

LIMITS ON HEAVY NEUTRAL LEPTONS AND THEIR CONNECTION WITH EFFECTIVE OPERATORS

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SM OPEN PROBLEMS

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The SM is successful and **predicts** a wide variety of phenomena that has been tested experimentally to an incredible accuracy.

However, there are some **open problems**

Represent our **best window** for New Physics

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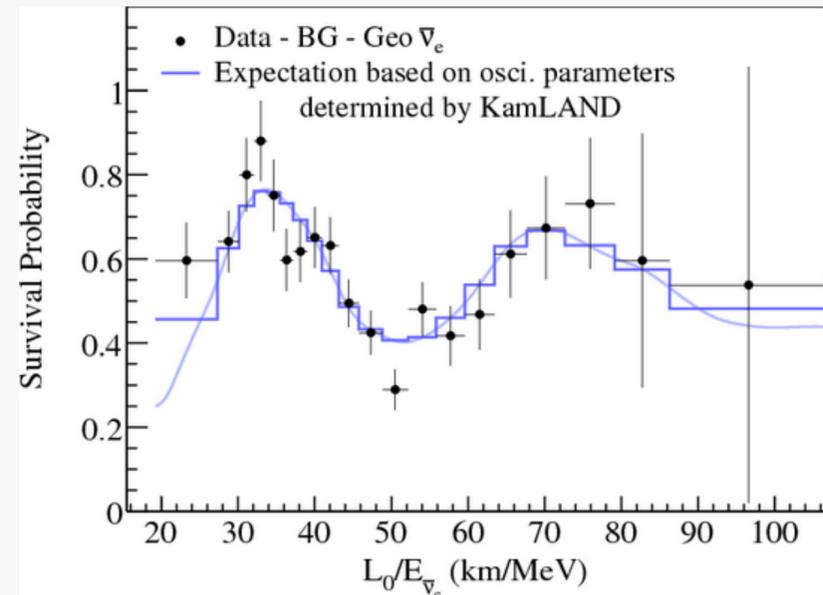
However, there are some **open problems** \Rightarrow **open opportunities**

Represent our **best window** for New Physics

SM OPEN PROBLEMS

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The discovery of **neutrino oscillations** points that



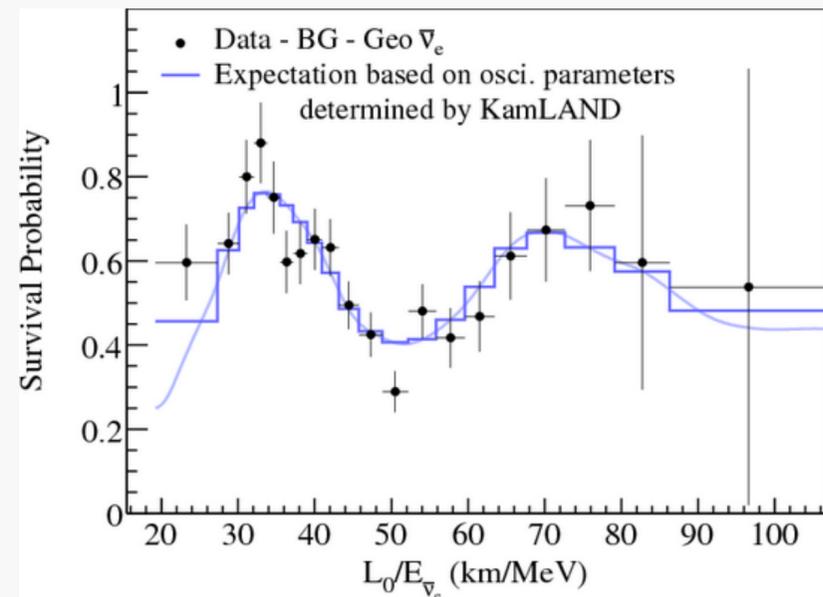
⇒ Neutrinos have (non-degenerate) masses

⇒ L_α violated

SM OPEN PROBLEMS

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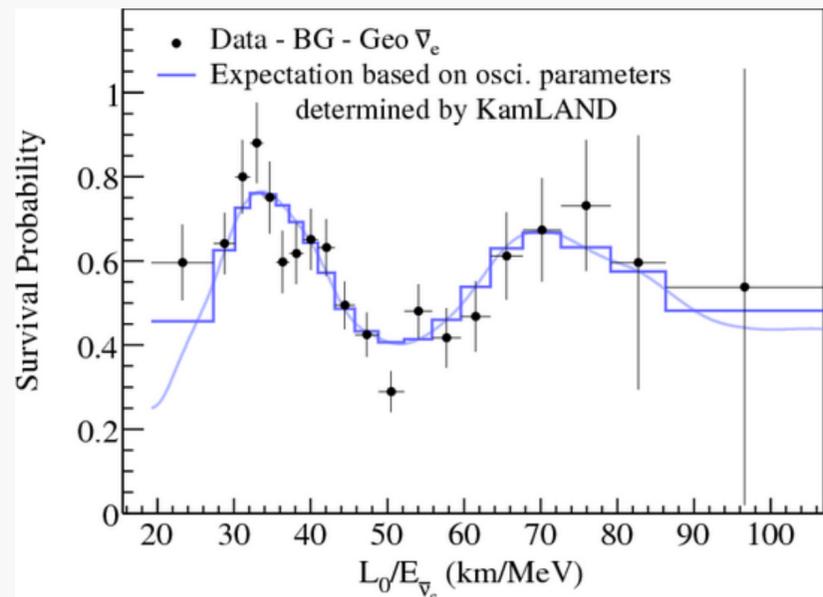
They could hold the key to understanding some other SM **open problems**

– Dark matter candidate

SM OPEN PROBLEMS

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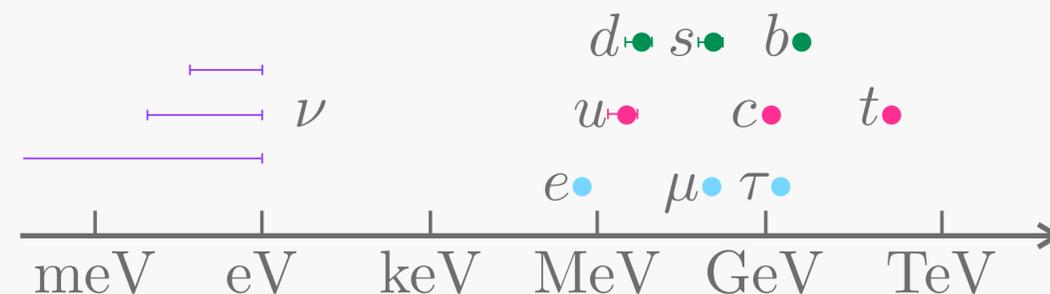


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- Dark matter candidate
- Flavor puzzle

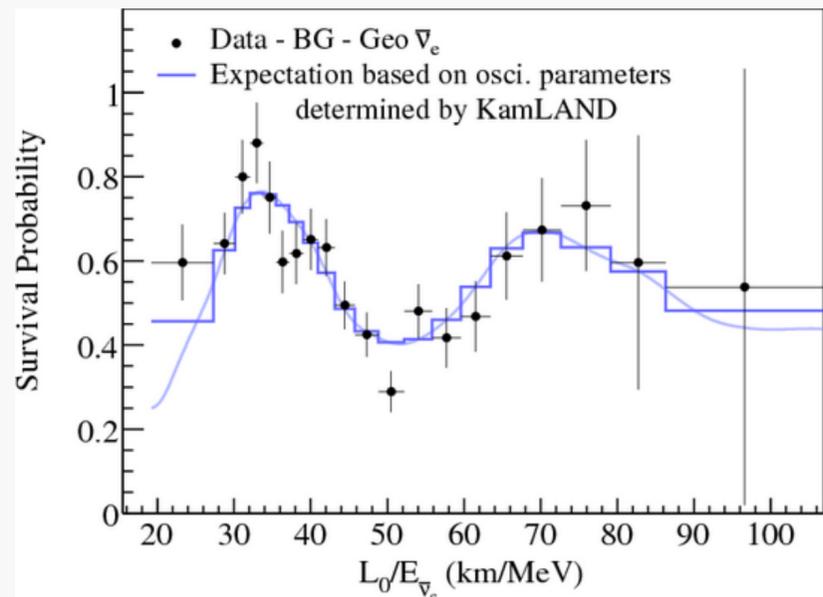


no SM explanation for Yukawa **ordering**

SM OPEN PROBLEMS

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$$V_{\text{CKM}} = \begin{pmatrix} d & s & b \\ \text{large} & \text{medium} & \text{small} \\ \text{medium} & \text{large} & \text{small} \\ \text{small} & \text{small} & \text{large} \end{pmatrix} \begin{matrix} u \\ c \\ t \end{matrix}$$

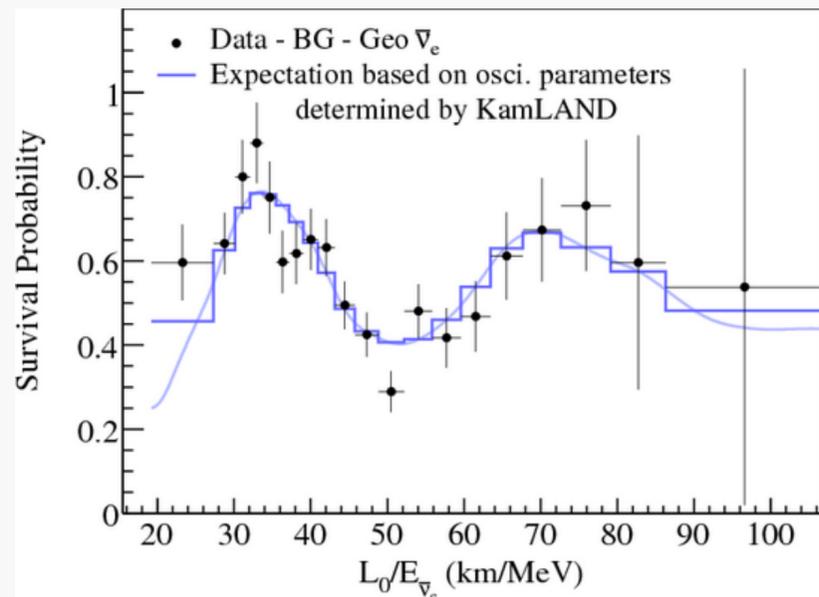
$$U_{\text{PMNS}} = \begin{pmatrix} 1 & 2 & 3 \\ \text{large} & \text{medium} & \text{small} \\ \text{medium} & \text{large} & \text{small} \\ \text{small} & \text{small} & \text{large} \end{pmatrix} \begin{matrix} e \\ \mu \\ \tau \end{matrix}$$

dissimilar pattern of quark and lepton mixings

SM OPEN PROBLEMS

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The discovery of **neutrino oscillations** points that



⇒ Neutrinos have (non-degenerate) masses

⇒ L_α violated

They could hold the key to understanding some other SM **open problems**

- Dark matter candidate
- Flavor puzzle
- Matter-antimatter asymmetry of the Universe
Baryogenesis through Leptogenesis

NEUTRINO OSCILLATION PARAMETERS

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- The leptonic mixing matrix

If neutrinos are massive, it will be a **misalignment** between physical (mass) and flavor eigenstates

$$\boxed{\nu_\alpha} = (U_{\text{PMNS}})_{\alpha i} \boxed{\nu_i}$$

flavor neutrino
(production/detection)
 $\alpha = e, \mu, \tau$

mass neutrino
(propagation)
 $i = 1, 2, 3$

NEUTRINO OSCILLATION PARAMETERS

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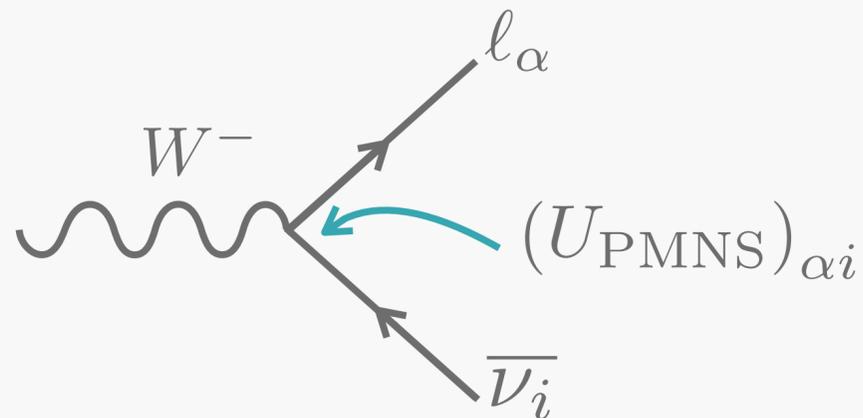
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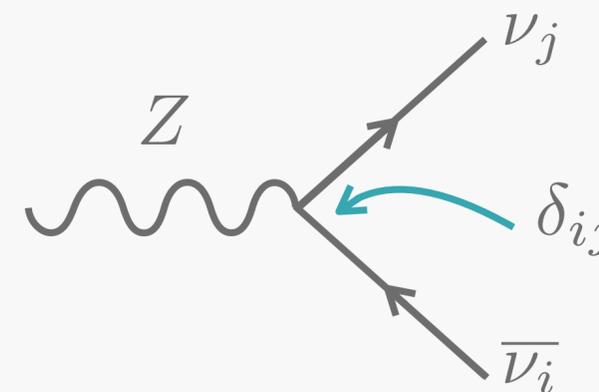
$$\nu_\alpha = (U_{\text{PMNS}})_{\alpha i} \nu_i$$

Pontecorvo–Maki–Nakagawa–Sakata (PMNS) **mixing matrix**

$$\text{CC: } (U_{\text{PMNS}})_{\alpha i} \nu_i \bar{\ell}_\alpha W^-$$



$$\text{NC: } \delta_{ij} \nu_i \bar{\nu}_j Z$$



NEUTRINO OSCILLATION PARAMETERS

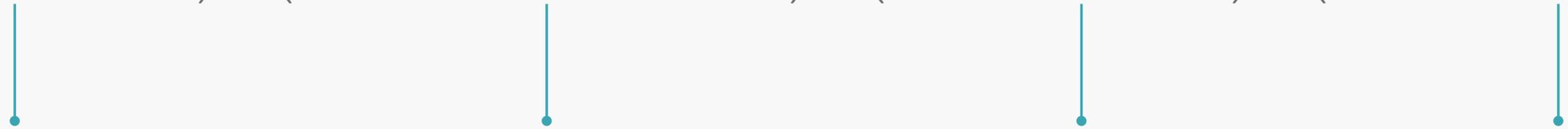
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- The leptonic mixing matrix

The U_{PMNS} an **Unitary** matrix, can be parametrized by

- 3 angles: θ_{12} , θ_{23} & θ_{13}
- CPV phases: δ , (α_1 & α_2 for Majorana ν)

$$U_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{-i\frac{\alpha_2}{2}} & 0 \\ 0 & 0 & e^{-i\frac{\alpha_3}{2}} \end{pmatrix}$$



“atmospheric” angle “reactor” angle “solar” angle Majorana phases do
 $\theta_{23} \simeq 45^\circ$ $\theta_{13} \simeq 8.5^\circ$ $\theta_{12} \simeq 33^\circ$ not participate in
 δ Dirac phase ν oscillations
(only in \bar{L} processes)

NEUTRINO OSCILLATION PARAMETERS

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- Neutrino oscillation parameters

Accessible parameters in neutrino oscillation experiments

- PMNS parameters: $\theta_{12}, \theta_{23}, \theta_{13}$ & δ
- Mass splittings: Δm_{sol}^2 & Δm_{atm}^2

Neutrino oscillation experiment	Leading dependence	Subleading dependence
Solar experiments [1]	θ_{12}	Δm_{sol}^2 & θ_{13}
Reactor LBL [2]	Δm_{sol}^2	θ_{12} & θ_{13}
Reactor MBL [3]	θ_{13}	$ \Delta m_{\text{atm}}^2 $
Atmospheric experiments [4]	θ_{23}	$\Delta m_{\text{atm}}^2, \theta_{13}$ & δ
Acc. LBL ν_{μ} & $\bar{\nu}_{\mu}$ disappearance [5]	$ \Delta m_{\text{atm}}^2 $	θ_{23} & θ_{13}
Acc. LBL ν_e appearance [6]	θ_{13}	$\Delta m_{\text{atm}}^2, \delta$ & θ_{23}

[1] SNO, Borexino, Gallex, SK

[2] KamLAND

[3] Daya Bay, Reno, Double-Chooz

[4] SK, MINOS, IceCUBE

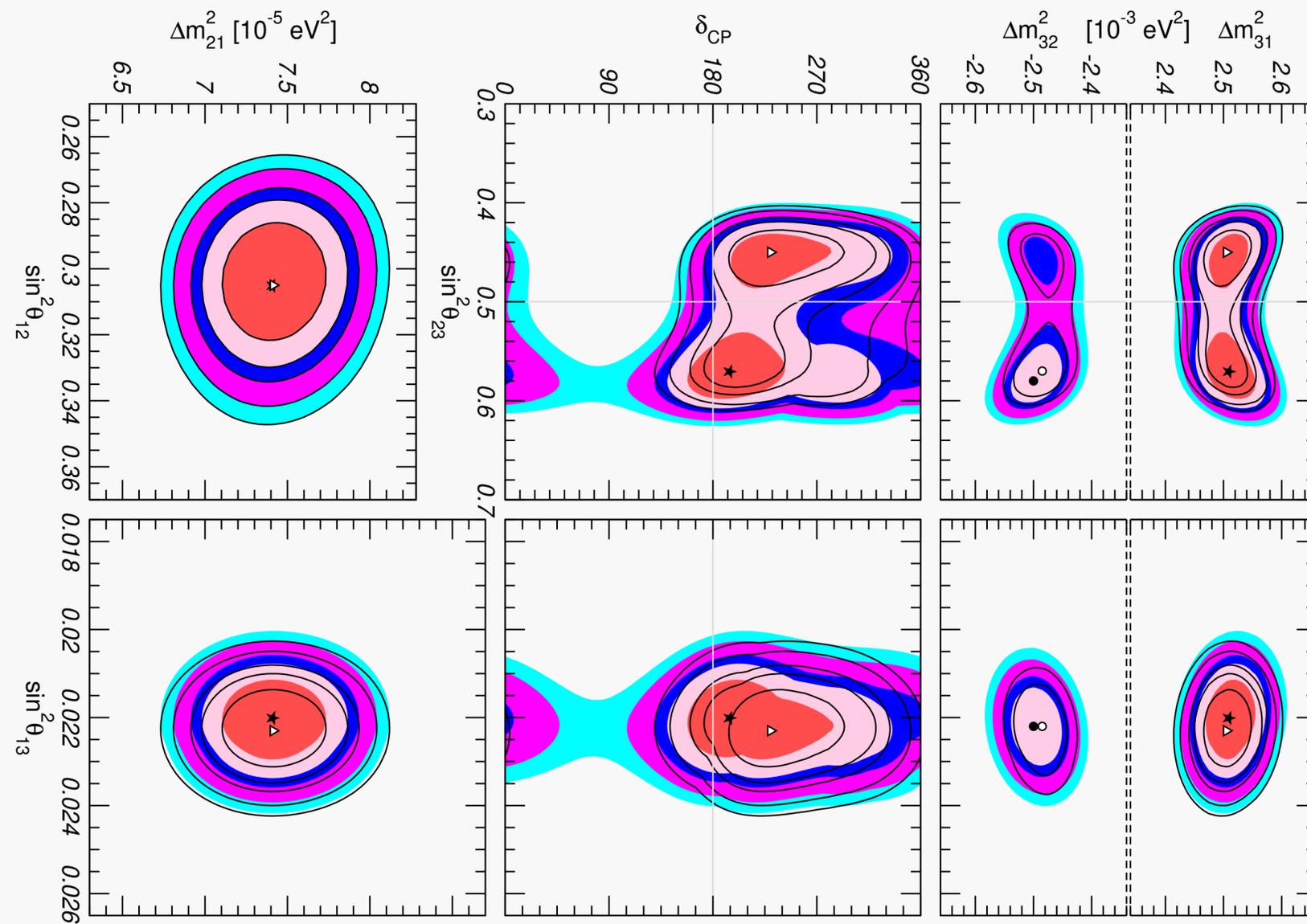
[5,6] T2K, MINOS, NO ν A

NEUTRINO OSCILLATION PARAMETERS

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- Neutrino oscillation parameters

Present values obtained through a [global-fit](#) to a complete set of ν oscillation experiments



NEUTRINO OSCILLATION PARAMETERS

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- Neutrino oscillation parameters

The present **best-fit** values of the neutrino oscillation parameters are

$\sin^2 \theta_{12}$	$0.303^{+0.012}_{-0.012}$	$0.303^{+0.012}_{-0.011}$
$\sin^2 \theta_{23}$	$0.451^{+0.019}_{-0.016}$	$0.569^{+0.016}_{-0.021}$
$\sin^2 \theta_{13}$	$0.02225^{+0.00056}_{-0.00059}$	$0.02223^{+0.00058}_{-0.00058}$
$\delta_{\text{CP}} [^\circ]$	232^{+36}_{-26}	276^{+22}_{-29}
Δm_{sol}^2	$7.41^{+0.21}_{-0.20} \cdot 10^{-5} \text{eV}^2$	$7.41^{+0.21}_{-0.20} \cdot 10^{-5} \text{eV}^2$
$ \Delta m_{\text{atm}}^2 $	$2.507^{+0.026}_{-0.027} \cdot 10^{-3} \text{eV}^2$	$-2.486^{+0.025}_{-0.028} \cdot 10^{-3} \text{eV}^2$

NuFIT 5.2
www.nu-fit.org

However, there are still some **unknown** values

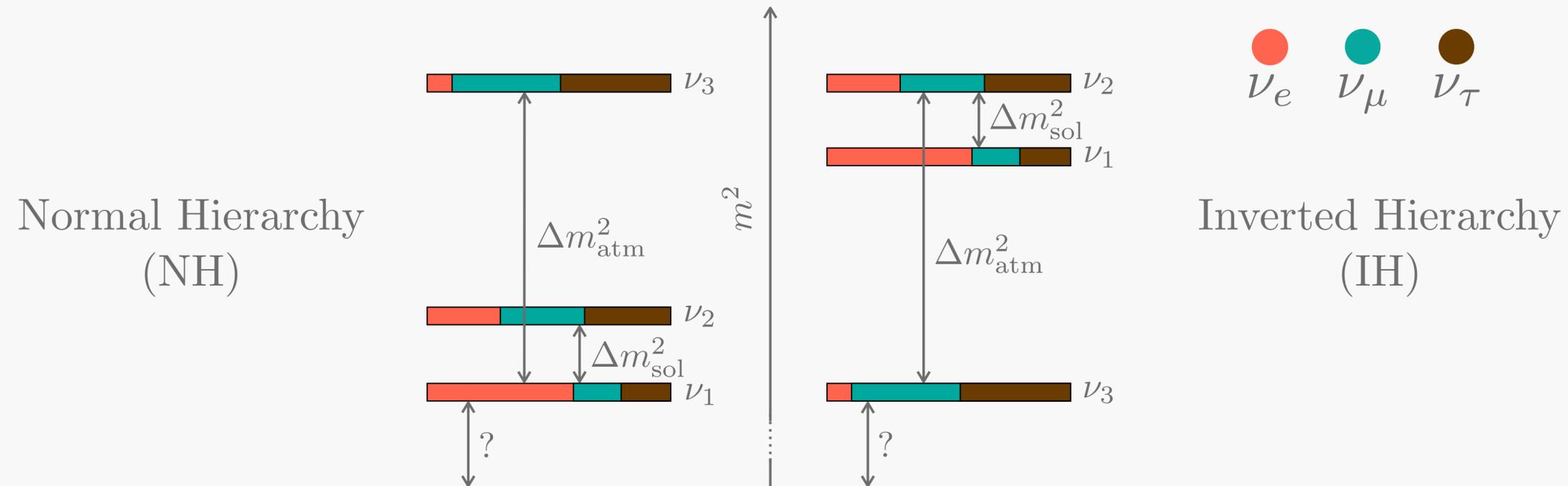
- δ (**maximal?**) \Rightarrow \mathcal{CP} ?
- θ_{23} octant (**maximal mixing?**)
- Δm_{atm}^2 sign

NEUTRINO OSCILLATION PARAMETERS

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- Neutrino mass hierarchy and absolute scale

The **sign** of Δm_{atm}^2 gives rise to

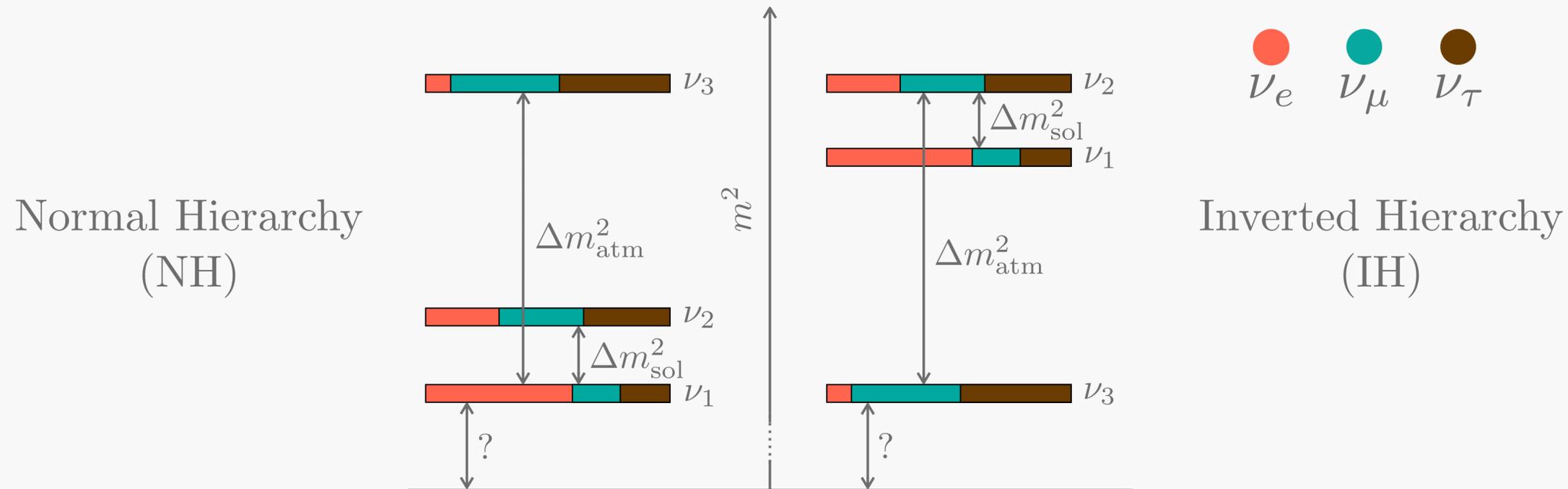


NEUTRINO OSCILLATION PARAMETERS

zoom.us video

- Neutrino mass hierarchy and absolute scale

The **sign** of Δm_{atm}^2 gives rise to



Direct bounds on the absolute neutrino mass scale

– Single β -decay

$$m_{\nu_\alpha}^2 \equiv \sum_i |(U_{\text{PMNS}})_{\alpha i}|^2 m_i^2$$

$$m_{\nu_e} < 0.8 \text{ eV (90\%CL) (KATRIN)}$$

– Cosmology

$$\sum_i m_i < 0.12 \text{ eV (95\% CL) (Planck)}$$

NEUTRINO MASSES IN THE SM

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- Dirac neutrino masses

All fermions get masses through the **Yukawa interaction**

$$\overline{\psi}_L y_\psi \phi \psi_R \xrightarrow[\text{EWSB}]{\text{after}} \boxed{y_\psi \frac{v_{\text{EW}}}{\sqrt{2}}} \overline{\psi}_L \psi_R$$

$m_\psi \equiv y_\psi \frac{v_{\text{EW}}}{\sqrt{2}}$

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For neutrinos

$$\overline{\nu}_L y_\nu \phi \nu_R \Rightarrow m_D = y_\nu \frac{v_{\text{EW}}}{\sqrt{2}}$$

NEUTRINO MASSES IN THE SM

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For neutrinos

$$\overline{\nu}_L y_\nu \phi \cancel{\nu}_R \Rightarrow m_D = y_\nu \frac{v_{\text{EW}}}{\sqrt{2}}$$

Not present in the SM

NEUTRINO MASSES IN THE SM

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- Majorana neutrino masses

Since neutrinos are the only **neutral** fermions

$$\hat{m} \bar{\nu}_L^c \nu_L$$

NEUTRINO MASSES IN THE SM

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$$\hat{m} \overline{\nu}_L^c \nu_L \quad \text{but violates } SU(2)_L \times U(1)_Y$$

NEUTRINO MASSES IN THE SM

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However, **after EWSB**, can be induced through Weinberg operator

$$\frac{1}{2} c_{\alpha\beta}^{d=5} \left(\overline{\ell_{\alpha L}^c} \tilde{\phi}^* \right) \left(\tilde{\phi}^\dagger \ell_{\beta L} \right) + \text{h.c.} \xrightarrow[\text{EWSB}]{\text{after}} \frac{v_{\text{EW}}^2}{2} c^{d=5} \overline{\nu}_L^c \nu_L$$

$\hat{m} = -\frac{v_{\text{EW}}^2}{2} c^{d=5}$

NEUTRINO MASSES IN THE SM

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$\hat{m} = -\frac{v_{\text{EW}}^2}{2} c^{d=5}$

$d = 5 \Rightarrow$ Is SM low energy remnant of higher energy theory?

NEUTRINO MASSES IN THE SM

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And therefore, neutrinos are **strictly massless** in the SM.

The SM must be **extended** to account for neutrino oscillations.

TYPE-I SEESAW

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The simplest extension requires the addition of **at least** two heavy neutrinos which are **singlets** of the SM GG within the type-I seesaw. The so called HNLs

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \overline{N_{Ri}} i \not{\partial} N_{Ri} - \left(y_{i\alpha} \overline{L}_{\alpha} \tilde{H} N_{Ri} + \frac{M_N}{2} \overline{N_{Ri}^c} N_{Ri} \right) + \text{h.c.}$$

P. Minkowski, Phys. Lett. **B67** (1977) 421.

T. Yanagida. Proceedings of the Workshop on the Baryon Number of the Universe.

M. Gell-Mann, P. Ramond, and R. Slansky, Print-80-0576 (CERN).

R. N. Mohapatra and G. Senjanovic, Phys. Rev. Lett. **44** (1980) 912.

TYPE-I SEESAW

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Dirac mass

TYPE-I SEESAW

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Majorana mass
New Physics scale

TYPE-I SEESAW

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The neutrino mass matrix **diagonalized** by U

$$\mathcal{M}_\nu = \begin{pmatrix} 0 & m_D^t \\ m_D & M_N \end{pmatrix} \Rightarrow U^t \mathcal{M}_\nu U = \begin{pmatrix} m & 0 \\ 0 & M \end{pmatrix}$$

with the Unitary matrix

$$U = \begin{array}{c} \text{sterile} \\ \text{active} \end{array} \begin{array}{c|c} \text{light} & \text{heavy} \\ \hline \begin{pmatrix} N \\ R \end{pmatrix} & \begin{pmatrix} \Theta \\ S \end{pmatrix} \end{array}$$

TYPE-I SEESAW

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$$U = \begin{pmatrix} N & \Theta \\ R & S \end{pmatrix} \quad N = \left(I - \frac{\Theta \Theta^\dagger}{2} \right) U_{\text{PMNS}}$$

TYPE-I SEESAW

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TYPE-I SEESAW

zoom.us video

Light neutrino masses given by

$$\hat{m} = m_D^t M_N^{-1} m_D = -U_{PMNS}^* m U_{PMNS}^\dagger$$

Active-heavy mixing given by

$$\Theta = m_D^\dagger M_N^{-1}$$

TYPE-I SEESAW

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Active-heavy mixing given by

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$$\underbrace{\hat{m}}_{\sim 0.1\text{eV}} = m_D^t M_N^{-1} \underbrace{m_D}_{\sim \Lambda_{EW} \text{ (} y \sim \mathcal{O}(1)\text{)}} \Rightarrow M_N \sim 10^{14}\text{GeV} \Rightarrow \Theta \sim 10^{-12}$$

If smallness of m_ν comes **only** from the **suppression** of M_N , active-heavy mixing will be **suppressed** \Rightarrow experimental verification extremely **challenging**.

LOW-SCALE SEESAW

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Alternatively, **smallness** of m_ν may naturally stem from an **approximate** L instead of a huge hierarchy of masses.

In particular

$$m_D = \frac{v_{\text{EW}}}{\sqrt{2}} \begin{matrix} & \nu_e & \nu_\mu & \nu_\tau & & \\ L = 1 & 1 & 1 & 1 & & \\ \begin{pmatrix} y_e & y_\mu & y_\tau \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & 1 & N_1 & & & \\ & -1 & N_2 & & & \\ & 0 & N_3 & & & \end{matrix} \quad M_N = \begin{matrix} & N_1 & N_2 & N_3 & & \\ L = 1 & -1 & 0 & & & \\ \begin{pmatrix} 0 & M & 0 \\ M & 0 & 0 \\ 0 & 0 & M' \end{pmatrix} & 1 & N_1 & & & \\ & -1 & N_2 & & & \\ & 0 & N_3 & & & \end{matrix}$$

where N_i is an arbitrary number of extra heavy fields.

If L is **exact**:

- $\hat{m} = m_D^t M_N^{-1} m_D = \mathbf{0}$ (massless ν)
- $\theta_\alpha = \frac{y_\alpha v_{\text{EW}}}{\sqrt{2}M} \neq \mathbf{0}$ (arbitrarily large)

R. Mohapatra and J. Valle, Phys.Rev. **D34**, 1642 (1986)

J. Bernabeu, A. Santamaria, J. Vidal, A. Mendez, and J. Valle, Phys. Lett. **B187**, 303 (1987)

G.C. Branco, W. Grimus, and L. Lavoura, Nucl. Phys. **B312**, 492 (1989)

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where N_i is an arbitrary number of extra heavy fields.

If L is **mildly** broken by ϵ_i and μ_j :

- $\hat{m} = m_D^t M_N^{-1} m_D \neq 0$ ($m_\nu \sim \mathcal{O}(eV)$)
- $\theta_\alpha \simeq \frac{y_\alpha v_{\text{EW}}}{\sqrt{2}M} \neq 0$ (arbitrarily large)

R. Mohapatra and J. Valle, Phys.Rev. **D34**, 1642 (1986)

J. Bernabeu, A. Santamaria, J. Vidal, A. Mendez, and J. Valle, Phys. Lett. **B187**, 303 (1987)

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LOW-SCALE SEESAW

zoom.us video

Minimal low-scale seesaw models + ν oscillation pattern

- 2 neutrino case

$$\mathcal{M}_\nu = \begin{pmatrix} 0 & yv/\sqrt{2} & \epsilon y'v/\sqrt{2} \\ y^t v/\sqrt{2} & \mu' & M \\ \epsilon y'^t v/\sqrt{2} & M & \mu \end{pmatrix}$$

When solving

$$m_D^t M_N^{-1} m_D = -U_{\text{PMNS}}^* m U_{\text{PMNS}}^\dagger$$

strong correlations among the mixing elements

$$\theta_\alpha = \frac{\theta}{\sqrt{2}} \left(\sqrt{1+\rho} U_{\text{PMNS}\alpha 3}^* + \sqrt{1-\rho} U_{\text{PMNS}\alpha 2}^* \right) \quad \text{for NH} \quad \begin{aligned} U_{\text{PMNS}\alpha i} &= g_{\alpha i}(\theta_{ij}, \delta_{\text{CP}}, \alpha_2) \\ \rho &= f(\Delta m_{ij}^2) \end{aligned}$$

LOW-SCALE SEESAW

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$$\rho = f(\Delta m_{ij}^2)$$

Flavor structure determined up to **3 free** parameters

LOW-SCALE SEESAW

zoom.us video

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$$\mathcal{M}_\nu = \begin{pmatrix} 0 & yv/\sqrt{2} & \epsilon y'v/\sqrt{2} \\ y^t v/\sqrt{2} & \mu' & M \\ \epsilon y'^t v/\sqrt{2} & M & \mu \end{pmatrix}$$

When solving

$$m_D^t M_N^{-1} m_D = -U_{\text{PMNS}}^* m U_{\text{PMNS}}^\dagger$$

strong correlations among the mixing elements

$$\theta_\alpha = \frac{\theta}{\sqrt{2}} \left(\sqrt{1+\rho} U_{\text{PMNS}\alpha 3}^* + \sqrt{1-\rho} U_{\text{PMNS}\alpha 2}^* \right) \quad \text{for NH} \quad U_{\text{PMNS}\alpha i} = g_{\alpha i}(\theta_{ij}, \delta_{\text{CP}}, \alpha_2)$$
$$\rho = f(\Delta m_{ij}^2)$$

Flavor structure determined up to 3 free parameters

Similar expression for IH. See back-up slides

LOW-SCALE SEESAW

zoom.us video

Minimal low-scale seesaw models + ν oscillation pattern

- 3 neutrino case

$$\mathcal{M}_\nu = \begin{pmatrix} 0 & yv/\sqrt{2} & \epsilon_1 y' v/\sqrt{2} & \epsilon_2 y'' v/\sqrt{2} \\ y^T v/\sqrt{2} & \mu_1 & M & \mu_3 \\ \epsilon_1 y'^t v/\sqrt{2} & M & \mu_2 & \mu_4 \\ \epsilon_2 y''^t v/\sqrt{2} & \mu_3 & \mu_4 & M' \end{pmatrix}$$

When solving

$$m_D^t M_N^{-1} m_D = -U_{\text{PMNS}}^* m U_{\text{PMNS}}^\dagger$$

correlations among the mixing elements

$$\theta_\tau = f(\theta_e, \theta_\mu, \delta_{\text{CP}}, \alpha_1, \alpha_2, m_{1,3})$$

Flavor structure determined up to 8 free parameters

LOW-SCALE SEESAW

zoom.us video

Most experiments provide sensitivity results assuming **single flavor** dominance

$$|U_{e4}|^2 : |U_{\mu4}|^2 : |U_{\tau4}|^2 = 1 : 0 : 0 \quad (e\text{-dominance})$$

$$|U_{e4}|^2 : |U_{\mu4}|^2 : |U_{\tau4}|^2 = 0 : 1 : 0 \quad (\mu\text{-dominance})$$

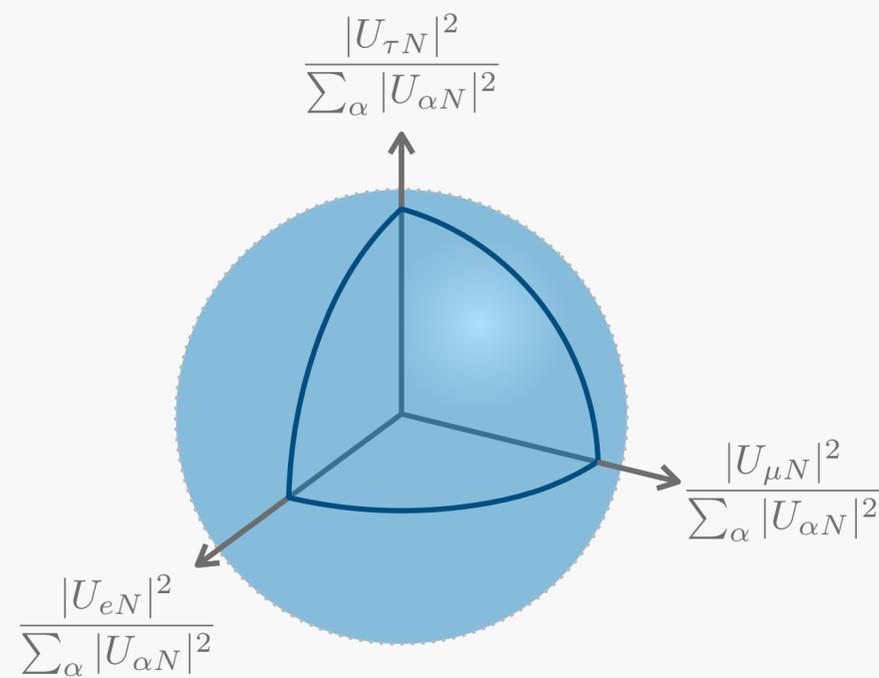
$$|U_{e4}|^2 : |U_{\mu4}|^2 : |U_{\tau4}|^2 = 0 : 0 : 1 \quad (\tau\text{-dominance})$$

LOW-SCALE SEESAW

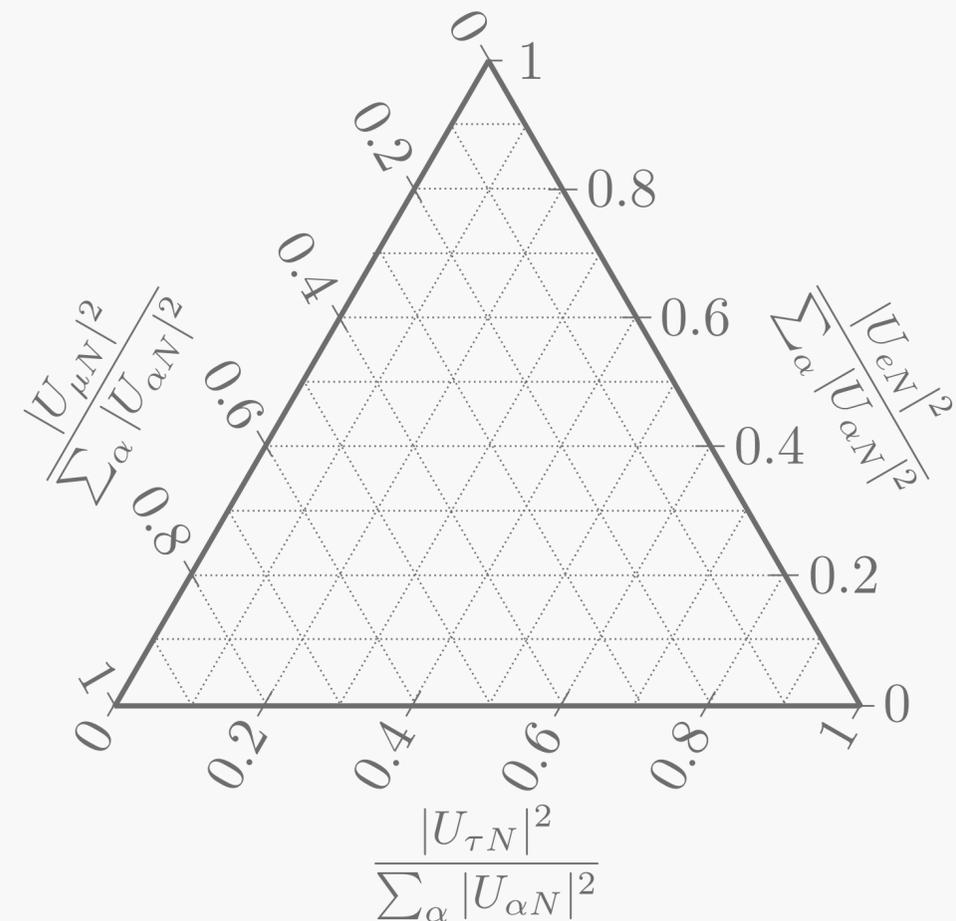
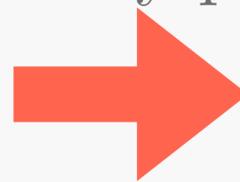
zoom.us video

The **normalized** mixing matrix elements

$$\frac{|U_{\alpha N}|^2}{\sum_{\alpha} |U_{\alpha N}|^2} = \frac{|\theta_{\alpha}|^2}{\sum_{\alpha} |\theta_{\alpha}|^2} \in (0, 1)$$



ternary plot

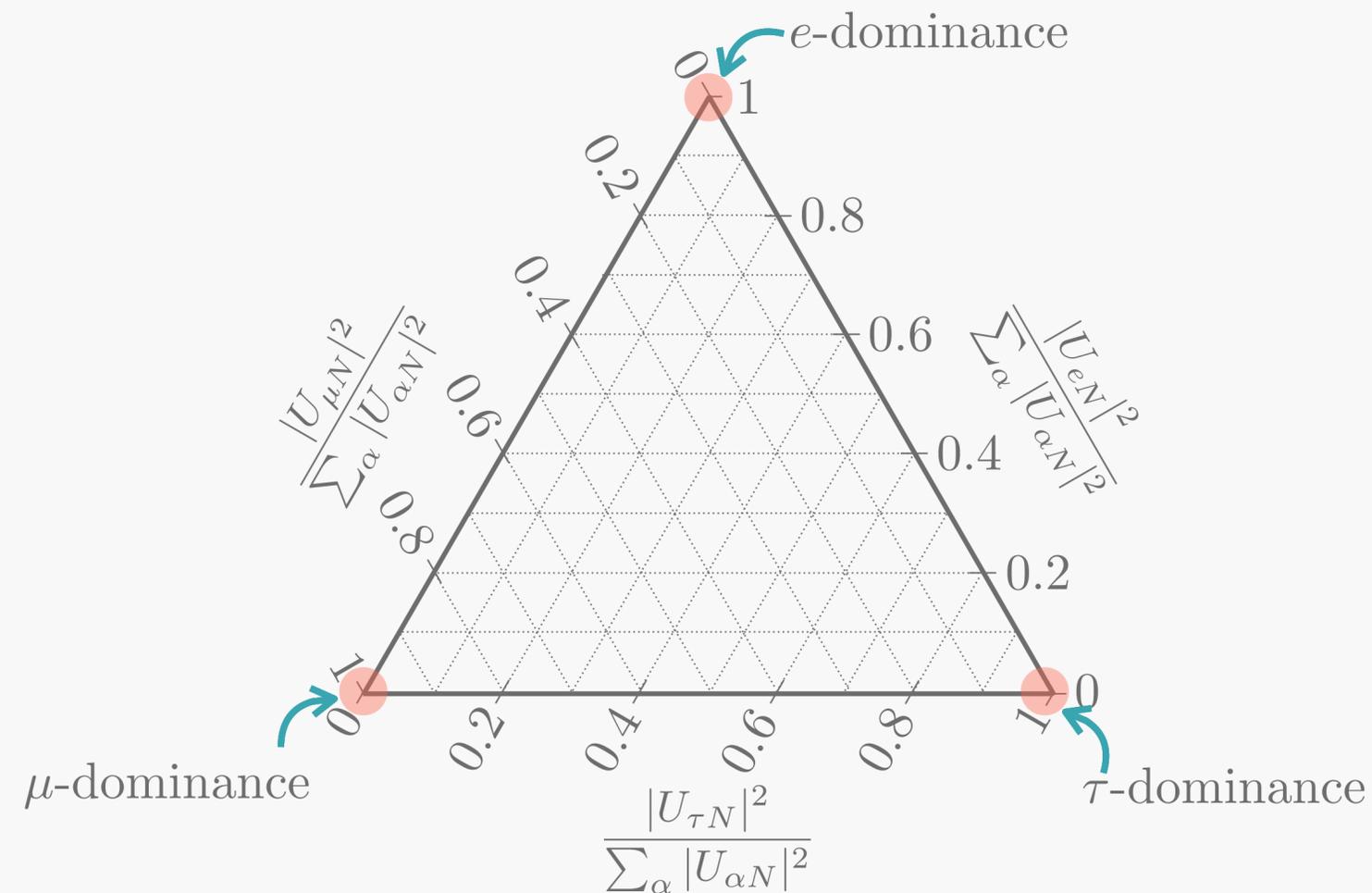


LOW-SCALE SEESAW

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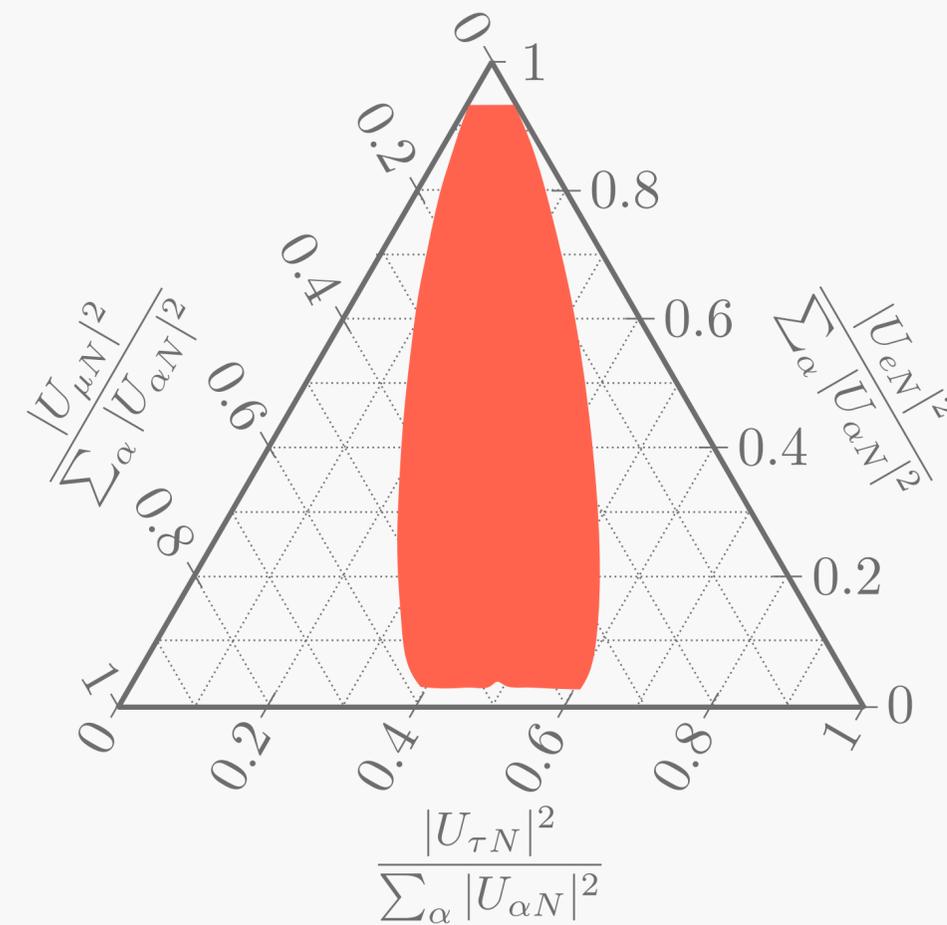
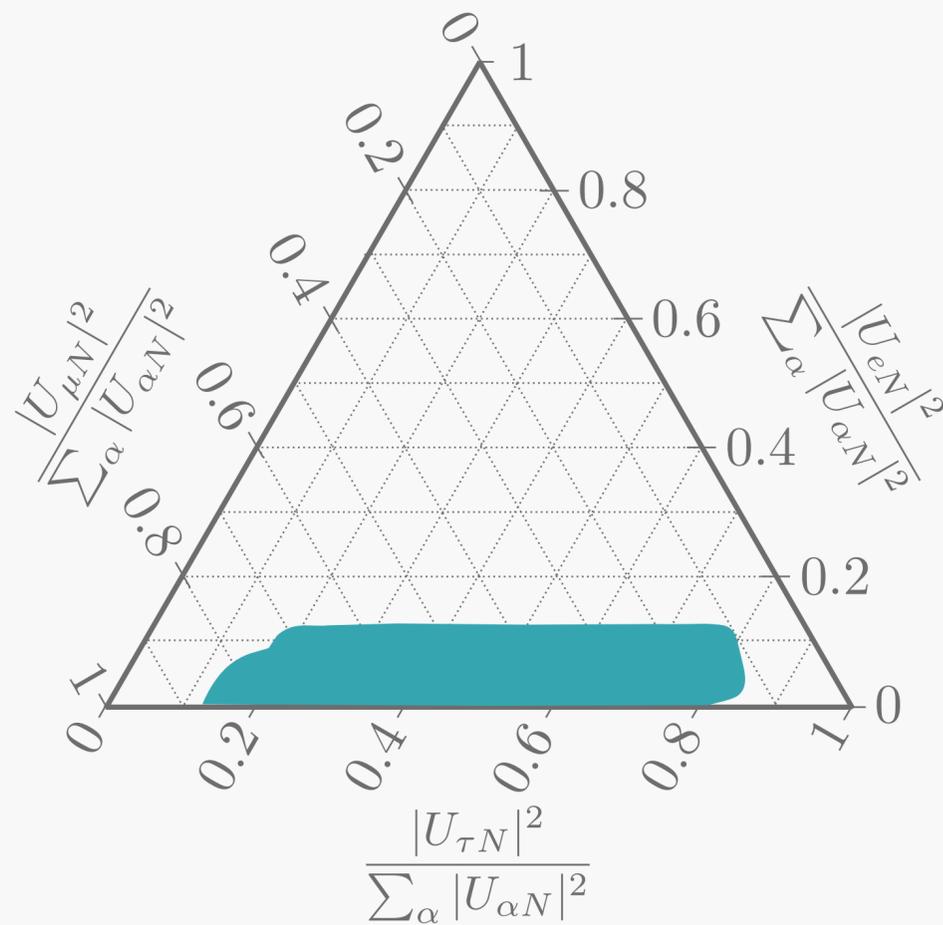
zoom.us video

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• NH: 2N ●

• IH: 2N ●



LOW-SCALE SEESAW

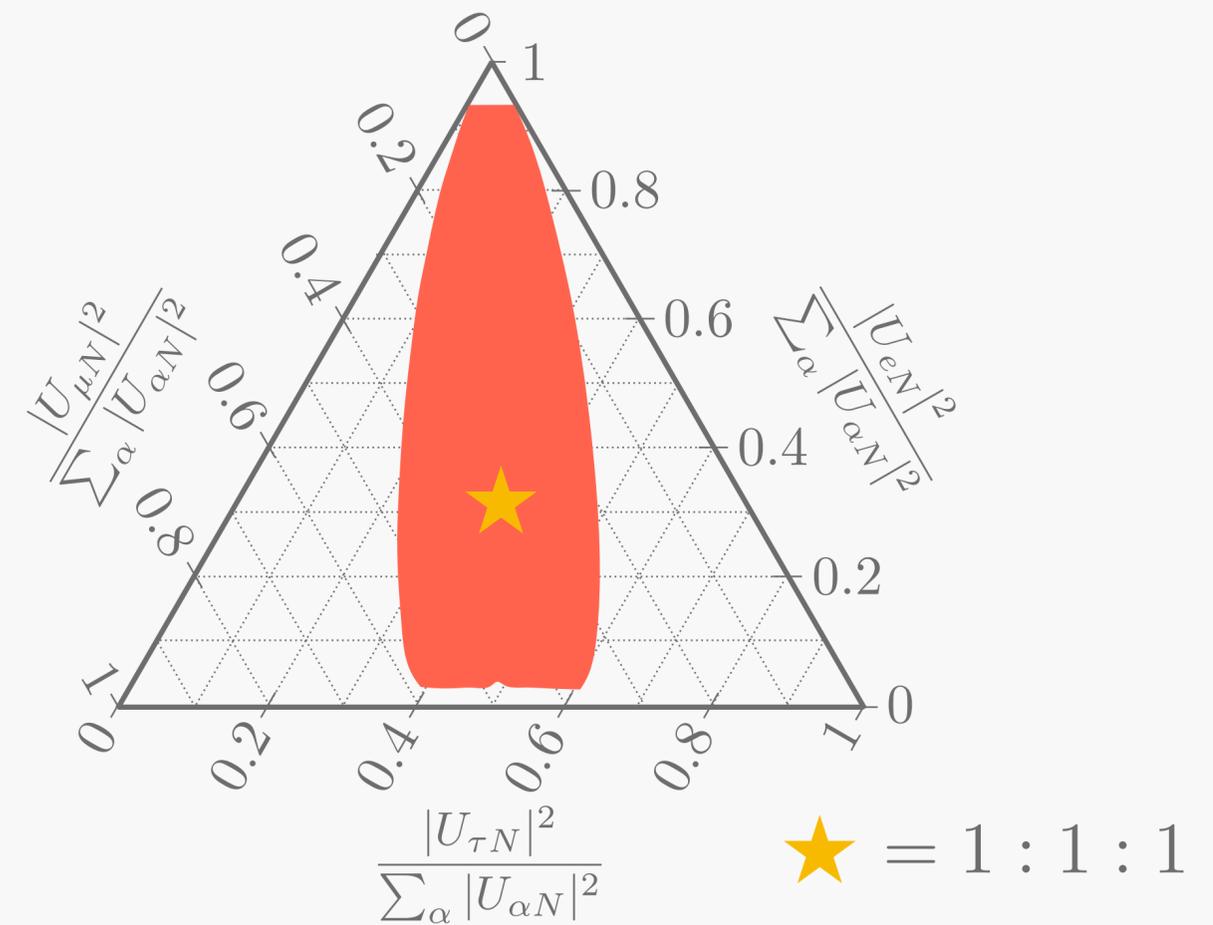
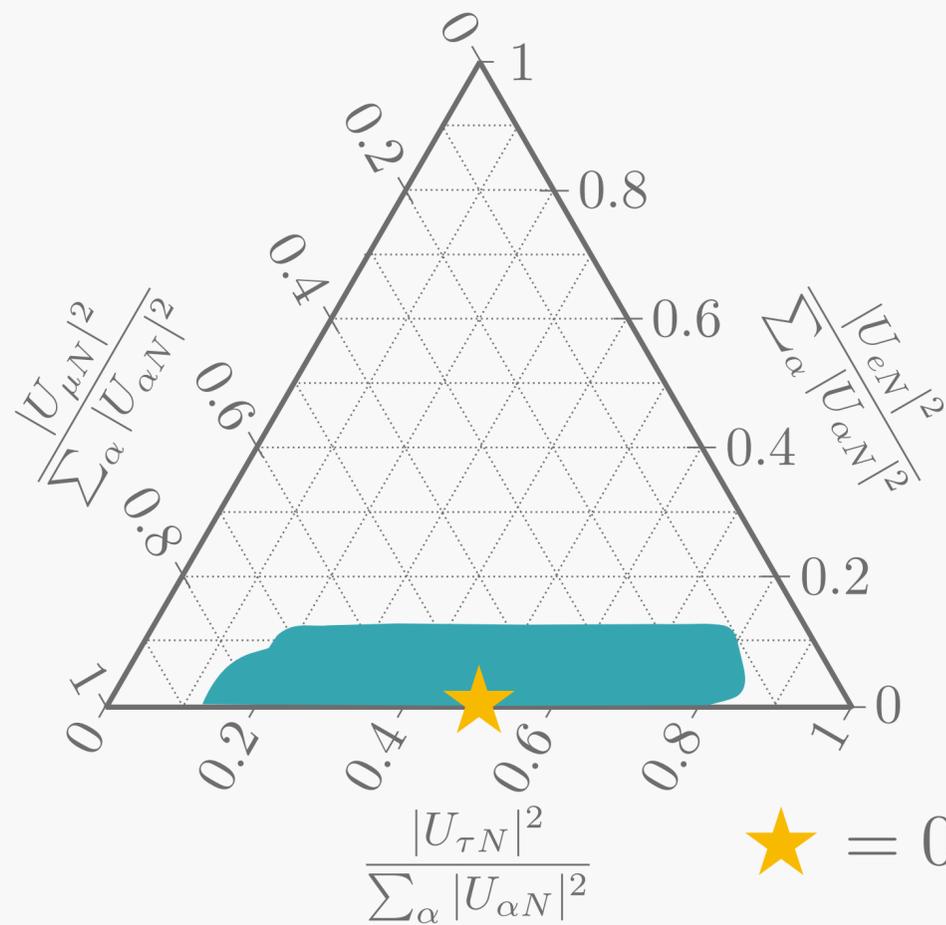
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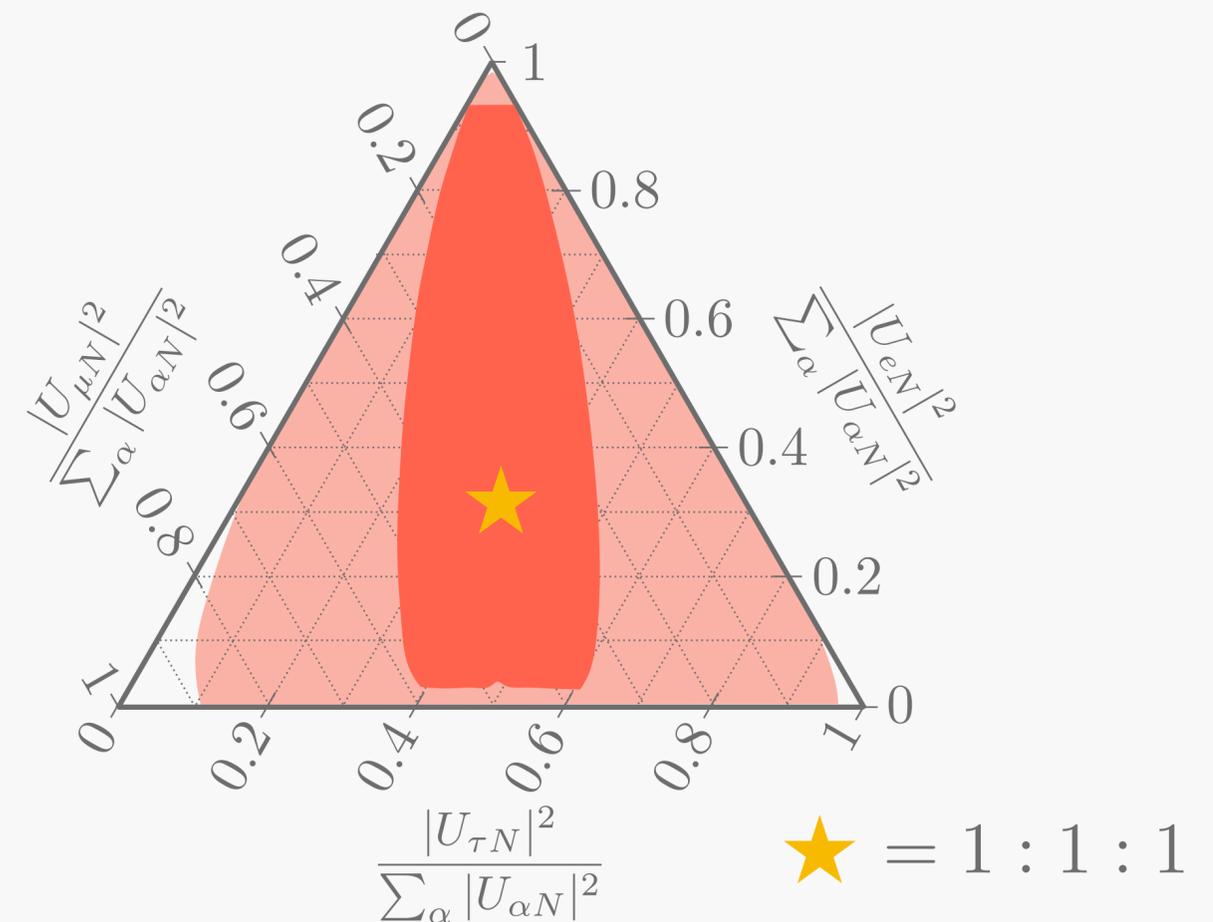
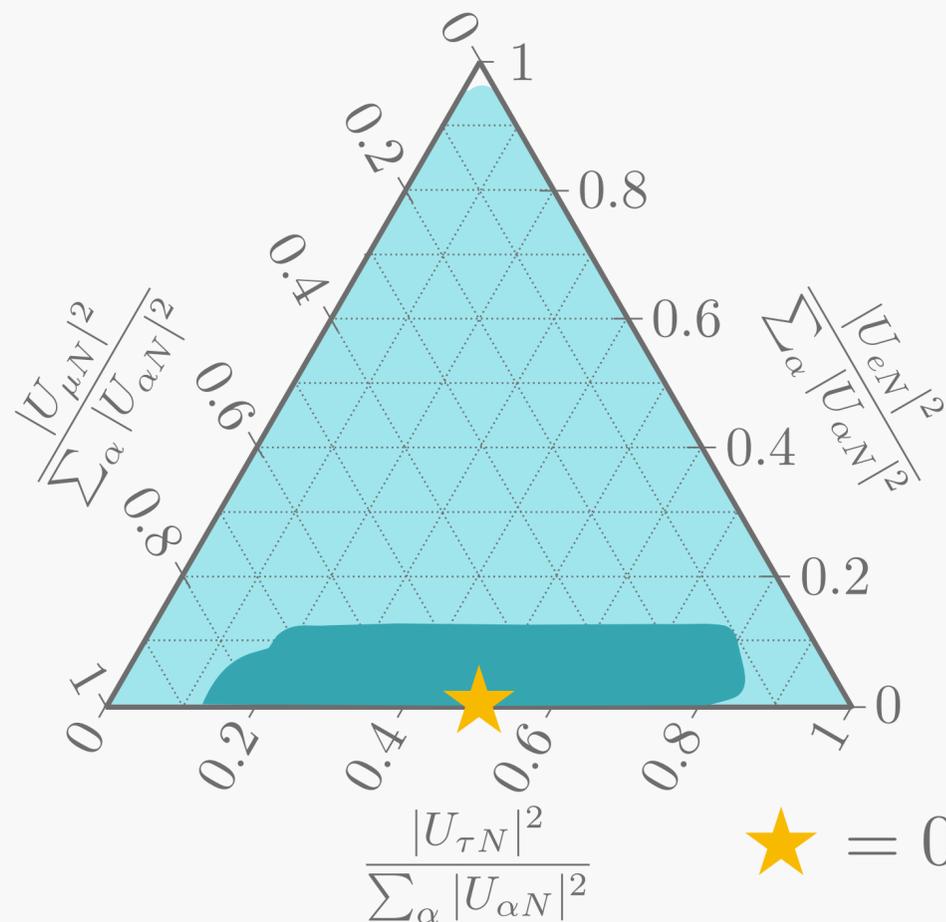
zoom.us video

The **normalized** mixing matrix elements

$$\frac{|U_{\alpha N}|^2}{\sum_{\alpha} |U_{\alpha N}|^2} = \frac{|\theta_{\alpha}|^2}{\sum_{\alpha} |\theta_{\alpha}|^2} \in (0, 1)$$

• NH: 2N ● 3N ●

• IH: 2N ● 3N ●



THE SCALE OF NEW PHYSICS

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The **flavor** states

$$\nu_\alpha = \sum_{i=1}^3 U_{\alpha i} \nu_i + \sum_{i=4}^{3+n} U_{\alpha i} N_i \equiv \sum_i U_{\alpha i} n_i$$

with U the **unitary** matrix that diagonalizes \mathcal{M} .

THE SCALE OF NEW PHYSICS

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with U the **unitary** matrix that diagonalizes \mathcal{M} .

If only **one** HNL is **light enough** to be produced in the experiment,
phenomenology described by **3** mixing elements $U_{\alpha N}$ + **1** mass M_N .

THE SCALE OF NEW PHYSICS

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Depending on the **range** of M_N , very **different** phenomenology found



THE SCALE OF NEW PHYSICS

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Depending on the **range** of M_N , very **different** phenomenology found

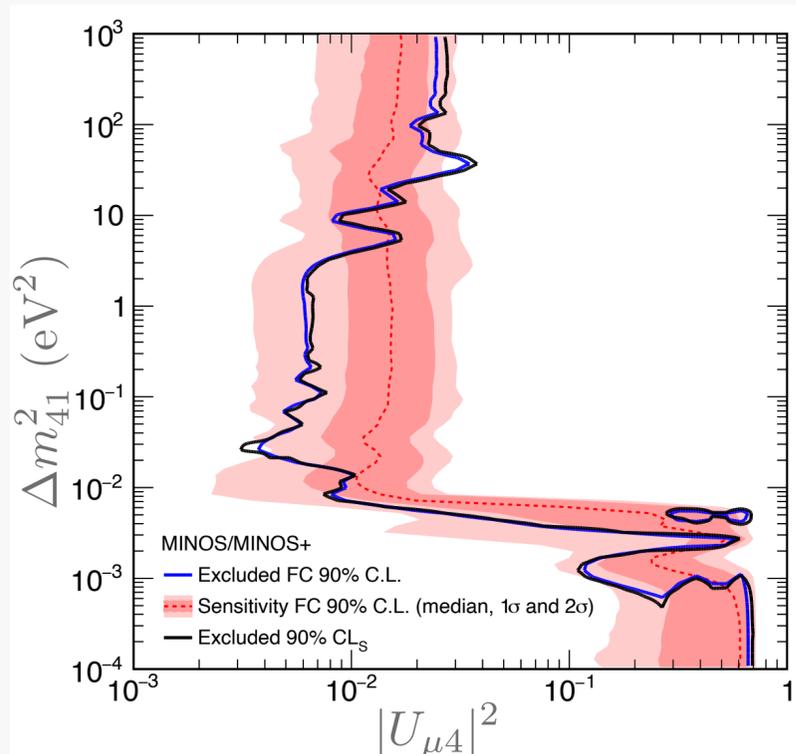
- ν oscillations

If M_N **light enough**, HNLs would impact ν oscillations \Rightarrow modification of predicted **3ν** oscillation probabilities

MINOS/MINOS+ ν_μ disappearance:

– 3ν scenario:

$$P_{\mu\mu} \approx 1 - \cos^2 \theta_{13} \sin^2 2\theta_{23} \sin^2 \left(\frac{\Delta m_{32}^2 L}{4E} \right)$$



TeV
GeV
MeV
keV
eV
 M_N
 ν oscillations

THE SCALE OF NEW PHYSICS

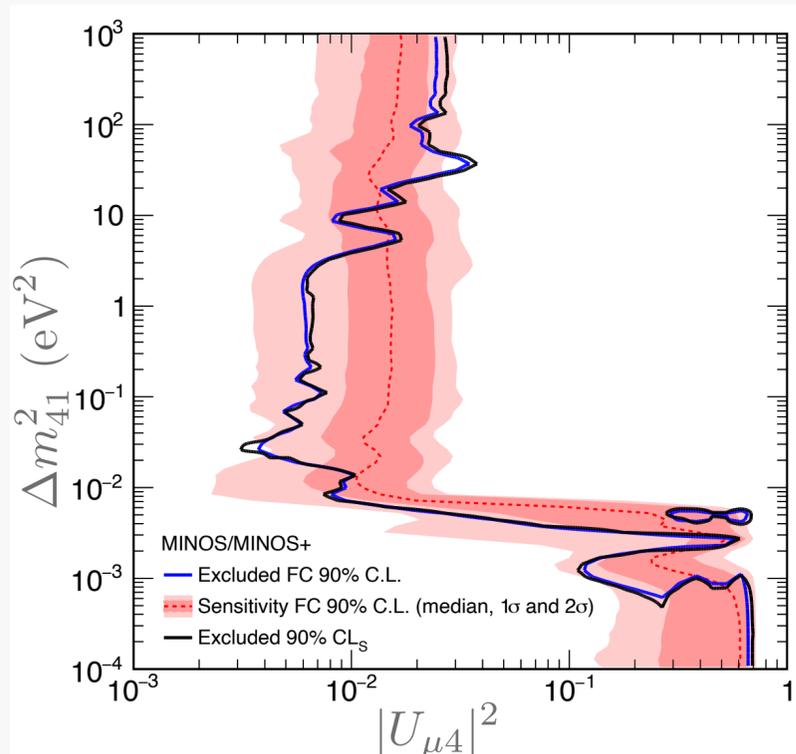
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– $(3 + 1)\nu$ scenario:

$$P_{\mu\mu} \approx 1 - \sin^2 2\theta_{24} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

THE SCALE OF NEW PHYSICS

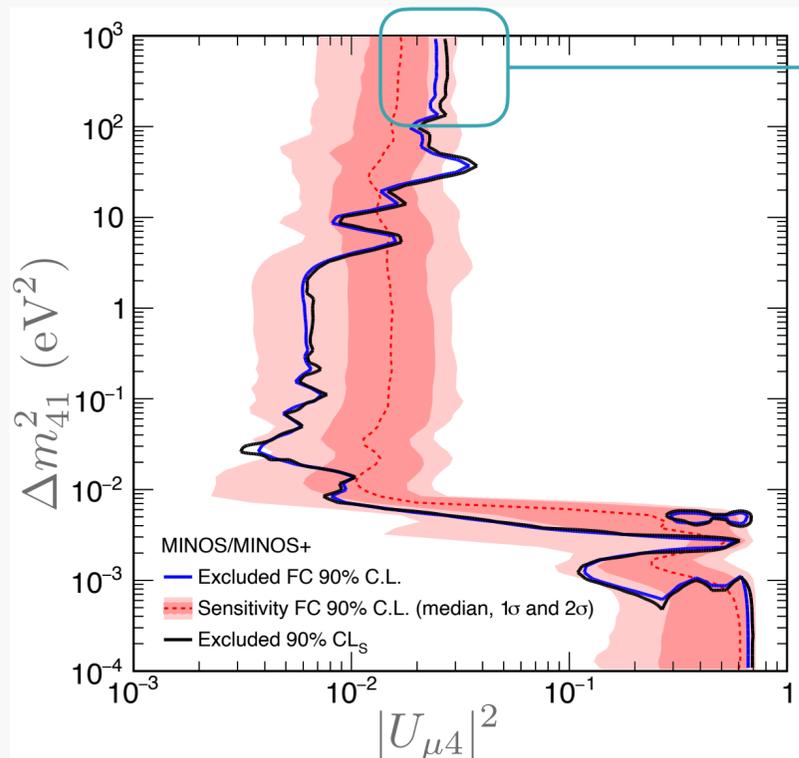
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- ν oscillations

If M_N **light enough**, HNLs would impact ν oscillations \Rightarrow modification of predicted **3ν** oscillation probabilities

MINOS/MINOS+ ν_μ disappearance:



• For $\Delta m^2 \gtrsim 100 \text{ eV}^2$, oscillations are **too fast** to be **resolved** at the detector \Rightarrow average-out regime

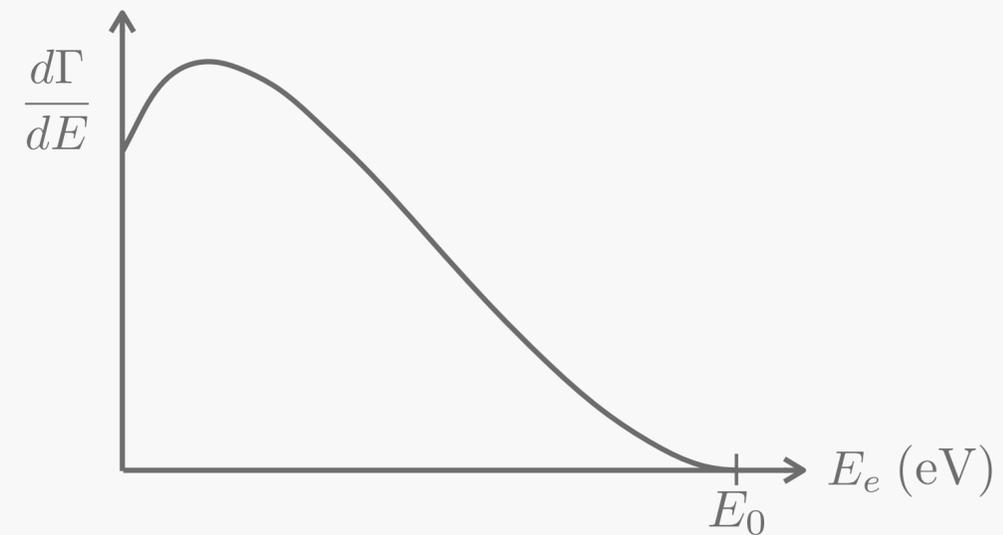
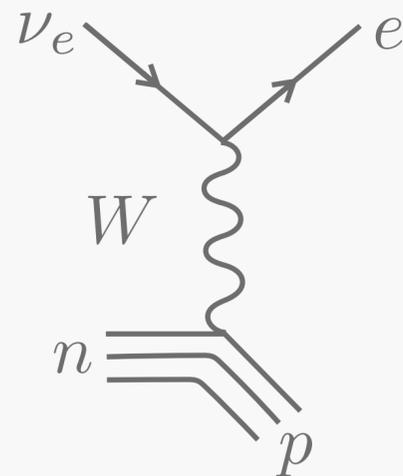
THE SCALE OF NEW PHYSICS

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Depending on the **range** of M_N , very **different** phenomenology found

- Kinks in β -decay searches

If **no** HNL, the **effective** mass of **light** neutrinos probed by looking at the β -decay spectrum close to E_0



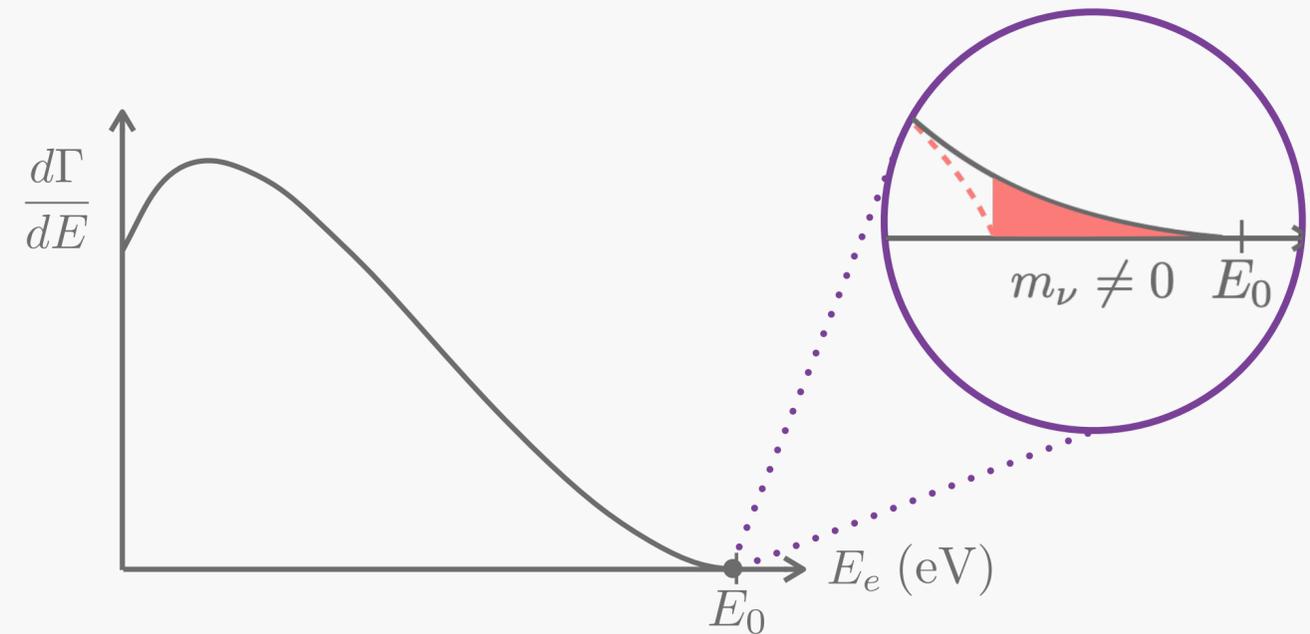
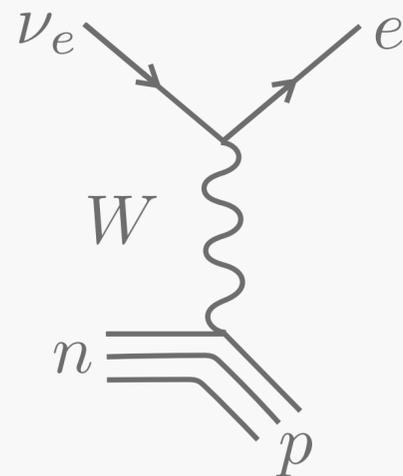
THE SCALE OF NEW PHYSICS

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$$\frac{d\Gamma}{dE} = \frac{d\Gamma}{dE}(m_\beta^2) \Rightarrow m_\beta^2 = \sum_i |(U_{\text{PMNS}})_{ei}|^2 m_i^2$$

THE SCALE OF NEW PHYSICS

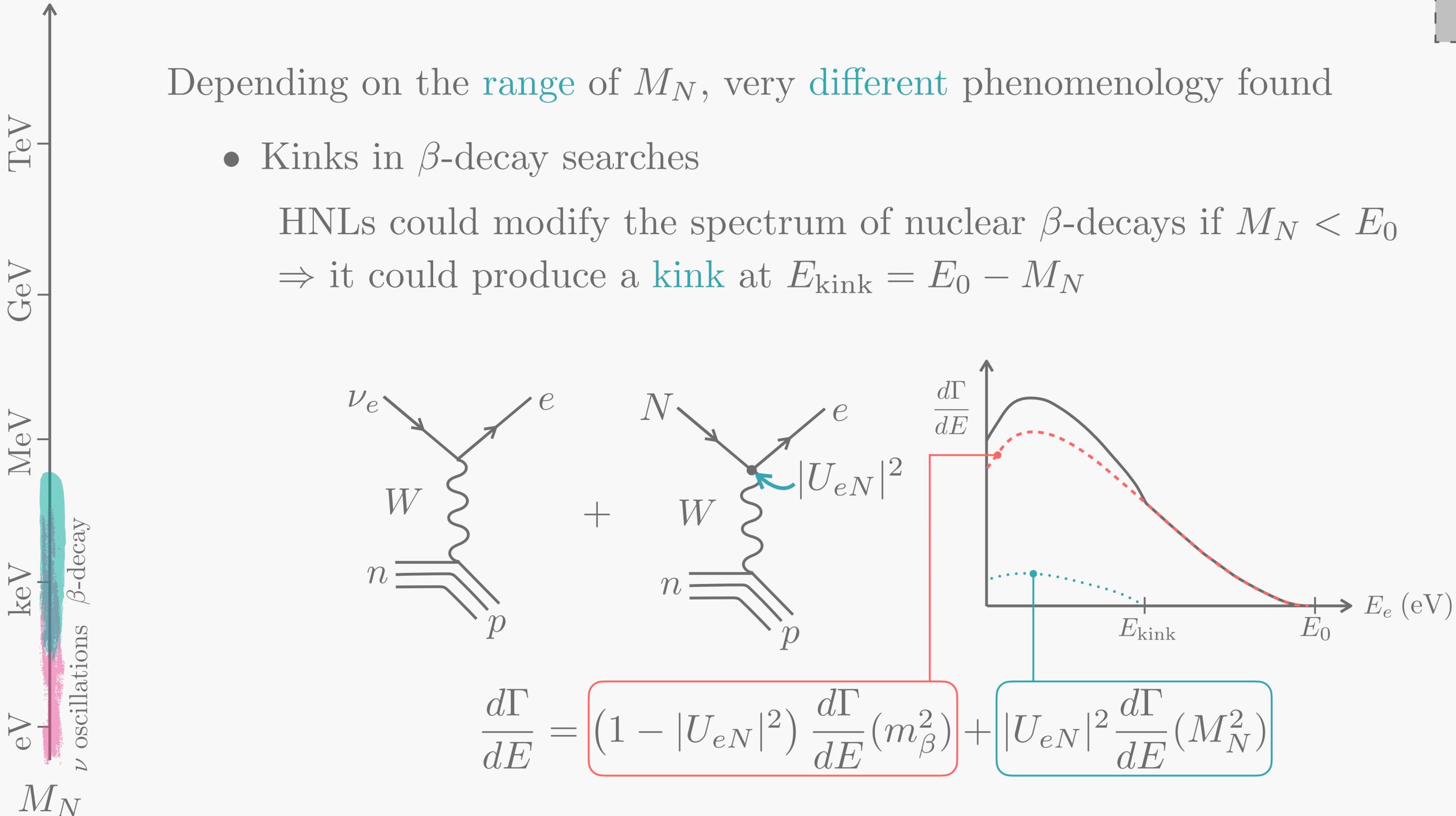
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Depending on the **range** of M_N , very **different** phenomenology found

- Kinks in β -decay searches

HNLs could modify the spectrum of nuclear β -decays if $M_N < E_0$

\Rightarrow it could produce a **kink** at $E_{\text{kink}} = E_0 - M_N$



THE SCALE OF NEW PHYSICS

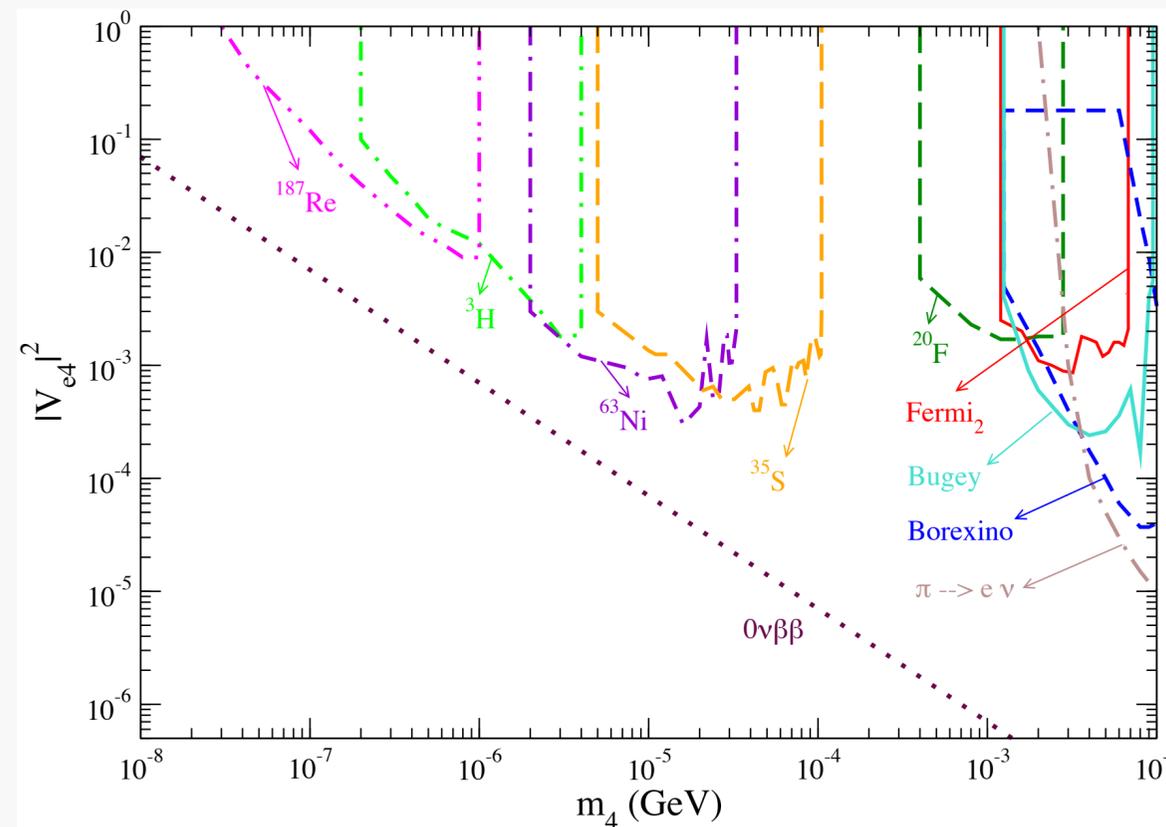
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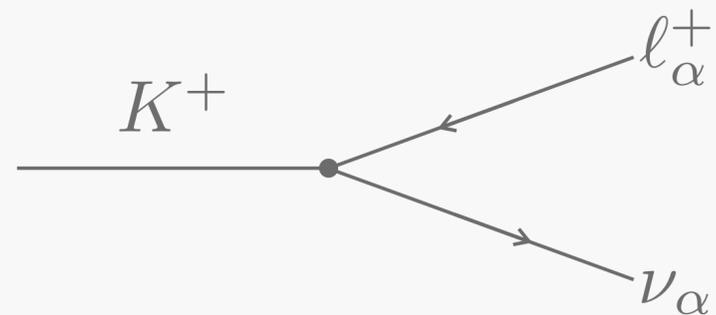
THE SCALE OF NEW PHYSICS

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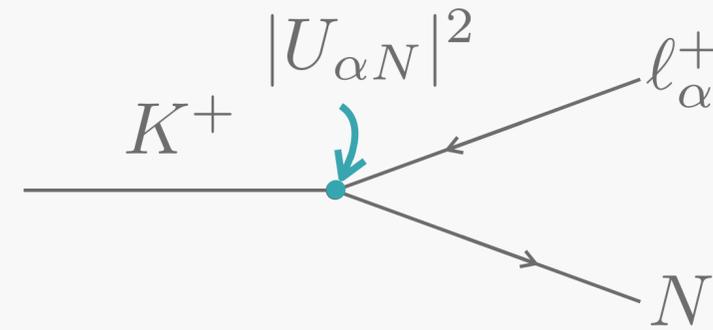
Depending on the **range** of M_N , very **different** phenomenology found

- Peak searches in meson decays

HNLs could modify the **energy spectrum** of pseudoscalar 2-body decay



monochromatic
energy spectrum



extra peaks in
energy spectrum

$$\frac{N(K^+ \rightarrow l_\alpha N)}{N(K^+ \rightarrow l_\alpha \nu_\alpha)} = |U_{\alpha N}|^2 f(m_K, m_\ell, E_\ell, m_N)$$

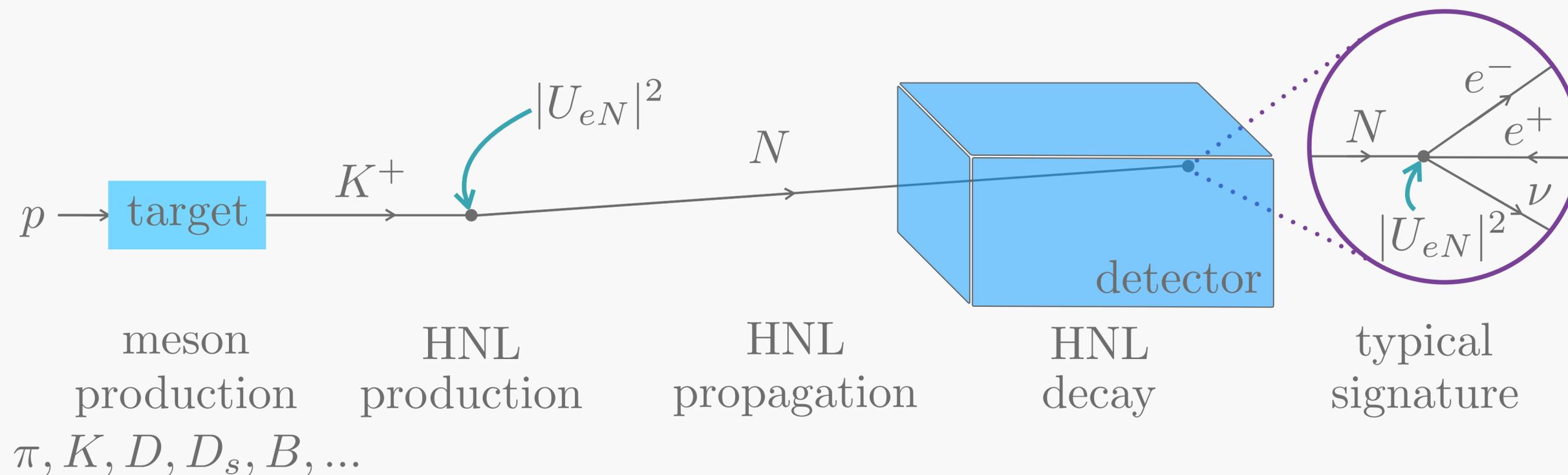
THE SCALE OF NEW PHYSICS

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Depending on the **range** of M_N , very **different** phenomenology found

- Beam-dump experiments

Decay **in-flight** of HNLs produced in meson decays



For a given M_N , the **number of decay events** inside detector $\propto |U_{\alpha N}|^4$

TeV
GeV
MeV
keV
eV
 M_N

ν oscillations
 β -decay Peaks
Beam-dump

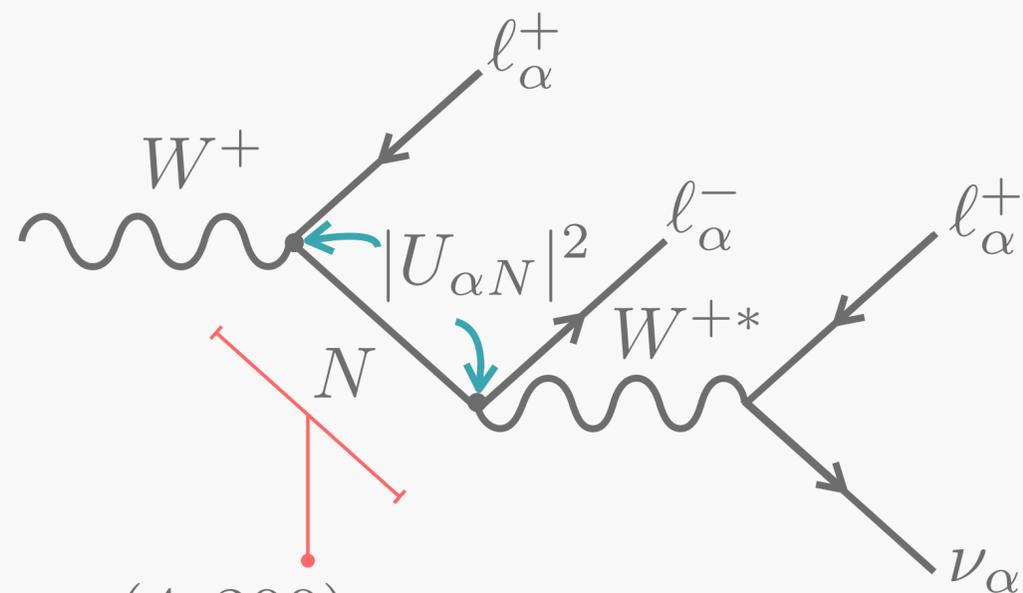
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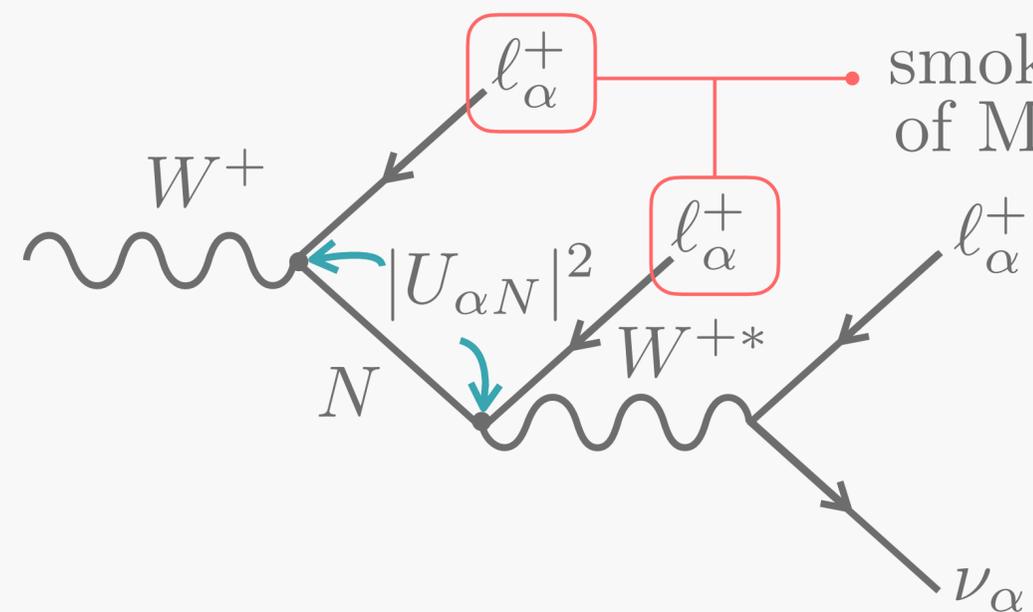
- Collider searches

HNLs **produced** via W or Z interactions, and **decaying** back to SM \Rightarrow producing **displaced** vertices or **same sign** lepton pairs (if Majorana)



(4, 300) mm
displaced vertex

$$\Delta L = 0$$



smoking gun
of Majorana

$$\Delta L = 2$$

THE SCALE OF NEW PHYSICS

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Depending on the **range** of M_N , very **different** phenomenology found

- Non-Unitarity of PMNS

When $M_N > \Lambda_{EW}$, HNLs no longer produced in the experiment.

However, they would induce **deviations** on EW and flavor observables through the **non-Unitarity** of U_{PMNS}

$$N \equiv (I - \eta) U_{PMNS}$$
$$\eta \equiv \frac{\Theta \Theta^\dagger}{2}$$



THE SCALE OF NEW PHYSICS

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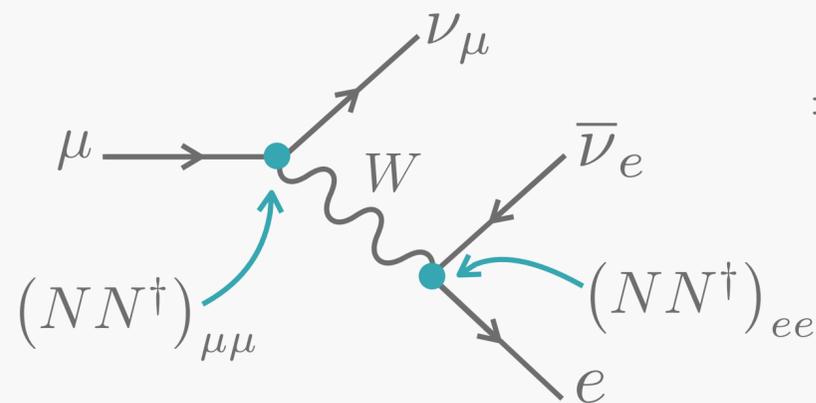
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However, they would induce **deviations** on EW and flavor observables through the **non-Unitarity** of U_{PMNS}

$$N \equiv (I - \eta)U_{PMNS}$$

G_F measured in μ decay



$$\Rightarrow G_F^2 (1 - 2\eta_{ee} - 2\eta_{\mu\mu}) = G_\mu^2 \Rightarrow$$

$$M_W^2 = \frac{\pi\alpha}{\sqrt{2}G_F s_W^2 (1 - \Delta r)} = \frac{\pi\alpha(1 + \eta_{ee} + \eta_{\mu\mu})}{\sqrt{2}G_\mu s_W^2 (1 - \Delta r)}$$

Kinematic **measurements** of M_W **constrain** η

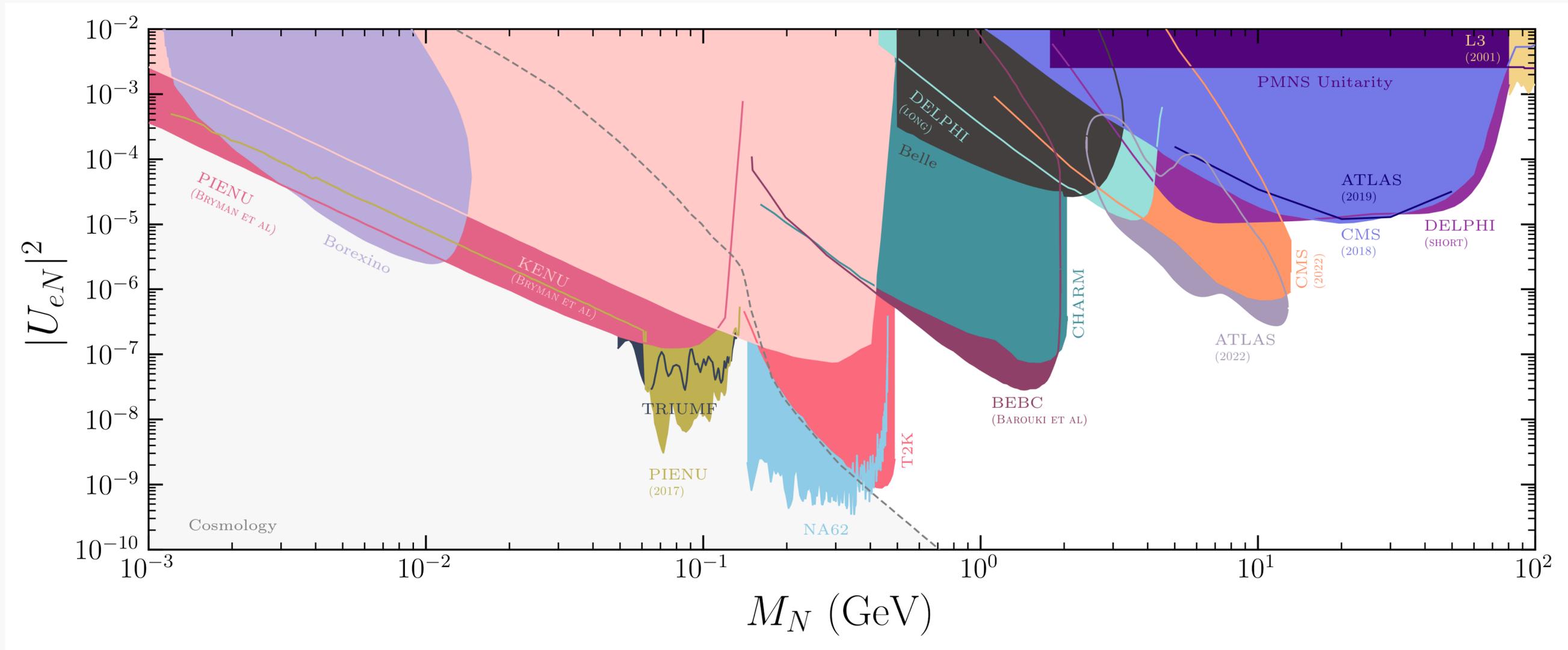


THE SCALE OF NEW PHYSICS

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Depending on the range of M_N , very different phenomenology found

M_N
 eV ν oscillations
 keV β -decay Peaks
 MeV Beam-dump
 GeV Colliders
 TeV Non-Unitarity

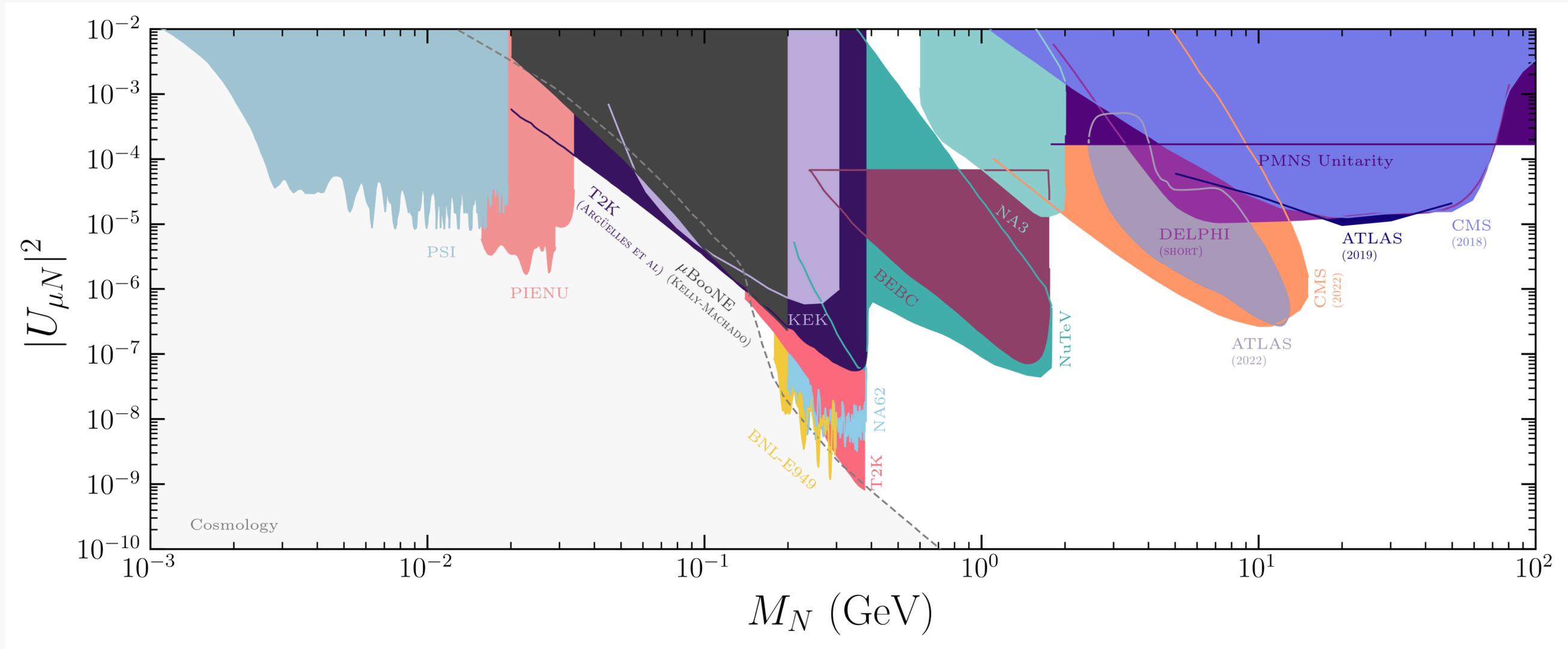


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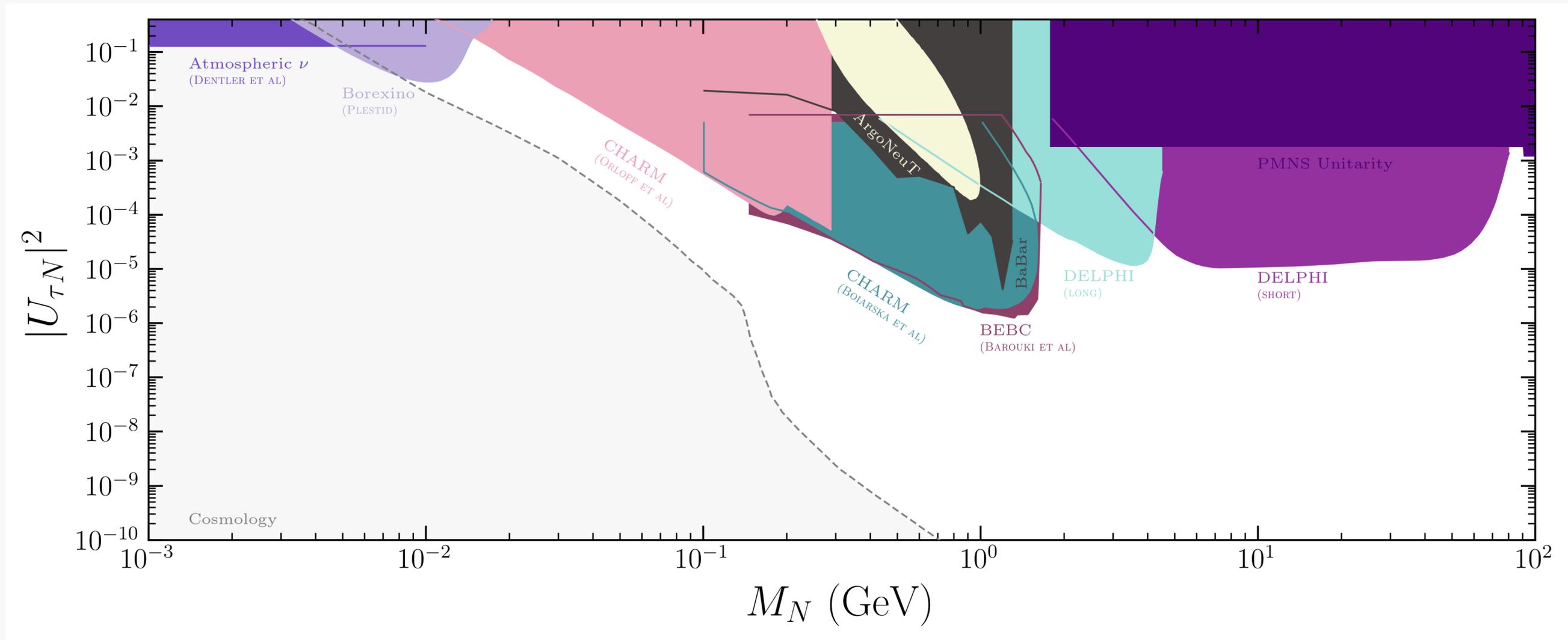


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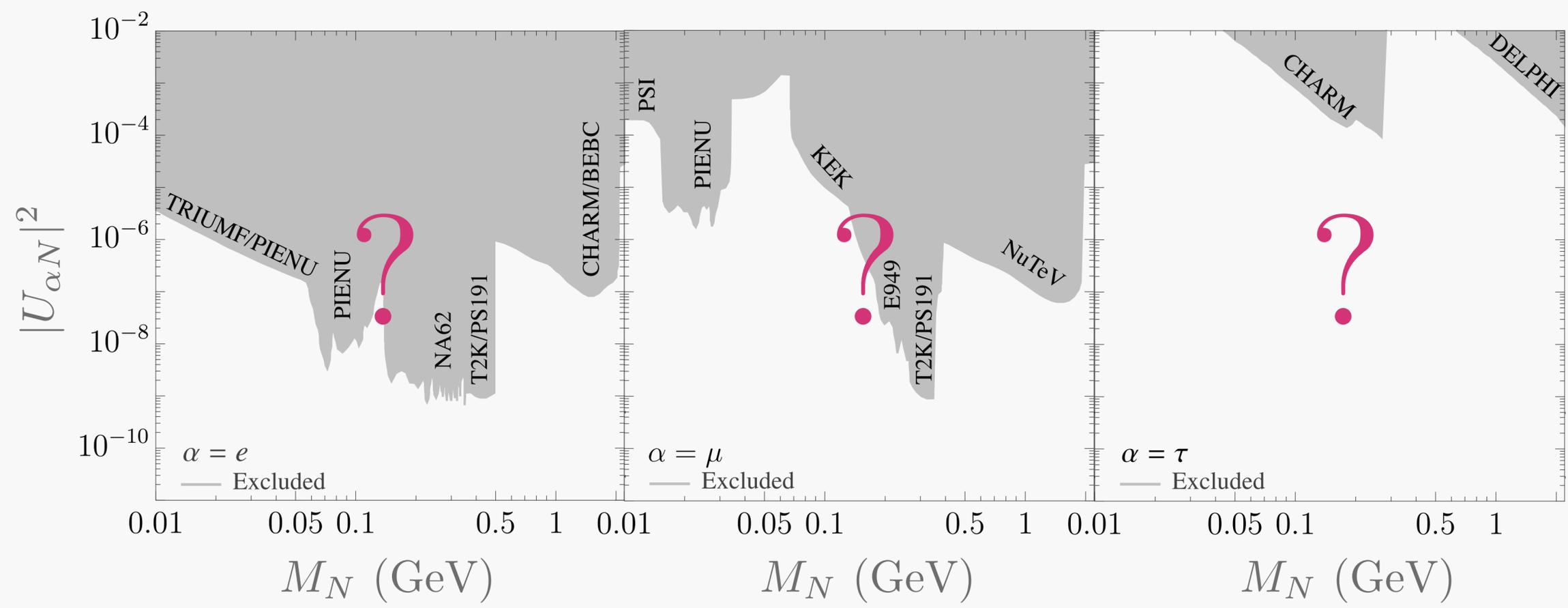


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GEV-SCALE HNL AT DUNE

THE DUNE EXPERIMENT AND ND

zoom.us video



New generation of neutrino oscillation experiments at Fermilab

120 Go

THE DUNE EXPERIMENT AND ND

zoom.us video



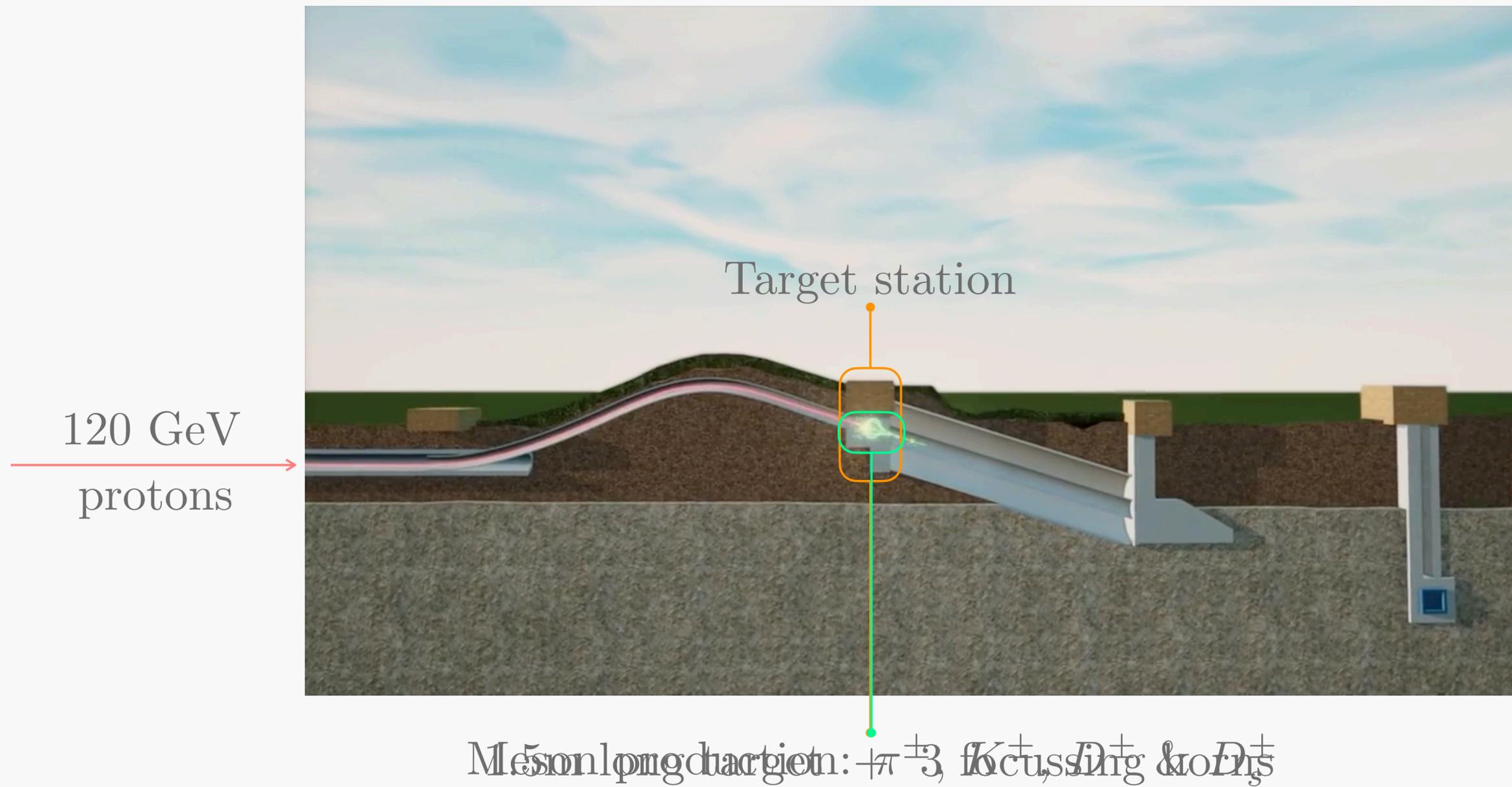
Beam configuration
& luminosity

:

- 120 GeV protons
- $1.1 \cdot 10^{21}$ PoT/year

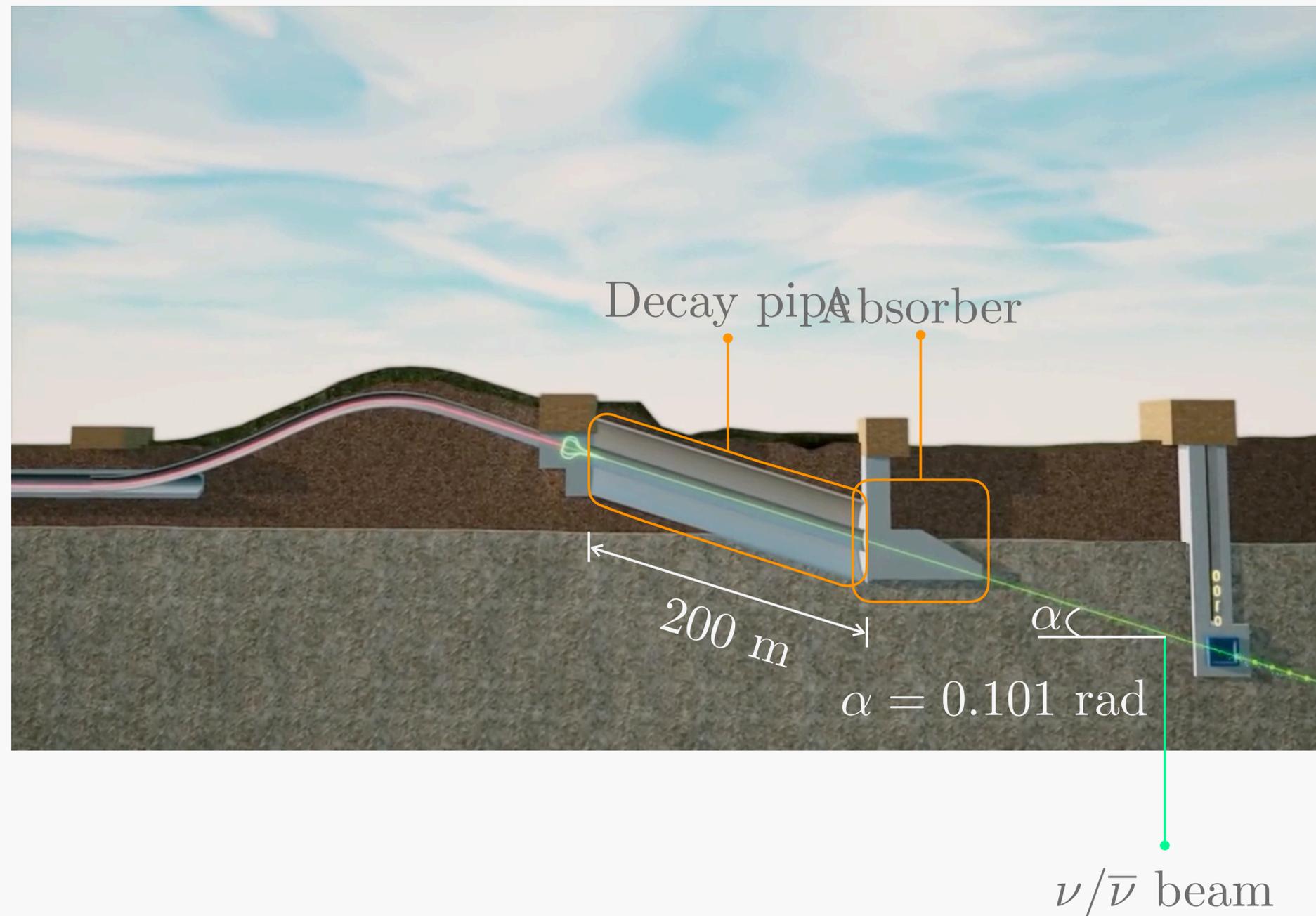
THE DUNE EXPERIMENT AND ND

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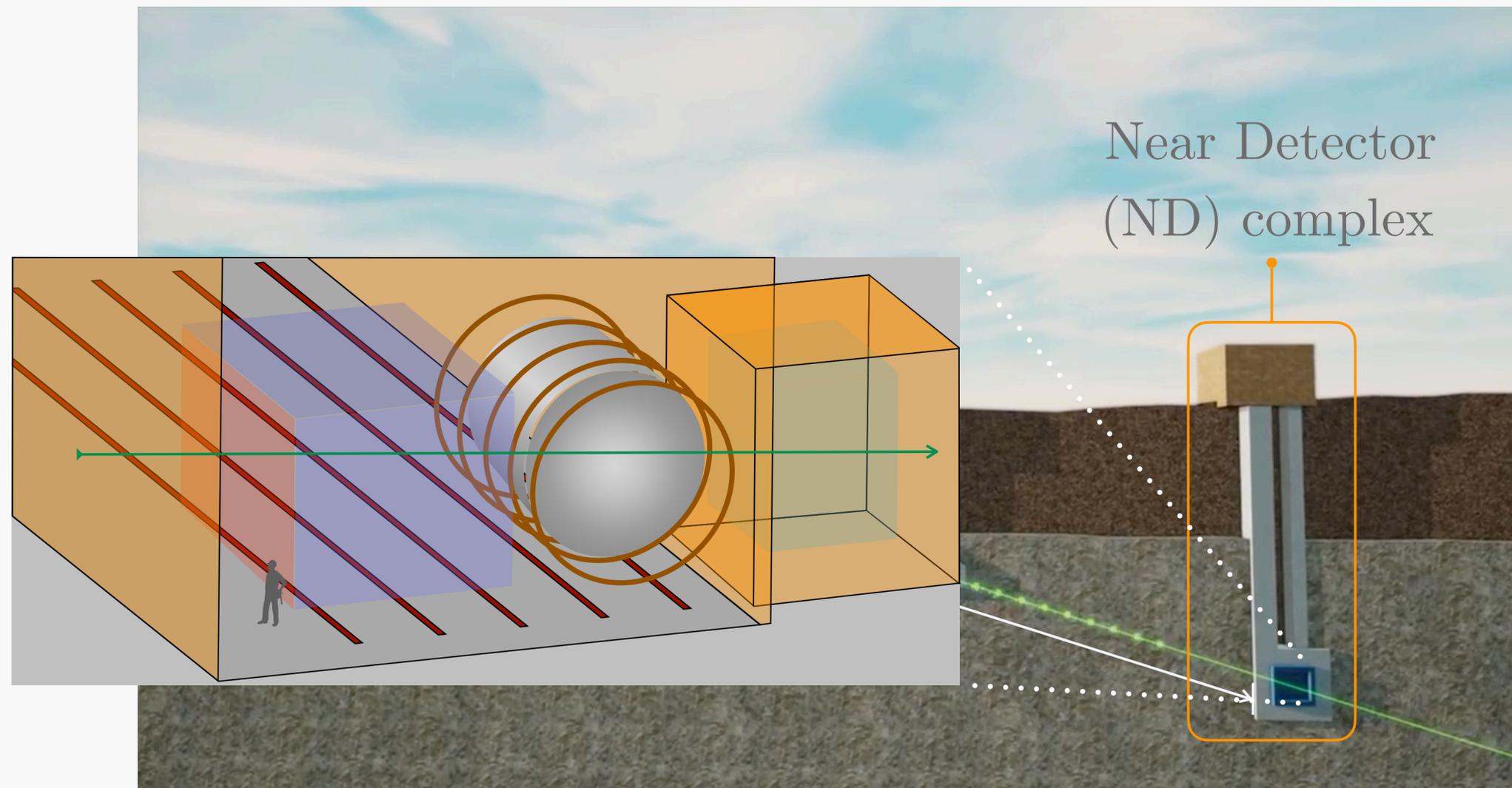
THE DUNE EXPERIMENT AND ND

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THE DUNE EXPERIMENT AND ND

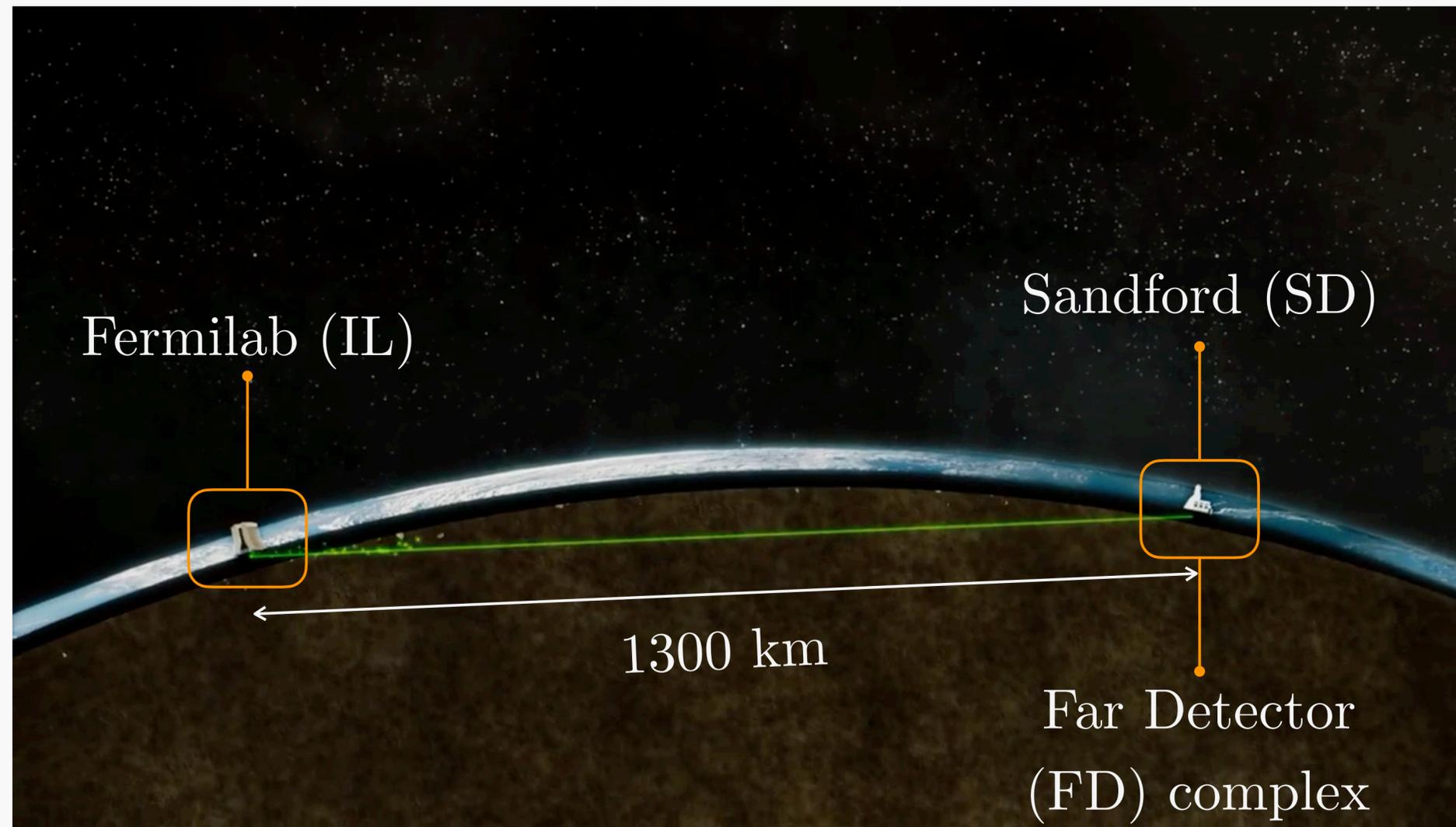
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ND-GFA-axis HP TRONIS AND with a ND-36 Axon (7.13, 5) DUNE EOP (BIS) in

THE DUNE EXPERIMENT AND ND

zoom.us video



DUNE FD: 68 ktons LArTPC 1.5 km underground

THE LIGHT NEUTRINO APPROXIMATION

zoom.us video

Some present results based on

$$\frac{d\phi_N^\pm}{dE}(E_N) \approx \sum_{Q,\alpha} \mathcal{K}_{Q,\alpha}^\pm \frac{d\phi_{Q \rightarrow \nu_\alpha}}{dE}(E_N - M_N)$$

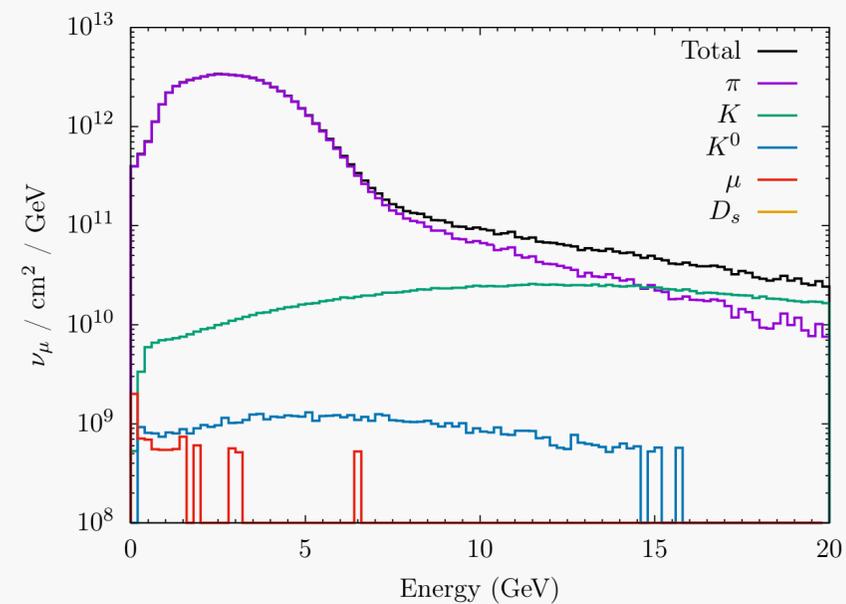
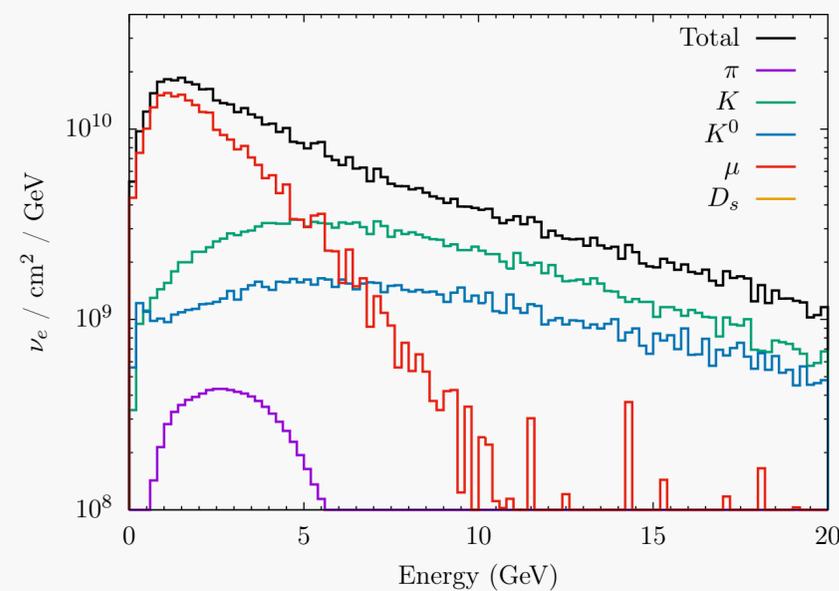
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By using the official prediction of [light neutrino flux at DUNE ND](#)



I. Krasnov, Phys. Rev. D **100** (2019) 075023

P. Ballett, T. Boschi, S. Pascoli, JHEP **03** (2020) 111

THE LIGHT NEUTRINO APPROXIMATION

zoom.us video

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$$\frac{d\phi_N^\pm}{dE}(E_N) \approx \sum_{Q,\alpha} \mathcal{K}_{Q,\alpha}^\pm \frac{d\phi_{Q \rightarrow \nu_\alpha}}{dE}(E_N - M_N)$$

But re-scaled by a **kinematic factor**

$$\mathcal{K}_{Q,\alpha}^\pm = \frac{\Gamma(Q \rightarrow N + X)}{\Gamma(Q \rightarrow \nu_\alpha + X)}$$

Ratio of BRs to account for the **different** phase space.

It does not depend on the energy E_N , **only** on M_N and $|U_{\alpha N}|^2$.

THE LIGHT NEUTRINO APPROXIMATION

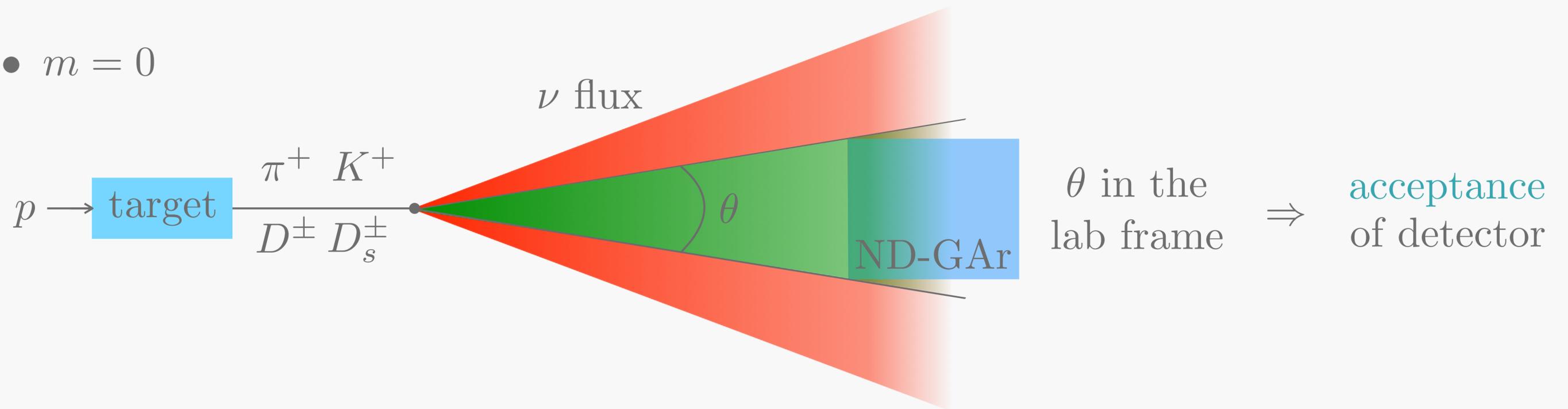
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However, deviations of the **energy** and **angular** distributions for the HNL with respect to the massless case are expected.

- $m = 0$



THE LIGHT NEUTRINO APPROXIMATION

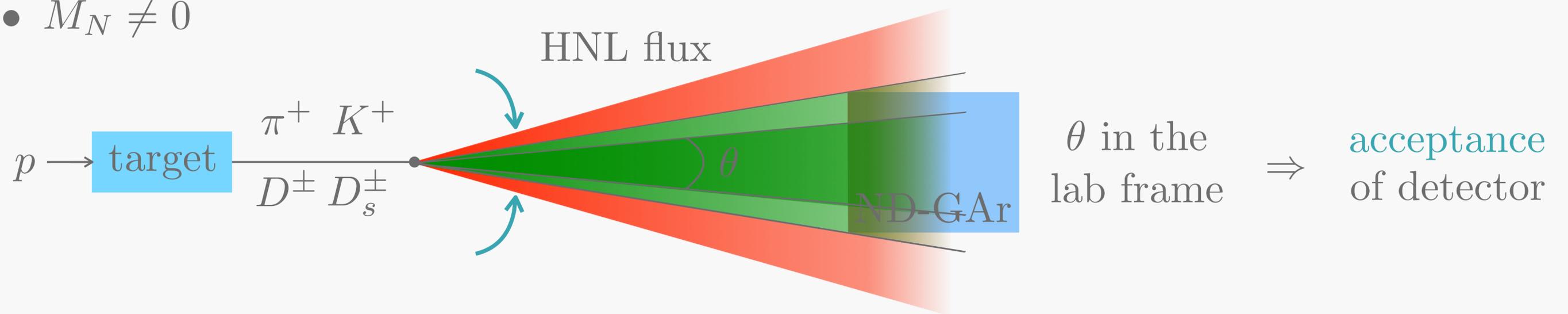
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However, deviations of the **energy** and **angular** distributions for the HNL with respect to the massless case are expected.

- $M_N \neq 0$



The **heavier** the HNL, the **larger** the boost \Rightarrow **better** acceptance

THE LIGHT NEUTRINO APPROXIMATION

zoom.us video

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Furthermore, many **significant discrepancies** found in the couplings between mesons and HNL affecting $\mathcal{K}_{Q,\alpha}^\pm \Rightarrow$ effective theory describing interactions mesons and HNL **reviewed**.

R. E. Shrock , Phys. Rev. D **24** 1981 1232

D. Gorbunov and M. Shaposhnikov, JHEP **10** 2007 015

A. Atre, T. Han, S. Pascoli and B. Zhang, JHEP **05** 2009 030

P. Ballett, T. Boschi, S. Pascoli, JHEP **03** (2020) 111

K. Bondarenko, A. Boyarsky, D. Gorbunov and O. Ruchayskiy, JHEP **11** 2018 032

J. C. Helo, S. Kovalenko and I. Schmidt, Nucl. Phys. B **853** 2011 80

The **leptonic** part of the electroweak Lagrangian

$$\begin{aligned}\mathcal{L}_{\text{EW}}^{\ell} &= \frac{g}{\sqrt{2}} W_{\mu}^{+} U_{\alpha i}^{*} \bar{n}_i \gamma^{\mu} P_L \ell_{\alpha} + \\ &+ \frac{g}{4c_w} Z_{\mu} \left(C_{ij} \bar{n}_i \gamma^{\mu} P_L n_j + \bar{\ell}_{\alpha} \gamma^{\mu} [2s_w^2 P_R - (1 - 2s_w^2) P_L] \ell_{\alpha} \right) + \text{h.c.}\end{aligned}$$

$C_{ij} \equiv U_{\alpha i}^{*} U_{\alpha j}$

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HNL can also interact with **quarks** through **CC** and **NC** interactions.

$$\mathcal{L}_{\text{EW}}^q = \frac{g}{\sqrt{2}} W^{+\mu} j_{W,\mu} + \frac{g}{4c_w} Z^{\mu} j_{Z,\mu} + \text{h.c.}$$

$$j_{W,\mu} = j_{W,\mu}^V + j_{W,\mu}^A = \frac{1}{2} V_{qq'} \bar{q} \gamma_{\mu} q' - \frac{1}{2} V_{qq'} \bar{q} \gamma_{\mu} \gamma_5 q'.$$

with

$$j_{Z,\mu} = j_{Z,\mu}^V + j_{Z,\mu}^A = \bar{q} (T_3 - 2Qs_w^2) \gamma_{\mu} q - \bar{q} \gamma_{\mu} \gamma_5 T_3 q.$$

Definition of the pseudoscalar (P) and vector (V) meson **decay constants**

$$\langle 0 | j_{a,\mu}^A | P_b \rangle = i \delta_{ab} \frac{f_P}{\sqrt{2}} p_\mu \quad \text{and} \quad \langle 0 | j_{a,\mu}^V | V_b \rangle = \delta_{ab} \frac{f_V}{\sqrt{2}} \epsilon_\mu$$

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the **axial** and **vector** currents.

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the axial and vector currents.

The set $\{\lambda_a\}$ corresponds to linear combinations of the 8 **Gell-Mann matrices**.

- Pseudoscalar mesons

- Neutral mesons: π^0, η, η'

The quark content of neutral P corresponds to linear combinations of the diagonal generators λ_0, λ_3 and λ_8

$$\begin{aligned}
 j_{3,\mu}^A &= \frac{1}{2} [\bar{u}\gamma_\mu\gamma_5 u - \bar{d}\gamma_\mu\gamma_5 d] && \pi^0 \\
 j_{8,\mu}^A &= \frac{1}{2\sqrt{3}} [\bar{u}\gamma_\mu\gamma_5 u + \bar{d}\gamma_\mu\gamma_5 d - 2\bar{s}\gamma_\mu\gamma_5 s] \\
 j_{0,\mu}^A &= \frac{1}{\sqrt{6}} [\bar{u}\gamma_\mu\gamma_5 u + \bar{d}\gamma_\mu\gamma_5 d + \bar{s}\gamma_\mu\gamma_5 s] && \eta \text{ and } \eta'
 \end{aligned}$$

The Z axial current as a linear combination of the neutral axial currents

$$j_{Z,\mu}^A = -\frac{1}{2} (\bar{u}\gamma_\mu\gamma_5 u - \bar{d}\gamma_\mu\gamma_5 d - \bar{s}\gamma_\mu\gamma_5 s) = -\left(j_{3,\mu}^A + \frac{1}{\sqrt{3}} j_{8,\mu}^A - \frac{1}{\sqrt{6}} j_{0,\mu}^A \right)$$

- Pseudoscalar mesons

- Neutral mesons: π^0, η, η'

At **low energies** (integrating out the Z), the amplitude for $\pi^0 \rightarrow n_i n_j$

$$i\mathcal{M}_{\pi^0 n_i \bar{n}_j} = \frac{ig^2}{4c_w^2 M_Z^2} C_{ij} \bar{u}_i \gamma^\mu P_L v_j \langle 0 | j_{Z,\mu}^A | \pi^0 \rangle = G_F C_{ij} f_\pi \bar{u}_i \gamma^\mu P_L v_j p_\mu$$

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In **configuration space**, the effective operator that leads to this amplitude

$$\mathcal{O}_{\pi^0 n_i \bar{n}_j} = \frac{1}{2} G_F C_{ij} f_\pi \partial_\mu (\bar{n}_i \gamma^\mu P_L n_j) \pi^0 + \text{h.c.}$$

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If all particles are **on-shell**, applying Dirac's equation

$$\mathcal{O}_{\pi^0 n_i \bar{n}_j} = \frac{i}{2} G_F C_{ij} f_\pi \bar{n}_i (m_i P_L - m_j P_R) n_j \pi^0 + \text{h.c.}$$

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The coupling to **heavy states** dominate the interaction \Rightarrow **chiral enhancement**

- Pseudoscalar mesons

- Neutral mesons: π^0, η, η'

Similarly, $\mathcal{O}_{\eta_0 n_i \bar{n}_j}$ and $\mathcal{O}_{\eta_8 n_i \bar{n}_j}$ are obtained.

Unlike π^0 , η and η' are not interaction eigenstates. With the change of basis

$$\begin{pmatrix} f_{\eta,8} & f_{\eta,0} \\ f_{\eta',8} & f_{\eta',0} \end{pmatrix} = \begin{pmatrix} f_8 \cos \theta_8 & -f_0 \sin \theta_0 \\ f_8 \sin \theta_8 & f_0 \cos \theta_0 \end{pmatrix} \Rightarrow \begin{aligned} j_{\eta,\mu}^A &= \cos \theta_8 j_{8,\mu}^A - \sin \theta_0 j_{0,\mu}^A \\ j_{\eta',\mu}^A &= \sin \theta_8 j_{8,\mu}^A + \cos \theta_0 j_{0,\mu}^A \end{aligned}$$

- Pseudoscalar mesons

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the operators in the mass basis

$$\begin{aligned} \mathcal{O}_{\eta n_i \bar{n}_j} &= \frac{i}{2} G_F C_{ij} \left[\frac{\cos \theta_8 f_8}{\sqrt{3}} + \frac{\sin \theta_0 f_0}{\sqrt{6}} \right] \bar{n}_i (m_i P_L - m_j P_R) n_j \eta + \text{h.c.} \\ \mathcal{O}_{\eta' n_i \bar{n}_j} &= \frac{i}{2} G_F C_{ij} \left[\frac{\sin \theta_8 f_8}{\sqrt{3}} - \frac{\cos \theta_0 f_0}{\sqrt{6}} \right] \bar{n}_i (m_i P_L - m_j P_R) n_j \eta' + \text{h.c.} \end{aligned}$$

- Pseudoscalar mesons

- Charged mesons: $\pi^\pm, K^\pm, D^\pm, D_s^\pm$

The combinations of generators that reproduce quark content of π^\pm and K^\pm

$$\begin{aligned} j_{\pi^\pm, \mu}^A &= \frac{1}{\sqrt{2}} \bar{q} \gamma_\mu \gamma_5 (\lambda_1 \mp i \lambda_2) q \\ j_{K^\pm, \mu}^A &= \frac{1}{\sqrt{2}} \bar{q} \gamma_\mu \gamma_5 (\lambda_4 \mp i \lambda_5) q \end{aligned} \Rightarrow j_{W, \mu}^A = -\frac{1}{\sqrt{2}} \left(V_{ud} j_{\pi^-, \mu}^A + V_{us} j_{K^-, \mu}^A \right)$$

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$$j_{K^\pm, \mu}^A = \frac{1}{\sqrt{2}} \bar{q} \gamma_\mu \gamma_5 (\lambda_4 \mp i \lambda_5) q$$

$$\Rightarrow j_{W, \mu}^A = -\frac{1}{\sqrt{2}} \left(V_{ud} j_{\pi^-, \mu}^A + V_{us} j_{K^-, \mu}^A \right)$$

At **low energies** (integrating out the W), the amplitude for $\pi^- \rightarrow \ell_\alpha^- \bar{n}_i$

$$i\mathcal{M}_{\pi \ell_\alpha \bar{n}_i} = \frac{ig^2}{2M_W^2} U_{\alpha i} \bar{u}_\alpha \gamma^\mu P_L v_i \langle 0 | j_{W, \mu}^A | \pi^- \rangle = \sqrt{2} G_F U_{\alpha i} V_{ud} f_\pi \bar{u}_\alpha \gamma^\mu P_L v_i p_\mu$$

Following the same procedure used to derive the effective vertex $\pi^0 \rightarrow n_i \bar{n}_j$

$$\mathcal{O}_{\pi \ell_\alpha \bar{n}_i} = i\sqrt{2} G_F U_{\alpha i} V_{ud} f_\pi \bar{\ell}_\alpha (m_\alpha P_L - m_i P_R) n_i \pi^- + \text{h.c.}$$

- Pseudoscalar mesons

- Charged mesons: $\pi^\pm, K^\pm, D^\pm, D_s^\pm$

Repeating the procedure for K^\pm , and generalizing for D^\pm and D_s^\pm

$$\mathcal{O}_{K\ell_\alpha\bar{n}_i} = i\sqrt{2}G_F U_{\alpha i} V_{us} f_K \bar{\ell}_\alpha (m_\alpha P_L - m_i P_R) n_i K^- + \text{h.c.}$$

$$\mathcal{O}_{D\ell_\alpha\bar{n}_i} = i\sqrt{2}G_F U_{\alpha i} V_{cd} f_D \bar{\ell}_\alpha (m_\alpha P_L - m_i P_R) n_i D^- + \text{h.c.}$$

$$\mathcal{O}_{D_s\ell_\alpha\bar{n}_i} = i\sqrt{2}G_F U_{\alpha i} V_{cs} f_{D_s} \bar{\ell}_\alpha (m_\alpha P_L - m_i P_R) n_i D_s^- + \text{h.c.}$$

- Vector mesons

- Neutral mesons: ρ^0, ω, ϕ

$$\mathcal{O}_{\rho^0 n_i \bar{n}_j} = -\frac{1}{2} G_F C_{ij} (1 - 2s_w^2) f_\rho \rho_\mu^0 (\bar{n}_i \gamma^\mu P_L n_j) + \text{h.c.}$$

$$\mathcal{O}_{\omega n_i \bar{n}_j} = \frac{1}{2} G_F C_{ij} \frac{2}{3} s_w^2 f_\omega \omega_\mu (\bar{n}_i \gamma^\mu P_L n_j) + \text{h.c.}$$

$$\mathcal{O}_{\phi n_i \bar{n}_j} = \frac{1}{2} G_F C_{ij} \sqrt{2} \left(\frac{1}{2} - \frac{2}{3} s_w^2 \right) f_\phi \phi_\mu (\bar{n}_i \gamma^\mu P_L n_j) + \text{h.c.}$$

- Charged mesons: $\rho^\pm, K^{*,\pm}$

$$\mathcal{O}_{\rho \ell_\alpha \bar{n}_i} = -\sqrt{2} G_F U_{\alpha i} V_{ud} f_\rho \rho_\mu^- (\bar{\ell}_\alpha \gamma^\mu P_L n_i) + \text{h.c.}$$

$$\mathcal{O}_{K^* \ell_\alpha \bar{n}_i} = -\sqrt{2} G_F U_{\alpha i} V_{us} f_{K^*} K_\mu^{*,-} (\bar{\ell}_\alpha \gamma^\mu P_L n_i) + \text{h.c.}$$

- Semileptonic decays

After integrating out the W boson, the amplitude for the $P \rightarrow D\bar{n}\ell$ decay

$$i\mathcal{M}_{PD\ell\alpha\bar{n}_i} = \frac{ig^2}{2M_W^2} U_{\alpha i} \bar{u}_\alpha \gamma^\mu P_L v_i \langle D | j_{W,\mu}^V | P \rangle$$

The hadronic matrix element expressed in terms of 2 form factors f_+ & f_-

$$\langle D | j_{W,\mu}^V | P \rangle = \frac{1}{2} V_{qq'} (p_\mu f_+(q^2) + q_\mu f_-(q^2))$$

$p_\mu \equiv p_\mu^D + p_\mu^P$, while $q_\mu \equiv p_\mu^D - p_\mu^P$ is 4-momentum transfer between them.

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$$i\mathcal{M}_{PD\ell_\alpha\bar{n}_i} = i\sqrt{2}G_F V_{qq'} U_{\alpha i} \bar{u}_\alpha \gamma^\mu P_L v_i [2p_\mu^D f_+(q^2) + p_\mu^{n\ell} (f_+(q^2) - f_-(q^2))]$$

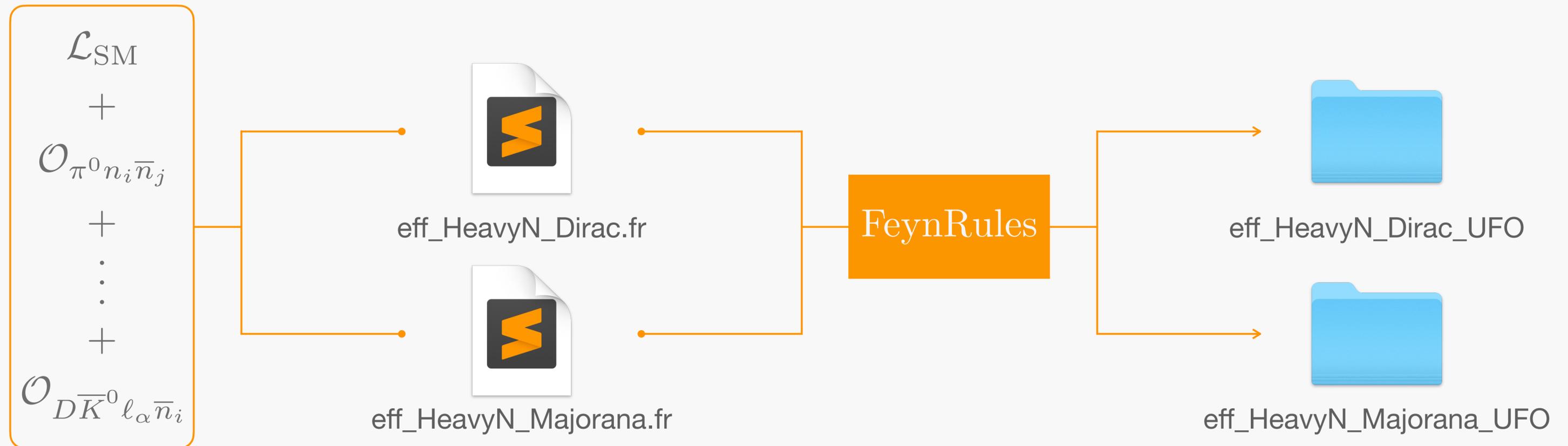
The effective operator in configuration space after applying Dirac's equation

$$\begin{aligned} \mathcal{O}_{PD\ell_\alpha\bar{n}_i} = & \sqrt{2}G_F V_{qq'} U_{\alpha i} \bar{\ell}_\alpha [(f_+(q^2) - f_-(q^2)) (m_\alpha P_L - m_i P_R) \phi_D \\ & - 2if_+(q^2) (\partial_\mu \phi_D) \gamma^\mu P_L] n_i \phi_P^\dagger + \text{h.c.} \end{aligned}$$

HNL FLUX SIMULATION

zoom.us video

The **effective operators** describing interactions between **light mesons** ($\pi, K, \eta, \rho, \omega, \dots$) and **one HNL** that mixes with SM ν implemented in **FeynRules** model files*.



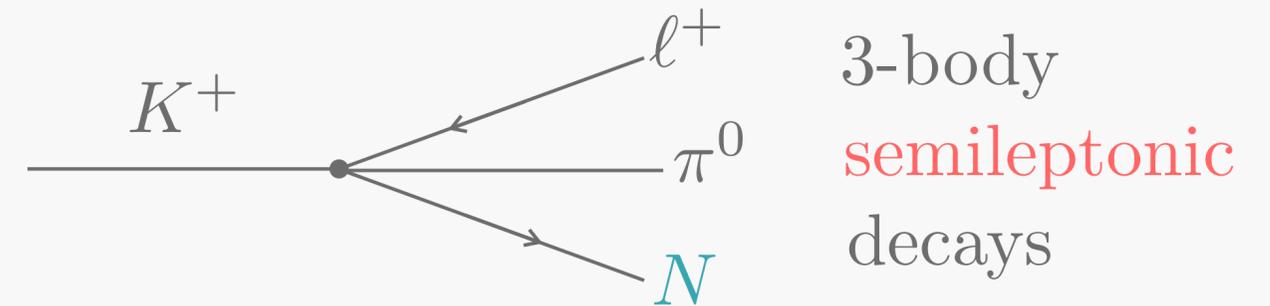
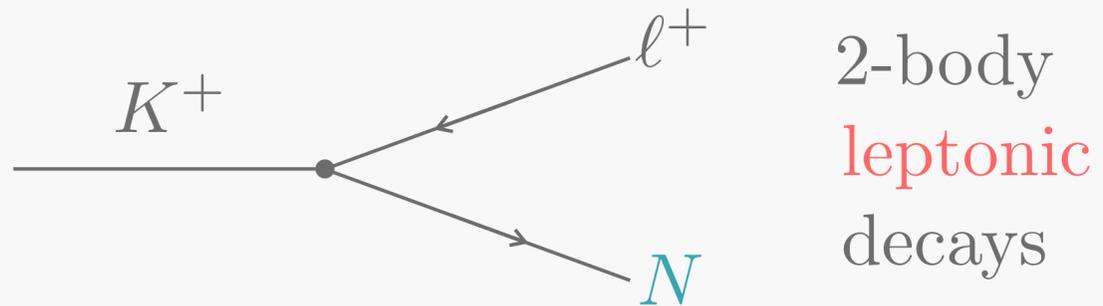
*publicly available (see ancillary files of arXiv: 2007.03701)

HNL FLUX SIMULATION

zoom.us video

Interfacing UFO with event generator such as MadGraph5 (MG) to simulate

- HNL production: $|U_{\alpha 4}|^2$



```
mg5_aMC>
import model effective_HeavyN_Dirac_UFO
generate k+ > n1 lepton QED <= 2
add process k+ > n1 lepton meson QED <= 2
launch
set MN4 0.05
set thetae 0.001
set thetamu 0
set thetatau 0
set nevents 100000
```

2-body + 3-body meson decays

M_4

$|U_{\alpha 4}|^2$

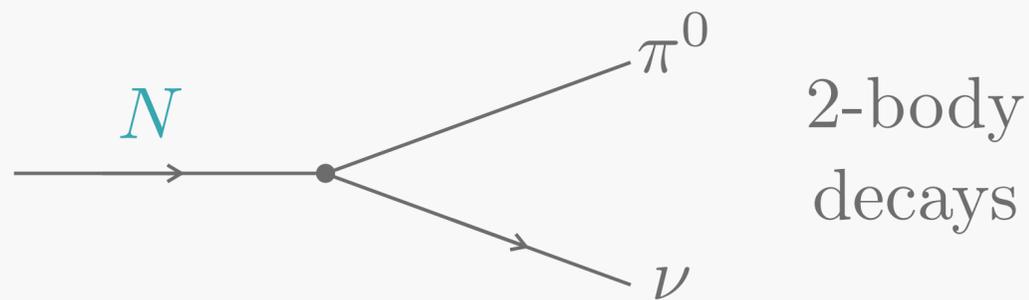
of events

HNL FLUX SIMULATION

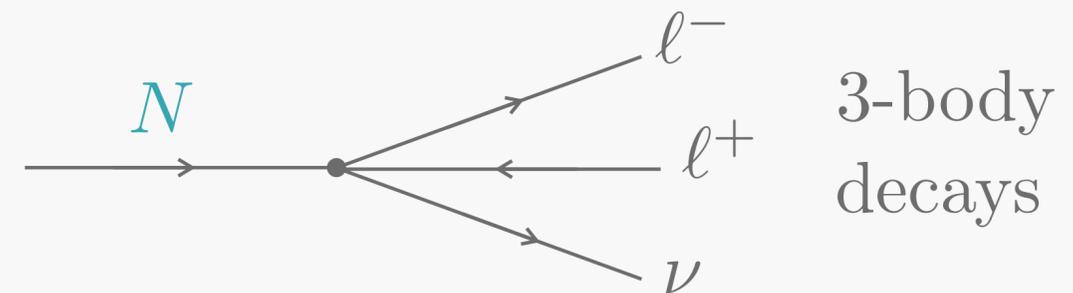
zoom.us video

Interfacing UFO with event generator such as MadGraph5 (MG) to simulate

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2-body
decays



3-body
decays

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2-body + 3-body
HNL decays

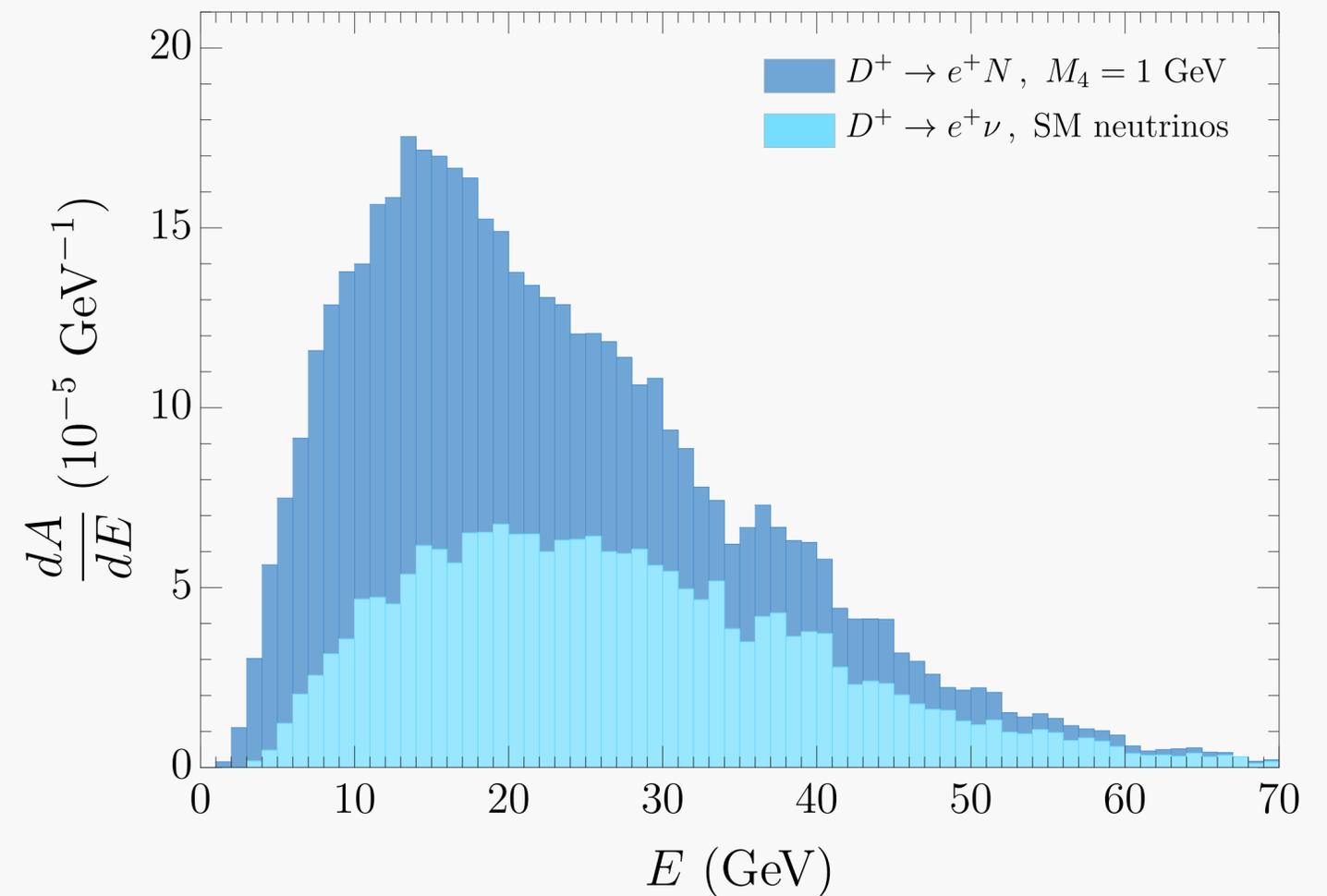
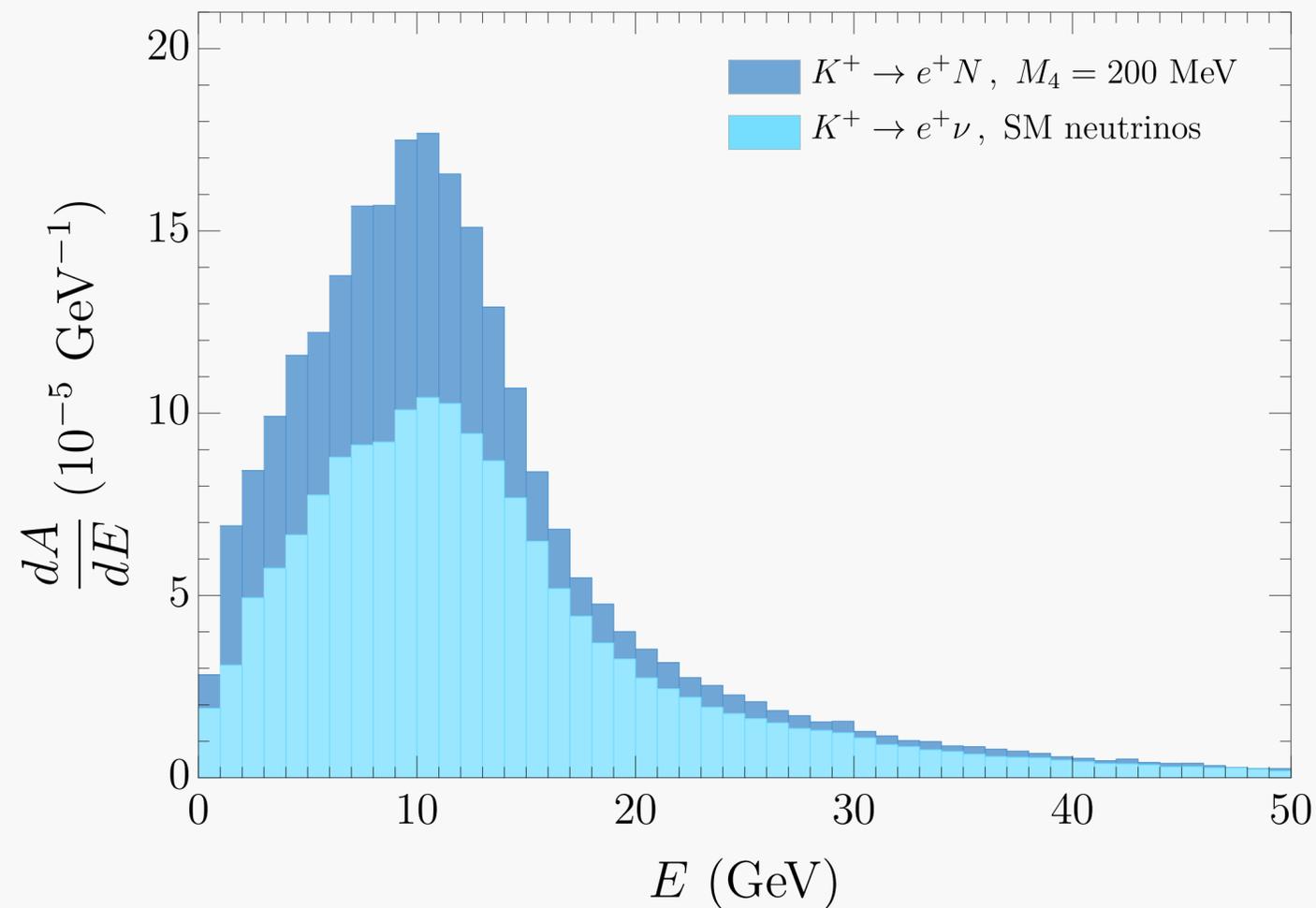
M_4

$|U_{\alpha 4}|^2$

of events

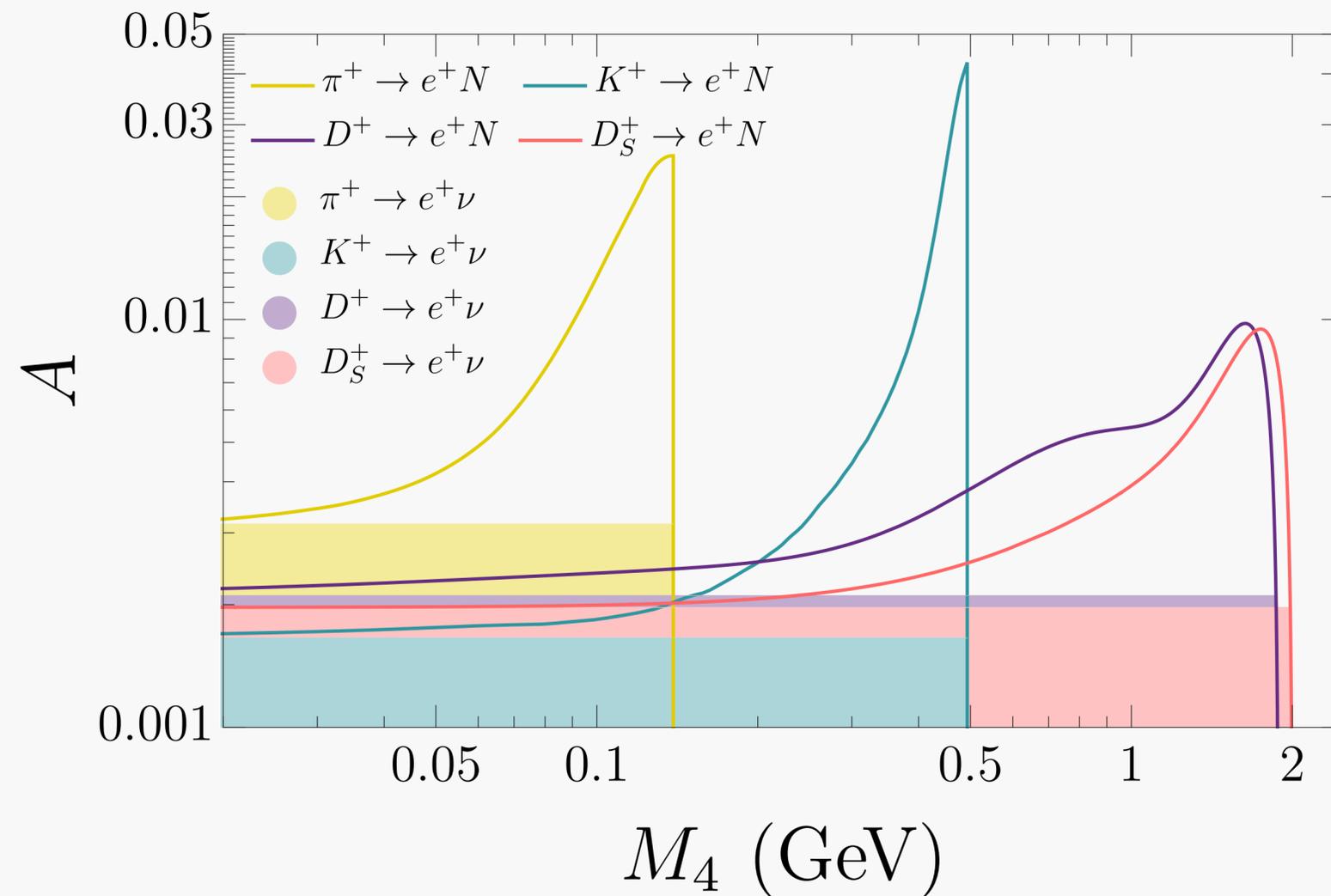
THE BOOST EFFECT

Particles with **low** velocities experience a **stronger** boost \Rightarrow
detector **acceptance** favored to **heavy** neutrinos



THE BOOST EFFECT

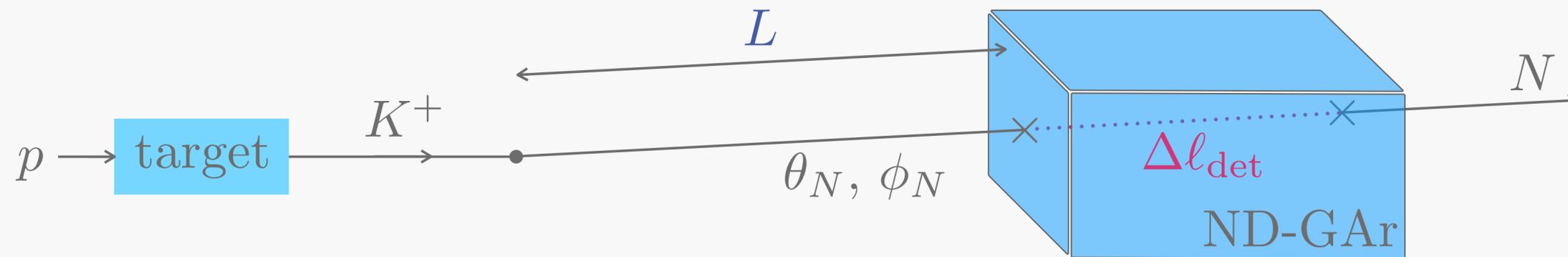
Relevant effect close to kinematic thresholds of HNL production



DUNE ND SENSITIVITY

zoom.us video

For each HNL decay event



Probability of the HNL decaying inside the detector

$$P(E_N) = e^{-\frac{\Gamma L}{\gamma\beta}} \left(1 - e^{-\frac{\Gamma \Delta \ell_{\text{det}}}{\gamma\beta}} \right)$$

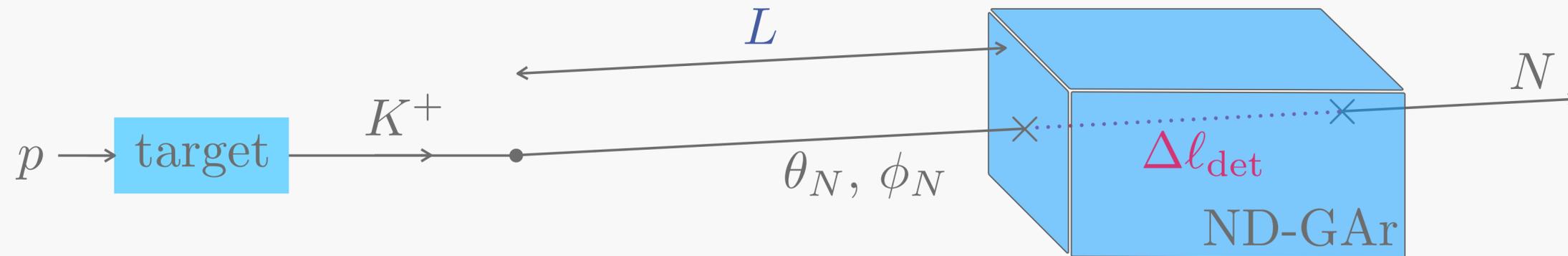
and the total number of expected HNL decays into a given decay channel c

$$N_c(\text{ND}) = \text{BR}_c \times \int dE_N P(E_N) \frac{d\phi_N}{dE_N}$$

DUNE ND SENSITIVITY

zoom.us video

For each HNL decay event



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$$N_c(\text{ND}) = \text{BR}_c \times \int dE_N P(E_N) \frac{d\phi_N}{dE_N} \leq 2.44 \Rightarrow$$

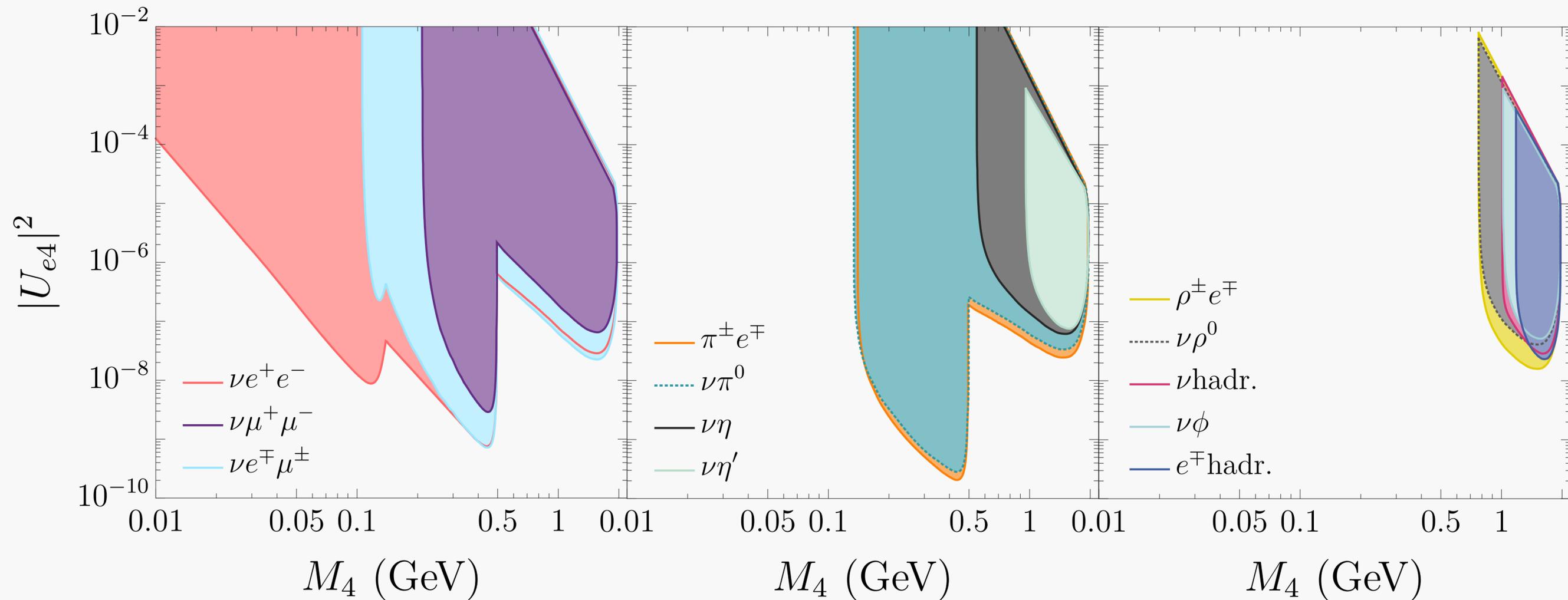
90% CL sensitivity (no BG and under hypothesis of no observed events).

Signal efficiency of 20% assumed for fully background rejection.

DUNE ND SENSITIVITY

zoom.us video

- Sensitivity plots: $|U_{e4}|^2$

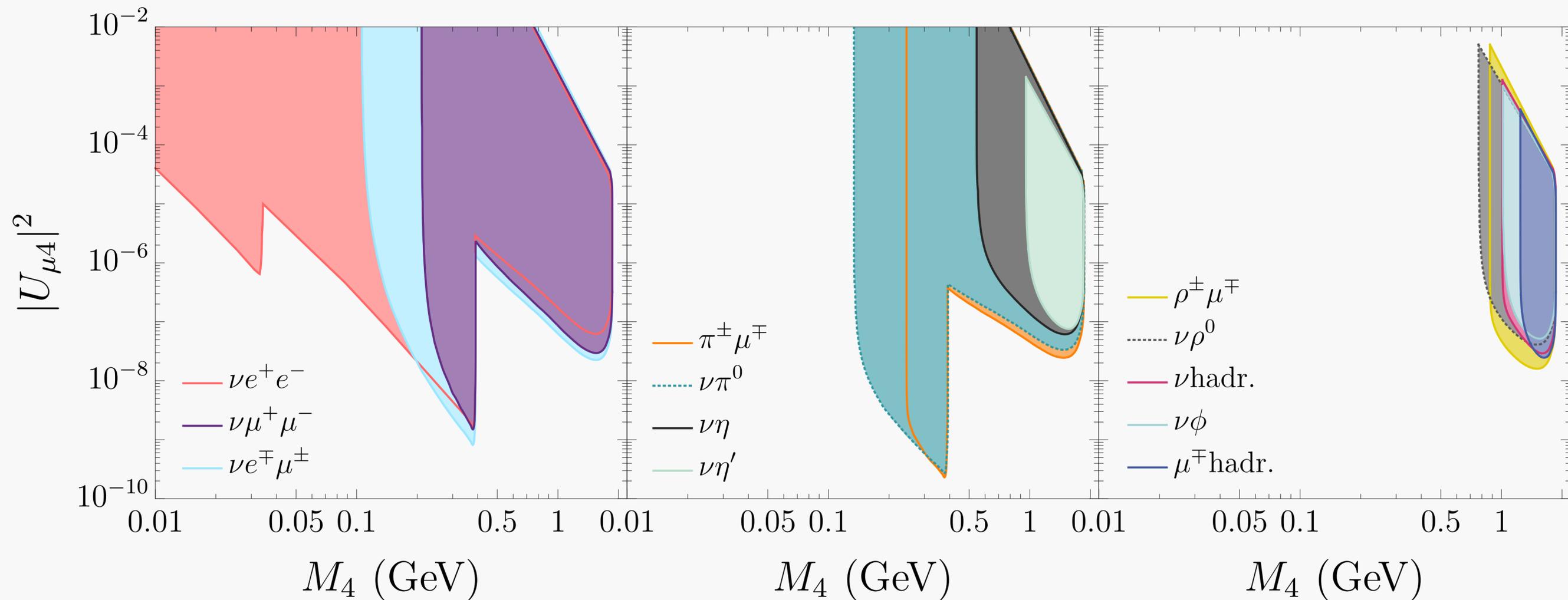


Expected DUNE sensitivity at 90% CL for $7.7 \cdot 10^{21}$ PoT collected.

DUNE ND SENSITIVITY

zoom.us video

- Sensitivity plots: $|U_{\mu 4}|^2$

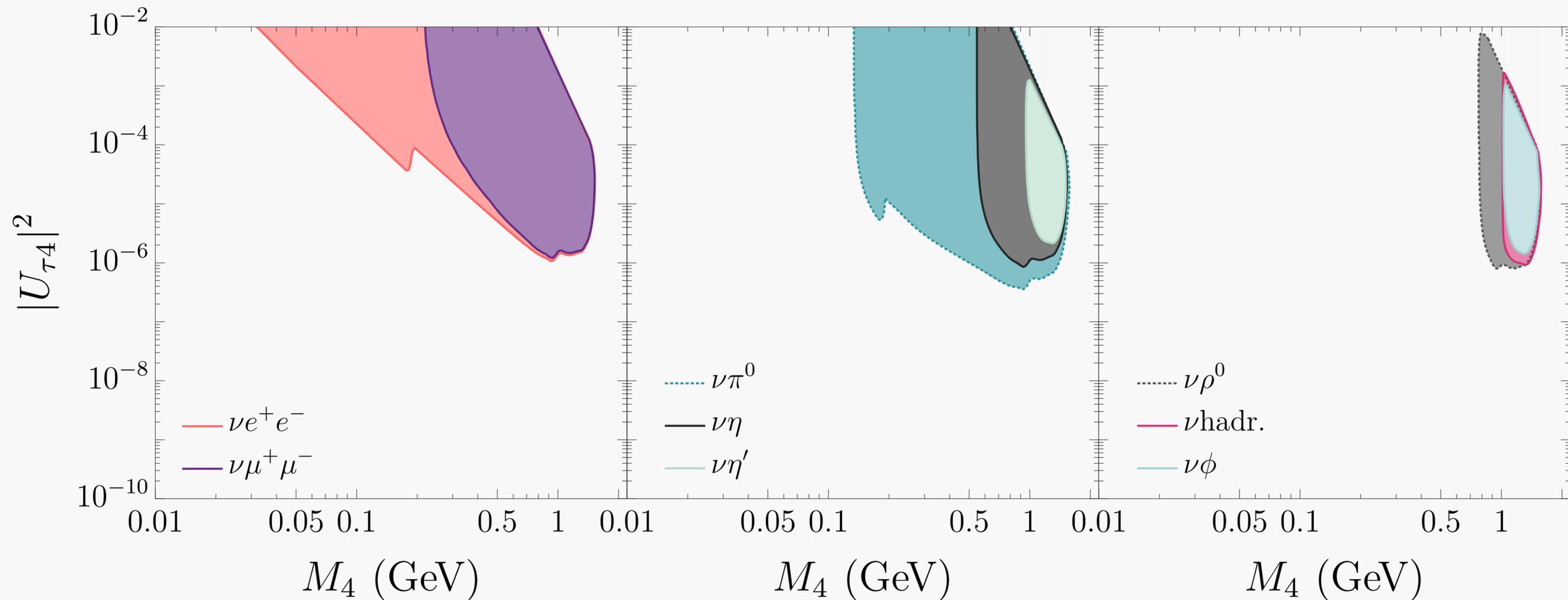


Expected DUNE sensitivity at 90% CL for $7.7 \cdot 10^{21}$ PoT collected.

DUNE ND SENSITIVITY

zoom.us video

- Sensitivity plots: $|U_{\tau 4}|^2$

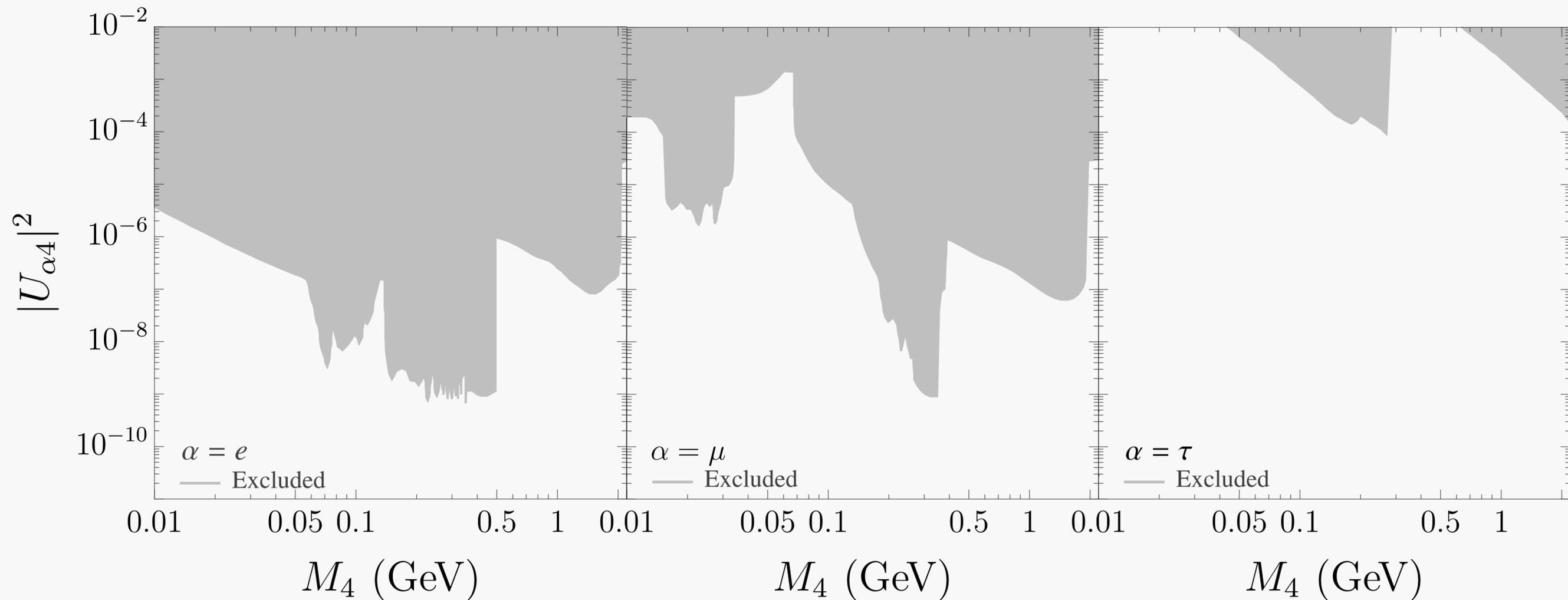


Expected DUNE sensitivity at 90% CL for $7.7 \cdot 10^{21}$ PoT collected.

DUNE ND SENSITIVITY

zoom.us video

Present experiments + DUNE ND expected sensitivity

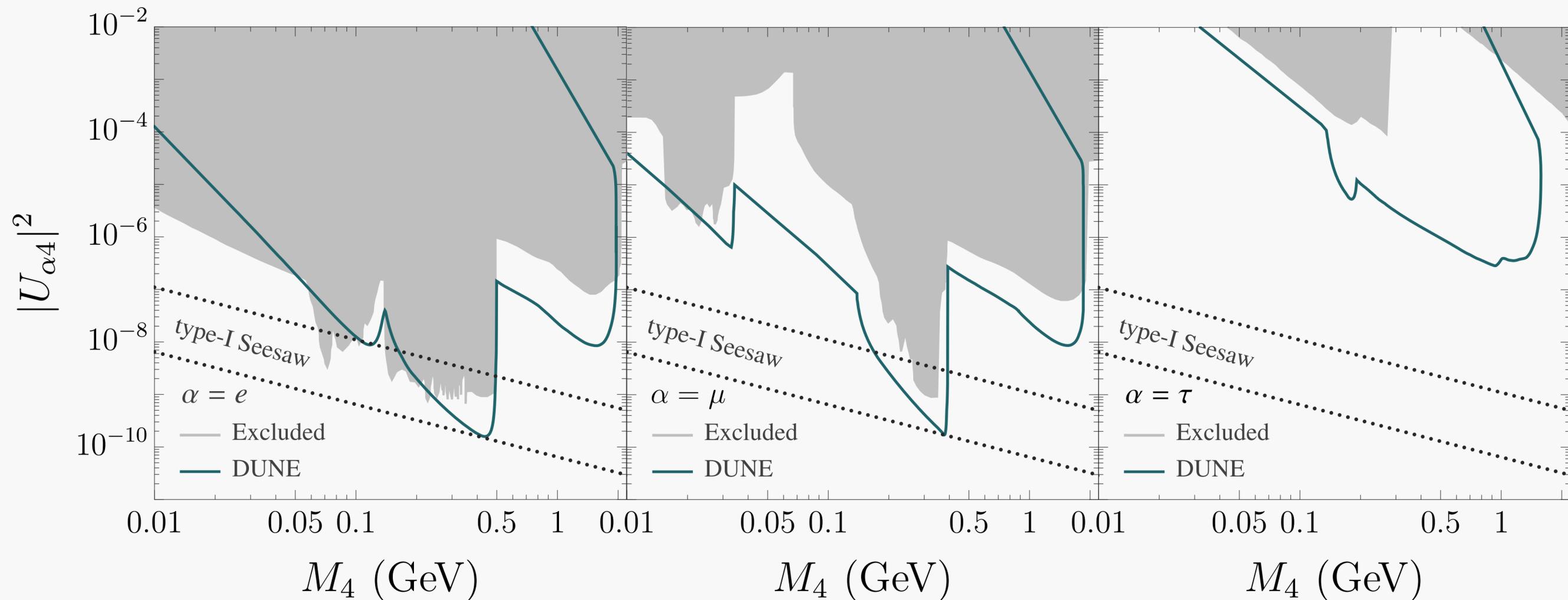


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DUNE ND SENSITIVITY

zoom.us video

Present experiments + DUNE ND expected sensitivity



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zoom.us video

EFFECTIVE PORTALS TO HNL

SM EFFECTIVE FIELD THEORY

zoom.us video



The Standard Model Effective Field Theory (SMEFT) **parametrize** the effects of **New Physics** on **low-energy** observables

SM EFFECTIVE FIELD THEORY

zoom.us video

The SMEFT consist on a tower of higher dimensional operators

$$\mathcal{L} = \underbrace{\mathcal{L}_{d=4}}_{\mathcal{L}_{\text{SM}}} + \underbrace{\mathcal{L}_{d=5}}_{\text{Weinberg operator}} + \mathcal{L}_{d=6} + \dots$$

where, at given dimension n

$$\mathcal{L}_{d=n} = \sum_i \mathcal{O}_i = \frac{1}{\Lambda^{n-4}} \sum_i c_i \underbrace{\widetilde{\mathcal{O}}_i}$$

BSM **encoded** in effective operators

- non-renormalizable
- build from SM fields \Rightarrow
respect fundamental symmetries

SM EFFECTIVE FIELD THEORY

zoom.us video

The SMEFT consist on a tower of higher dimensional operators

$$\mathcal{L} = \underbrace{\mathcal{L}_{d=4}}_{\mathcal{L}_{\text{SM}}} + \underbrace{\mathcal{L}_{d=5}}_{\text{Weinberg operator}} + \mathcal{L}_{d=6} + \dots$$

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$$\mathcal{L}_{d=n} = \sum_i \mathcal{O}_i = \underbrace{\frac{1}{\Lambda^{n-4}}}_{\text{suppressed by scale of New Physics}} \sum_i C_i \widetilde{\mathcal{O}}_i$$

SM EFFECTIVE FIELD THEORY

zoom.us video

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where, at given dimension n

$$\mathcal{L}_{d=n} = \sum_i \mathcal{O}_i = \frac{1}{\Lambda^{n-4}} \sum_i \underbrace{C_i}_{\text{Wilson coefficients}} \widetilde{\mathcal{O}}_i$$

Wilson coefficients: dimensionless parameters controlling respective coupling **strength**

SM EFFECTIVE FIELD THEORY

zoom.us video

The SMEFT consist on a tower of higher dimensional operators

$$\mathcal{L} = \underbrace{\mathcal{L}_{d=4}}_{\mathcal{L}_{\text{SM}}} + \underbrace{\mathcal{L}_{d=5}}_{\text{Weinberg operator}} + \mathcal{L}_{d=6} + \dots$$

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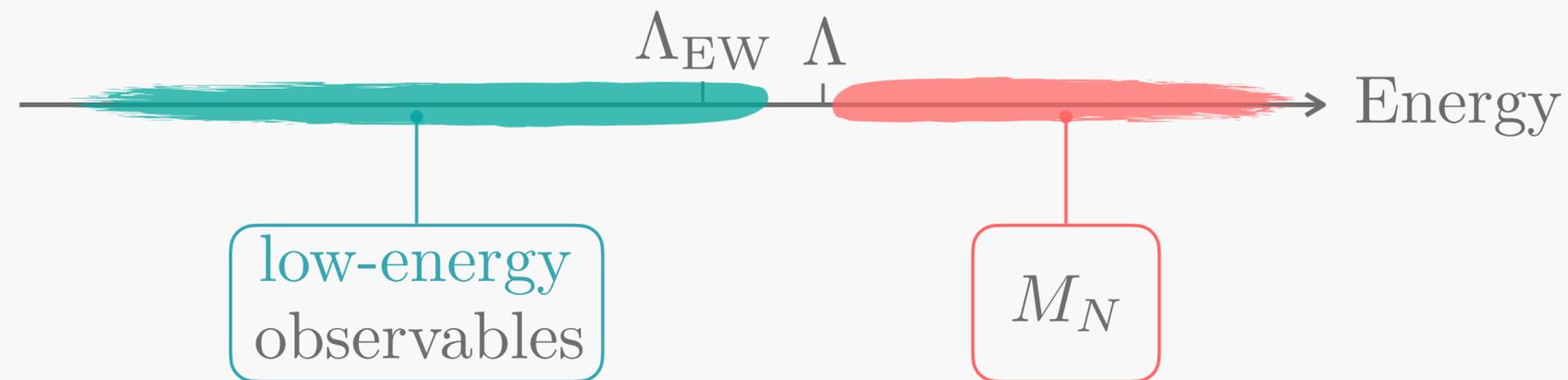
The **higher** the dimension n , the **greater** the number \mathcal{O}_i

But **smaller** the effects in low-energy observables, as suppressed by **larger** powers of Λ

BOUNDS ON ν -SMEFT

zoom.us video

HNL + SMEFT = ?



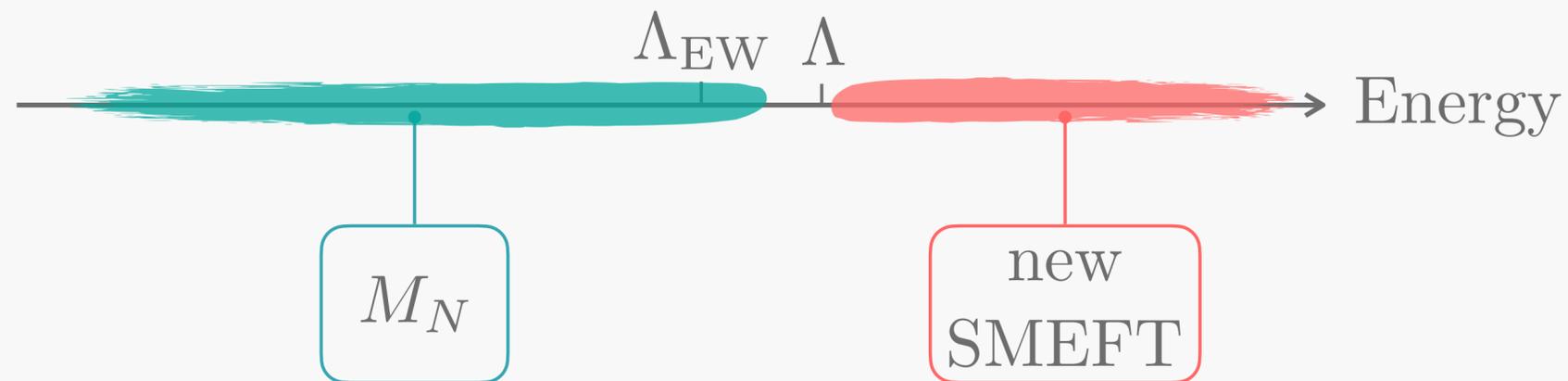
If $M_N > \Lambda \Rightarrow$ their effects described by effective operators of SMEFT

- $d = 5$: neutrino masses via Weinberg operator
- $d = 6$: non-Unitarity of U_{PMNS}

BOUNDS ON ν -SMEFT

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HNL + SMEFT = ?



If $M_N < \Lambda \Rightarrow$ HNLs added as fundamental building blocks of the theory \Rightarrow the new SMEFT contains **new operators** involving N and SM particles \Rightarrow

ν -SMEFT

BOUNDS ON ν -SMEFT

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The **only two** new operators at $d = 5$

- HNL dipole moment

$$\mathcal{O}_{\text{dipole}}^{d=5} = \frac{C_{\text{dipole}}^{d=5}}{\Lambda} \overline{N^c} \sigma_{\mu\nu} N B^{\mu\nu}$$

After EWSB generates **dipole moments** among the HNLs

BOUNDS ON ν -SMEFT

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After EWSB generates **dipole moments** among the HNLs

Since we consider **just one**, it vanishes

BOUNDS ON ν -SMEFT

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The **only two** new operators at $d = 5$

- Higgs-dressed mass

$$\mathcal{O}_{\text{Higgs}}^{d=5} = \frac{C_{\text{Higgs}}^{d=5}}{\Lambda} \overline{N^c} N |H|^2$$

D. Barducci, E. Bertuzzo. JHEP **06** (2022) 077
D. Barducci, E. Bertuzzo, A. Caputo, P. Hernandez. JHEP **06** (2020) 185
D. Barducci, E. Bertuzzo, A. Caputo, P. Hernandez, B. Mele. JHEP **03** (2021) 117
A. Caputo, P. Hernandez, J. Lopez-Pavon, J. Salvado. JHEP **06** (2017) 112
M. L. Graesser. arXiv: 0705.2190

BOUNDS ON ν -SMEFT

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The **only two** new operators at $d = 5$

- Higgs-dressed mass

$$\mathcal{O}_{\text{Higgs}}^{d=5} = \frac{C_{\text{Higgs}}^{d=5}}{\Lambda} \overline{N^c} N |H|^2$$

After EWSB generates

- Contribution to Majorana mass

$$M_M = \frac{C_{\text{Higgs}}^{d=5} v^2}{2\Lambda}$$

BOUNDS ON ν -SMEFT

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The **only two** new operators at $d = 5$

- Higgs-dressed mass

$$\mathcal{O}_{\text{Higgs}}^{d=5} = \frac{C_{\text{Higgs}}^{d=5}}{\Lambda} \overline{N^c} N |H|^2$$

After EWSB generates

- Contribution to Majorana mass
- Invisible Higgs decay $h \rightarrow NN$

Higgs **signal strength** μ

$i \rightarrow h \rightarrow f$

$$\mu_i \equiv \frac{\sigma_i}{(\sigma_i)_{\text{SM}}}$$
$$\mu^f \equiv \frac{\mathcal{B}^f}{(\mathcal{B}^f)_{\text{SM}}}$$

BOUNDS ON ν -SMEFT

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The **only two** new operators at $d = 5$

- Higgs-dressed mass

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After EWSB generates

- Contribution to Majorana mass
- Invisible Higgs decay $h \rightarrow NN$

Higgs **signal strength** μ

ATLAS and CMS measure precise values of $\mu^{\gamma\gamma}$ and $\mu^{WW} \Rightarrow \mu \geq 0.94$ (95%CL)

$$\frac{C_{\text{Higgs}}^{d=5}}{\Lambda} < 3 \times 10^{-5} \text{ GeV}^{-1} \quad \text{for} \quad M_N < 40 \text{ GeV}$$

BOUNDS ON ν -SMEFT

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The base of $d = 6$ effective operators

- Higgs-dressed mixing

$$\tilde{\mathcal{O}}_{\text{LNH}}^\alpha : \overline{L}_\alpha \tilde{H} N (H^\dagger H)$$

- Bosonic currents

$$\tilde{\mathcal{O}}_{\text{HN}} : \overline{N} \gamma^\mu N (H^\dagger i \overleftrightarrow{D}_\mu H)$$

$$\tilde{\mathcal{O}}_{\text{HN}\ell}^\alpha : \overline{N} \gamma^\mu \ell_\alpha (\tilde{H}^\dagger i \overleftrightarrow{D}_\mu H)$$

- Tensor currents

$$\tilde{\mathcal{O}}_{\text{NB}}^\alpha : (\overline{L}_\alpha \sigma_{\mu\nu} N) \tilde{H} B^{\mu\nu}$$

$$\tilde{\mathcal{O}}_{\text{NW}}^\alpha : (\overline{L}_\alpha \sigma_{\mu\nu} N) \tau^a \tilde{H} W_a^{\mu\nu}$$

- 4-fermion

$$\text{Neutral} \supset \tilde{\mathcal{O}}_{\text{LN}}^\alpha : (\overline{L}_\alpha \gamma^\mu L_\alpha) (\overline{N} \gamma_\mu N)$$

$$\text{Charged} \supset \tilde{\mathcal{O}}_{\text{duN}\ell}^\alpha : \mathcal{Z}_{ij}^{\text{duN}\ell} (\overline{d}_i \gamma^\mu u_j) (\overline{N} \gamma_\mu \ell_\alpha)$$

BOUNDS ON ν -SMEFT

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In the following, I assume **one** HNL with **negligible** $|U_{\alpha N}|$, and study the **bounds** on C_i/Λ^2 of each operator, assuming **one** operator at a time

BOUNDS ON ν -SMEFT

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- Higgs-dressed mixing

$$\mathcal{O}_{\text{LNH}}^\alpha = \frac{C_{\text{LNH}}^\alpha}{\Lambda^2} (H^\dagger H) \overline{L}_\alpha \tilde{H} N$$

BOUNDS ON ν -SMEFT

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- Higgs-dressed mixing

$$\mathcal{O}_{\text{LNH}}^\alpha = \frac{C_{\text{LNH}}^\alpha}{\Lambda^2} (H^\dagger H) \bar{L}_\alpha \tilde{H} N$$

After EWSB:

- Contribution to mixing

$$U_{\alpha N} = \left(\frac{C_{\text{LNH}}^\alpha}{\Lambda^2} \right) \frac{v^3}{2\sqrt{2}M_N}$$

Mapping between
 $U_{\alpha N}$ and C_{LNH}^α

BOUNDS ON ν -SMEFT

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- Higgs-dressed mixing

$$\mathcal{O}_{\text{LNH}}^\alpha = \frac{C_{\text{LNH}}^\alpha}{\Lambda^2} (H^\dagger H) \overline{L}_\alpha \tilde{H} N$$

After EWSB:

- Contribution to mixing
- Contribution to m_ν

$$m_\nu = \left(\frac{C_{\text{LNH}}^\alpha}{\Lambda^2} \right)^2 \frac{v^6}{8M_N}$$

More than one HNL needed to explain ν masses \Rightarrow possible cancellations

BOUNDS ON ν -SMEFT

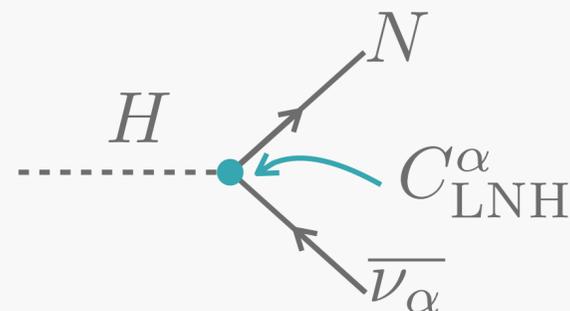
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- Higgs-dressed mixing

$$\mathcal{O}_{\text{LNH}}^\alpha = \frac{C_{\text{LNH}}^\alpha}{\Lambda^2} (H^\dagger H) \bar{L}_\alpha \tilde{H} N$$

After EWSB:

- Contribution to mixing
- Contribution to m_ν
- Invisible Higgs decay

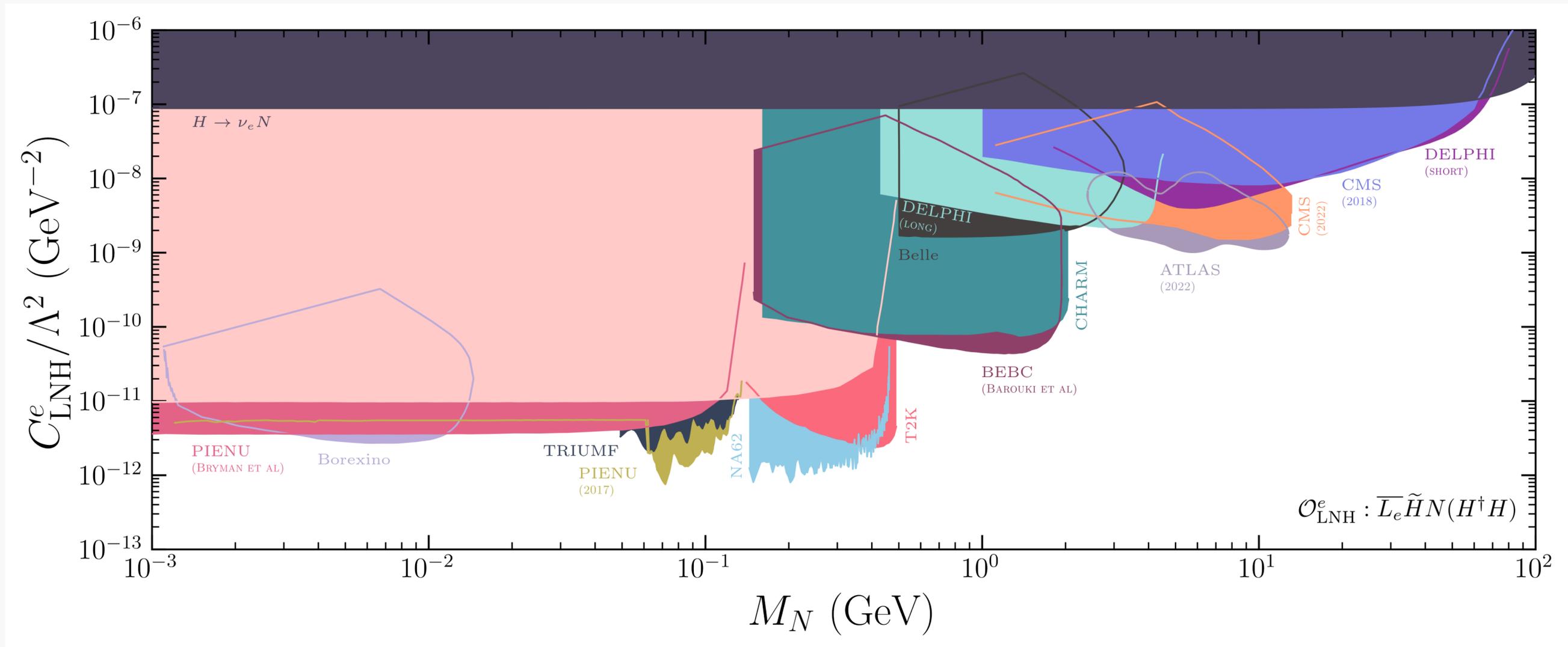


Higgs signal strength yields to $\mathcal{B}(h \rightarrow N \bar{\nu}_\alpha) < 0.06$ (95%CL) \Rightarrow bound on $C_{\text{LNH}}^\alpha / \Lambda^2$

BOUNDS ON ν -SMEFT

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- Higgs-dressed mixing



Equivalent bounds on C_{LNH}^μ / Λ^2 and C_{LNH}^τ / Λ^2 . See back-up slides

BOUNDS ON ν -SMEFT

zoom.us video

- Bosonic currents: neutral

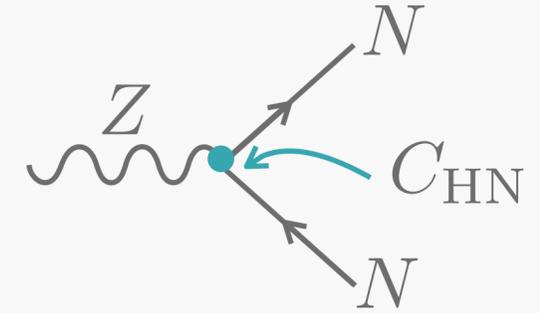
$$\mathcal{O}_{\text{HN}} = \frac{C_{\text{HN}}}{\Lambda^2} \bar{N} \gamma^\mu N (H^\dagger i \overleftrightarrow{D}_\mu H)$$

BOUNDS ON ν -SMEFT

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- Bosonic currents: neutral

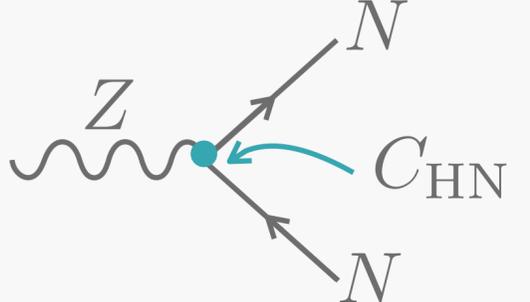
$$\mathcal{O}_{\text{HN}} = \frac{C_{\text{HN}}}{\Lambda^2} \bar{N} \gamma^\mu N (H^\dagger i \overleftrightarrow{D}_\mu H) \xrightarrow[\text{EWSB}]{\text{after}} \left(\frac{C_{\text{HN}}}{\Lambda^2} \frac{gv^2}{2c_W} \right) \bar{N} \gamma^\mu N Z_\mu$$



BOUNDS ON ν -SMEFT

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- Bosonic currents: neutral

$$\mathcal{O}_{\text{HN}} = \frac{C_{\text{HN}}}{\Lambda^2} \bar{N} \gamma^\mu N (H^\dagger i \overleftrightarrow{D}_\mu H) \xrightarrow[\text{EWSB}]{\text{after}} \left(\frac{C_{\text{HN}}}{\Lambda^2} \frac{g v^2}{2 c_W} \right) \bar{N} \gamma^\mu N Z_\mu$$


The following bounds can be recasted

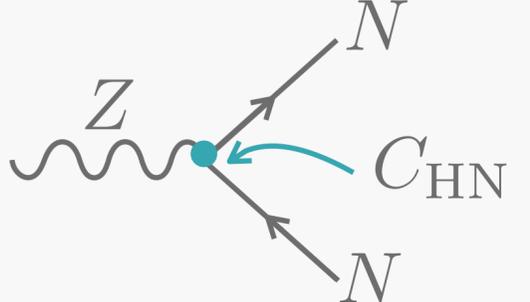
- Neutral meson decays

Neutral pseudoscalar and vector meson decays **suppressed** in SM \Rightarrow
bounds on invisible decay of π^0 (NA62) and $\Upsilon(1s)$ (BaBar) **constrain** C_{NH}

BOUNDS ON ν -SMEFT

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- Bosonic currents: neutral

$$\mathcal{O}_{\text{HN}} = \frac{C_{\text{HN}}}{\Lambda^2} \bar{N} \gamma^\mu N (H^\dagger i \overleftrightarrow{D}_\mu H) \xrightarrow{\text{EWSB}} \left(\frac{C_{\text{HN}}}{\Lambda^2} \frac{g v^2}{2 c_W} \right) \bar{N} \gamma^\mu N Z_\mu$$


The following bounds can be recasted

- Neutral meson decays
- Monophoton searches at colliders

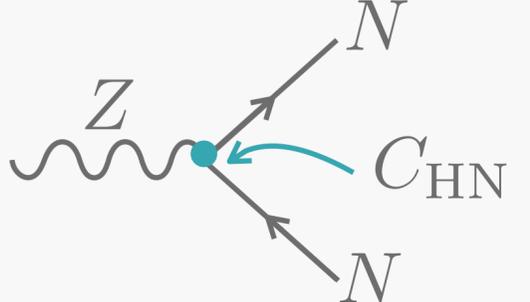
DM searches by LEP via $ee \rightarrow \psi\psi\gamma$ in the context of EFT

$$\left| \frac{C_{\text{HN}}}{\Lambda^2} \right|^2 = \left| \frac{C_{\text{vec}}}{\Lambda^2} \right|^2 \frac{\sigma_{\text{vec}}(ee \rightarrow \psi\psi\gamma)}{\sigma_{\text{HN}}(ee \rightarrow NN\gamma)}$$

BOUNDS ON ν -SMEFT

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- Bosonic currents: neutral

$$\mathcal{O}_{\text{HN}} = \frac{C_{\text{HN}}}{\Lambda^2} \bar{N} \gamma^\mu N (H^\dagger i \overleftrightarrow{D}_\mu H) \xrightarrow{\text{EWSB}} \left(\frac{C_{\text{HN}}}{\Lambda^2} \frac{g v^2}{2 c_W} \right) \bar{N} \gamma^\mu N Z_\mu$$


The following bounds can be recasted

- Neutral meson decays
- Monophoton searches at colliders
- Supernova cooling

ν **dominant** cooling mechanism of core-collapse SN

HNL with **large** mixing would be trapped, keeping the energy \Rightarrow **lower** limit

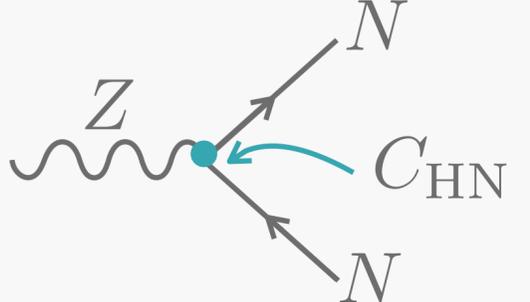
HNL with **small** mixing would extract energy from the SM \Rightarrow **upper** limit

Depending on M_N , $\gamma\gamma \rightarrow \pi^0 \rightarrow NN \Rightarrow$ **faster** cooling \Rightarrow **upper** limit

BOUNDS ON ν -SMEFT

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- Bosonic currents: neutral

$$\mathcal{O}_{\text{HN}} = \frac{C_{\text{HN}}}{\Lambda^2} \bar{N} \gamma^\mu N (H^\dagger i \overleftrightarrow{D}_\mu H) \xrightarrow{\text{EWSB}} \left(\frac{C_{\text{HN}}}{\Lambda^2} \frac{g v^2}{2 c_W} \right) \bar{N} \gamma^\mu N Z_\mu$$


The following bounds can be recasted

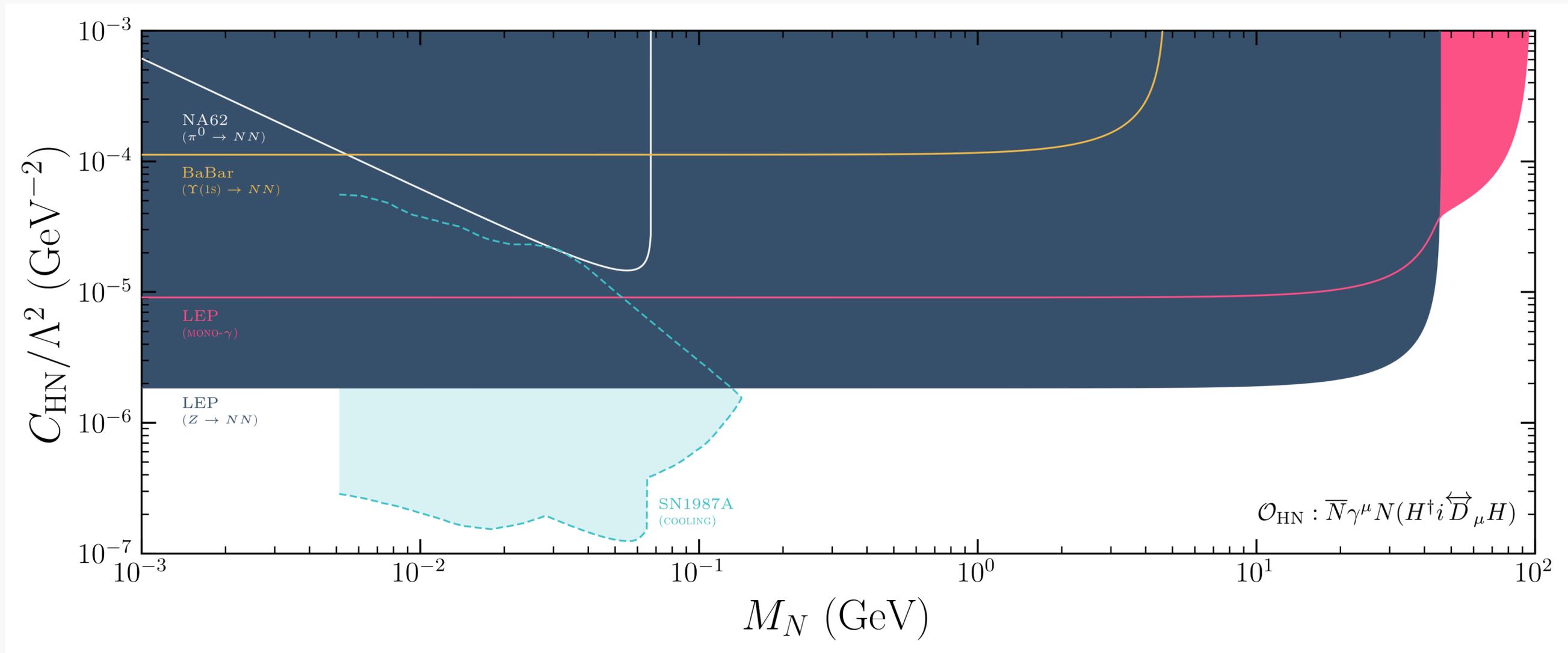
- Neutral meson decays
- Monophoton searches at colliders
- Supernova cooling
- Invisible decay of the Z

Additional contribution to Z_{inv} , measured with high accuracy at **LEP**

BOUNDS ON ν -SMEFT

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- Bosonic currents: neutral



BOUNDS ON ν -SMEFT

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- Bosonic currents: charged

$$\mathcal{O}_{\text{HN}\ell}^{\alpha} = \frac{C_{\text{HN}\ell}^{\alpha}}{\Lambda^2} \bar{N} \gamma^{\mu} \ell_{\alpha} (\tilde{H}^{\dagger} i \overleftrightarrow{D}_{\mu} H)$$

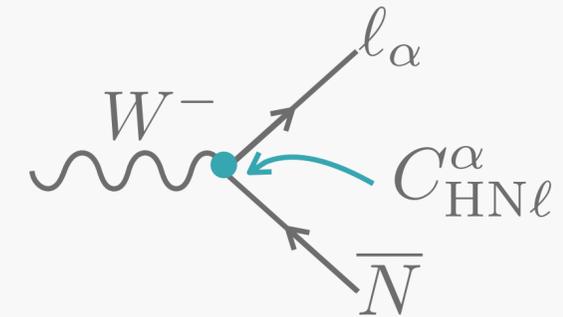
BOUNDS ON ν -SMEFT

zoom.us video

- Bosonic currents: charged

$$\mathcal{O}_{\text{HN}\ell}^{\alpha} = \frac{C_{\text{HN}\ell}^{\alpha}}{\Lambda^2} \bar{N} \gamma^{\mu} \ell_{\alpha} (\tilde{H}^{\dagger} i \overleftrightarrow{D}_{\mu} H) \xrightarrow{\text{after EWSB}} \left(\frac{C_{\text{HN}\ell}^{\alpha}}{\Lambda^2} \frac{g v^2}{2} \right) \bar{N} \gamma^{\mu} \ell_{\alpha} W_{\mu}^{-} + \text{h.c.}$$

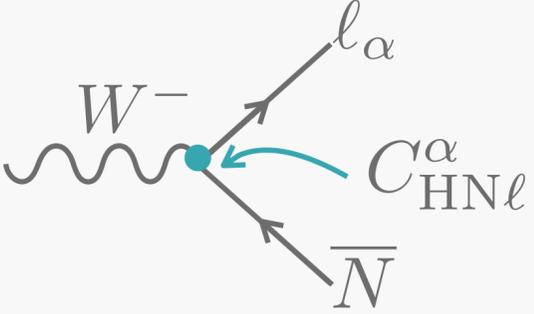
$$U_{\alpha N}^{\text{CC}} \equiv \frac{C_{\text{HN}\ell}^{\alpha} v^2}{\sqrt{2} \Lambda^2}$$



BOUNDS ON ν -SMEFT

zoom.us video

- Bosonic currents: charged

$$\mathcal{O}_{\text{HNL}}^\alpha = \frac{C_{\text{HNL}}^\alpha}{\Lambda^2} \bar{N} \gamma^\mu \ell_\alpha (\tilde{H}^\dagger i \overleftrightarrow{D}_\mu H) \xrightarrow{\text{EWSB}} \left(\frac{C_{\text{HNL}}^\alpha}{\Lambda^2} \frac{g v^2}{2} \right) \bar{N} \gamma^\mu \ell_\alpha W_\mu^- + \text{h.c.}$$

$$U_{\alpha N}^{\text{CC}} \equiv \frac{C_{\text{HNL}}^\alpha v^2}{\sqrt{2} \Lambda^2}$$

Bounds on $U_{\alpha N}$ can be re-scaled:

- Bounds from peak searches \Rightarrow HNL searches via CC meson decay

$$N_{\text{ev}} = \hat{\Gamma}_{\text{mix}} |U_{\alpha N}|^2 = \hat{\Gamma}_{\text{eff}} |U_{\alpha N}^{\text{CC}}|^2 \Rightarrow \left| \frac{C_{\text{HNL}}^\alpha}{\Lambda^2} \right|^2 = \frac{2}{v^4} |U_{\alpha N}|^2$$

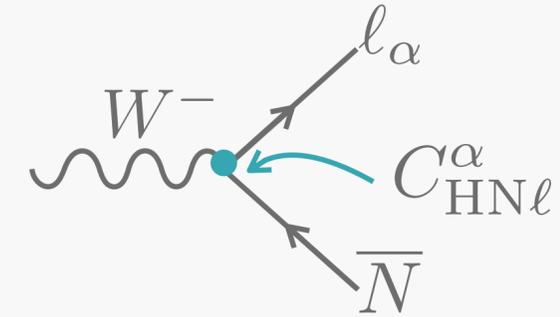
BOUNDS ON ν -SMEFT

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$$U_{\alpha N}^{\text{CC}} \equiv \frac{C_{\text{HNL}}^\alpha v^2}{\sqrt{2} \Lambda^2}$$



Bounds on $U_{\alpha N}$ can be re-scaled:

- Bounds from peak searches \Rightarrow HNL searches via CC meson decay
- Bounds from beam-dump \Rightarrow HNL searches via CC (+ NC ?) decays in flight

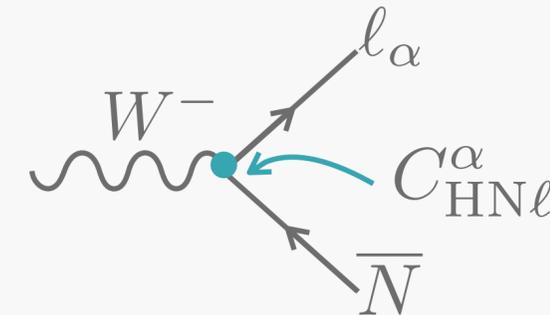
$$N_{\text{ev}} = \hat{\Gamma}_{\text{mix}}^{\text{prod}} \hat{\Gamma}_{\text{mix}}^{\text{decay}} |U_{\alpha N}|^4 = \hat{\Gamma}_{\text{eff}}^{\text{prod}} \hat{\Gamma}_{\text{eff}}^{\text{decay}} |U_{\alpha N}^{\text{CC}}|^2$$

BOUNDS ON ν -SMEFT

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- Bosonic currents: charged

$$\mathcal{O}_{\text{HN}\ell}^\alpha = \frac{C_{\text{HN}\ell}^\alpha}{\Lambda^2} \bar{N} \gamma^\mu \ell_\alpha (\tilde{H}^\dagger i \overleftrightarrow{D}_\mu H) \xrightarrow{\text{EWSB}} \left(\frac{C_{\text{HN}\ell}^\alpha}{\Lambda^2} \frac{g v^2}{2} \right) \bar{N} \gamma^\mu \ell_\alpha W_\mu^- + \text{h.c.}$$



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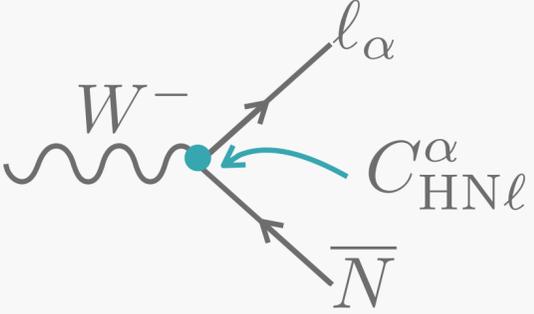
- Bounds from peak searches \Rightarrow HNL searches via CC meson decay
- Bounds from beam-dump \Rightarrow HNL searches via CC (+ NC ?) decays in flight

$$N_{\text{ev}} = \cancel{\hat{\Gamma}_{\text{mix}}^{\text{prod}}} \hat{\Gamma}_{\text{mix}}^{\text{decay}} |U_{\alpha N}|^4 = \cancel{\hat{\Gamma}_{\text{eff}}^{\text{prod}}} \hat{\Gamma}_{\text{eff}}^{\text{decay}} |U_{\alpha N}^{\text{CC}}|^2 \Rightarrow \left| \frac{C_{\text{HN}\ell}^\alpha}{\Lambda^2} \right|^4 = \frac{4 \hat{\Gamma}_{\text{mix}}^{\text{decay}}}{v^8 \hat{\Gamma}_{\text{CC}}^{\text{decay}}} |U_{\alpha N}|^4$$

BOUNDS ON ν -SMEFT

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- Bosonic currents: charged

$$\mathcal{O}_{\text{HNL}}^\alpha = \frac{C_{\text{HNL}}^\alpha}{\Lambda^2} \bar{N} \gamma^\mu \ell_\alpha (\tilde{H}^\dagger i \overleftrightarrow{D}_\mu H) \xrightarrow{\text{after EWSB}} \left(\frac{C_{\text{HNL}}^\alpha}{\Lambda^2} \frac{g v^2}{2} \right) \bar{N} \gamma^\mu \ell_\alpha W_\mu^- + \text{h.c.}$$

$$U_{\alpha N}^{\text{CC}} \equiv \frac{C_{\text{HNL}}^\alpha v^2}{\sqrt{2} \Lambda^2}$$

Bounds on $U_{\alpha N}$ can be re-scaled:

- Bounds from peak searches \Rightarrow HNL searches via CC meson decay
- Bounds from beam-dump \Rightarrow HNL searches via CC (+ NC ?) decays in flight
- Bounds from colliders \Rightarrow HNL searches via W decays
 - + HNL searches via Z decays \Rightarrow do not apply
 - + HNL searches via W decays \Rightarrow same procedure as beam-dumps

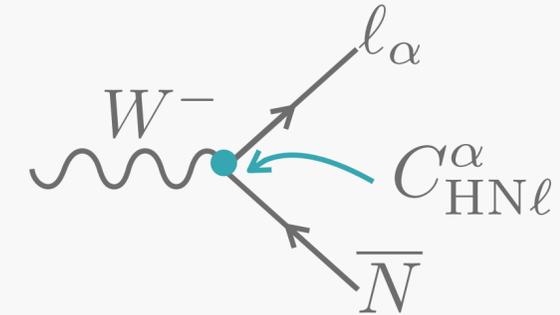
BOUNDS ON ν -SMEFT

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$$U_{\alpha N}^{\text{CC}} \equiv \frac{C_{\text{HN}\ell}^{\alpha} v^2}{\sqrt{2} \Lambda^2}$$



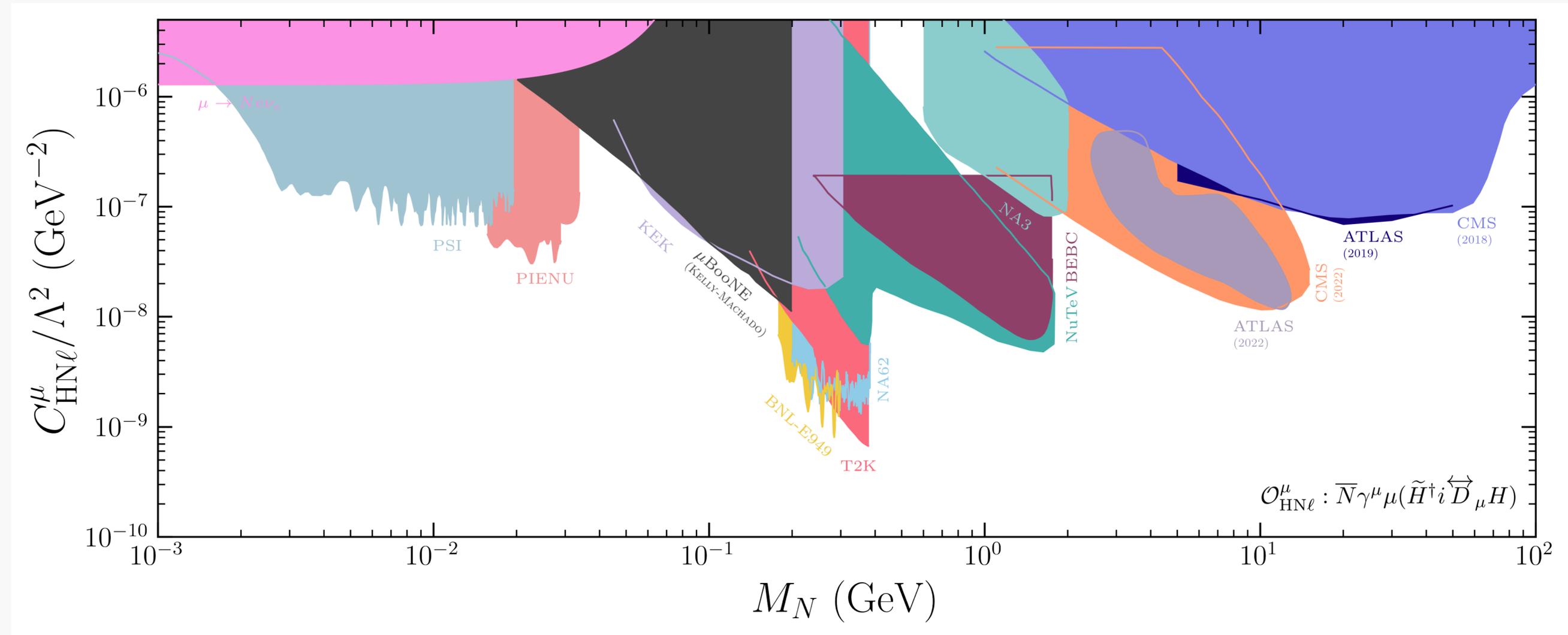
Bounds on $U_{\alpha N}$ can be re-scaled:

- Bounds from peak searches \Rightarrow HNL searches via CC meson decay
- Bounds from beam-dump \Rightarrow HNL searches via CC (+ NC ?) decays in flight
- Bounds from colliders \Rightarrow HNL searches via W decays
- Bounds from CC lepton decays $\ell_{\alpha} \rightarrow \ell_{\beta} \nu_{\beta} N$

BOUNDS ON ν -SMEFT

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- Bosonic currents: charged



Equivalent bounds on $C_{HN\ell}^e / \Lambda^2$ and $C_{HN\ell}^\tau / \Lambda^2$. See back-up slides

SUMMARY

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Neutrino masses are one of the most promising open windows for NP.

Depending on HNL mass range, very different bounds on $|U_{\alpha N}|^2$ apply.

The expected sensitivity to HNLs of DUNE ND has been simulated by using a FeynRules model file describing HNL interactions with mesons.
The DUNE ND could test large part of the HNL parameter space.

Effective operators can be very useful to describe NP involving HNLs.
Present laboratory searches constrain $d = 6$ Wilson coefficients of ν -SMEFT.

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The DUNE ND could test large part of the HNL parameter space.

Effective operators can be very useful to describe NP involving HNLs.
Present laboratory searches constrain $d = 6$ Wilson coefficients of ν -SMEFT.

THANKS



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BACK-UP

EFFECTIVE MESONS AND HNL INTERACTIONS

- Vector mesons
 - Neutral mesons: ρ^0, ω, ϕ

$$j_{3,\mu}^V = \frac{1}{2} [\bar{u}\gamma_\mu u - \bar{d}\gamma_\mu d]$$

$$j_{\rho^0,\mu}^V = j_{3,\mu}^V$$

$$j_{8,\mu}^V = \frac{1}{2\sqrt{3}} [\bar{u}\gamma_\mu u + \bar{d}\gamma_\mu d - 2\bar{s}\gamma_\mu s] \quad \Rightarrow$$

$$j_{\omega,\mu}^V = \sqrt{\frac{1}{3}} j_{8,\mu}^V + \sqrt{\frac{2}{3}} j_{0,\mu}^V$$

$$j_{0,\mu}^V = \frac{1}{\sqrt{6}} [\bar{u}\gamma_\mu u + \bar{d}\gamma_\mu d + \bar{s}\gamma_\mu s]$$

$$j_{\phi,\mu}^V = -\sqrt{\frac{2}{3}} j_{8,\mu}^V + \sqrt{\frac{1}{3}} j_{0,\mu}^V$$

The vector component of the Z current

$$j_{Z,\mu}^V = (1 - 2s_w^2) j_{\rho^0,\mu}^V - \frac{2}{3} s_w^2 j_{\omega,\mu}^V - \sqrt{2} \left(\frac{1}{2} - \frac{2}{3} s_w^2 \right) j_{\phi,\mu}^V$$

EFFECTIVE MESONS AND HNL INTERACTIONS

- Vector mesons
 - Neutral mesons: ρ^0, ω, ϕ

The effective operators in configuration space

$$\mathcal{O}_{\rho^0 n_i \bar{n}_j} = -\frac{1}{2} G_F C_{ij} (1 - 2s_w^2) f_\rho \rho_\mu^0 (\bar{n}_i \gamma^\mu P_L n_j) + \text{h.c.}$$

$$\mathcal{O}_{\omega n_i \bar{n}_j} = \frac{1}{2} G_F C_{ij} \frac{2}{3} s_w^2 f_\omega \omega_\mu (\bar{n}_i \gamma^\mu P_L n_j) + \text{h.c.}$$

$$\mathcal{O}_{\phi n_i \bar{n}_j} = \frac{1}{2} G_F C_{ij} \sqrt{2} \left(\frac{1}{2} - \frac{2}{3} s_w^2 \right) f_\phi \phi_\mu (\bar{n}_i \gamma^\mu P_L n_j) + \text{h.c.}$$

EFFECTIVE MESONS AND HNL INTERACTIONS

- Vector mesons
 - Charged mesons: $\rho^\pm, K^{*,\pm}$

The charged vector meson, and the vector component of the W currents

$$\begin{aligned} j_{\rho^\pm, \mu}^V &= \frac{1}{\sqrt{2}} \bar{q} \gamma_\mu (\lambda_1 \mp i\lambda_2) q \\ j_{K^{*,\pm}, \mu}^V &= \frac{1}{\sqrt{2}} \bar{q} \gamma_\mu (\lambda_4 \mp i\lambda_5) q \end{aligned} \quad \Rightarrow \quad j_{W, \mu}^V = \frac{1}{\sqrt{2}} \left(V_{ud} j_{\rho^-, \mu}^V + V_{us} j_{K^{*-, \mu}}^V \right)$$

The effective operators of the charged vector mesons in configuration space

$$\mathcal{O}_{\rho \ell_\alpha \bar{n}_i} = -\sqrt{2} G_F U_{\alpha i} V_{ud} f_\rho \rho_\mu^- (\bar{\ell}_\alpha \gamma^\mu P_L n_i) + \text{h.c.}$$

$$\mathcal{O}_{K^* \ell_\alpha \bar{n}_i} = -\sqrt{2} G_F U_{\alpha i} V_{us} f_{K^*} K_\mu^{*-} (\bar{\ell}_\alpha \gamma^\mu P_L n_i) + \text{h.c.}$$

DETERMINING MESON DECAY CONSTANTS

- Pseudoscalar mesons
 - π , K , D and D_s decay constants precisely measured

$$f_\pi = 0.130 \text{ GeV} \quad f_K = 0.156 \text{ GeV} \quad f_D = 0.212 \text{ GeV} \quad f_{D_s} = 0.249 \text{ GeV}$$

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- η and η' are not interaction eigenstates \Rightarrow **effective** constants are employed

$$f_\eta : \left(\frac{\cos \theta_8 f_8}{\sqrt{3}} + \frac{\sin \theta_0 f_0}{\sqrt{6}} \right)$$
$$f_{\eta'} : \left(\frac{\sin \theta_8 f_8}{\sqrt{3}} - \frac{\cos \theta_0 f_0}{\sqrt{6}} \right)$$

with

f_0	0.148 GeV	θ_0	-6.9°
f_8	0.165 GeV	θ_8	-21.2°

DETERMINING MESON DECAY CONSTANTS

- Vector mesons

V resonances **wide & unstable** under QCD $\Rightarrow f_V$ **not easily defined**

– Neutral mesons: ρ^0, ω, ϕ

Compute Γ for decay mediated by EW interaction precisely measured and compare the result to the experimental values from PDG.

$V \rightarrow e^+e^-$ has been precisely measured and is dominated by γ exchange.

Decompose the EM current as a linear combination of the meson currents

$$j_{\text{EM},\mu}^V = ieQ\bar{q}\gamma_\mu q = ie \left(j_{\rho,\mu}^V + \frac{1}{3}j_{\omega,\mu}^V - \frac{\sqrt{2}}{3}j_{\phi,\mu}^V \right)$$

DETERMINING MESON DECAY CONSTANTS

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The width for the vector meson decays into e^+e^- pairs mediated by a photon

$$\Gamma(\rho \rightarrow e^+e^-) = \frac{2\pi}{3} \frac{\alpha^2 f_\rho^2}{m_\rho^3}$$

$$\Gamma(\omega \rightarrow e^+e^-) = \frac{2\pi}{27} \frac{\alpha^2 f_\omega^2}{m_\omega^3} \quad \Rightarrow$$

$$\Gamma(\phi \rightarrow e^+e^-) = \frac{4\pi}{27} \frac{\alpha^2 f_\phi^2}{m_\phi^3}$$

$$f_\rho = 0.171 \text{ GeV}^2$$

$$f_\omega = 0.155 \text{ GeV}^2$$

$$f_\phi = 0.232 \text{ GeV}^2$$

DETERMINING MESON DECAY CONSTANTS

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ρ^\pm isospin breaking corrections should be negligible $\Rightarrow f_{\rho^\pm} \approx f_{\rho^0}$

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Combining EW measurements of the $\tau \rightarrow \rho \nu_\tau$ and $\tau \rightarrow K^* \nu_\tau$

$$\frac{f_{K^*}}{f_\rho} = 1.042 \quad \Rightarrow \quad f_{K^*} = 0.178 \text{ GeV}^2$$

DETERMINING MESON DECAY CONSTANTS

Determining the meson decay constants and semileptonic decay form factors

Most of the parametrizations for the hadronic form factors are given in the literature in terms of f_+ and f_0

$$f_0(q^2) = f_+(q^2) + \frac{q^2}{M_D^2 - M_P^2} f_-(q^2)$$

Linear parametrization for $K \rightarrow \pi l$

$$f_{+,0}^{K\pi}(q^2) = f_{+}^{K\pi}(0) \left(1 + \lambda_{+,0}^{K\pi} \frac{q^2}{M_{\pi^+}^2} \right)$$

PD	$f_{+}^{\text{PD}}(0)$	λ_{+}^{PD}	λ_0^{PD}
$K^{\pm}\pi^0$	0.9749	0.0297	0.0195
$K^0\pi^{\pm}$		0.0282	0.0138

We have numerically checked with MadGraph5 that our implementation of the semileptonic decays reaches an agreement of at least a 95% with the measured branching ratios for the SM decay channels $K \rightarrow \pi l$ and $D \rightarrow K l$

DETERMINING MESON DECAY CONSTANTS

Determining the meson decay constants and semileptonic decay form factors

Most of the parametrizations for the hadronic form factors are given in the literature in terms of f_+ and f_0

$$f_0(q^2) = f_+(q^2) + \frac{q^2}{M_D^2 - M_P^2} f_-(q^2)$$

Pole parametrization for $D \rightarrow K\ell$

$$f_+^{DK}(q^2) = \frac{f_+^{DK}(0) + c_+^{DK}(z - z_0) \left(1 + \frac{z + z_0}{2}\right)}{1 - \frac{q^2}{M_{D_s^*}^2}}$$

$$f_0^{DK}(q^2) = f_+^{DK}(0) + c_0^{DK}(z - z_0) \left(1 + \frac{z + z_0}{2}\right)$$

$f_+^{DK}(0)$	c_+^{DK}	c_0^{DK}
0.7647	-0.066	-2.084

$$z = \frac{\sqrt{t_+ - q^2} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - q^2} + \sqrt{t_+ - t_0}}$$

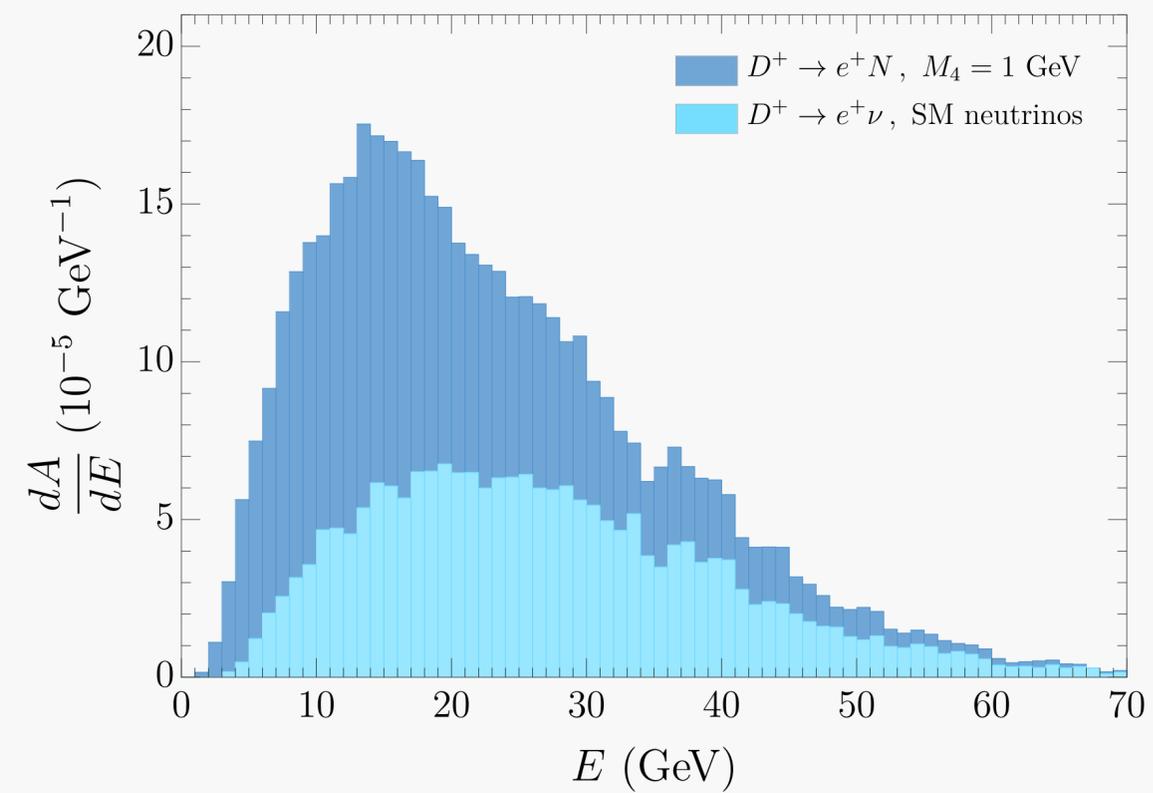
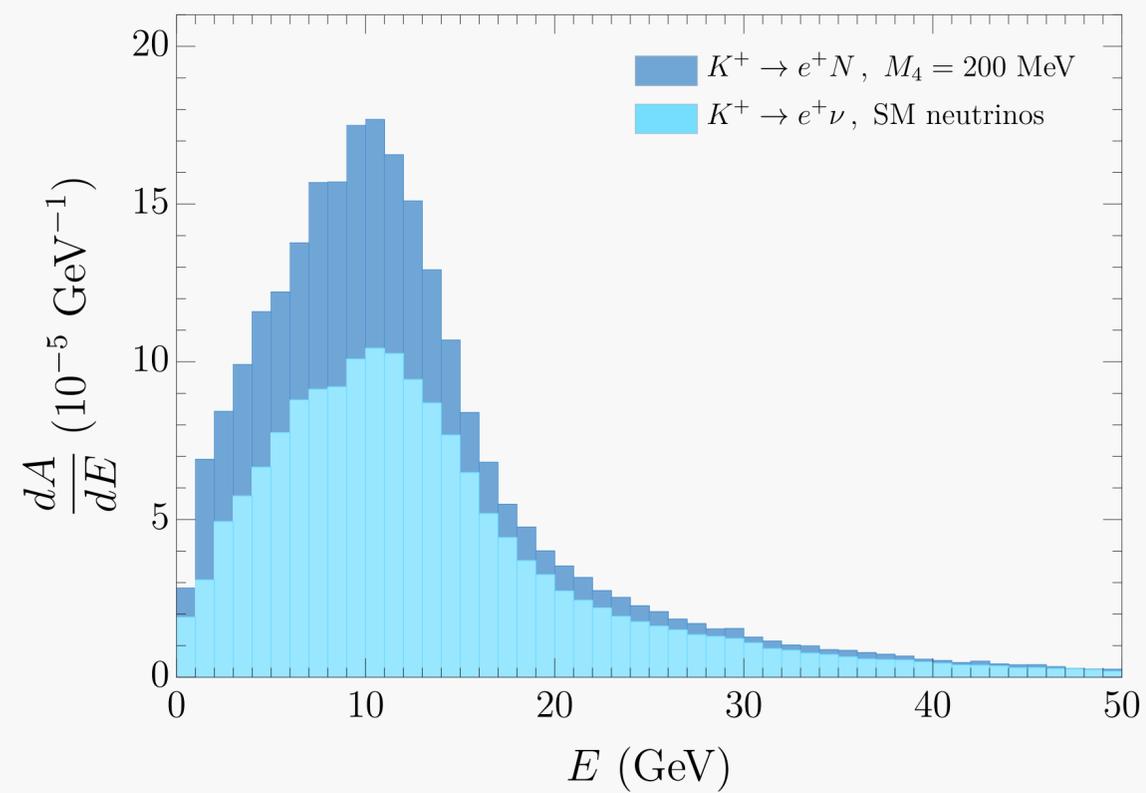
$$z_0 \equiv z(q^2 = 0)$$

$$t_0 = (M_D + M_P) (\sqrt{M_D} - \sqrt{M_P})^2$$

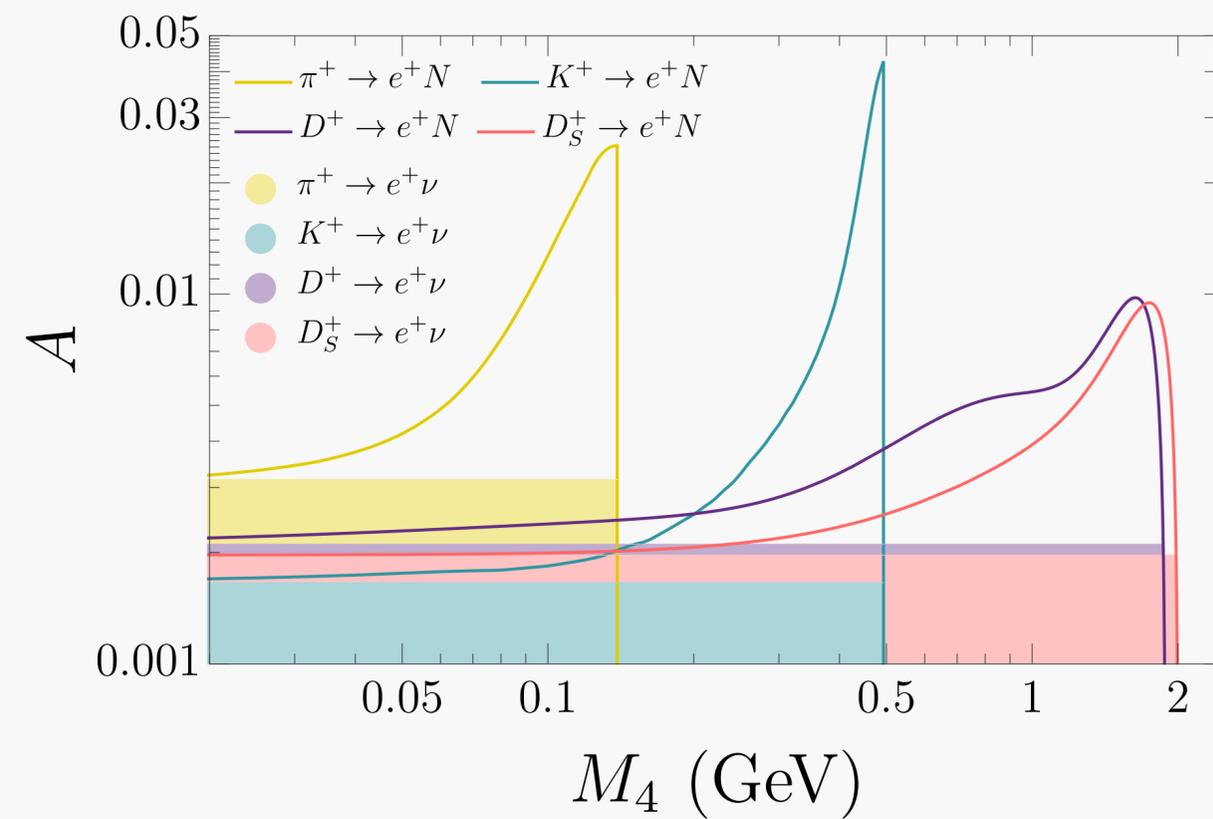
$$t_+ = (M_D + M_P)^2$$

We have numerically checked with MadGraph5 that our implementation of the semileptonic decays reaches an agreement of at least a 95% with the measured branching ratios for the SM decay channels $K \rightarrow \pi\ell\nu$ and $D \rightarrow K\ell\nu$

THE BOOST EFFECT

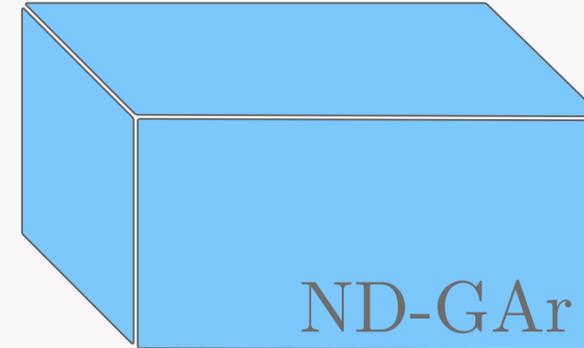


THE BOOST EFFECT



HNL FLUX SIMULATION

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1) Fluxes of mesons produced in the target

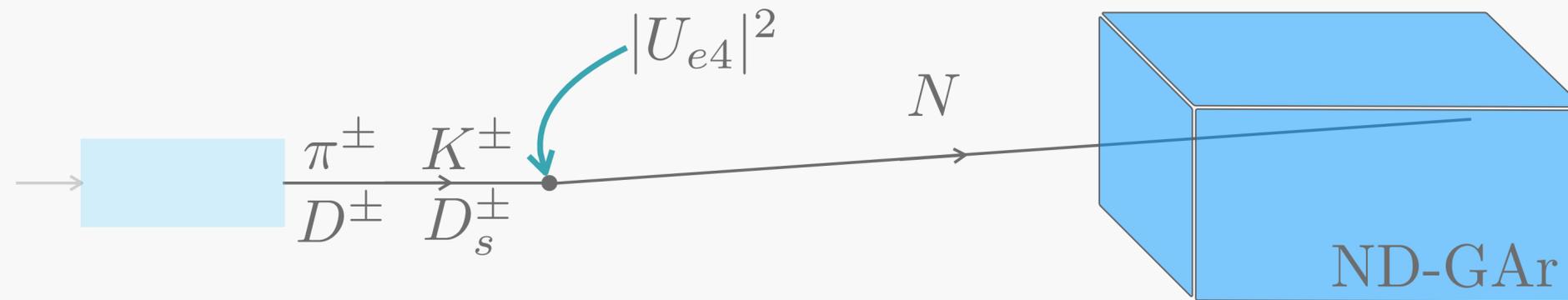
- π^\pm and K^\pm from the official DUNE TDR n-tuples*
- D^\pm , D_s^\pm and τ^\pm simulated with Pythia8 + GEANT4

	π	K	τ	D	D_s
P^+/PoT	6.3	0.54	$2.1 \cdot 10^{-7}$	$1.2 \cdot 10^{-5}$	$3.3 \cdot 10^{-6}$
P^-/PoT	5.7	0.24	$3.0 \cdot 10^{-7}$	$1.9 \cdot 10^{-5}$	$4.6 \cdot 10^{-6}$

*most up to date optimized 3-horn design (1.5m target) by L. Fields et al.

HNL FLUX SIMULATION

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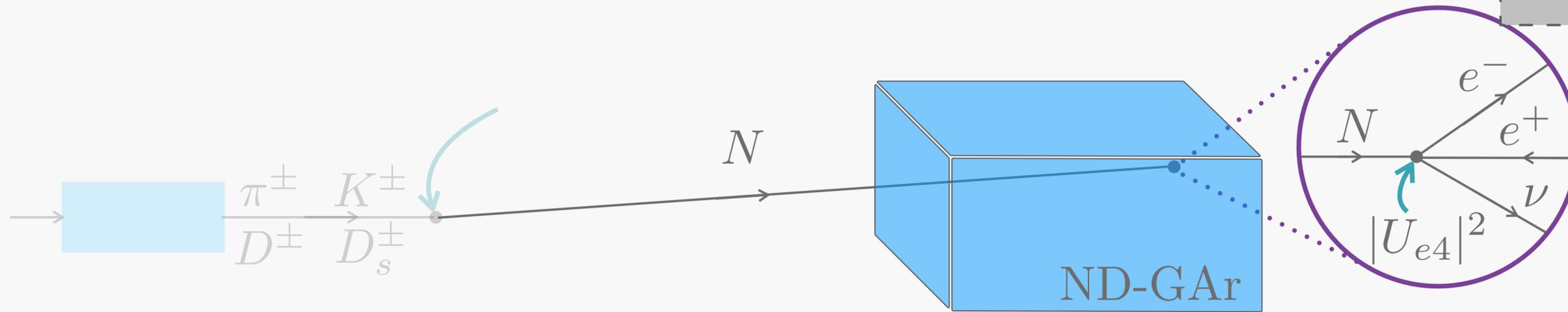
2) MadGraph events of HNL production via meson decays

Parent	2-body decay	3-body decay
$\pi^+ \rightarrow$	$e^+ N_4$ $\mu^+ N_4$	—
$K^+ \rightarrow$	$e^+ N_4$ $\mu^+ N_4$	$\pi^0 e^+ N_4$ $\pi^0 \mu^+ N_4$
$\tau^- \rightarrow$	$\pi^- N_4$ $\rho^- N_4$	$e^- \bar{\nu} N_4$ $\mu^- \bar{\nu} N_4$

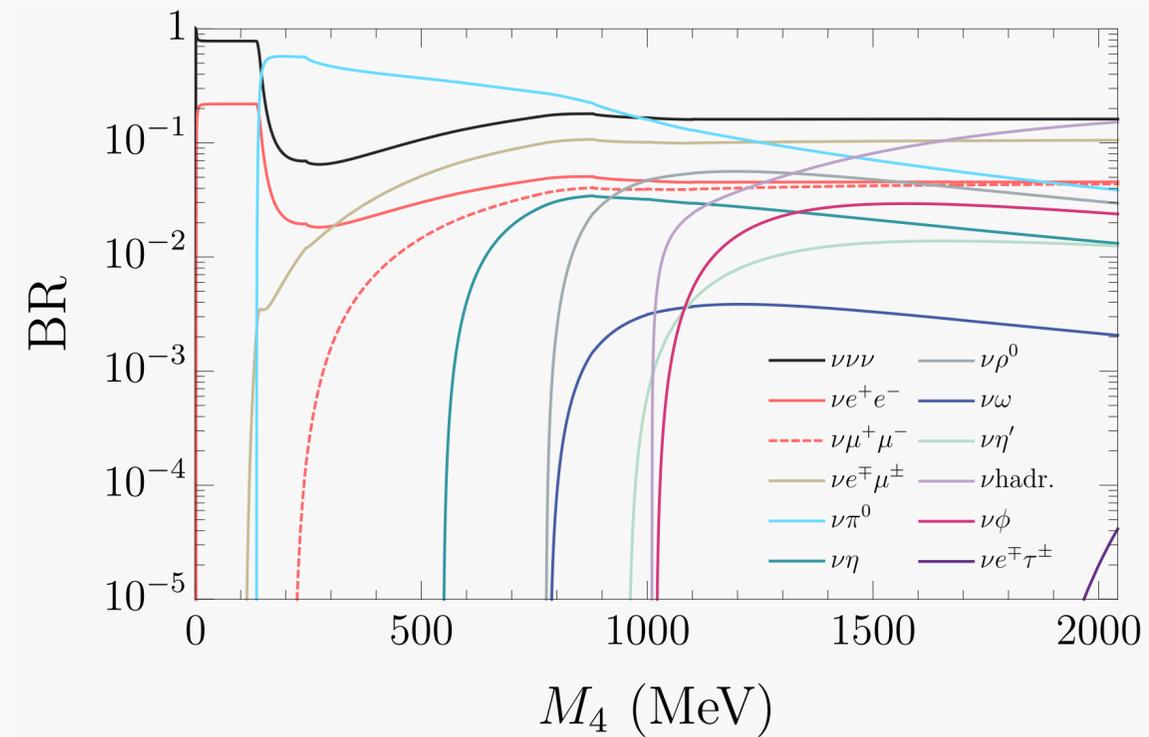
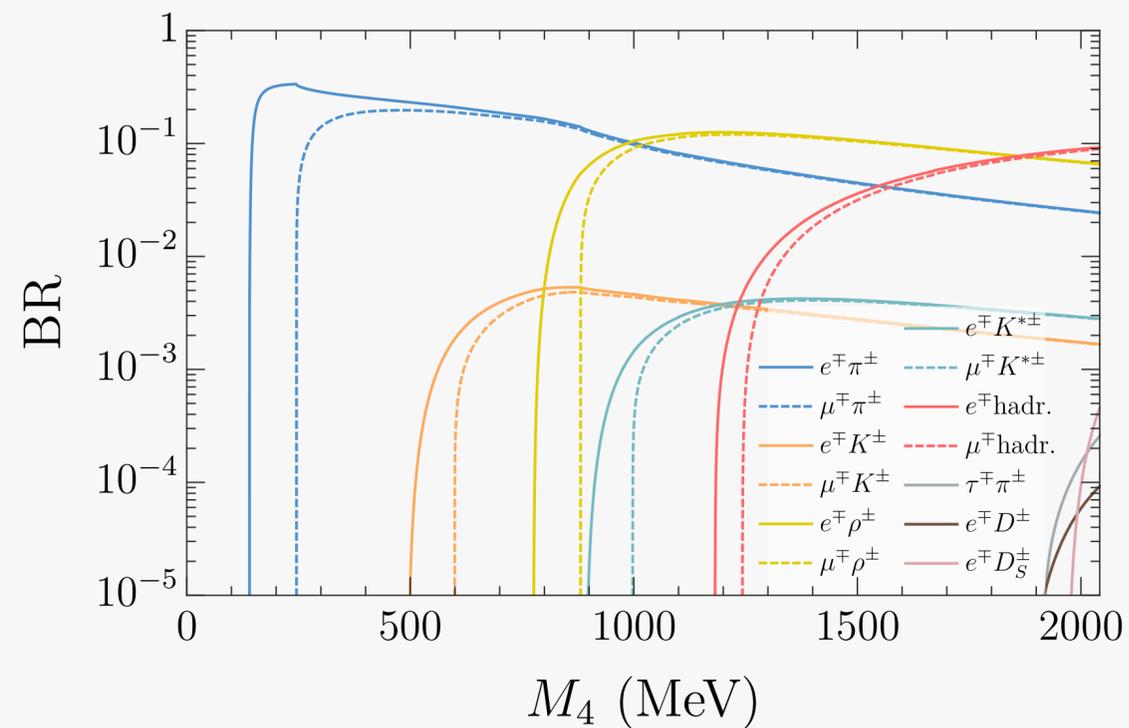
Parent	2-body decay	3-body decay
$D^+ \rightarrow$	$e^+ N_4$ $\mu^+ N_4$ $\tau^+ N_4$	$e^+ \bar{K}^0 N_4$ $\mu^+ \bar{K}^0 N_4$
$D_s^+ \rightarrow$	$e^+ N_4$ $\mu^+ N_4$ $\tau^+ N_4$	—

HNL FLUX SIMULATION

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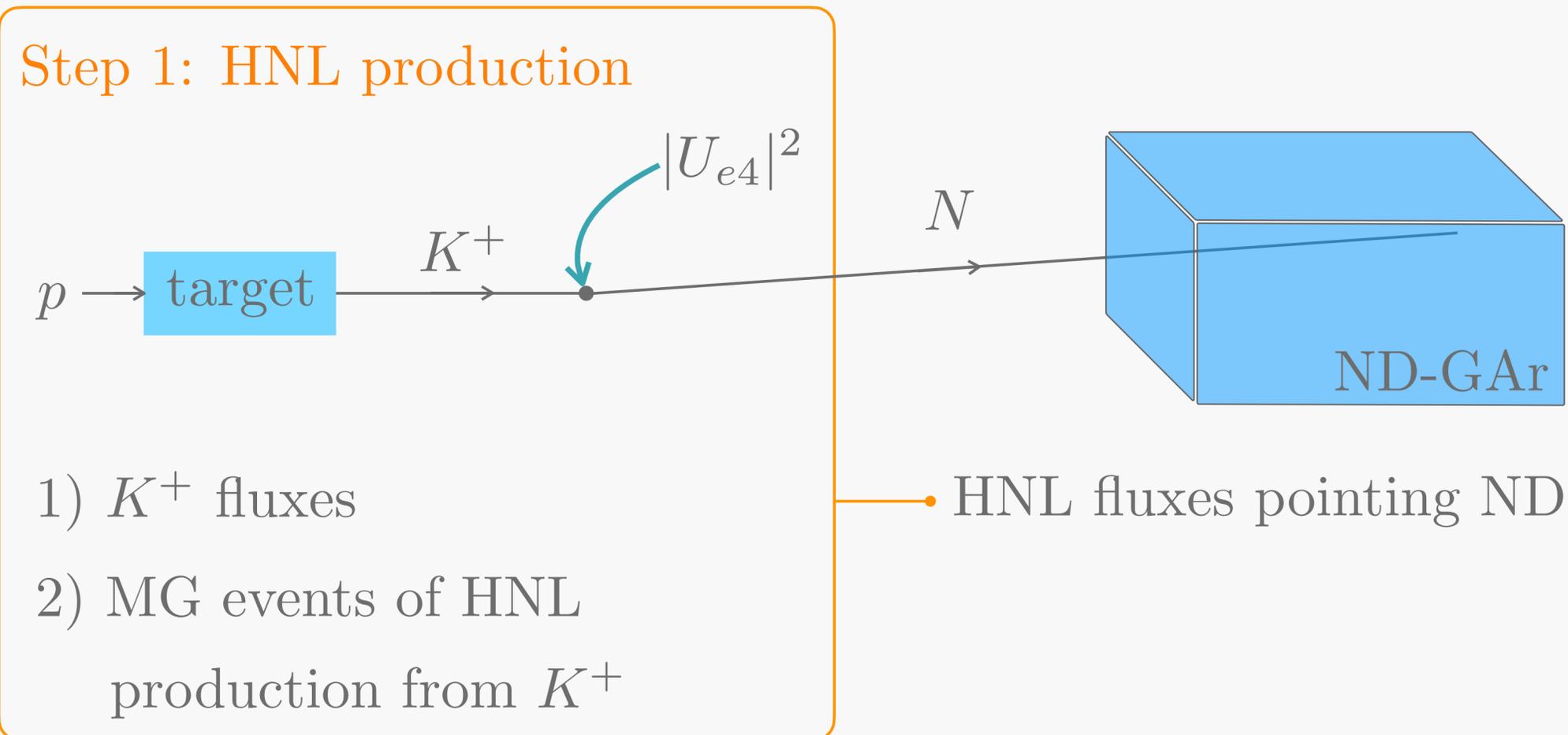
3) MadGraph events of HNL decays into SM particles



HNL FLUX SIMULATION

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Example: DUNE ND sensitivity to HNL from K^+ decays



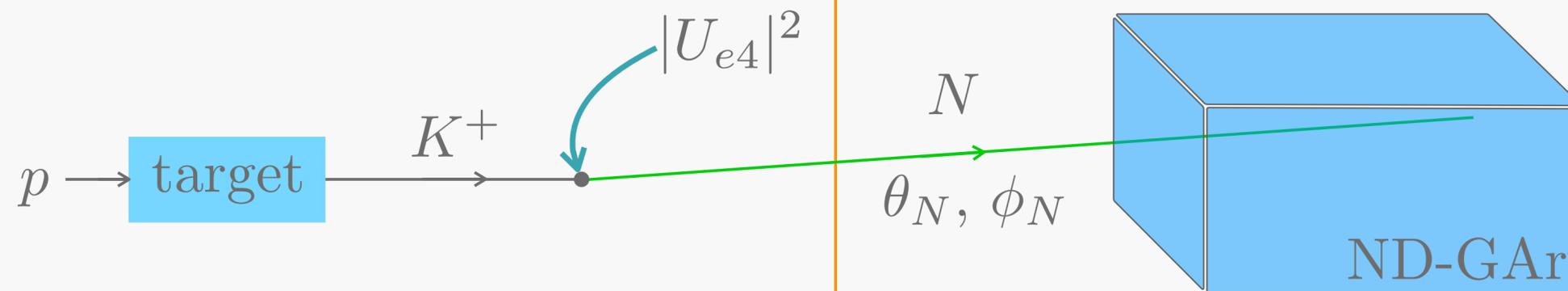
Each MG event **matched** to one K^+ in the histogram and boosted to the lab frame (LF).

HNL FLUX SIMULATION

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Example: DUNE ND sensitivity to HNL from K^+ decays

Step 1: HNL production



1) K^+ fluxes

2) MG events of HNL
production from K^+

• HNL fluxes pointing ND

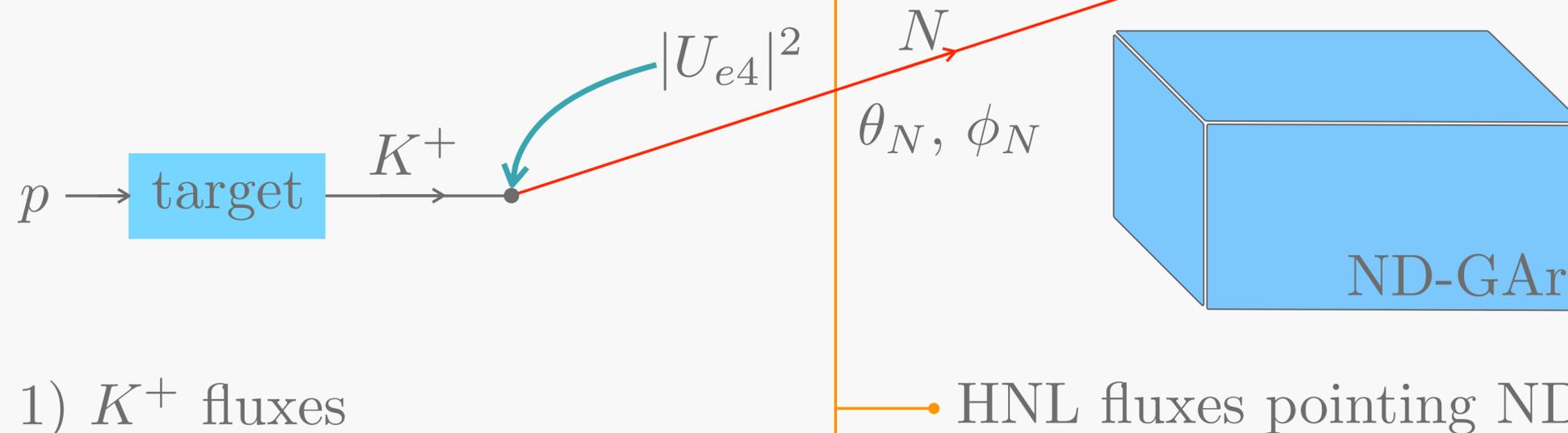
Each MG event **matched** to one K^+ in the histogram and boosted to the lab frame (LF). If HNL pointing the DUNE ND, is stored.

HNL FLUX SIMULATION

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Example: DUNE ND sensitivity to HNL from K^+ decays

Step 1: HNL production



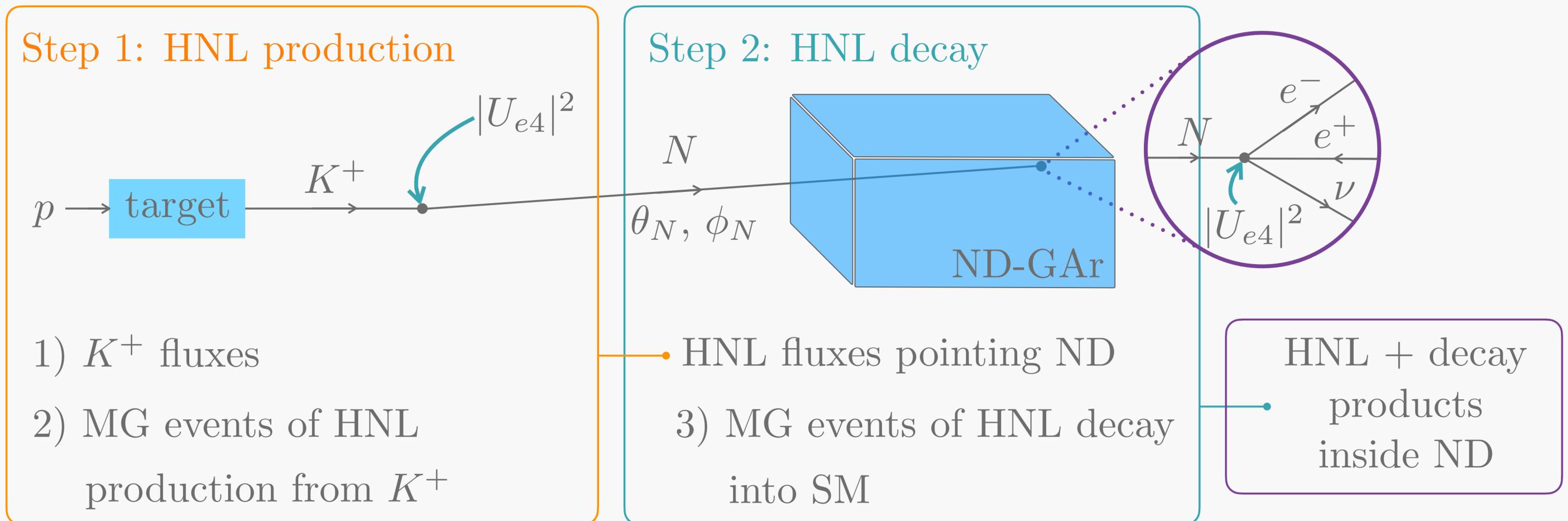
- 1) K^+ fluxes
- 2) MG events of HNL production from K^+

Each MG event **matched** to one K^+ in the histogram and boosted to the lab frame (LF). If HNL pointing the DUNE ND, is stored.

HNL FLUX SIMULATION

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Example: DUNE ND sensitivity to HNL from K^+ decays

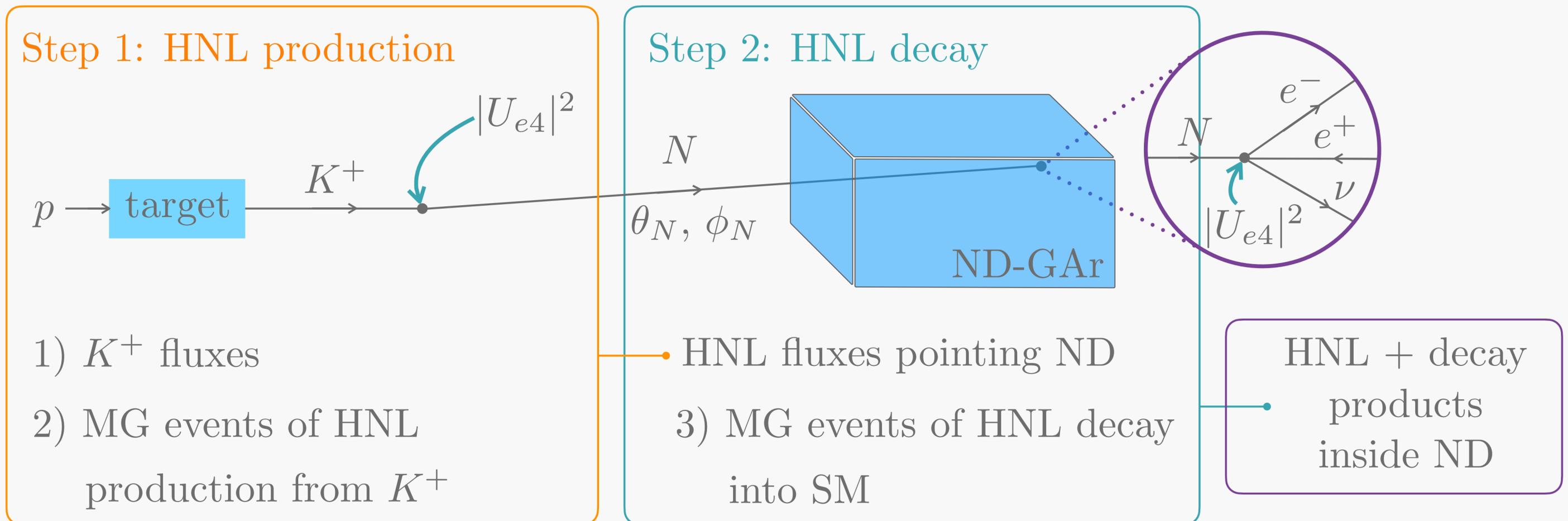


Each MG event **matched** to one HNL in the histogram and boosted to LF.

HNL FLUX SIMULATION

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Example: DUNE ND sensitivity to HNL from K^+ decays

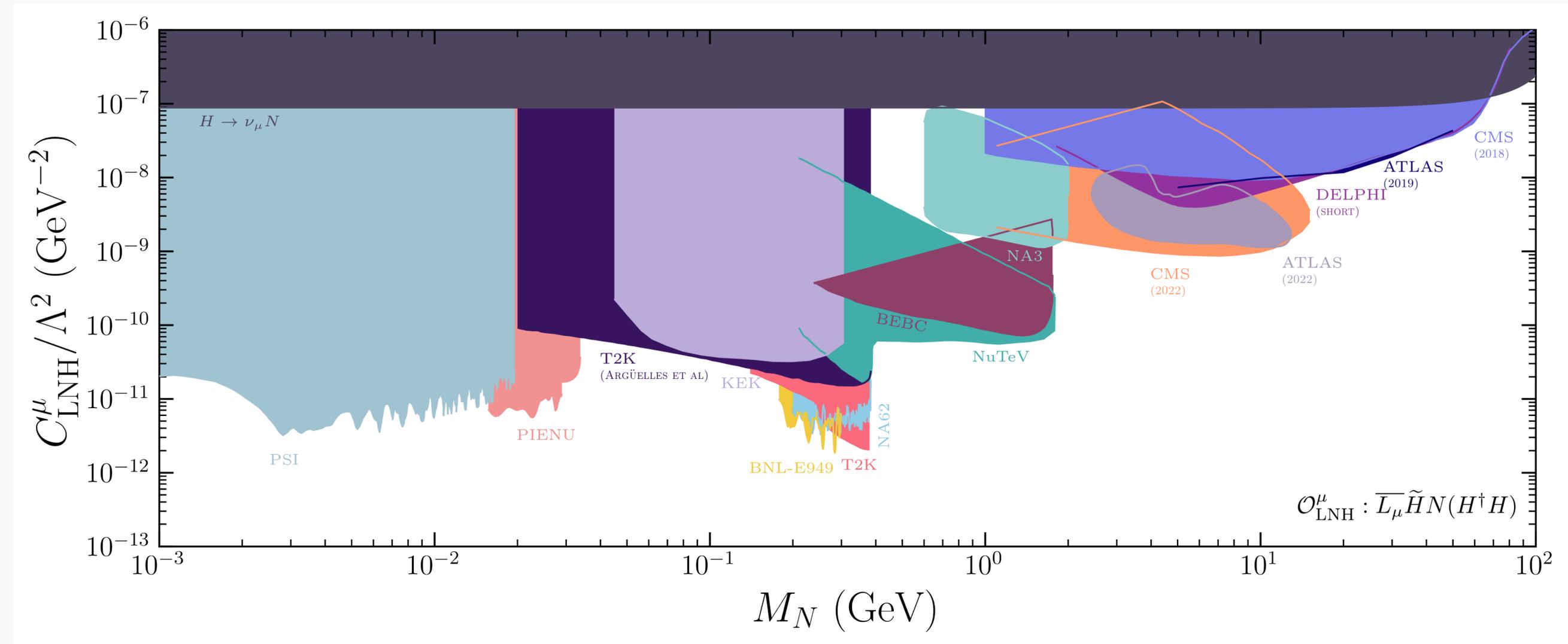


Each MG event **matched** to one HNL in the histogram and boosted to LF.

Repeat **Step 1** and **2** for all the mesons assuming **different** M_4 and $|U_{\alpha 4}|^2$.

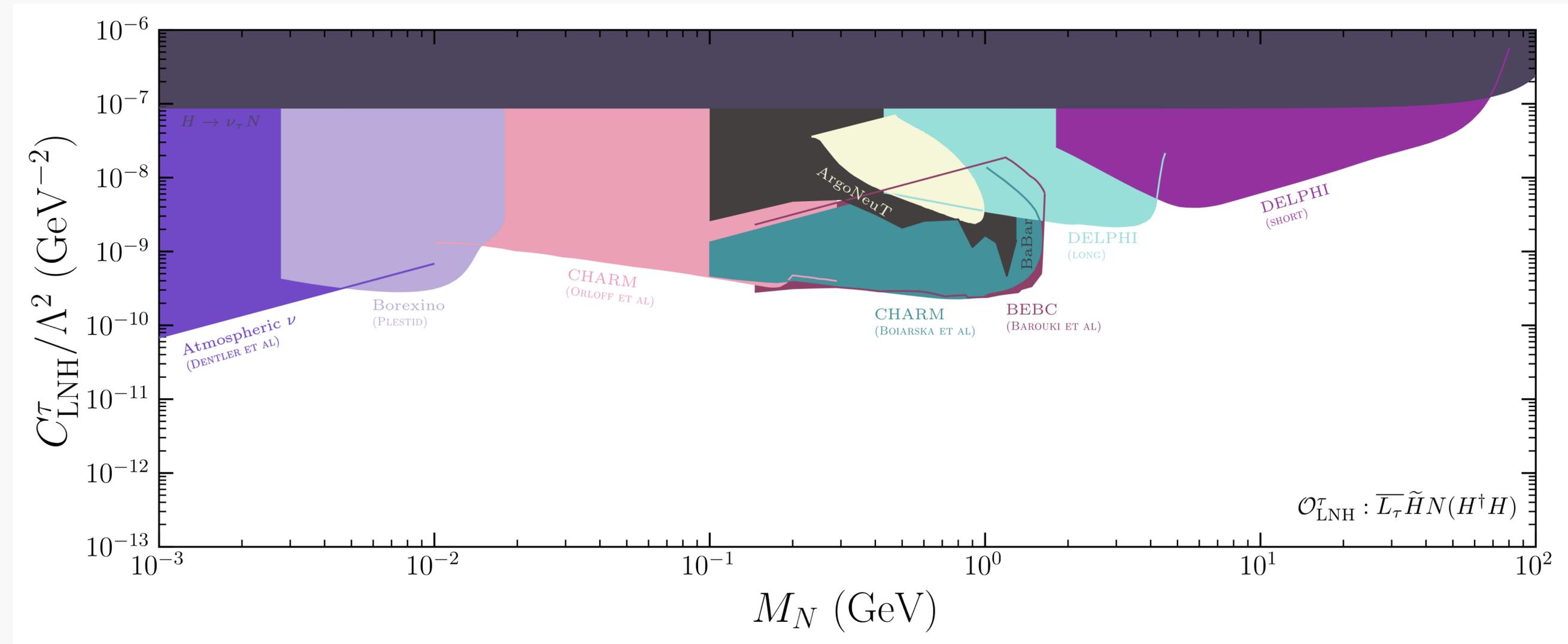
BOUNDS ON ν -SMEFT

- Higgs-dressed mixing



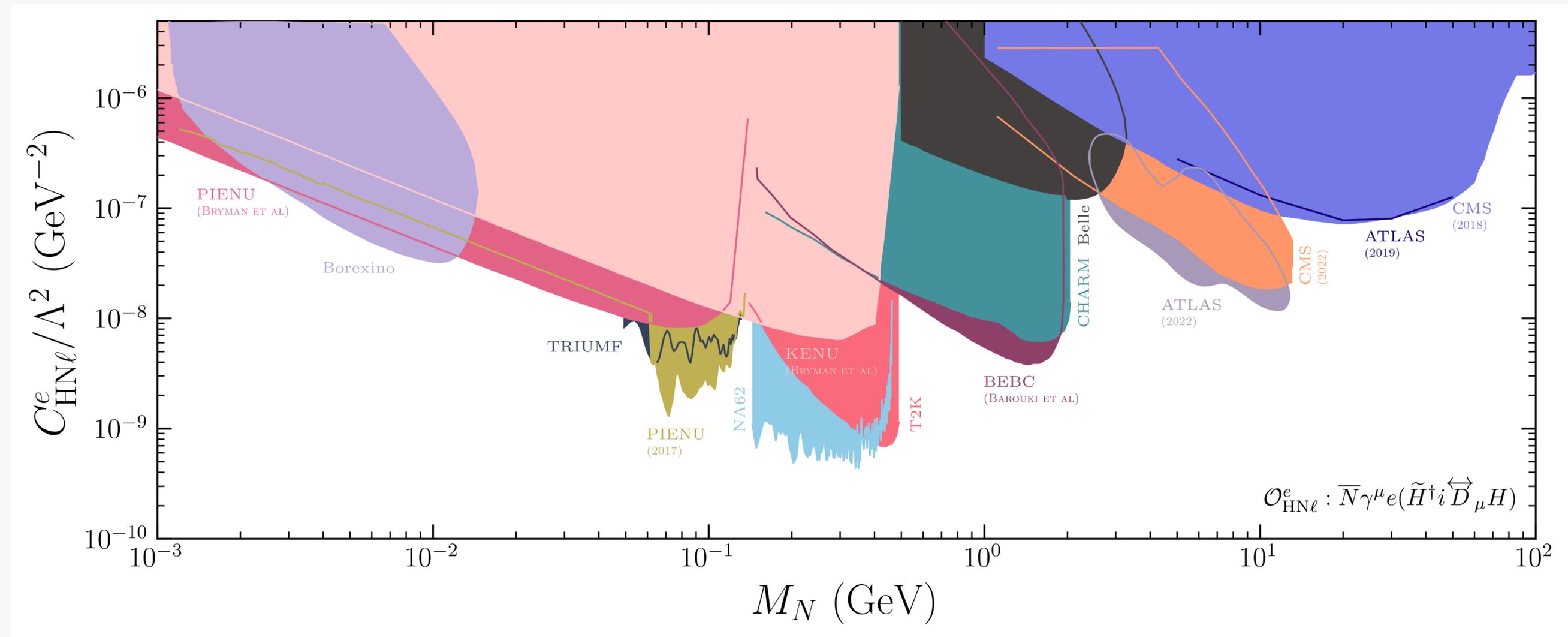
BOUNDS ON ν -SMEFT

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BOUNDS ON ν -SMEFT

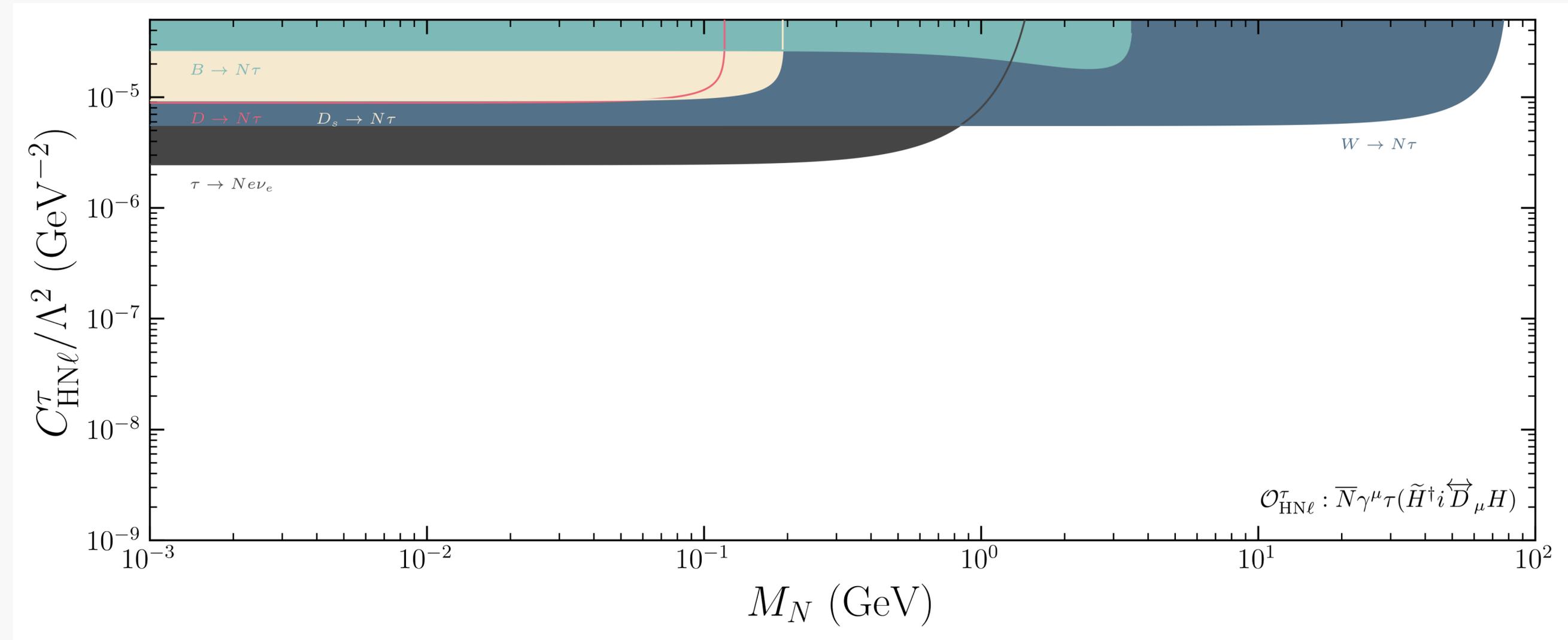
- Bosonic currents: charged



Equivalent bounds on $C_{HN\ell}^e / \Lambda^2$ and $C_{HN\ell}^\tau / \Lambda^2$. See back-up slides

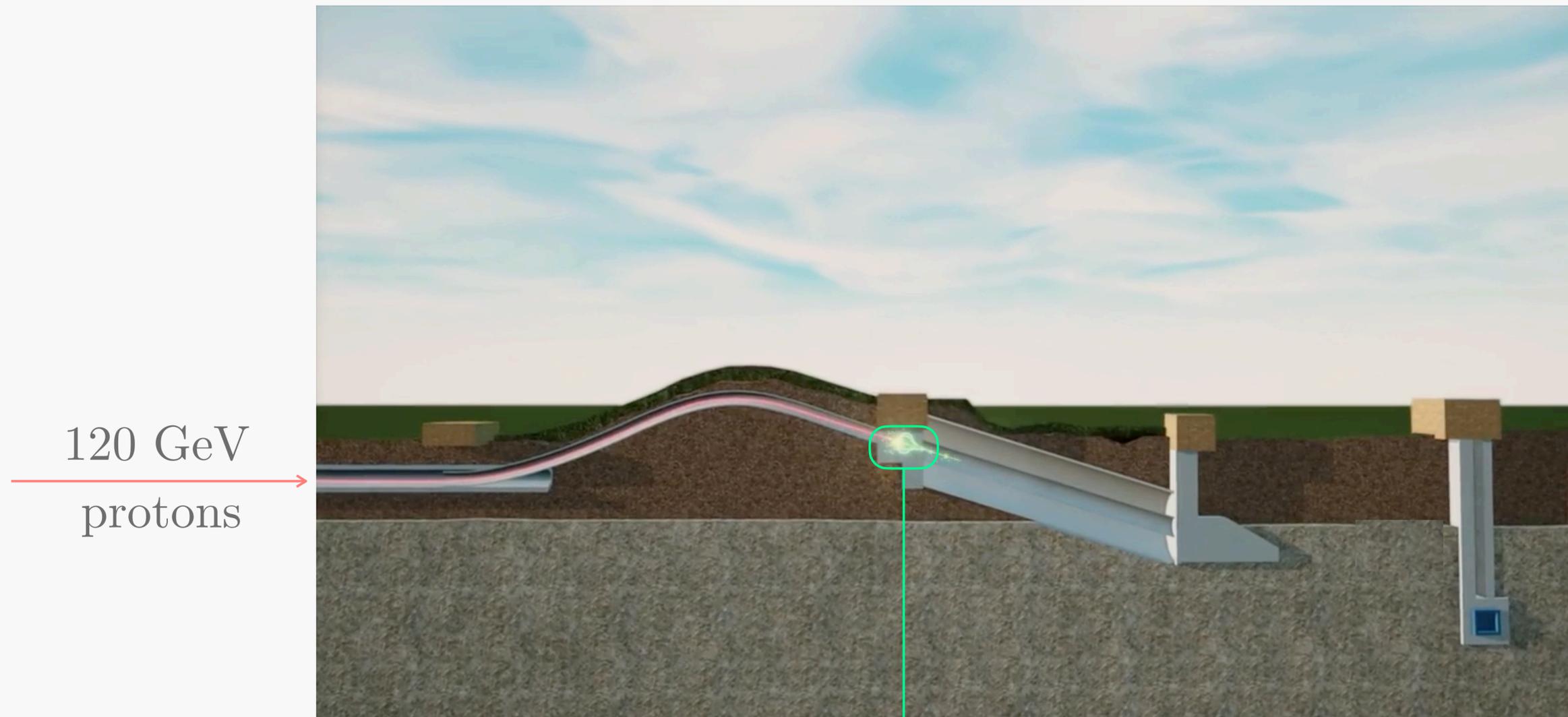
BOUNDS ON ν -SMEFT

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INTRODUCTION TO HNL

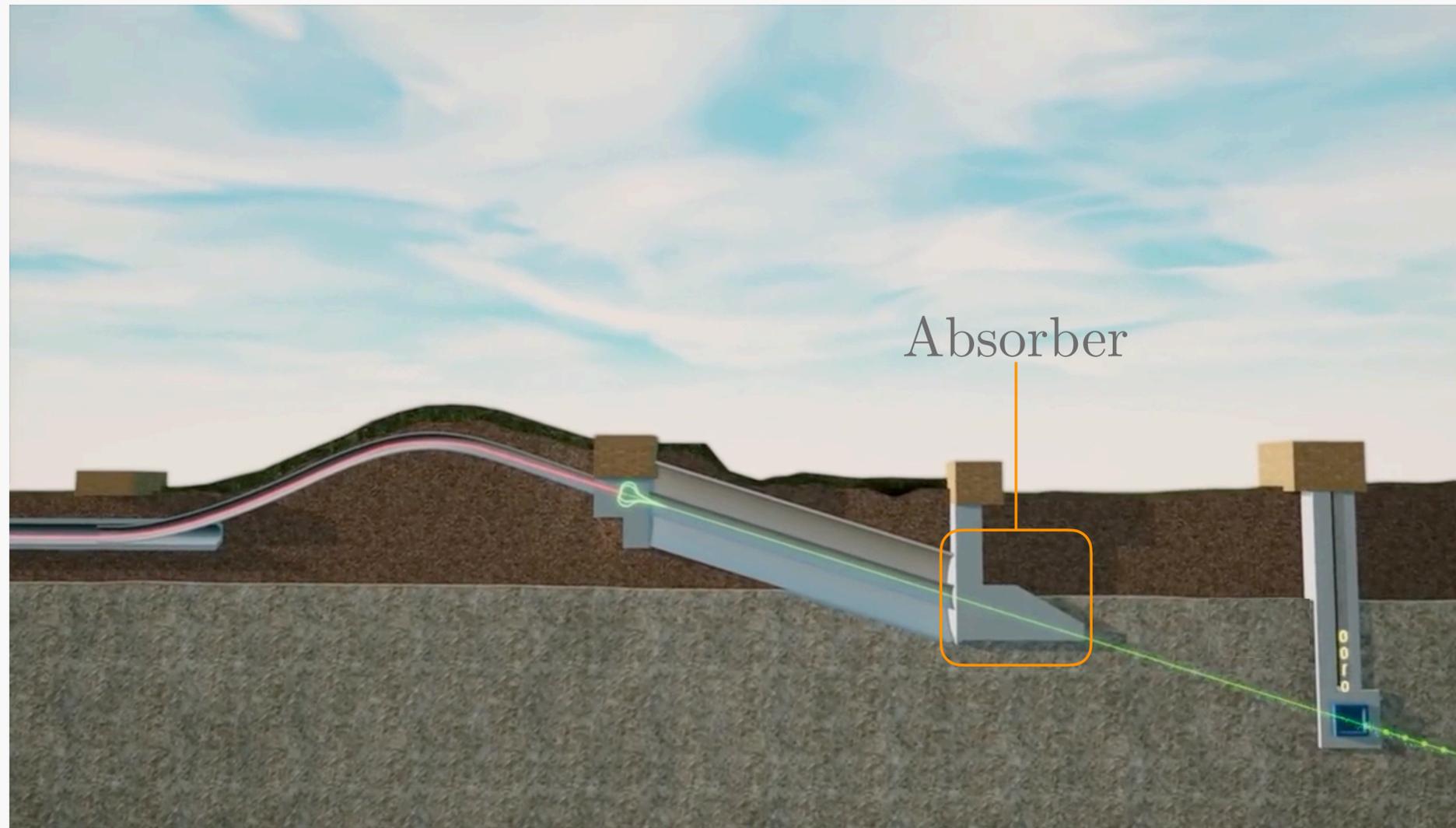
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Meson production: π^\pm , K^\pm , D^\pm & D_s^\pm

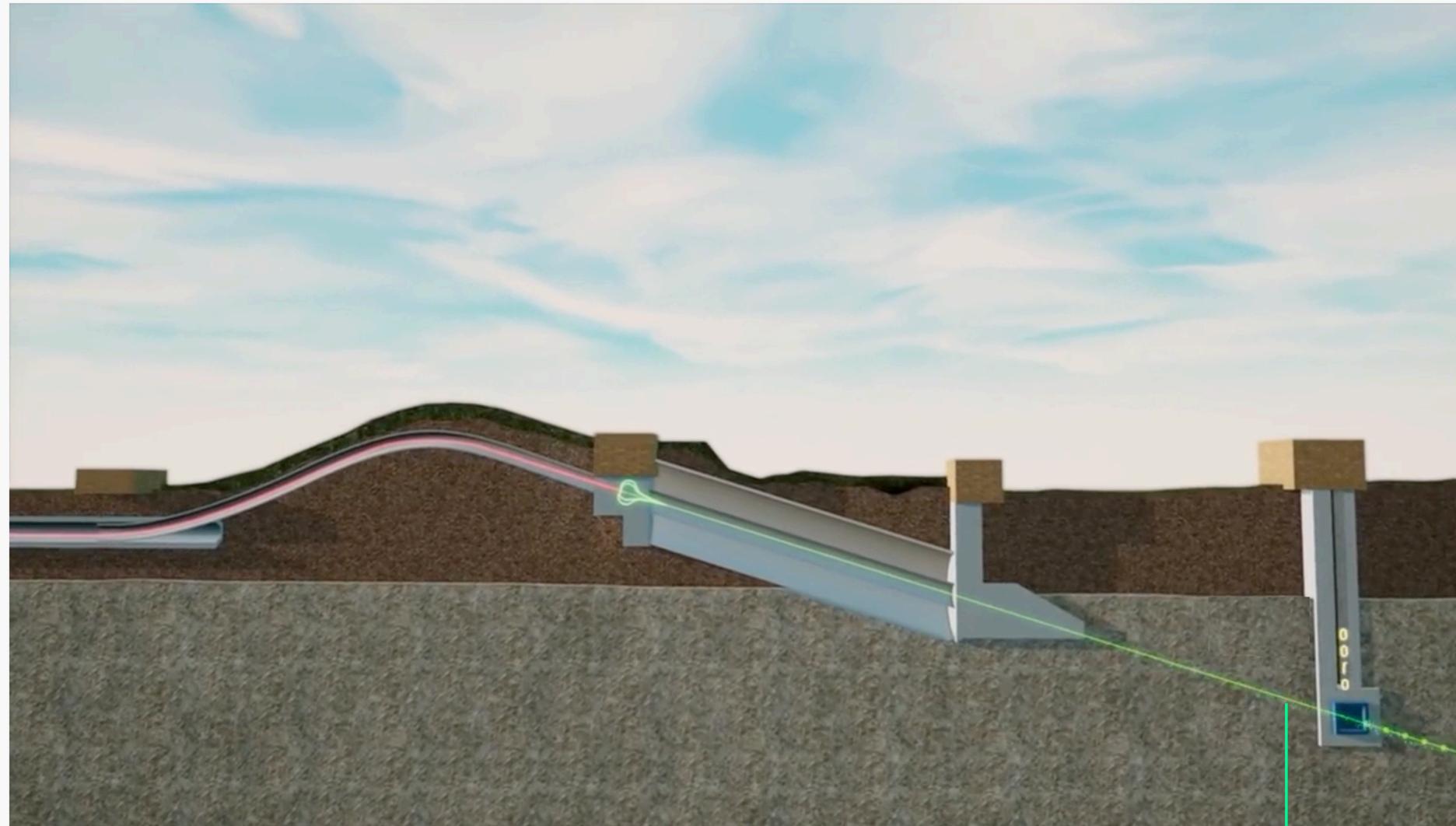
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INTRODUCTION TO HNL

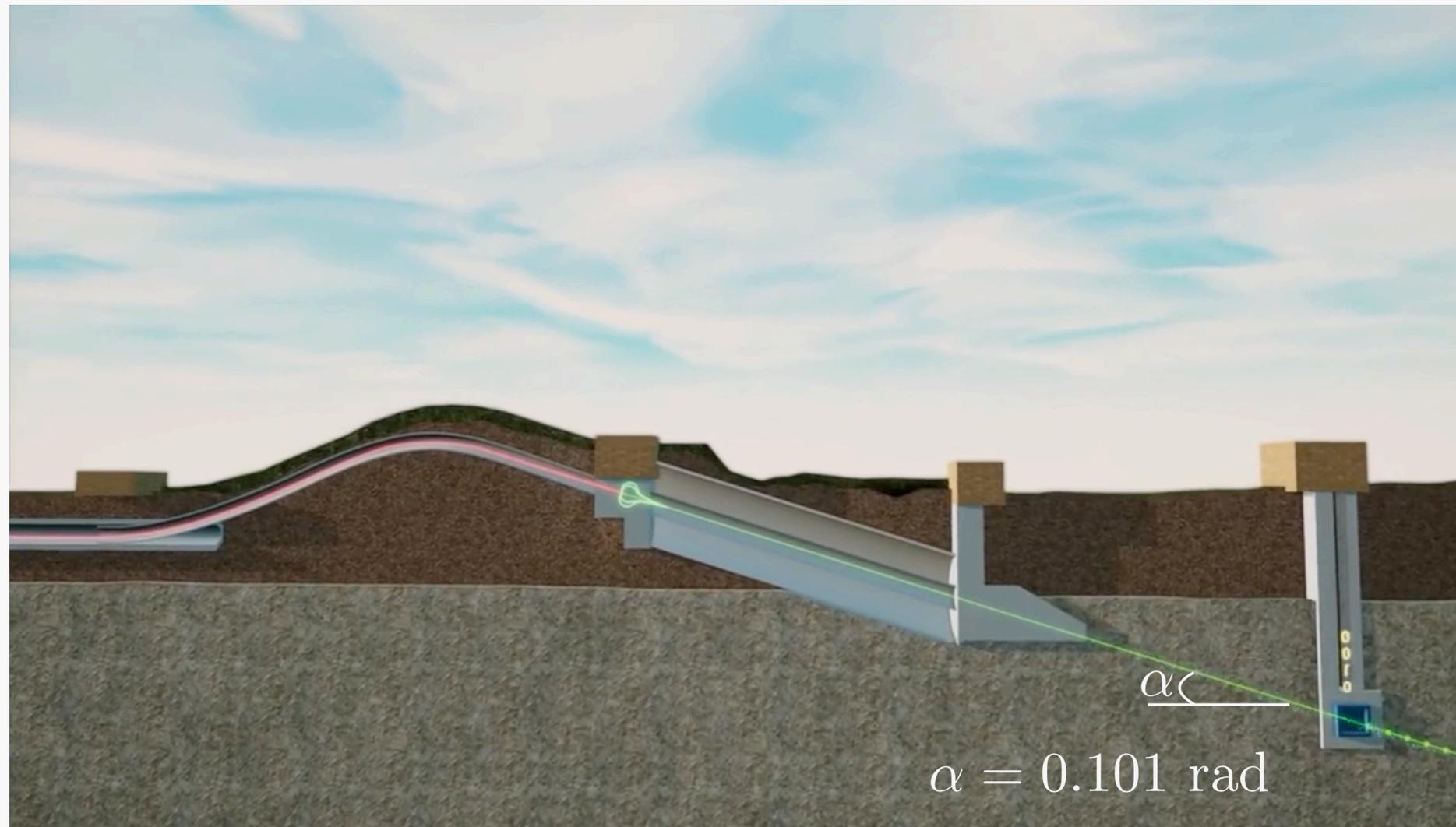
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$\nu/\bar{\nu}$ beam

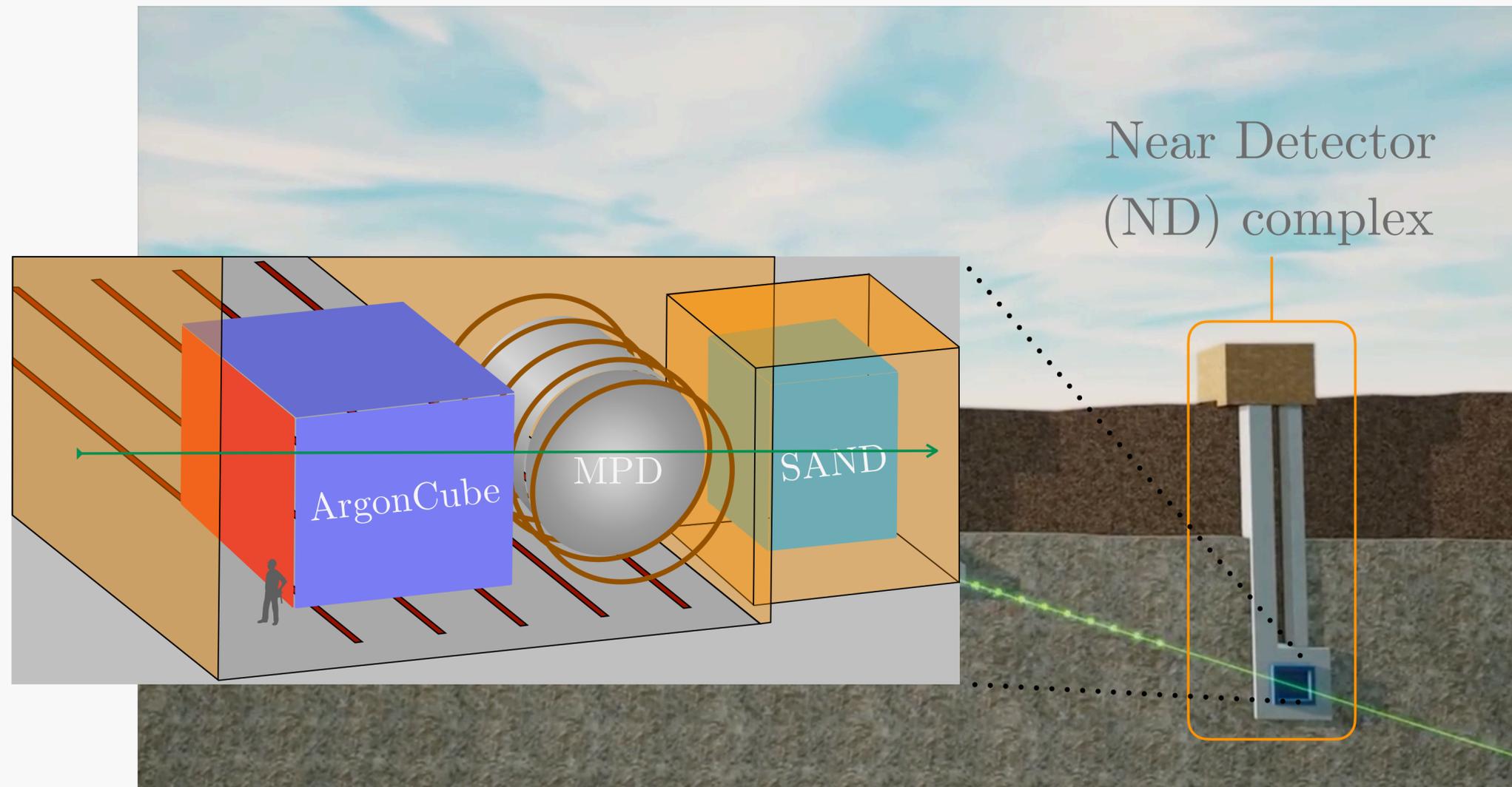
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INTRODUCTION TO HNL

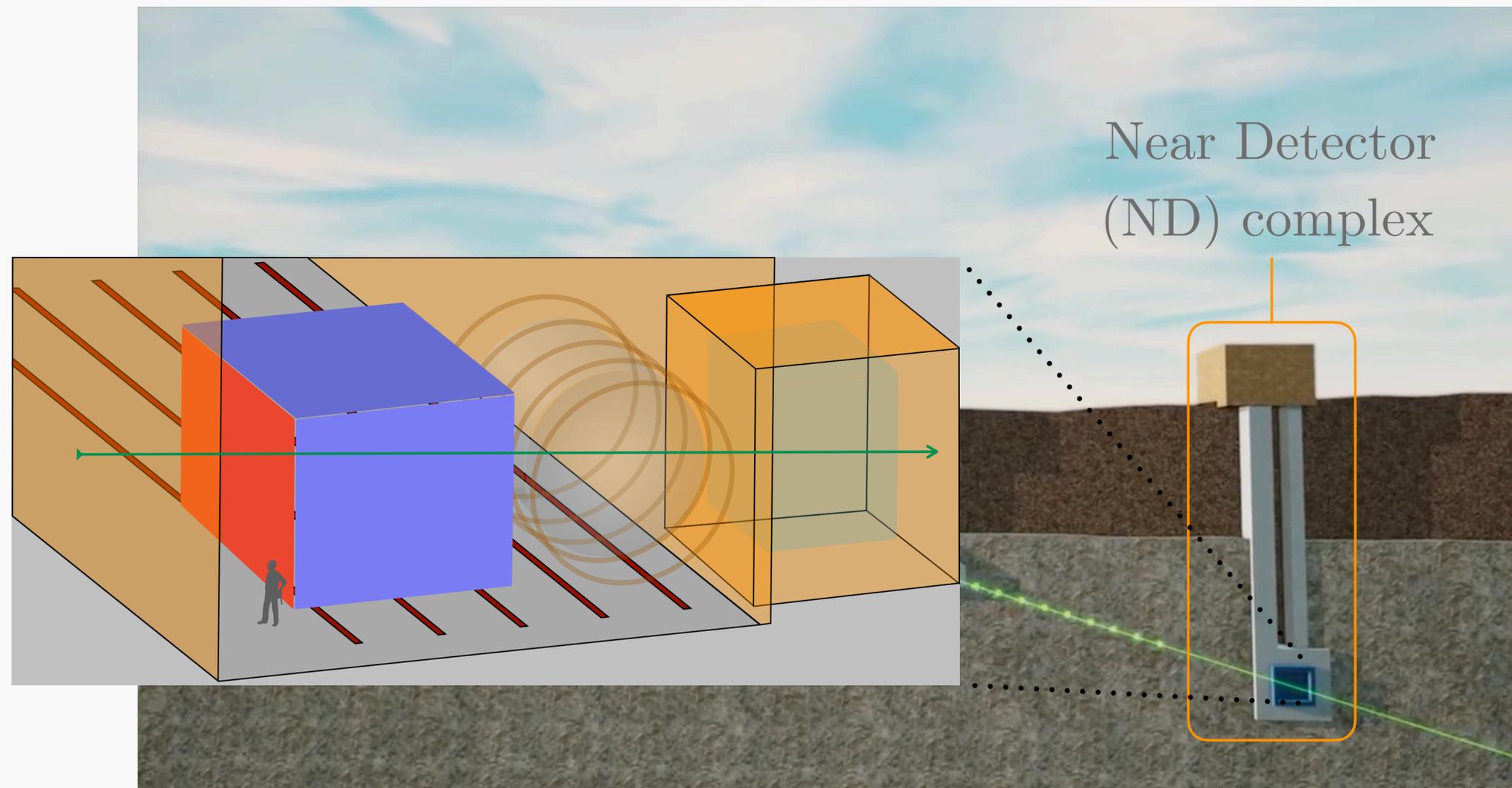
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- ArgonCube: LArTPC rectangle of (7, 3, 5) m
- MPD: HPgTPC + ECAL in a 0.5 T magnetic field cylinder of (5, 5) m
- SAND: on-axis beam monitor

INTRODUCTION TO HNL

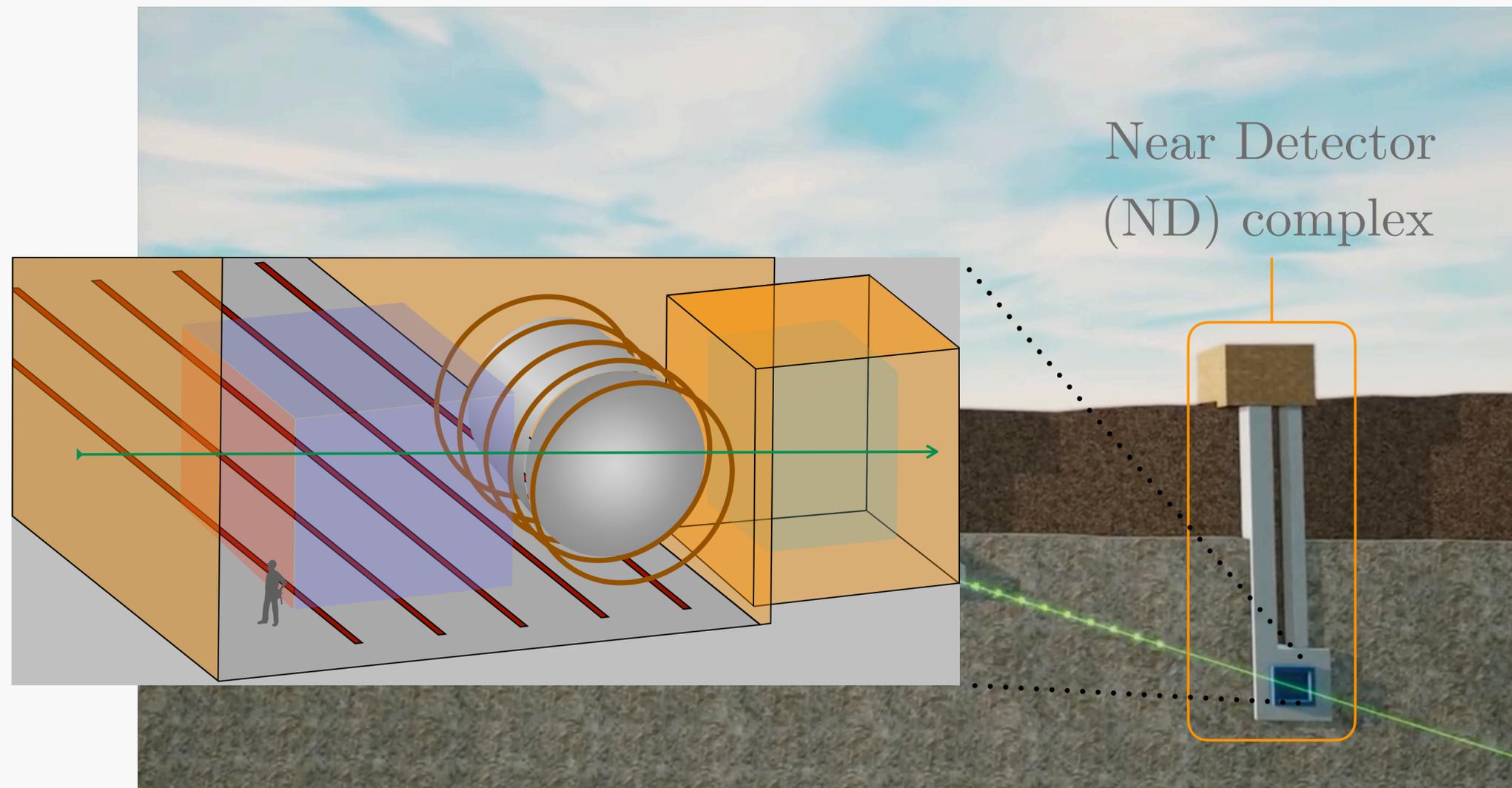
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ArgonCube: LArTPC rectangle of (7, 3, 5) m

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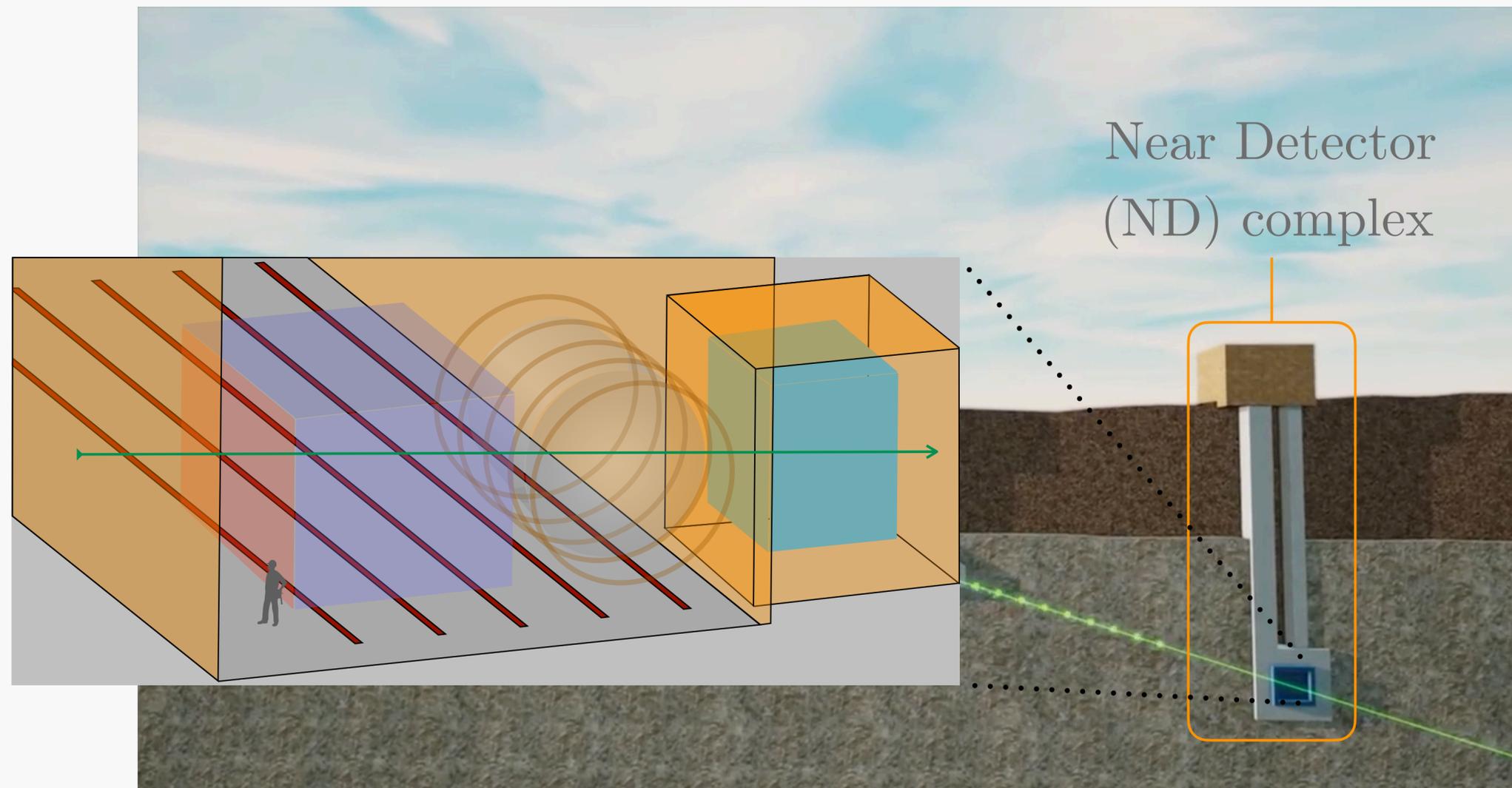
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MPD: HPgTPC + ECAL in a 0.5 T magnetic field cylinder of (5, 5) m

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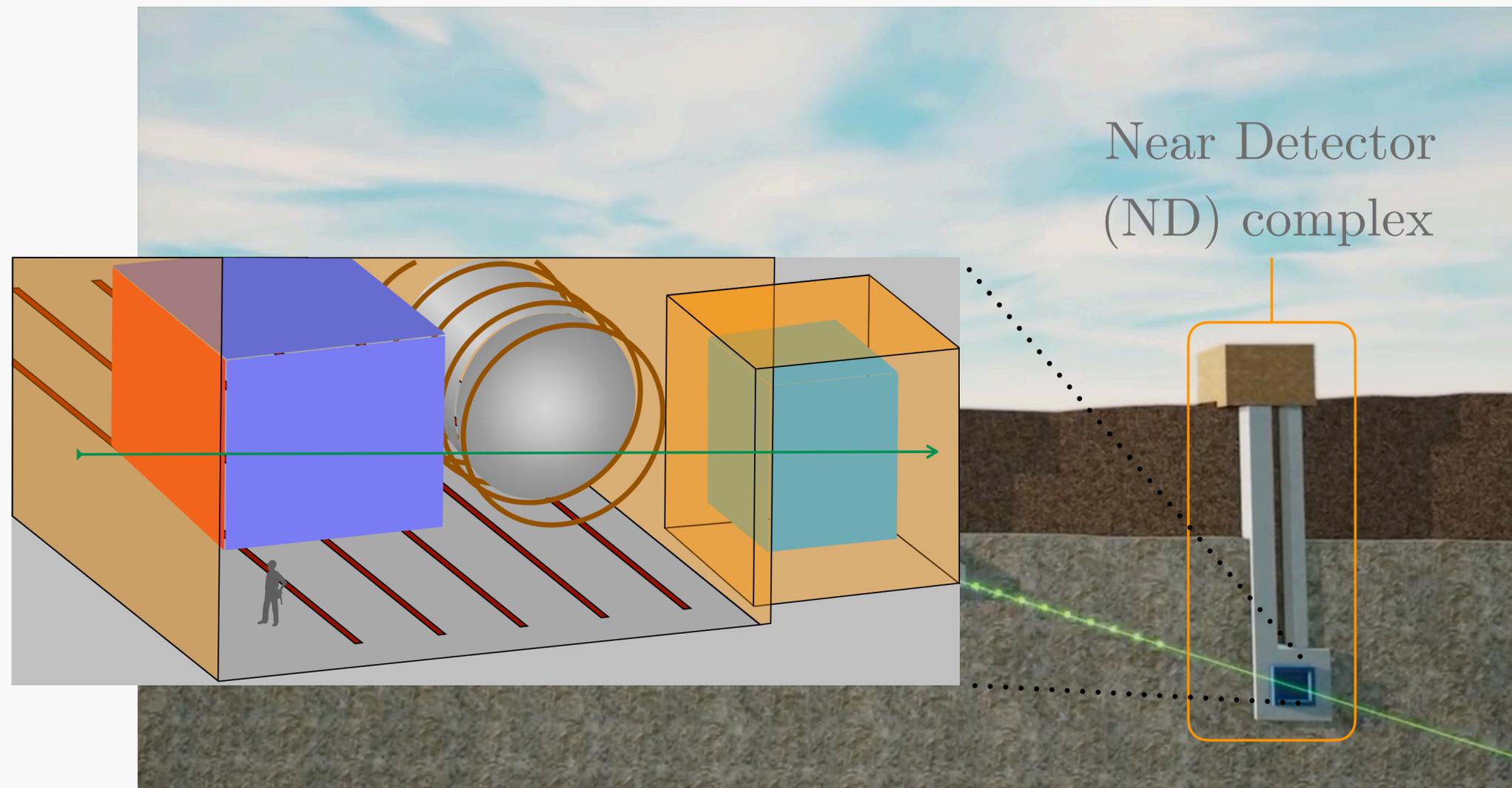
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SAND: on-axis beam flux monitor

INTRODUCTION TO HNL

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Off-axis measurements with ArgonCube + MPD \Rightarrow (DUNE-PRISM)