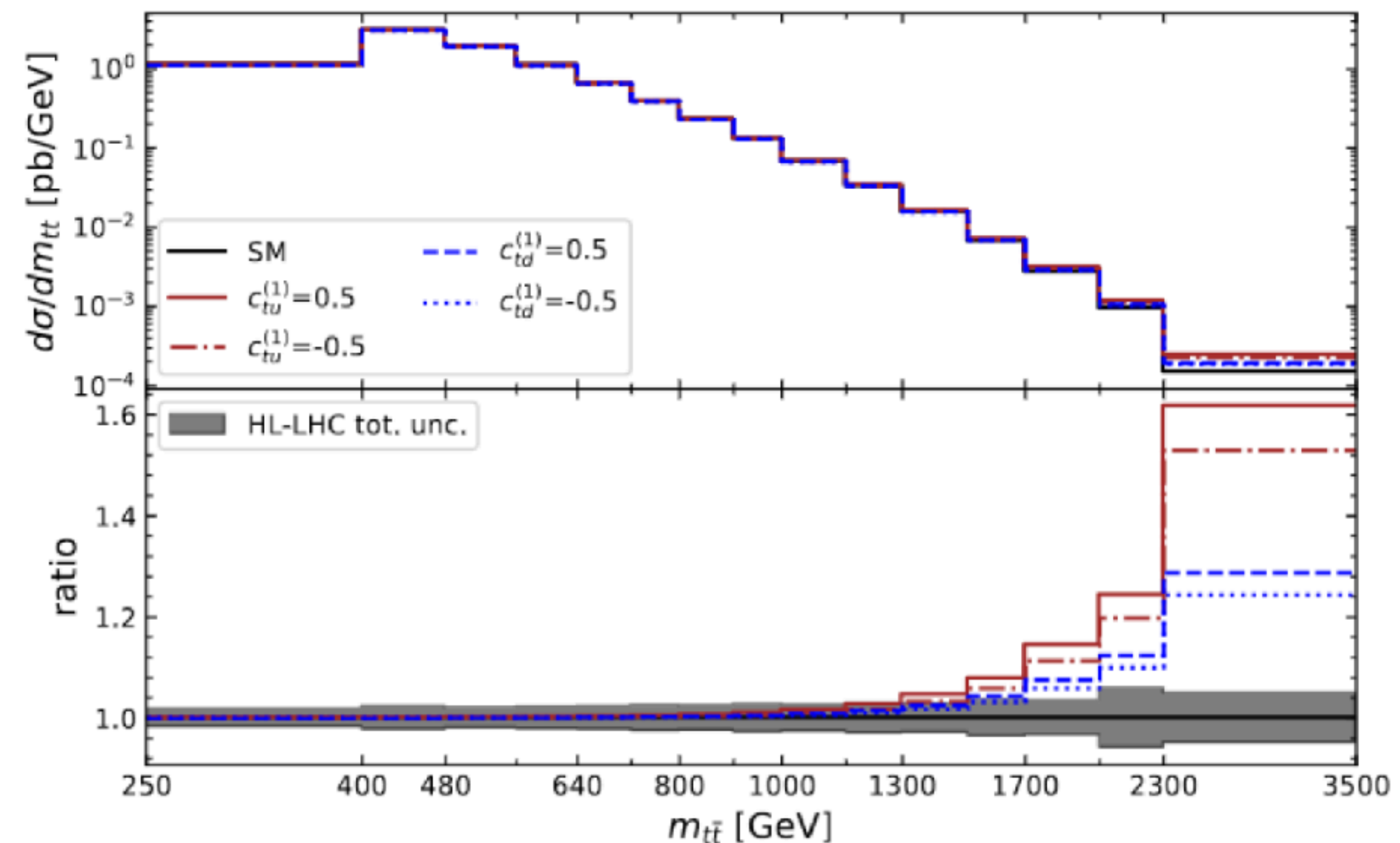
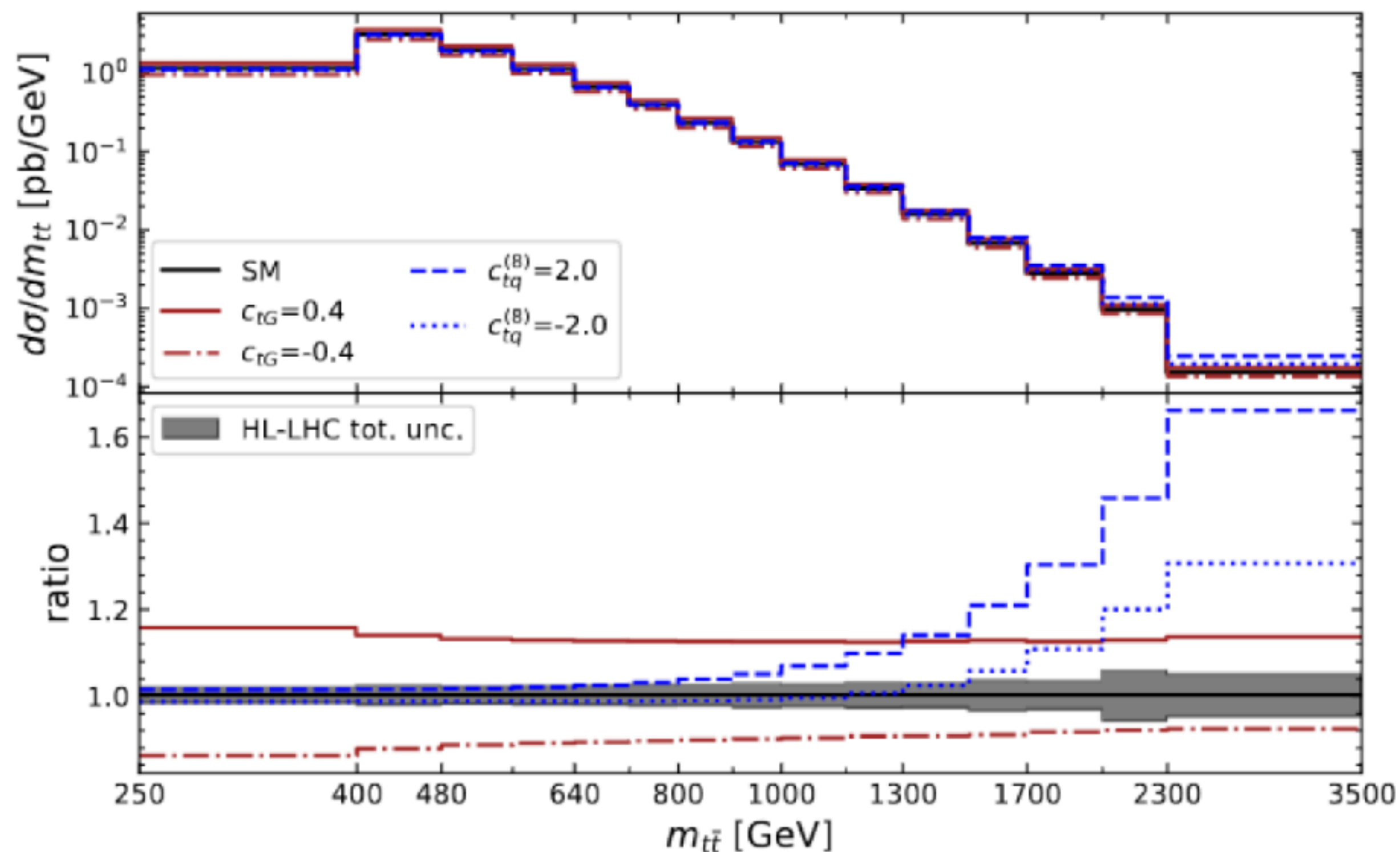
Error bars indicate the PDF dependence using a given PDF error set

- * K-factors to evaluate linear/quadratic dependence on EFT operators are always assumed not to depend on other QCD parameters such as the PDF
- * New xFitter reaction can test this assumption
 - PDF dependence of the EFT contributions is typically small compared to the accuracy of existing measurements
 - But can be as large as 10% if we consider ABMP16
 - Motivates the use of grids rather than tabulated K-factors

IMPACT OF EFT OPERATORS

* We can now look at the effect of each individual operator on the cross-sections

- \mathbf{C}_{tg} has a large effect on the overall cross-section
- Other operators mostly affect the high- $m_{t\bar{t}}$ region
- Quadratic terms cannot be neglected for $\mathbf{C}_{tu}^{(1)}$, $\mathbf{C}_{td}^{(1)}$



PDF FIT CONFIGURATION

- * QCD analysis is performed at NNLO in QCD following the HERAPDF2 fit
 - DIS cross-sections calculated at NNLO in the RT VFNS
 - Quark masses are set to $m_b=4.5$ GeV, $m_c=1.43$ GeV and $\alpha_s(m_Z)=0.118$
 - The value of m_{top} and of the Wilson coefficients are interpolated using the new reaction and left free in the fit

- * We parametrise the gluon, u- and d-valence and Ubar and Dbar PDFs
 - Strangeness fraction $f_s = \bar{s}/(\bar{d} + \bar{s})$ fixed to 0.4 following HERAPDF
 - PDFs parametrized at a starting scale of $Q^2_0=1.9$ GeV²

$$xf(x) = A_f x^{B_f} (1 - x)^{C_f} (1 + D_f x + E_f x^2)$$

PRELIMINARY RESULTS

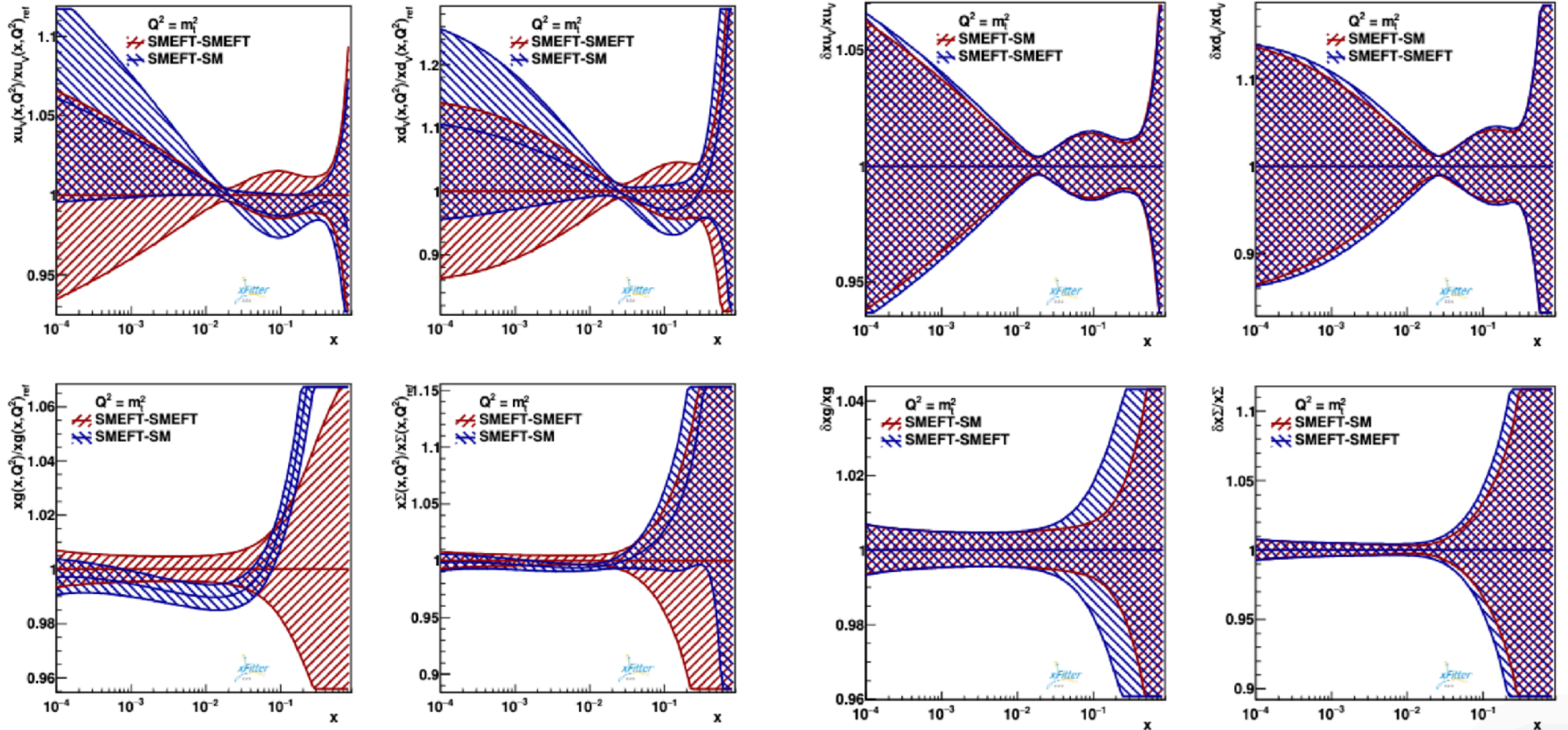
- * In our nominal fit, we inject some non-zero values for the Wilson coefficients close to their current experimental bounds
- The fit can retrieve injected values within quoted fit uncertainties

	m_t	C_{tG}	$C_{tq}^{(8)}$	$C_{tu}^{(1)}$	$C_{td}^{(1)}$
input parameters	172.5	-0.1	1.0	0	0
quadratic EFT fit	172.50 ± 0.37	-0.11 ± 0.08	1.00 ± 0.25	-0.01 ± 0.37	0.01 ± 1.14
linear EFT fit	172.47 ± 0.36	-0.07 ± 0.39	0.35 ± 12.22	-0.35 ± 3.46	8.36 ± 53.34
fixed PDF	172.41 ± 0.35	-0.14 ± 0.08	0.93 ± 0.50	-0.01 ± 1.05	-0.09 ± 1.69

- * Good sensitivity to both m_{top} and the EFT parameters
- Large dependence on the quadratic corrections for \mathbf{C}_{tu}^1 , \mathbf{C}_{td}^1

PDF UNCERTAINTIES AND BIASES

- ✱ Can further compare the resulting PDFs when we fit pseudodata with non-zero Wilson coefficients with (SMEFT-SMEFT) or without (SMEFT-SM) fitting the EFT



CONCLUSIONS

- * Increased accuracy of LHC measurements sensitive to EFT effect, makes it important to avoid possible biases due to the interplay with PDF and SM parameters
- * The xFitter framework has been extended to allow incorporating a parametrization of EFT effects with an easy and flexible approach
- * Highlighted a possible neglected bias due to the PDF dependence of the EFT
- * Showcased its capabilities by performing a sensitivity study for the simultaneous determination of PDF, SMEFT and m_{top} on an HL-LHC 13 TeV projected measurement of $m_{t\bar{t}}$
- * Potential for many interesting applications for (HL-)LHC analyses

SUMMARY

- * **xFitter** the “go-to” choice for QCD/PDF analyses by LHC experiments
- * Recently extended to allow **PDF+X fits** (X=SMEFT, but also EW parameters, ...)
- * New reactions allowing for fast evaluation of the effect of SMEFT operators, exploiting SMEFT@NLO in mg5_aMC@NLO:
 - ▶ Interpolate **tabulated cross-sections** predictions with varied Wilson coefficients
 - ▶ Through **pineAPPL grids** at fixed-order for varied Wilson coefficients
- * Plan to use them for a **simultaneous CMS fit of PDFs, SM parameters and SMEFT operators** to inclusive **jets and top quark pair** production data at 13 TeV
 - ▶ Many more potential applications, limited by available person power

BACKUP