

Testable Leptogenesis Scenarios and Phenomenological Implications

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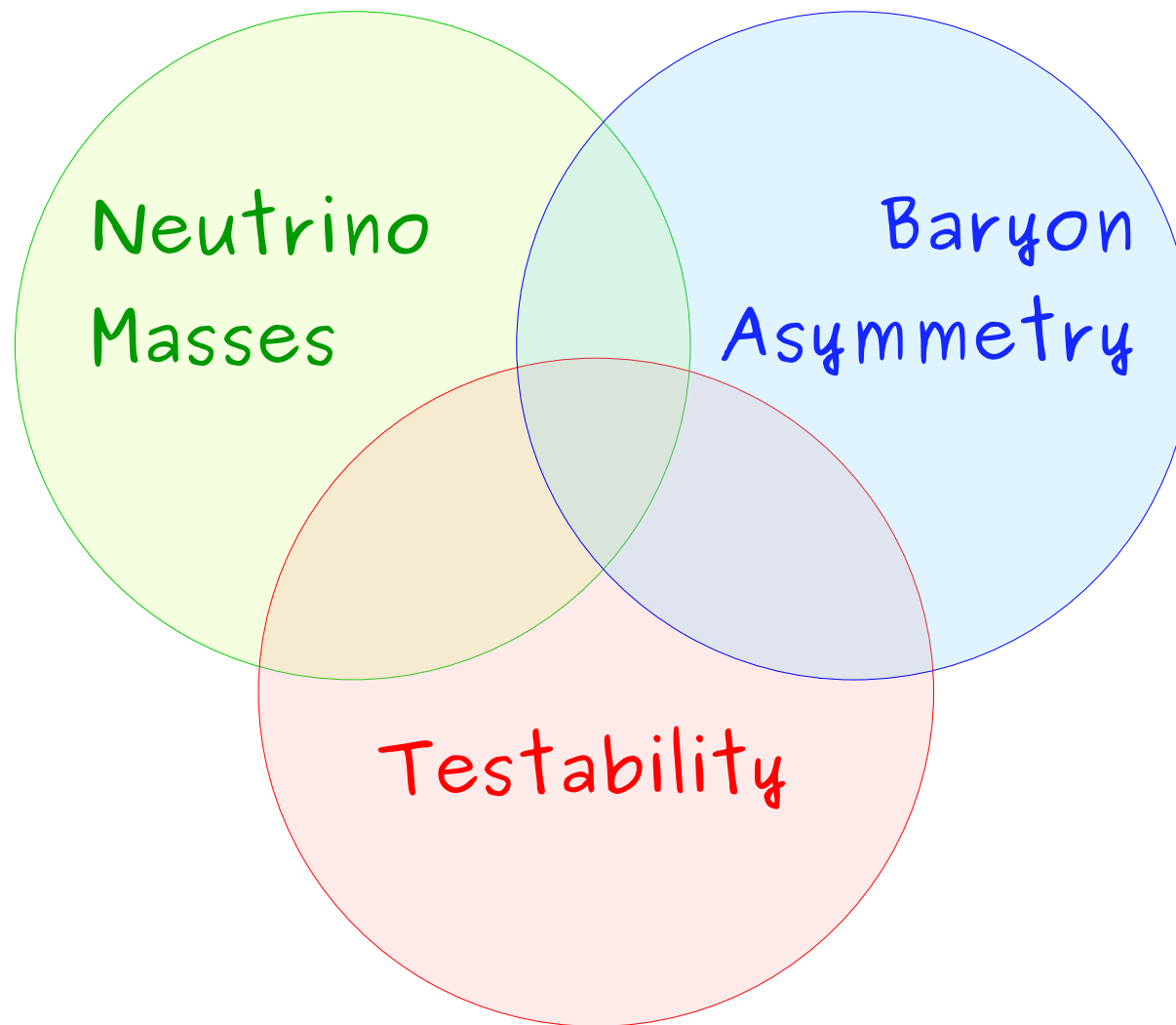
*Jornadas Científicas del IFIC, L4: Baryogenesis, dark matter and
cosmic messengers*



VNIVERSITAT
E VALÈNCIA



CIDEGENT/2018/019



*Based on work in collaboration with **P. Hernandez, N. Rius and S. Sandner***

Other IFIC members study this following different approaches as G. Barenboim, C. Hagedorn, J. Herrero, M. Hirsch, J. W. F. Valle, A. Vicente, O. Vives, J. Zurita, to name a few...

① Baryon asymmetry

Baryon asymmetry

- **After the Big-Bang same amount of matter and antimatter generated, but observed universe mainly made out of matter only!**
- The observed baryon asymmetry is quoted in terms of the abundance (number-density asymmetry of baryons normalized to the entropy density):

$$Y_B^{exp} = 8.65(8) \times 10^{-11}$$

How can the matter asymmetry of our Universe be generated?

Baryon asymmetry: Sakharov conditions

- In order to generate the baryon asymmetry the following conditions should be satisfied:

1

Baryon number violation

If baryon number is conserved, no baryon asymmetry can be generated



Baryon asymmetry: Sakharov conditions

- In order to generate the baryon asymmetry the following conditions should be satisfied:

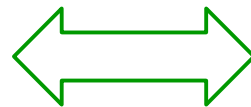
1

Baryon number violation

If baryon number is conserved, no baryon asymmetry can be generated



OK in
the SM



Sphalerons
@ $T \approx 140 \text{ GeV}$

Baryon asymmetry: Sakharov conditions

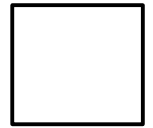
- In order to generate the baryon asymmetry the following conditions should be satisfied:

2

C and CP violation

If C or CP are conserved:

$$\Gamma(A \rightarrow B + C) = \Gamma(\bar{A} \rightarrow \bar{B} + \bar{C})$$



Baryon asymmetry: Sakharov conditions

- In order to generate the baryon asymmetry the following conditions should be satisfied:

② C and CP violation □

Exist
in the
SM

$$Y_B \propto \Delta_{\text{CP}}^{\text{quarks}} = \text{Im} \left[\det \left(\left[Y_u Y_u^\dagger, Y_d Y_d^\dagger \right] \right) \right]$$

$$\propto J \prod_{i < j} \propto (m_{d_i}^2 - m_{d_j}^2) \prod_{i < j} (m_{u_i}^2 - m_{u_j}^2)$$



There is
CP violation
in the
quark sector

$$J = \text{Im} [V_{ij}^* V_{ii} V_{ji}^* V_{jj}] \propto \sin \delta_{\text{CKM}}$$

Baryon asymmetry: Sakharov conditions

- In order to generate the baryon asymmetry the following conditions should be satisfied:

② C and CP violation



Jarlskog invariant too small

$$Y_B \propto \Delta_{\text{CP}}^{\text{quarks}} = \text{Im} \left[\det \left(\left[Y_u Y_u^\dagger, Y_d Y_d^\dagger \right] \right) \right]$$

$$\propto J \prod_{i < j} (m_{d_i}^2 - m_{d_j}^2) \prod_{i < j} (m_{u_i}^2 - m_{u_j}^2)$$



Not enough CP violation in the SM

$$J = \text{Im} [V_{ij}^* V_{ii} V_{ji}^* V_{jj}] \propto \sin \delta_{\text{CKM}}$$

Baryon asymmetry: Sakharov conditions

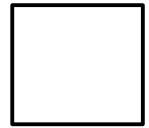
- In order to generate the baryon asymmetry the following conditions should be satisfied:

3

Departure from thermal equilibrium

Production/destruction rates of Baryons are equal

in thermal equilibrium: $\Gamma(A \rightarrow B + C) = \Gamma(B + C \rightarrow A)$



Exist
in the
SM

*Due to Hubble expansion
of the universe*

Baryon asymmetry: Sakharov conditions

- In order to generate the baryon asymmetry the following conditions should be satisfied:

3 Departure from thermal equilibrium



Production/destruction rates of Baryons are equal in thermal equilibrium: $\Gamma (A \rightarrow B + C) = \Gamma (B + C \rightarrow A)$



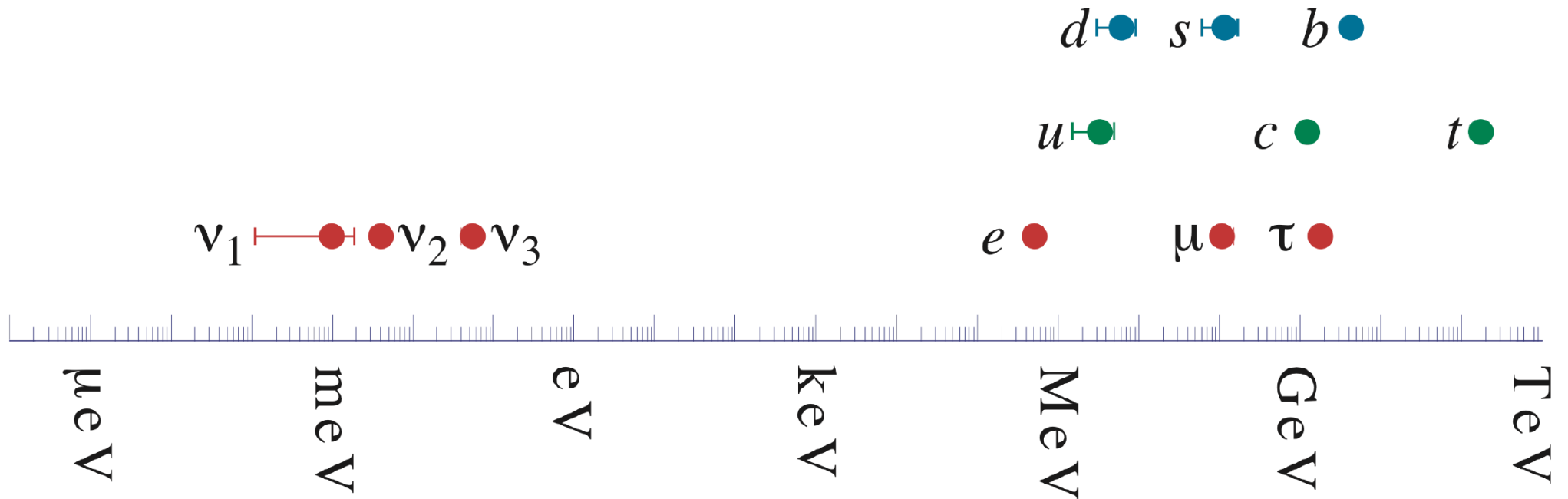
Due to Hubble expansion of the universe

Not enough deviation from thermal equilibrium in the SM!!

②

Origin of neutrino masses

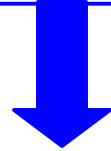
Why are neutrinos so light?



Type-I Seesaw Model

Simplest extension of SM able to account for neutrino masses. Consists in the addition of **heavy fermion singlets** (N_R) to the SM field content:

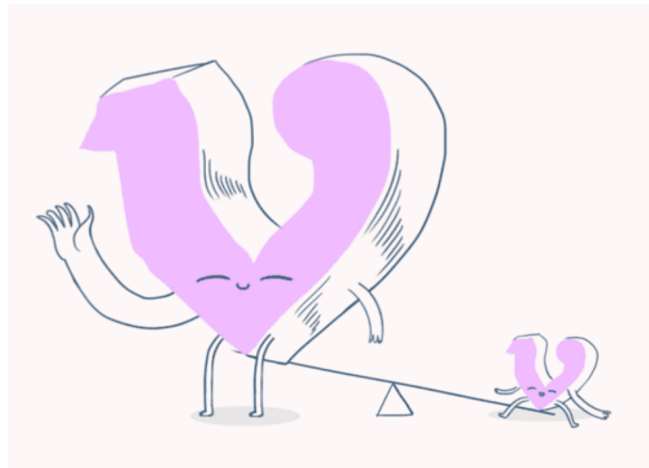
$$\mathcal{L} = \mathcal{L}_{SM} + \mathcal{L}_{\mathcal{K}} - \frac{1}{2} \overline{N_i^c} M_{ij} N_j - Y_{i\alpha} \overline{N_i} \tilde{H}^\dagger L_\alpha + h.c.$$



Majorana mass term



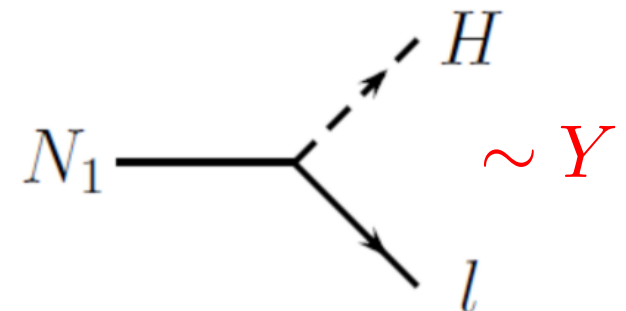
Interaction with SM particles via Yukawa coupling



N_R

ν_L

Lepton Number Violation



Minimal Model with approximated LNC

$$M_\nu = \begin{array}{ccccc} \overline{\nu^c} & \overline{N}_1 & \overline{N}_2 & & L \\ 1 & -1 & 1 & & \\ \left(\begin{array}{ccc} 0 & Y_1^T v/\sqrt{2} & \epsilon Y_2^T v/\sqrt{2} \\ Y_1 v/\sqrt{2} & \mu' & M \\ \epsilon Y_2 v/\sqrt{2} & M & \mu \end{array} \right) & & & \begin{array}{l} 1 \\ -1 \\ 1 \end{array} & \begin{array}{l} \nu \\ N_1^c \\ N_2^c \end{array} \end{array}$$

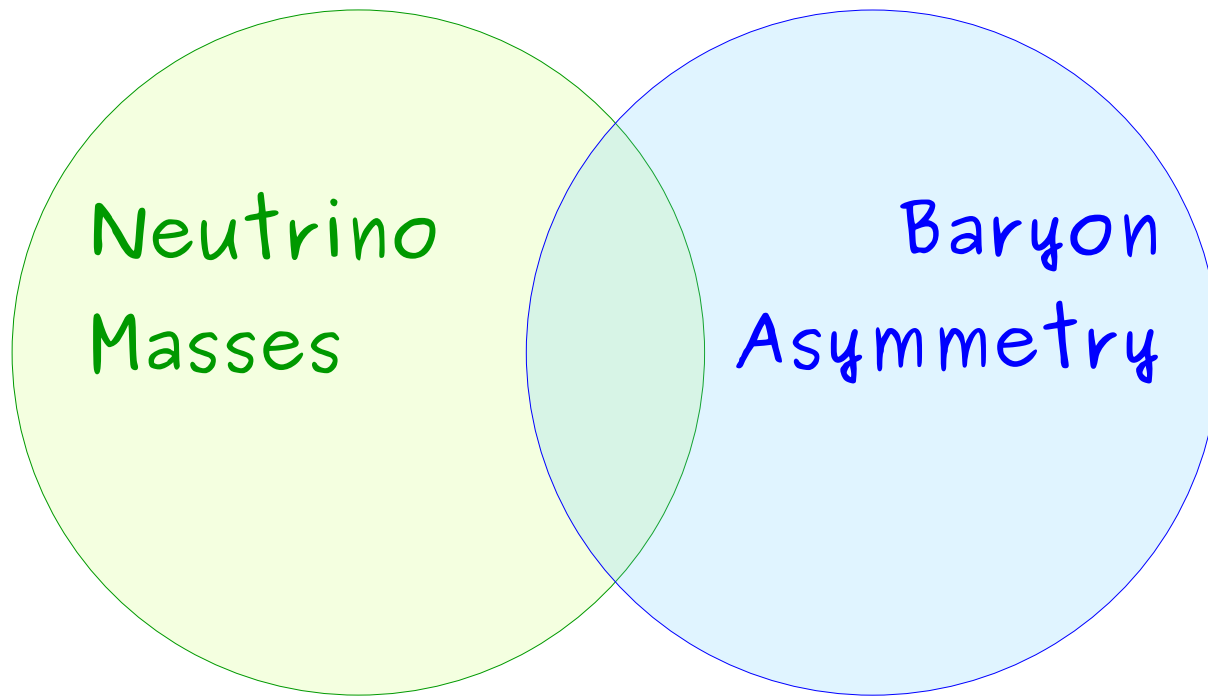
- Light nu masses suppressed with LNV parameters

$$m_\nu = \mu \frac{v^2}{2M^2} Y_1^T Y_1 + \frac{v^2}{2M} \epsilon Y_2^T Y_1 + \frac{v^2}{2M} Y_1^T \epsilon Y_2$$

- Quasi-Dirac heavy neutrinos with large mixing:

$$M_2 \approx M_1 \approx M \quad \Delta M \approx \mu' + \mu \quad U_{\nu N} \sim Y_1 v/M$$

Leptogenesis



See also alternative mechanism: ν Baryogenesis (Hernandez, Rius 97; Fernandez-Martinez, JLP, Ota, Rosauo-Alcaraz 2007.11008; Fernandez-Martinez, JLP, No, Ota, Rosauo-Alcaraz 2210.16279)

Leptogenesis: Sakharov conditions

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If baryon number is conserved, no baryon asymmetry can be generated



OK in
the SM



Sphalerons
@ $T \approx 140 \text{ GeV}$

Leptogenesis: Sakharov conditions

② C and CP violation



*There is CP violation
in the SM quark sector*

*Not enough CP violation
in SM !!*

if it
also exists
in lepton
sector

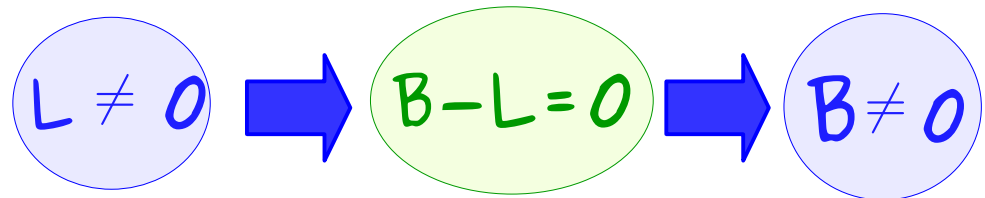
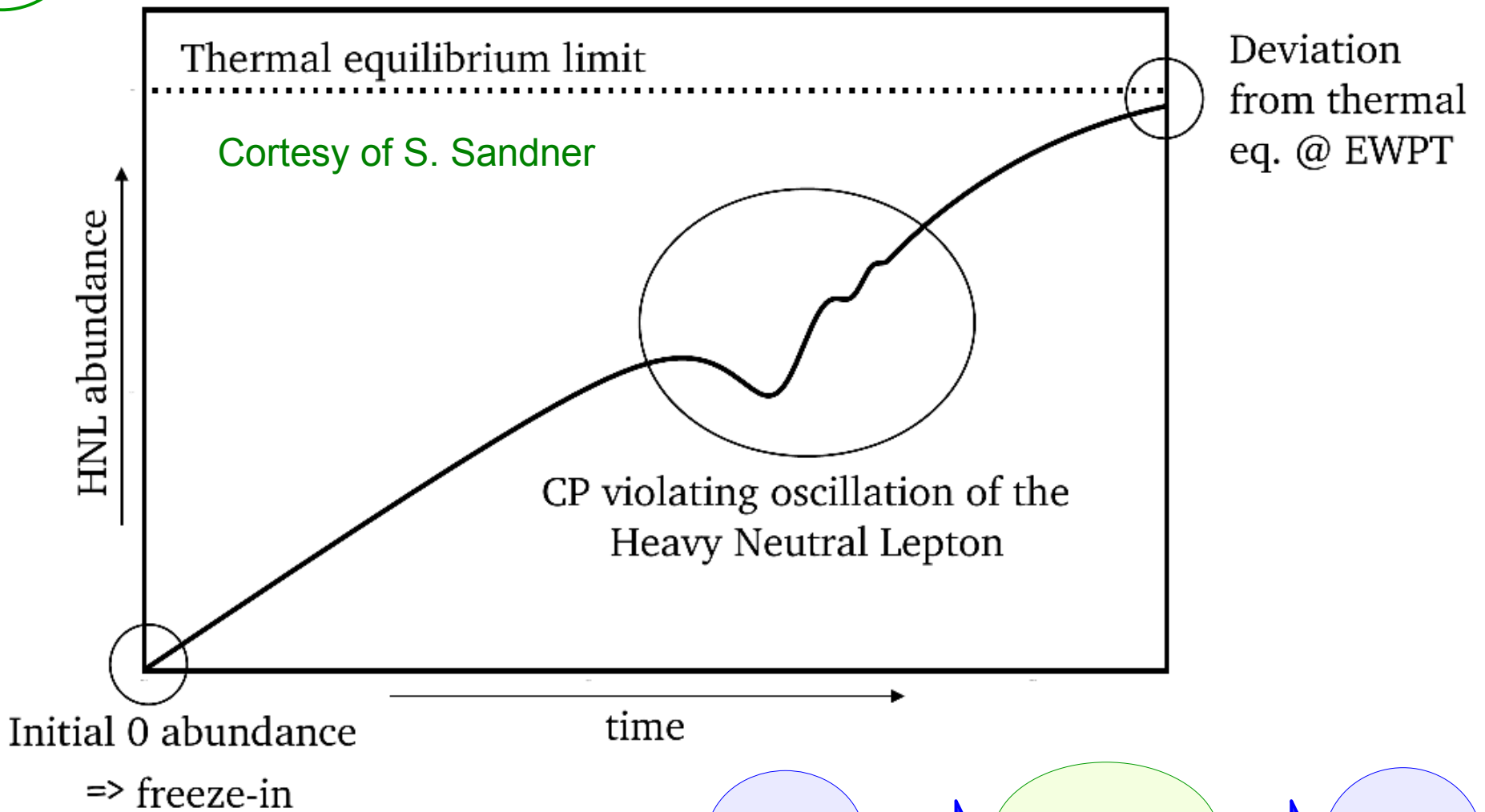


New
CP invariants

Low Scale Leptogenesis (ARS)

3

Departure from thermal equilibrium



Dependence on leptonic CP phases

- It is encoded in the neutrino Yukawa couplings:
- Generation of light neutrino masses imposes constraints on Yukawa couplings from neutrino oscillation data

$$m_\nu = \frac{v^2}{2} Y M^{-1} Y^T = U_{PMNS} m U_{PMNS}^T$$

Dependence on leptonic CP phases

- It is encoded in the neutrino Yukawa couplings:

$$Y = f(U_{\text{PMNS}}, m, \theta, U^2, M)$$

Casas-Ibarra 01
Gavela, Hambye,
Hernandez, Hernandez 09

Light Sector

- Majorana phase ϕ
(experimentally challenging)
- Dirac CP phase δ
(accessible via neutrino oscillations)

Heavy Sector

- In minimal model with 2 right handed neutrinos:

1 extra CP phase θ

Leptogenesis: Kinetic Equations

$$\begin{aligned}
 xH_u \frac{dr_N}{dx} &= -i[\langle H \rangle, r_N] - \frac{\langle \gamma_N^{(0)} \rangle}{2} \{Y^\dagger Y, r_N - 1\} - x^2 \frac{\langle s_N^{(0)} \rangle}{2} \{MY^T Y^* M, r_N - 1\} \\
 &+ \langle \gamma_N^{(1)} \rangle Y^\dagger \mu Y - x^2 \langle s_N^{(1)} \rangle MY^T \mu Y^* M \\
 &- \frac{\langle \gamma_N^{(2)} \rangle}{2} \{Y^\dagger \mu Y, r_N\} + x^2 \frac{\langle s_N^{(2)} \rangle}{2} \{MY^T \mu Y^* M, r_N\},
 \end{aligned}$$

$$\begin{aligned}
 xH_u \frac{dr_{\bar{N}}}{dx} &= -i[\langle H^* \rangle, r_{\bar{N}}] - \frac{\langle \gamma_N^{(0)} \rangle}{2} \{Y^T Y^*, r_{\bar{N}} - 1\} - x^2 \frac{\langle s_N^{(0)} \rangle}{2} \{MY^\dagger Y M \\
 &- \langle \gamma_N^{(1)} \rangle Y^T \mu Y^* + x^2 \langle s_N^{(1)} \rangle MY^\dagger \mu Y M \\
 &+ \frac{\langle \gamma_N^{(2)} \rangle}{2} \{Y^T \mu Y^*, r_{\bar{N}}\} - x^2 \frac{\langle s_N^{(2)} \rangle}{2} \{MY^\dagger \mu Y M, r_{\bar{N}}\},
 \end{aligned}$$

$$\begin{aligned}
 xH_u \frac{d\mu_{B/3-L_\alpha}}{dx} &= \frac{\int_k \rho_F}{\int_k \rho'_F} \left[\frac{\langle \gamma_N^{(0)} \rangle}{2} (Y r_N Y^\dagger - Y^* r_{\bar{N}} Y^T) - x^2 \frac{\langle s_N^{(0)} \rangle}{2} (Y^* M r_N M Y^\dagger \right. \\
 &- \mu_\alpha \left(\langle \gamma_N^{(1)} \rangle Y Y^\dagger + x^2 \langle s_N^{(1)} \rangle Y M^2 Y^\dagger \right) + \frac{\langle \gamma_N^{(2)} \rangle}{2} \mu_\alpha (Y r_N Y^\dagger + Y \\
 &\left. + x^2 \frac{\langle s_N^{(2)} \rangle}{2} \mu_\alpha (Y M r_{\bar{N}} M Y^\dagger + Y^* M r_N M Y^T) \right]_{\alpha\alpha},
 \end{aligned}$$

- *Stiff non-linear system with several relevant time scales: very hard to numerically explore parameter space.*

Leptogenesis: Kinetic Equations

Hernandez, JLP, Rius, **Sandner**; arXiv:2207.01651

- AMIQS: publicly available software developed by **Stefan Sandner** introduced relevant optimizations that allows a faster scan.



<https://github.com/stefanmarinus/amiqs>
10.5281/zenodo.6866454

- Dependence on the parameters of the model and correlation between the baryon asymmetry and other observables not easy to understand just from a numerical scan.
- Our ultimate goal is to study this connection with future experimental measurements in order to be able to test leptogenesis.

Analytical understanding required

Towards analytical understanding

Hernandez, JLP, Rius, Sandner; arXiv:2207.01651

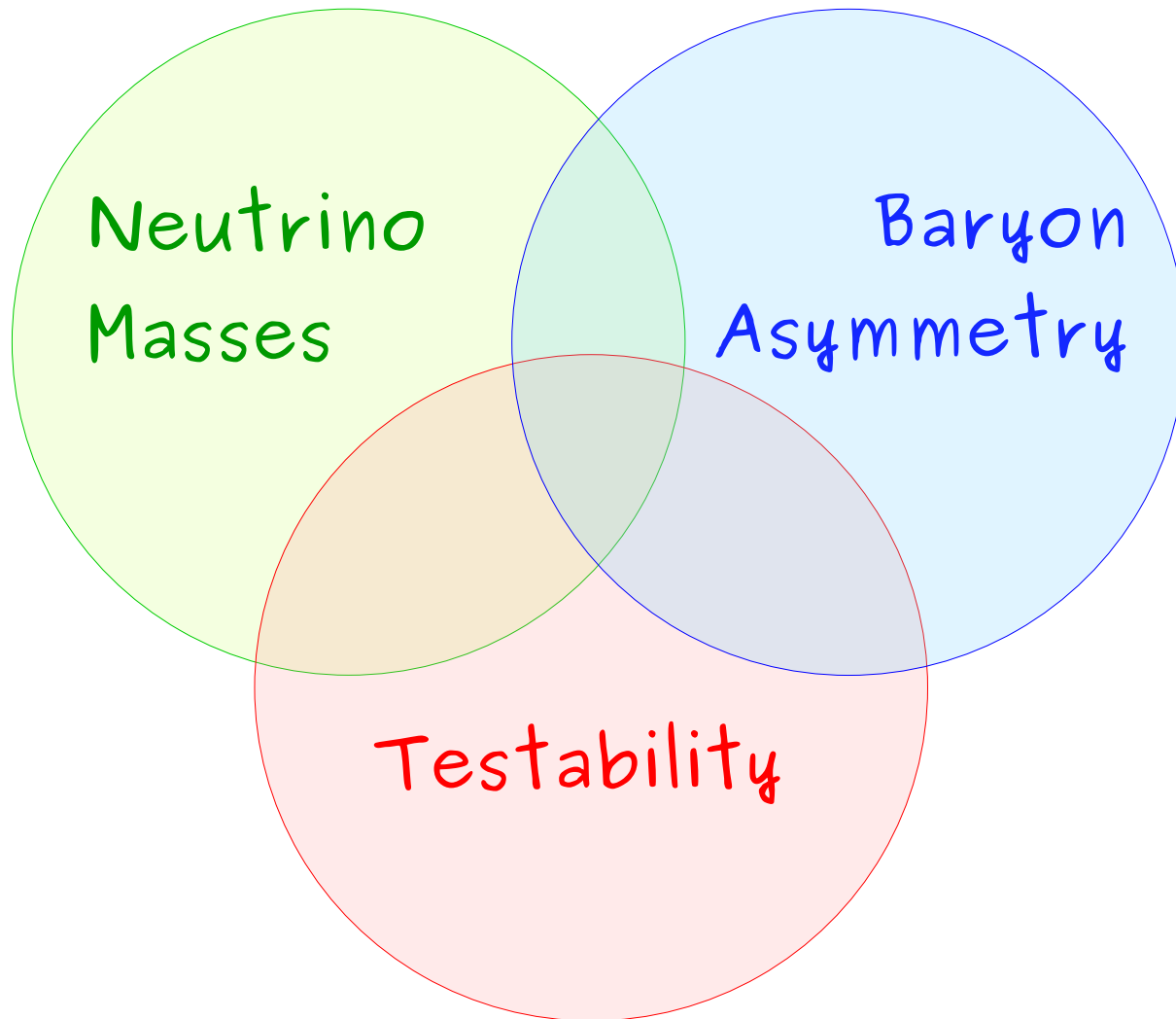
- Identify the different non-thermal regimes and characteristic time-scales
- Set up a perturbative approximation of the equations ($\epsilon \ll 1$, $\mu/M \ll 1$)
- Identify the CP invariants that control the flavour parameter dependence,

$$I_0 = \text{Im} \left(\text{Tr} \left[Y^\dagger Y M^\dagger M Y^\dagger Y_l^\dagger Y \right] \right)$$
$$\equiv \sum_{\alpha} y_{l_{\alpha}}^2 \Delta_{\alpha} \left(\delta, \phi, \Delta m_{\text{atm}}^2, \Delta m_{\text{sol}}^2, U^2, M, \theta \right)$$

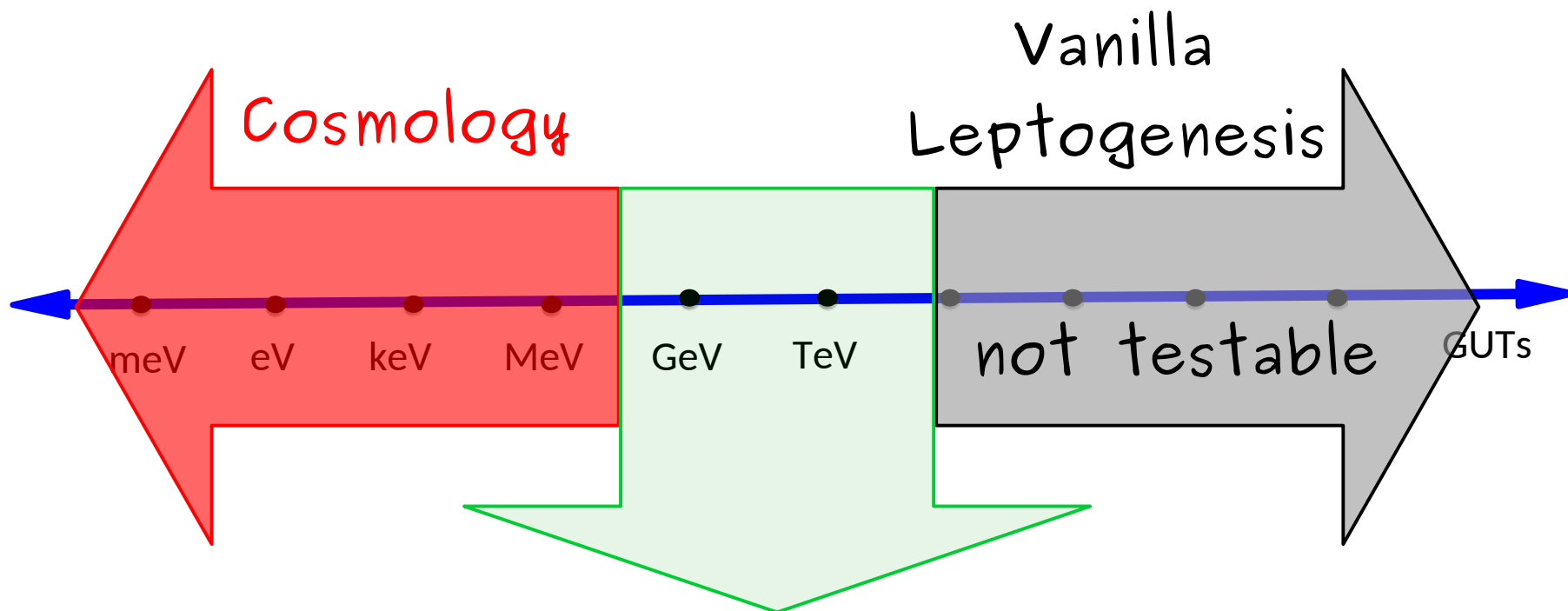
$$I_1 = \text{Im} \left(\text{Tr} \left[Y^\dagger Y M^\dagger M M^* (Y^\dagger Y)^* M \right] \right)$$
$$\equiv \sum_{\alpha} \Delta_{\alpha}^M \left(\delta, \phi, \Delta m_{\text{atm}}^2, \Delta m_{\text{sol}}^2, U^2, M, \theta \right)$$

- Write the CP invariants in terms of observable parameters: find bounds and correlations implied by the matter-antimatter asymmetry

③ Testability



The New Physics Scale



- $0\nu\beta\beta$ decay, LFV & EW precision data, beam-dump experiments, colliders...

- Leptogenesis via Oscillations
 $M=0.1-100\text{GeV}$

Akhmedov, Rubakov, Smirnov (ARS)
Asaka, Shaposhnikov (AS)

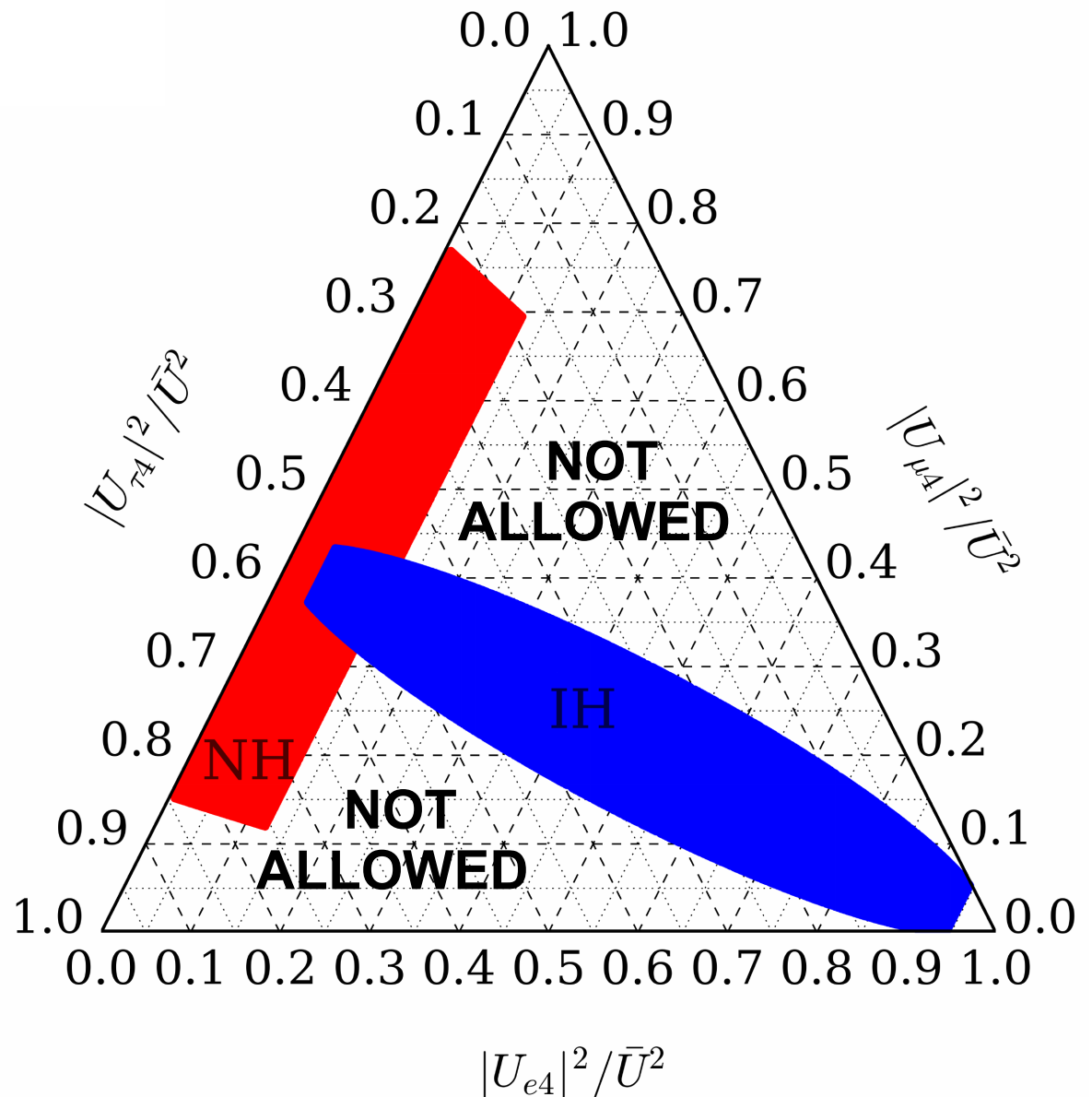
- Resonant Leptogenesis
 $M>100\text{GeV}$

Pilaftsis

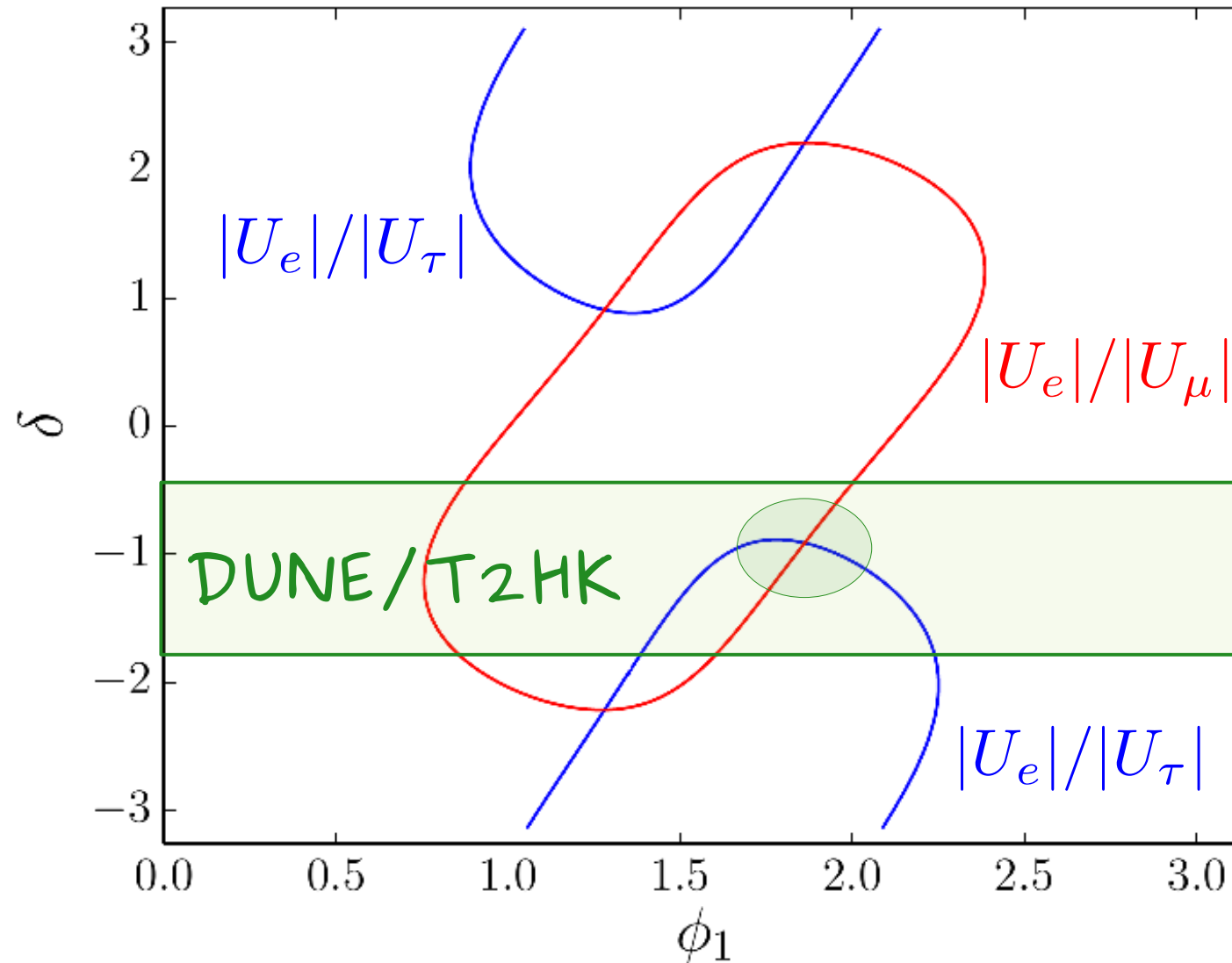
Minimal Model: Flavor Structure

Direct HNL searches
sensitive to

$$|U_\alpha|(\delta, \phi, U^2), M_j$$



PMNS CP phases from HNLs searches

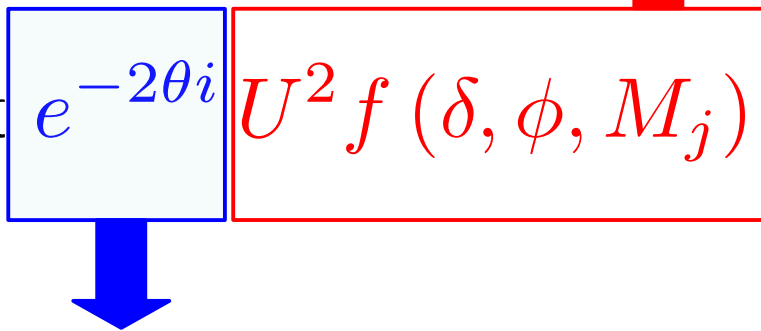


- **Potential determination of the PMNS Majorana phase!**

"Heavy" CP phase θ from $0\nu\beta\beta$ decay

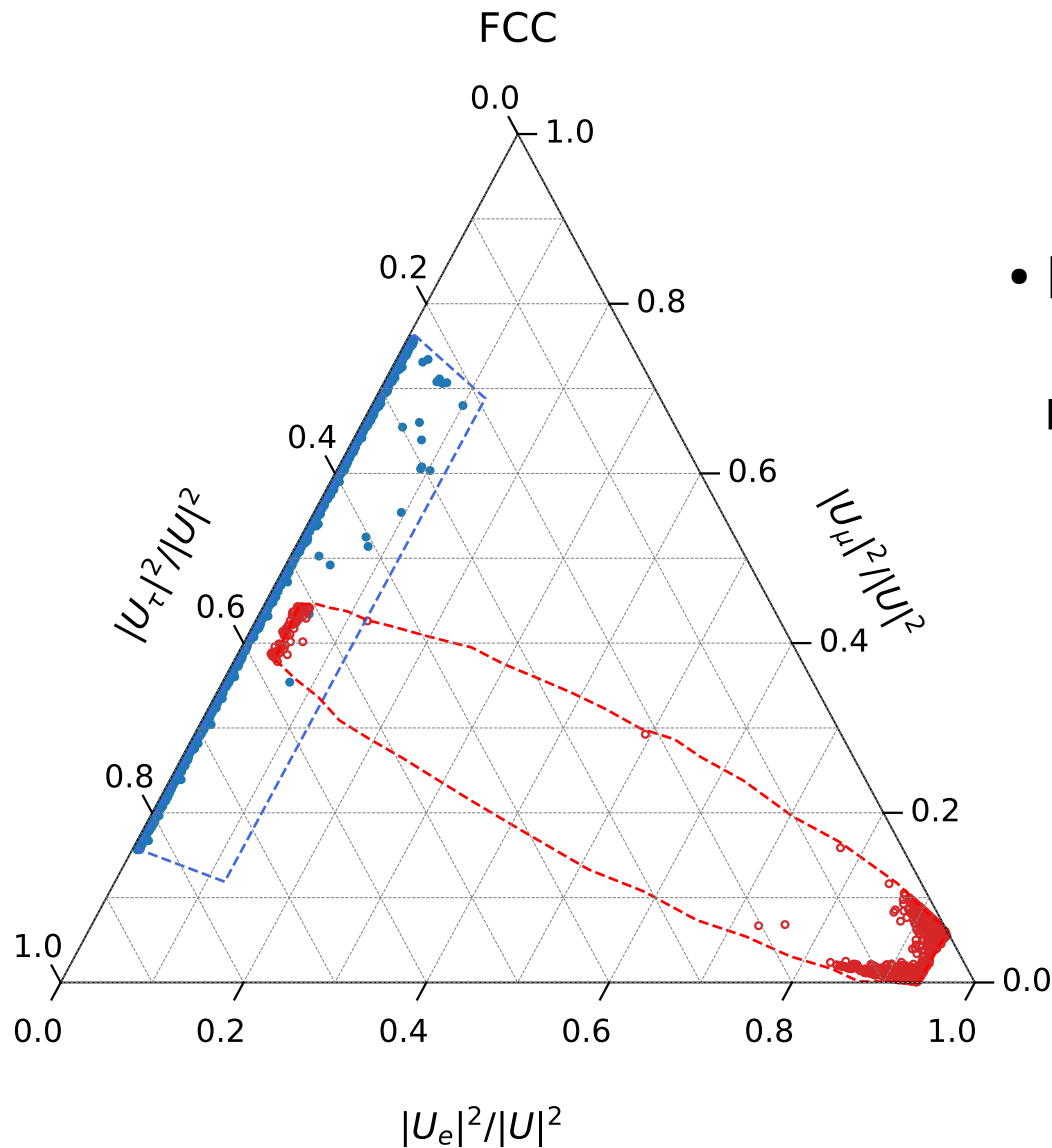
- **Baryon asymmetry depends on all the unknown parameters**

Direct searches sensitive to $|U_\alpha|(\delta, \phi, U^2), M_j$

$$(U_\alpha)^2 \propto \boxed{e^{-2\theta i}} \boxed{U^2 f(\delta, \phi, M_j)}$$


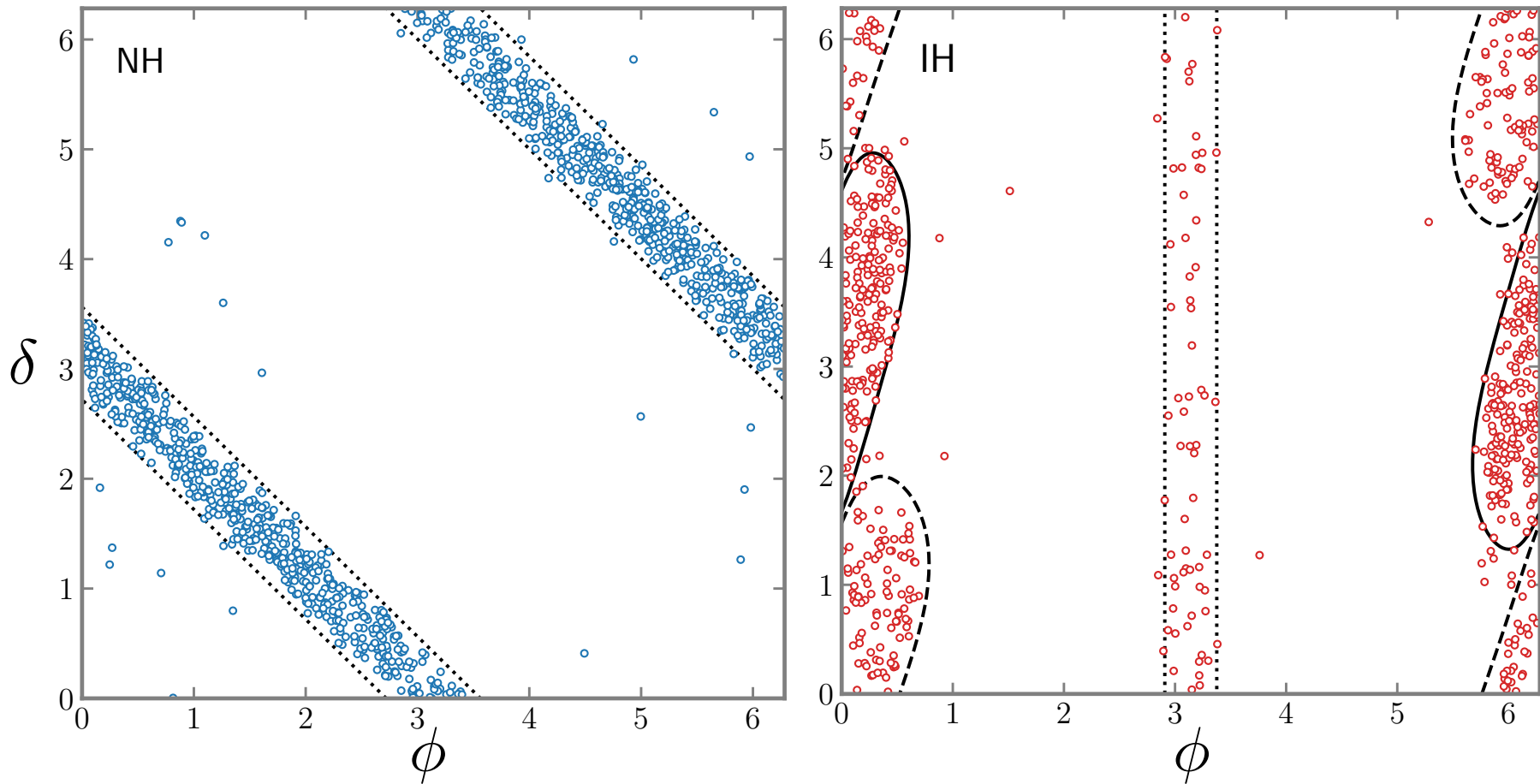
Neutrinoless double beta decay sensitive to θ through interference between light and heavy contribution for some regions of parameter space

Baryon asymmetry: flavor structure



- Flavor correlations implied by reproducing observed matter-antimatter asymmetry in the region of parameter space that can be covered by FCC-ee for $\Delta M/M = 10^{-2}$

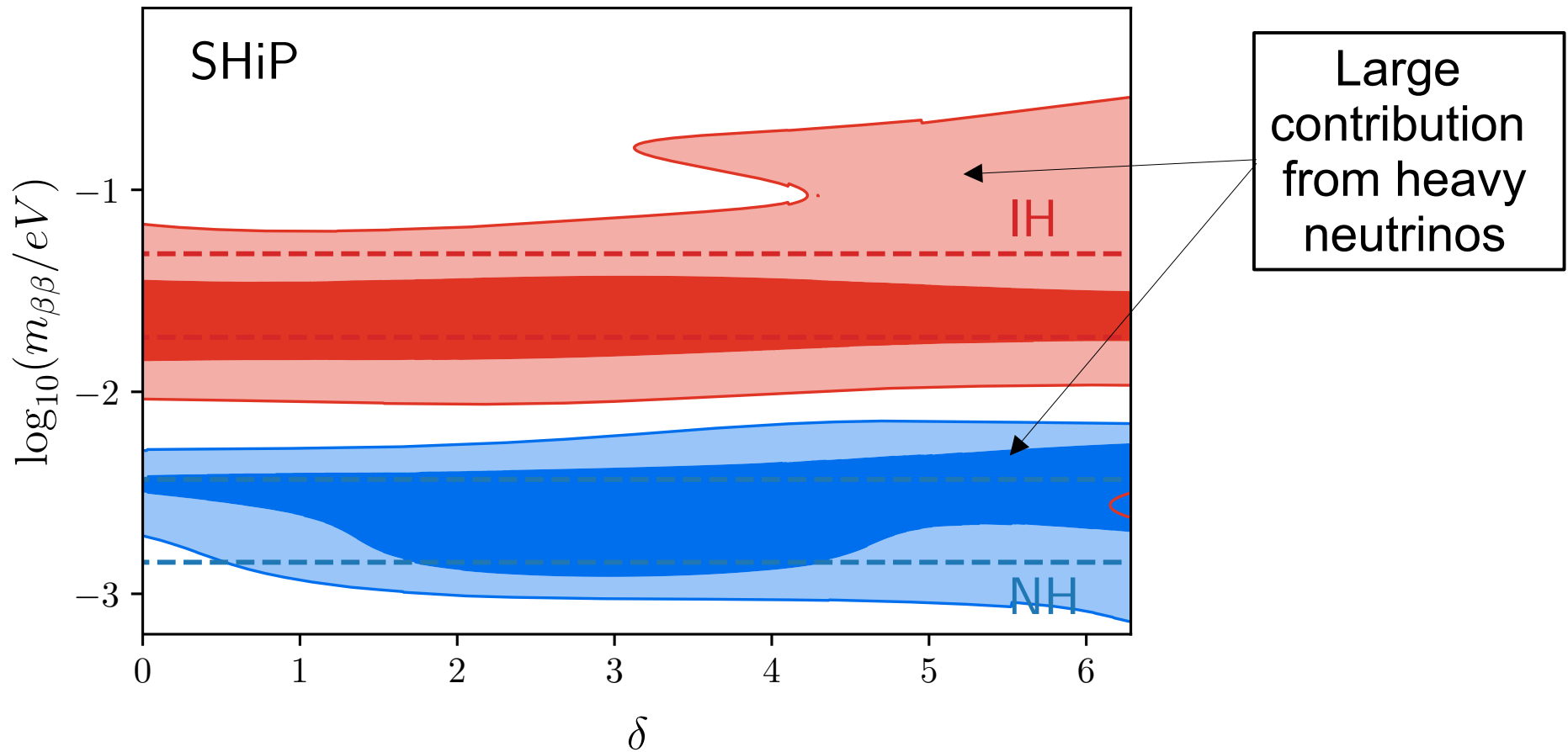
Baryon asymmetry: PMNS CP phases



- PMNS CP-phase correlations implied by reproducing observed baryon asymmetry in region of parameter space that can be covered by FCC-ee for

$$\Delta M/M = 10^{-2}$$

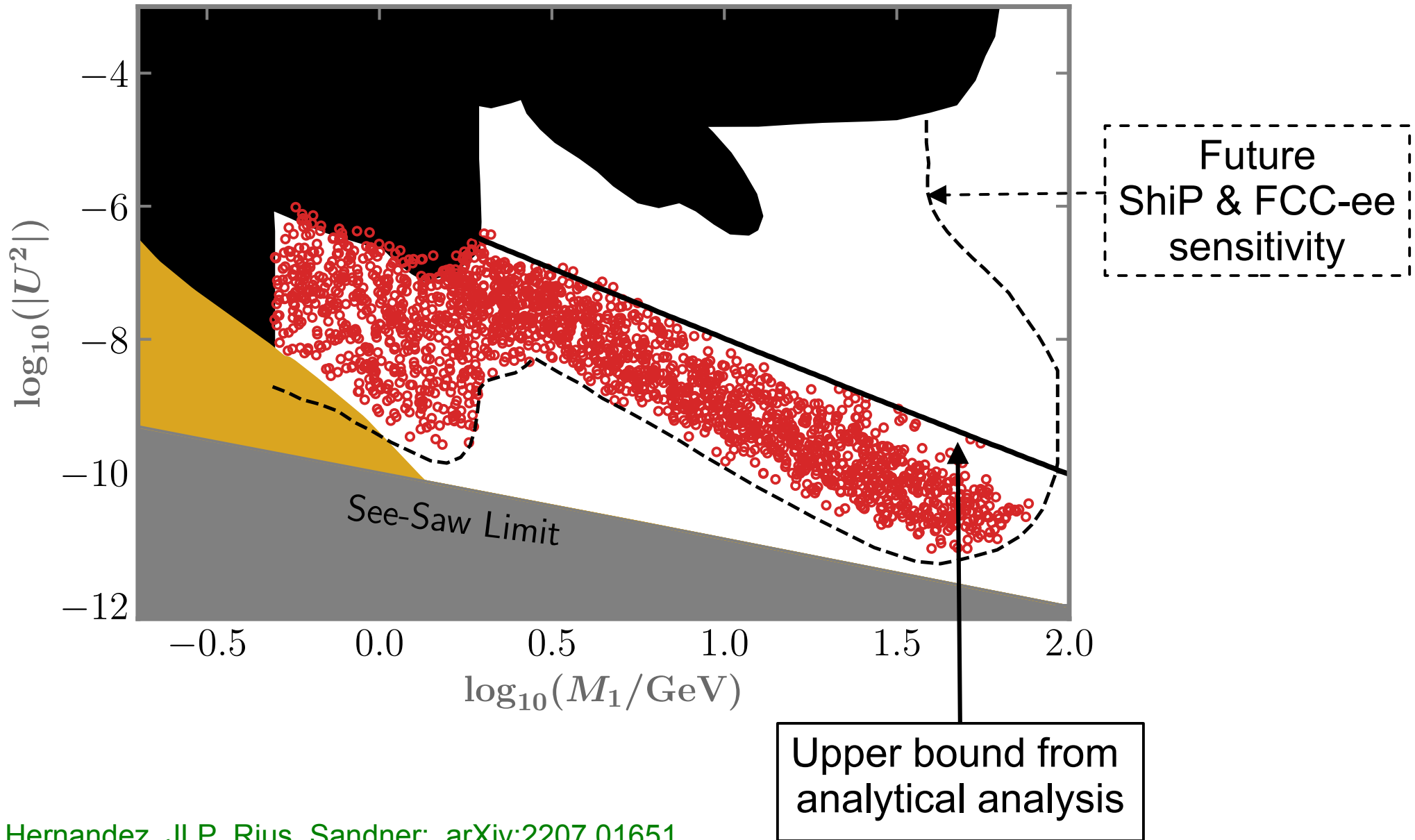
Baryon asymmetry: $\nu\beta\beta$ decay



- Correlation with neutrinoless decay rate implied by reproducing observed baryon asymmetry in region of parameter space that can be probed by SHiP for

$$\Delta M/M = 10^{-2}$$

Baryon asymmetry: Upper Bound

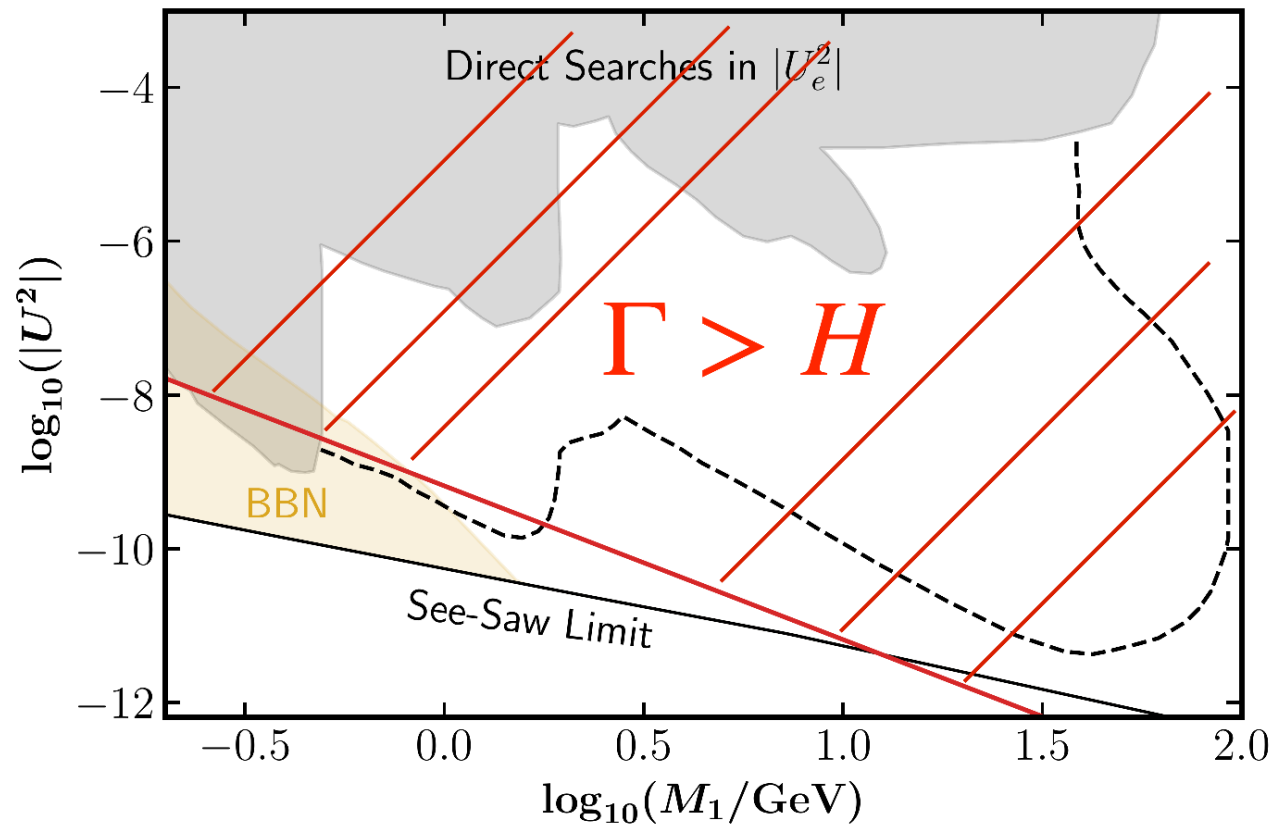


Conclusions

- Simplest extension of SM able to account for neutrino masses can explain matter asymmetry of our universe via Leptogenesis even at accessible scales
- We have identified the CP invariants involved in low-scale leptogenesis
- Analytical treatment shows dependence on the CP invariants, allowing to connect Y_B to other observables: neutrino masses, CP violation in neutrino oscillations, $0\nu\beta\beta$ decay rate and HNL masses and mixing.
- Searches for the neutrino mass mediators (HNLs) could provide essential info about CP violation, the non-thermal condition and Y_B
- Methods developed can be applied to less minimal scenarios: understanding how Y_B constrains the more complex parameter space.

Non thermal equilibration

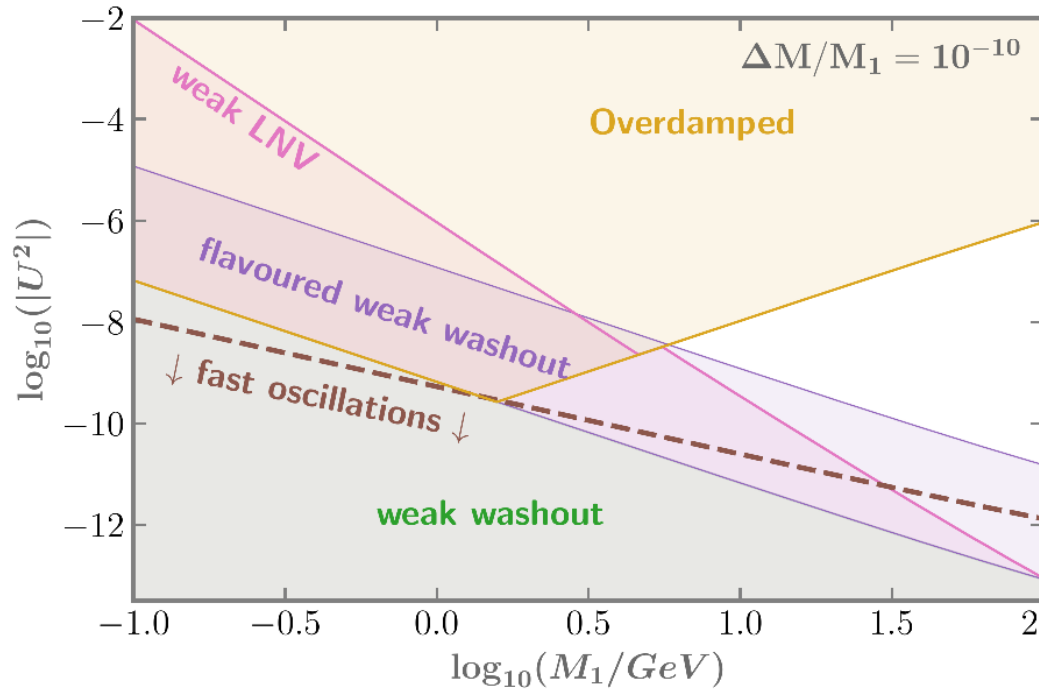
In principle, $\Gamma(T_{EW}) < H(T_{EW})$ is required ($\Gamma \propto \text{Tr} [Y^\dagger Y] T$)



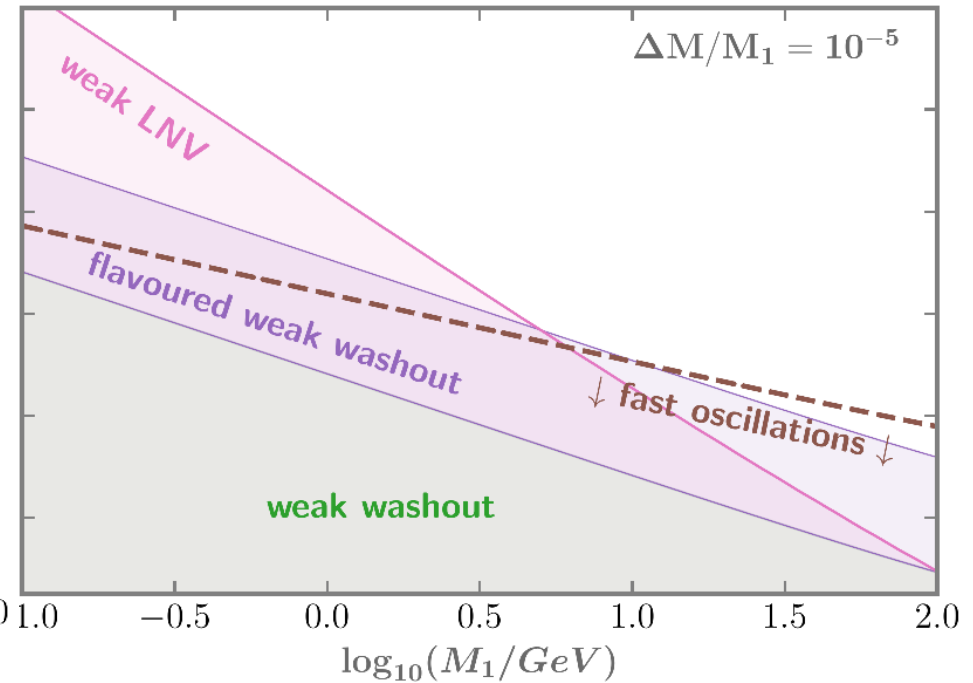
BUT approximate L symmetry and flavour effects can lead to **slow modes**.

Non thermal equilibrium regimes

Highly degenerate N_R 's



Less degenerate N_R 's



Overdamped regime: $\Gamma_{\text{osc}}^{\text{slow}} = \epsilon^2 \Gamma$ $\epsilon \equiv \frac{\Gamma_{\text{osc}}}{\Gamma}$ $\Gamma_{\text{osc}}(T) \propto \frac{M_2^2 - M_1^2}{T}$

Flavoured: $y_\alpha \ll y_\beta$ $\Gamma_\alpha \propto (YY^\dagger)_{\alpha\alpha} T$

wLNV: $M \ll T$ $\Gamma_M^{\text{slow}} \propto \left(\frac{M_i}{T}\right)^2 \Gamma$

Example: overdamped regime (NH)

$$\left(\sum_{\alpha} \mu_{B/3-L_{\alpha}} \right)^{\text{ov-wLNV}} \simeq \frac{\kappa x^2}{6\gamma_0 + \kappa\gamma_1} \frac{\gamma_0^2}{\gamma_0^2 + 4\omega^2} \frac{c_H M_P^*}{T_{EW}^3} \left(\Delta_{\text{LNC}}^{\text{ov}} - \frac{24}{5} \frac{s_0 x^3}{T_{EW}^2} \Delta_{\text{LNV}}^{\text{ov}} \right)$$

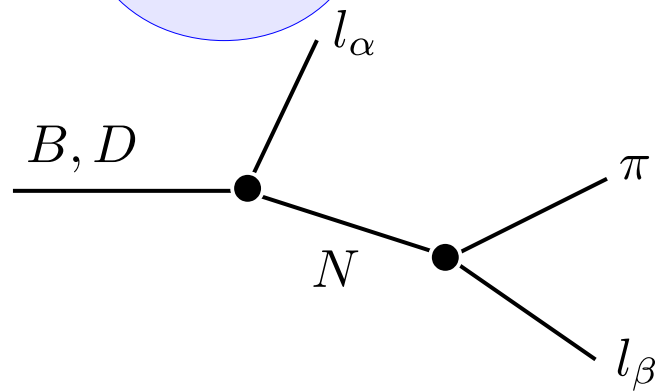
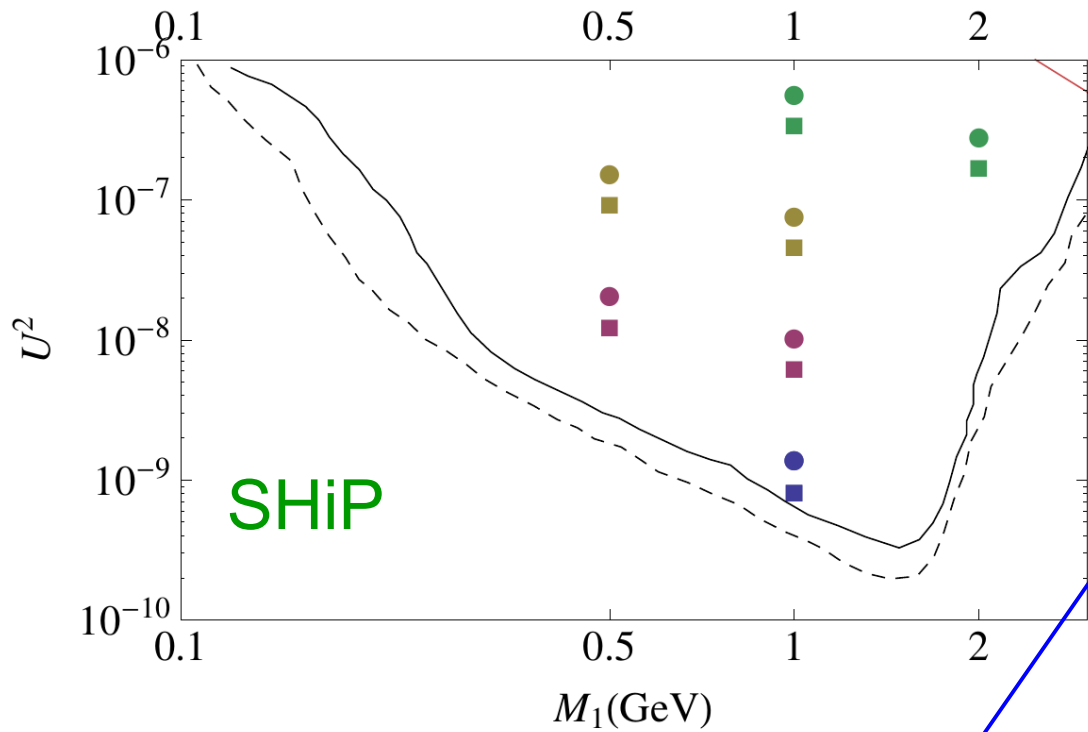
$$\Delta_{\text{LNC}}^{\text{ov}} = \frac{1}{[\text{Tr}(Y^\dagger Y)]^2} \sum_{\alpha} \frac{\Delta_{\alpha}}{(YY^\dagger)_{\alpha\alpha}} \simeq -(M_2^2 - M_1^2) \frac{v^2 \sqrt{\Delta m_{\text{atm}}^2}}{8M^3 U^4} s_{\theta}$$

$$\left(\sum_{\alpha} \Delta_{\alpha} = 0, \Delta_{\text{LNC}}^{\text{ov}} \propto \sum_{\alpha} \frac{\Delta_{\alpha}}{\Gamma_{\alpha}} \right)$$

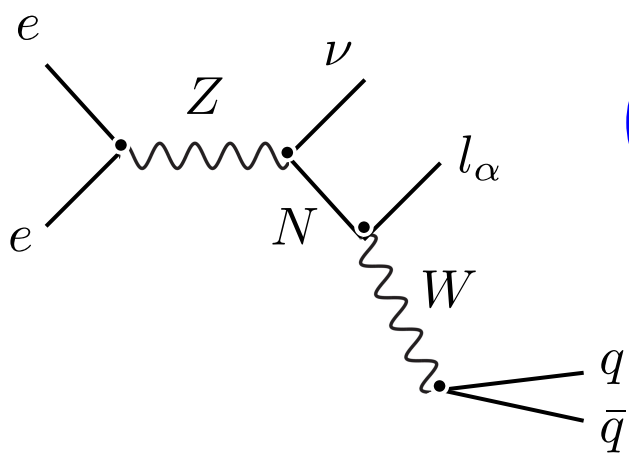
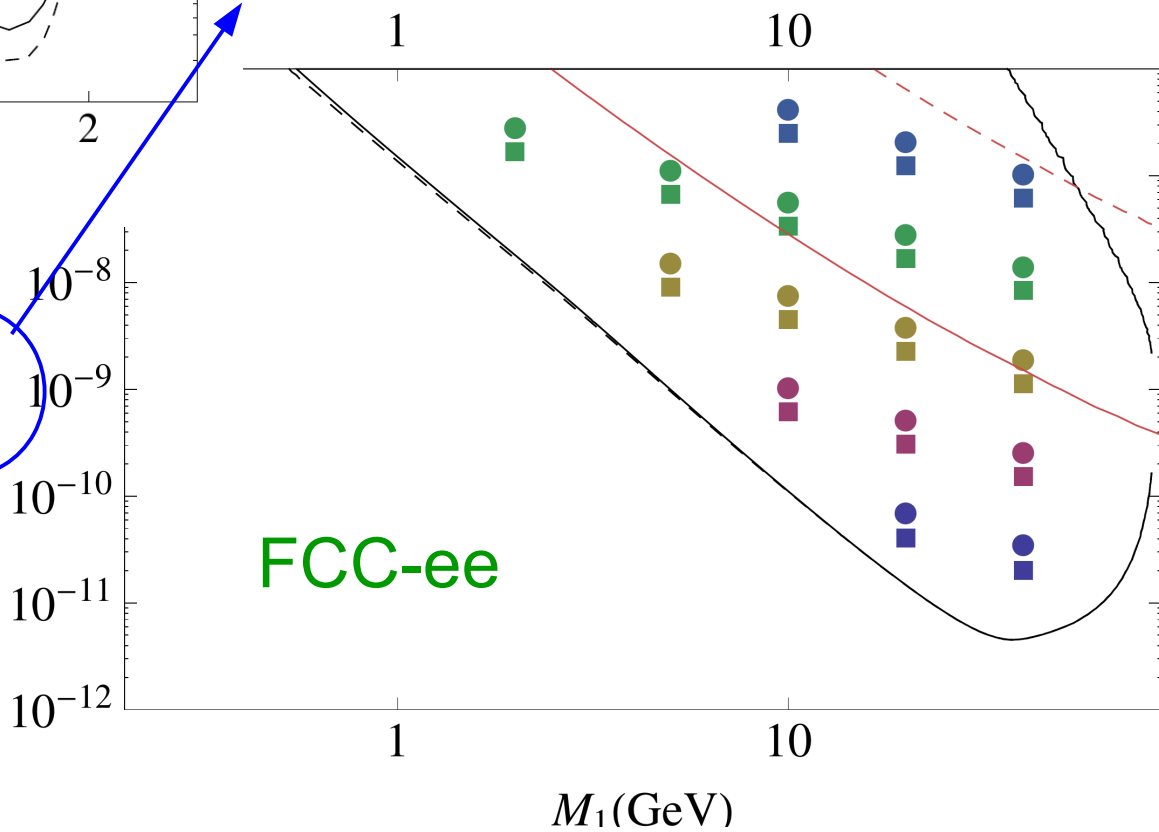
$$\Delta_{\text{LNV}}^{\text{ov}} = \frac{1}{[\text{Tr}(Y^\dagger Y)]^2} \sum_{\alpha} \Delta_{\alpha}^M \simeq -M_1 M_2 (M_2^2 - M_1^2) \frac{\sqrt{\Delta m_{\text{atm}}^2}}{4M U^2} s_{\theta}$$

$$\left(\sum_{\alpha} \Delta_{\alpha}^M \neq 0 \right)$$

Minimal model $N_R=2$

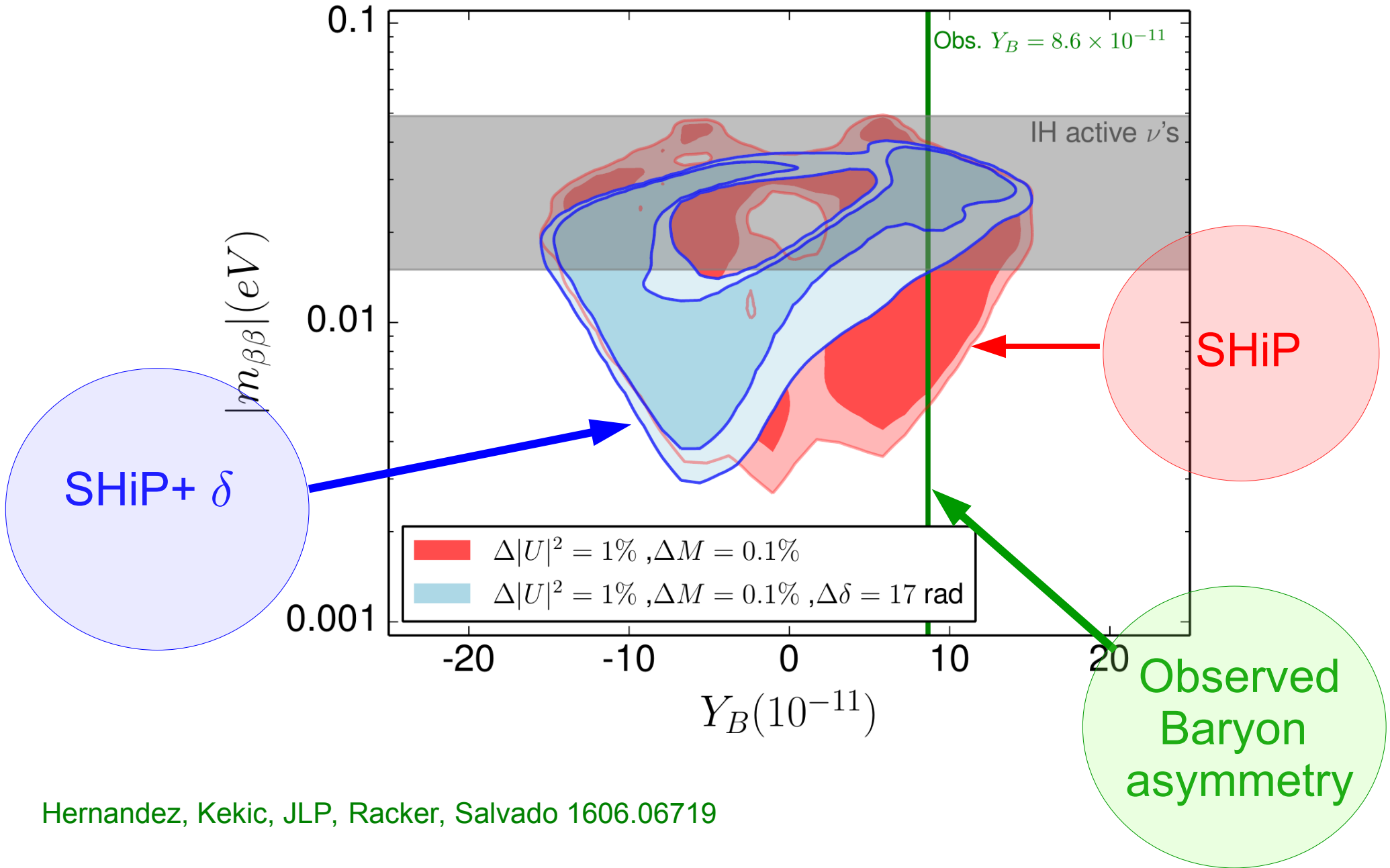


$Y\nu/M$



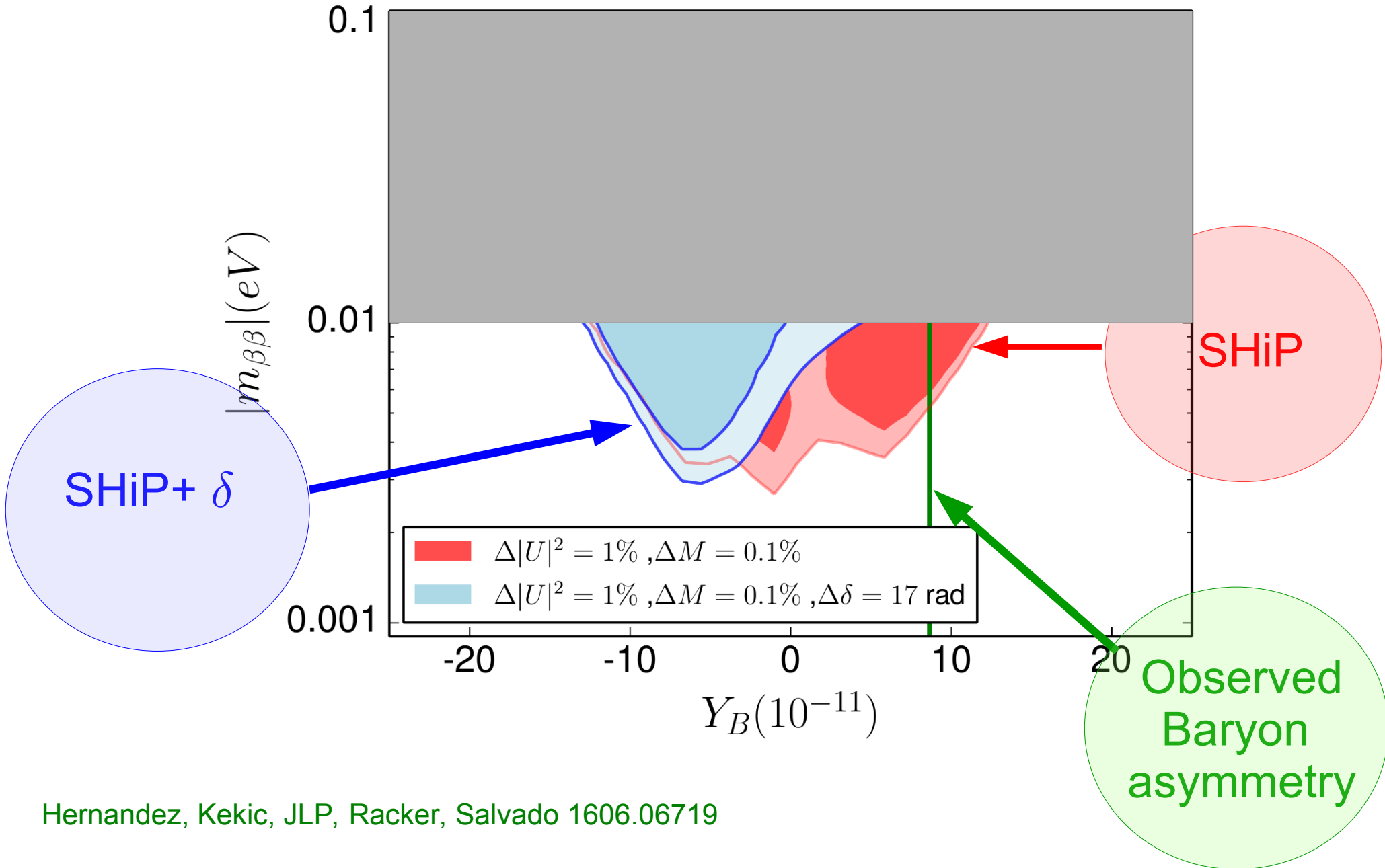
Determining Y_B in minimal model $N_R=2$

$N_R=2$



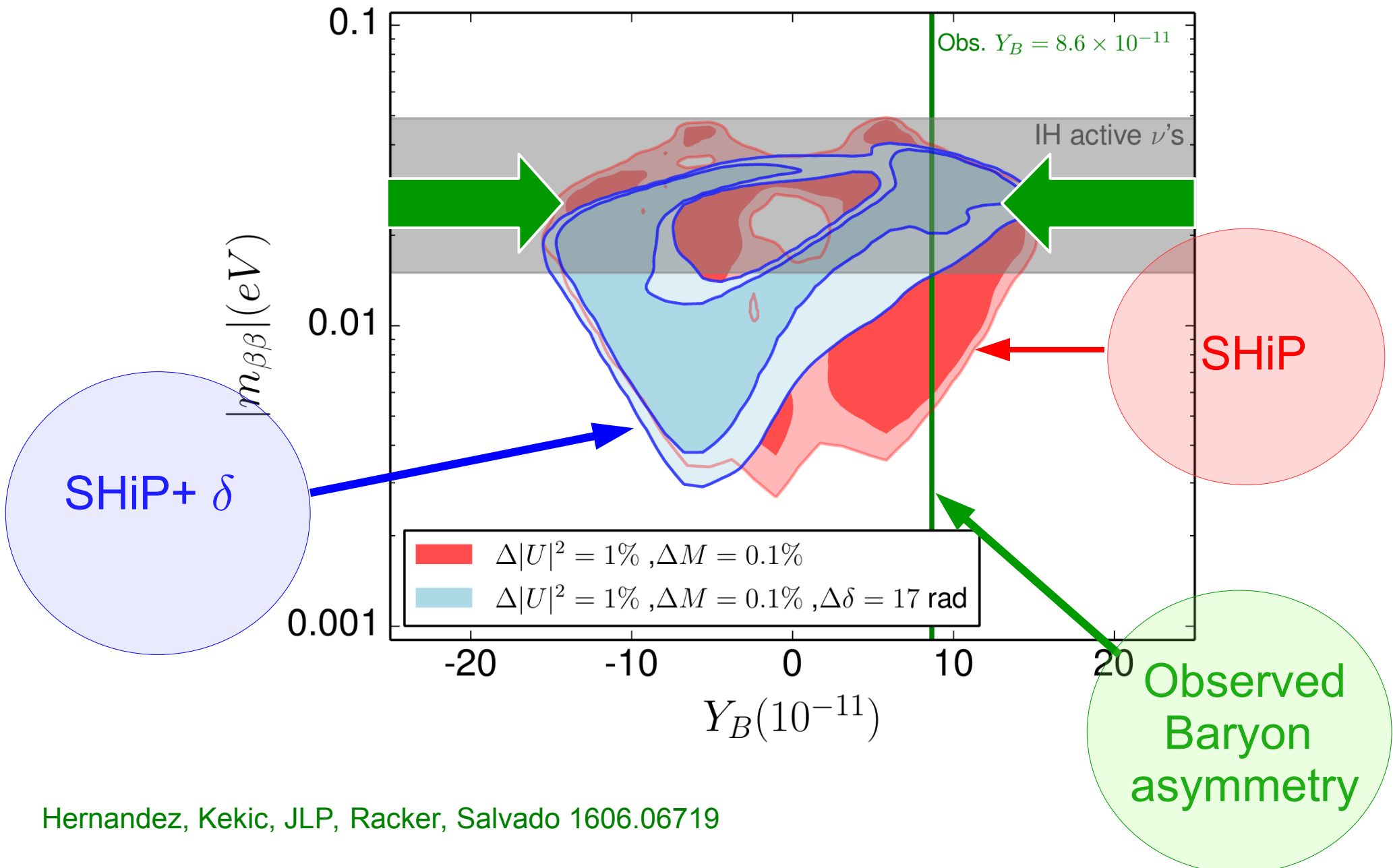
Determining Y_B in minimal model $N_R=2$

$N_R=2$

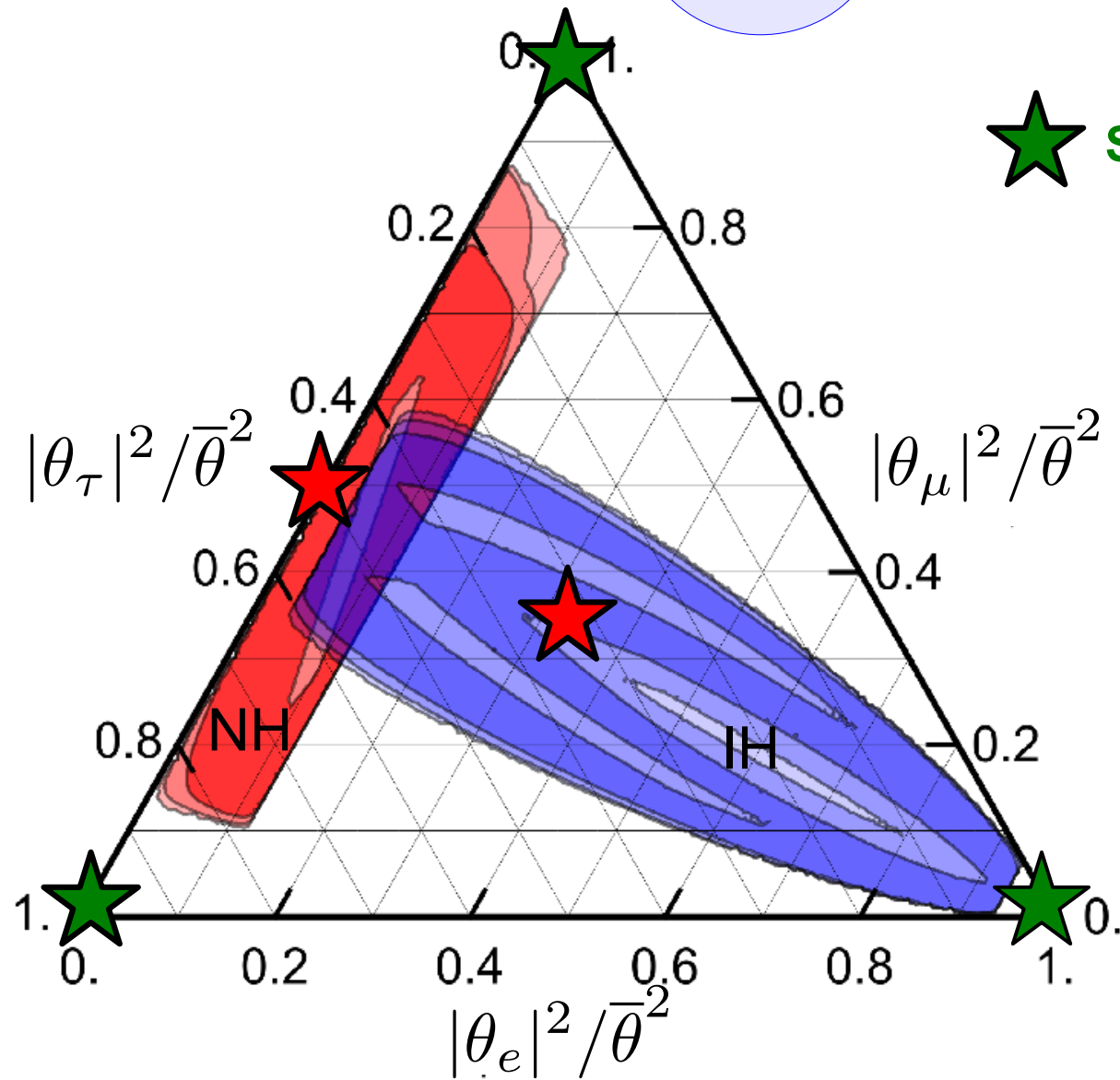


Determining Y_B in minimal model $N_R=2$

$N_R=2$



Minimal model $N_R=2$: Flavor Structure



★ **Single flavored benchmarks**
(1,0,0), (0,1,0), (0,0,1)

★ **NEW 2021**
(0, 1/2, 1/2)
(1/3, 1/3, 1/3)

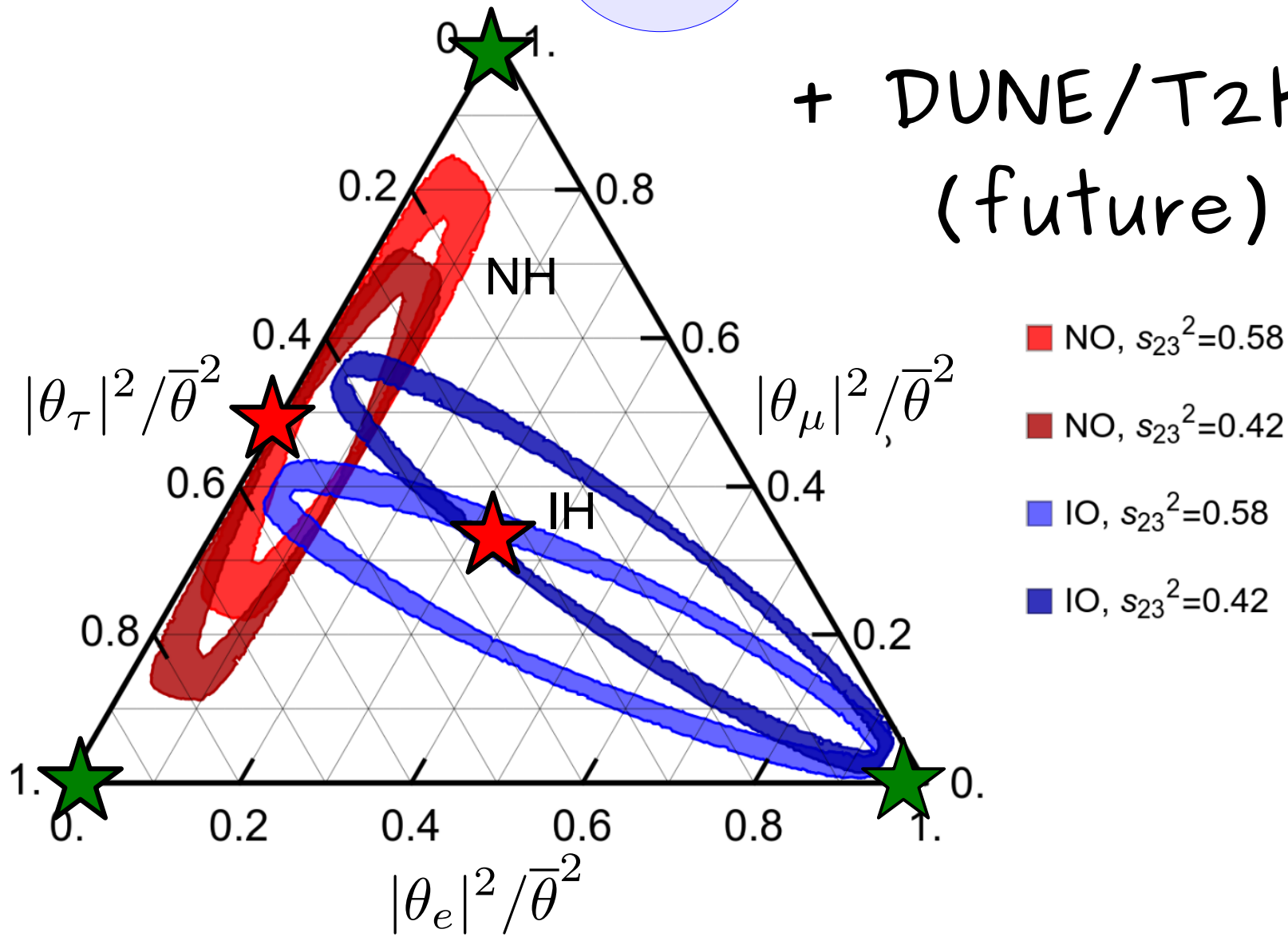
Abdullahi et al arXiv:2203.08039

Caputo, Hernandez, JLP, Salvado arXiv:1704.08721

Drewes, Klaric, JLP arXiv:2207.02742

Minimal model $N_R=2$: Flavor Structure

+ DUNE/T2HK...
(future)



DUNE forecast assuming $\delta = -\pi/2$

Abdullahi et al arXiv:2203.08039
Drewes, Klaric, JLP arXiv:2207.02742

CP-violation in minimal model

- **SHiP and FCC-ee** can measure:

$$M_1, M_2, |U_{e4}|, |U_{e5}|, |U_{\mu4}|, |U_{\mu5}|$$

Sensitivity to
PMNS CP-phases!
 δ, ϕ_1

- $|U_{e4}|^2 / |U_{\mu4}|^2 \simeq |U_{e5}|^2 / |U_{\mu5}|^2 \simeq$

$$\frac{(1 + s_{\phi_1} \sin 2\theta_{12})(1 - \theta_{13}^2) + \frac{1}{2}r^2 s_{12}(c_{12}s_{\phi_1} + s_{12})}{\left(1 - \sin 2\theta_{12}s_{\phi_1} \left(1 + \frac{r^2}{4}\right) + \frac{r^2 c_{12}^2}{2}\right) c_{23}^2 + \theta_{13}(c_{\phi_1} s_{\delta} - \cos 2\theta_{12}s_{\phi_1} c_{\delta}) \sin 2\theta_{23} + \theta_{13}^2(1 + \sin 2\theta_{12})s_{23}^2 s_{\phi_1}}$$

- $|U_{e4}|^2, |U_{\mu4}|^2, |U_{e5}|^2, |U_{\mu5}|^2 \propto \frac{U^2}{M}$

Model Independent Approach: EFT

- The leading NP effects are encoded in effective d=5 operators that can be constructed in a gauge invariant way with the SM fields and the N_j

$$\mathcal{O}_W = \sum_{\alpha, \beta} \frac{(\alpha_W)_{\alpha\beta}}{\Lambda} \bar{L}_\alpha \tilde{\Phi} \Phi^\dagger L_\beta^c + h.c.,$$

- **Modification of flavor structure controlled by the lightest neutrino mass** generated by Weinberg operator. Predictions kept if

$$\frac{v^2 \alpha_w}{\Lambda} \leq 0.1 \sqrt{\Delta m_{sol}^2} \sim 10^{-3} eV$$

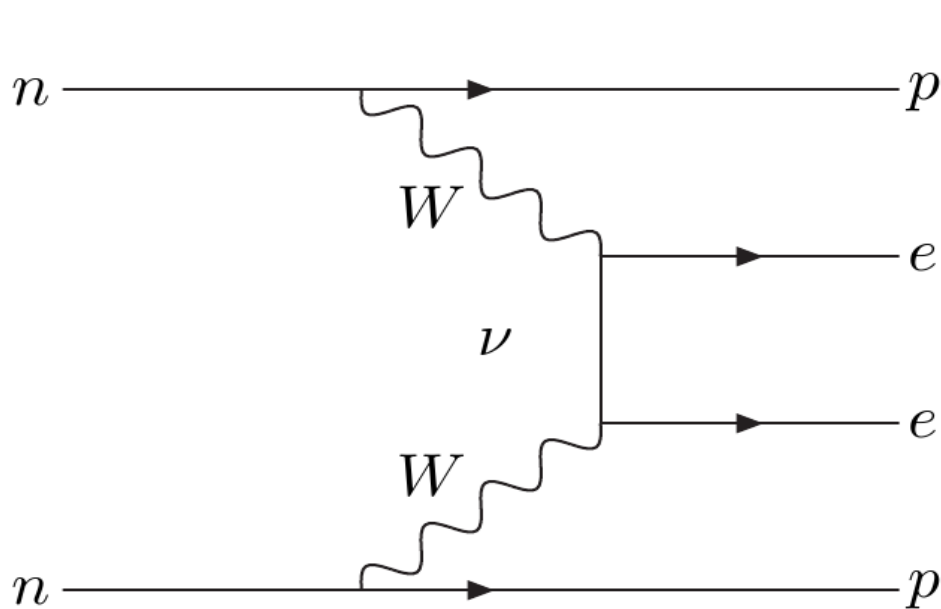
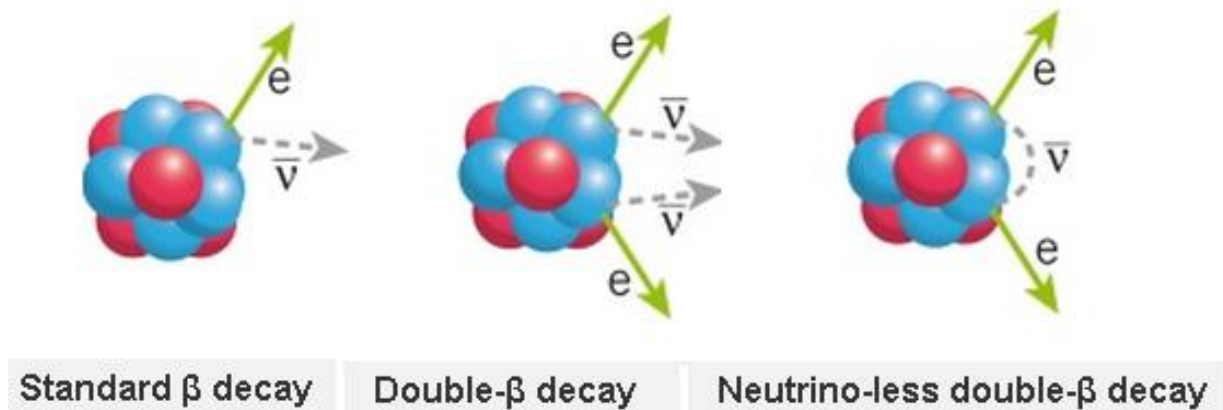
Model Independent Approach: EFT

- The leading NP effects are encoded in effective d=5 operators that can be constructed in a gauge invariant way with the SM fields and the N_j
 - The higgs can decay to a pair of long-lived heavy neutrinos!
(powerful signal of two displaced vertices)

$$\mathcal{O}_{N\Phi} = \sum_{i,j} \frac{(\alpha_{N\Phi})_{ij}}{\Lambda} \overline{N}_i N_j^c \Phi^\dagger \Phi + h.c.,$$

Accomando, Delle Rose, Moretti, Olaiya, Shepherd-Themistocleous 2017
Caputo, Hernandez, JLP, Salvado 2017

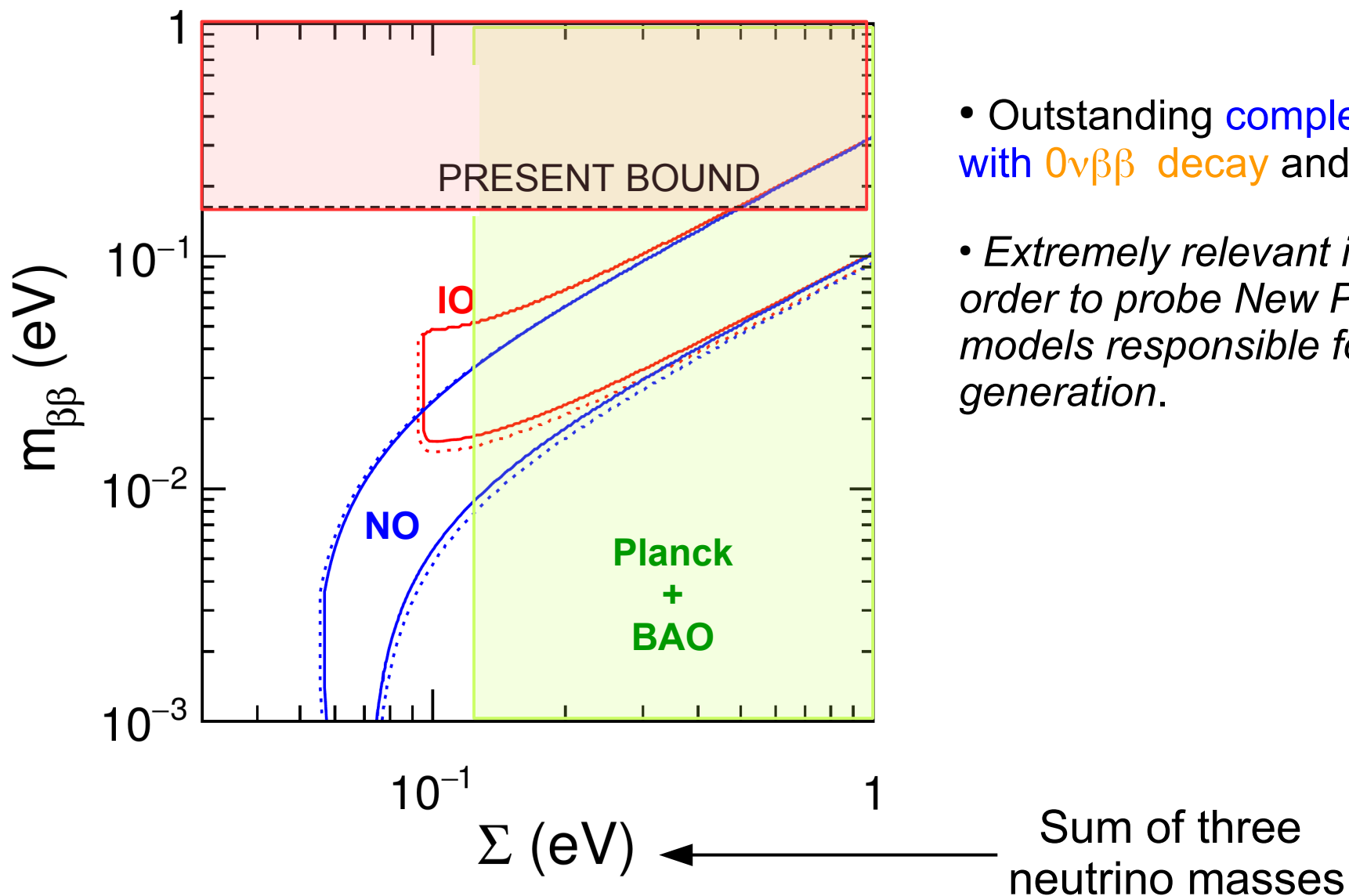
Neutrinoless double beta decay



$$\sim m_{\beta\beta} = \sum_i \underbrace{m_i}_{\text{mass of propagating neutrino}} \overbrace{U_{ei}^2}^{\text{mixing}}$$

$$(Z, A) \Rightarrow (Z \pm 2, A) + 2e^\mp$$

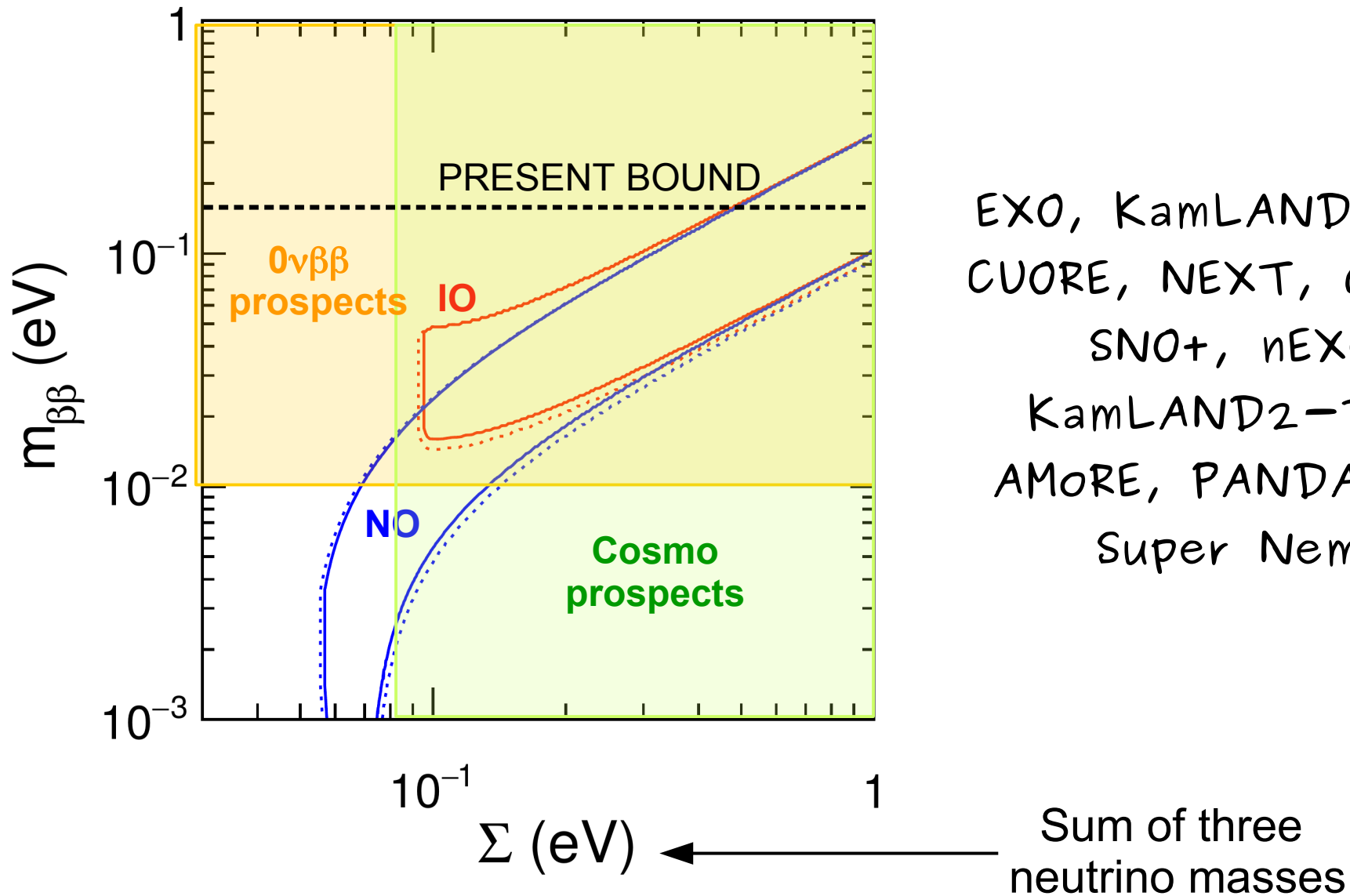
Neutrinoless double beta decay



- Outstanding **complementarity** with **$0\nu\beta\beta$ decay** and **cosmology**.

- *Extremely relevant input in order to probe New Physics models responsible for ν mass generation.*

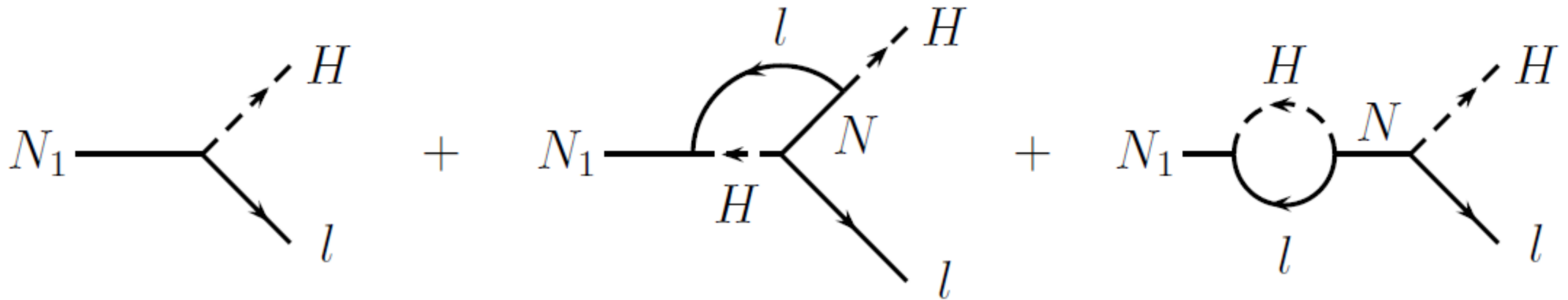
Neutrinoless double beta decay



EXO, KamLAND-Zen,
CUORE, NEXT, GERDA,
SNO+, nEXO,
KamLAND2-Zen,
AMORE, PANDA III,
Super Nemo...

Leptogenesis: Vanilla Scenario

② C and CP violation



At one loop: CP asymmetry generated via interference effects

$$\epsilon = \frac{\Gamma(N \rightarrow lH) - \Gamma(N \rightarrow l^c H^c)}{\Gamma(N \rightarrow lH) + \Gamma(N \rightarrow l^c H^c)} \propto \text{Im}(Y^\dagger Y)_{ij}^2$$

Leptogenesis: Vanilla Scenario

3

Departure from thermal equilibrium

