

# Measuring $\theta_{13}$ : T2K & Double Chooz

Federico Sánchez



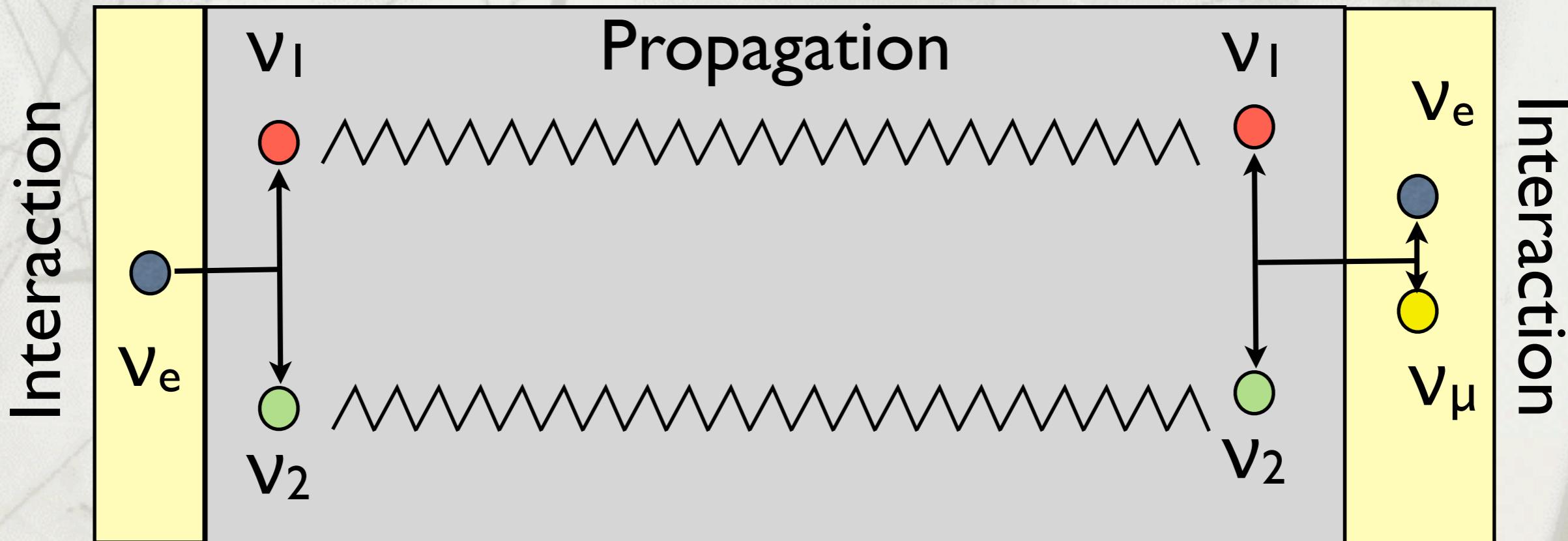
Barcelona, del 2 al 4 de noviembre de 2011

# Outlook

- Oscillation in a “nutshell”.
- Long base line vs reactor experiments
- Spanish contribution to neutrino oscillations:
  - T2K
  - Double-Chooz
- Conclusions and final remarks

# Neutrino oscillation

- Neutrinos are produced always as a flavor neutrino but they propagate in vacuum as mass eigenstates.
- Mass eigenstates  $\neq$  flavour eigenstates.



- If neutrinos 1 & 2 propagate at different speeds (mass) keeping the quantum coherence at the interaction point the proportions between 1 & 2 states changes and it might appear other neutrino flavor.

# Oscillations with 3 $\nu$ 's

Mass eigenstates  $\neq$  flavour eigenstates

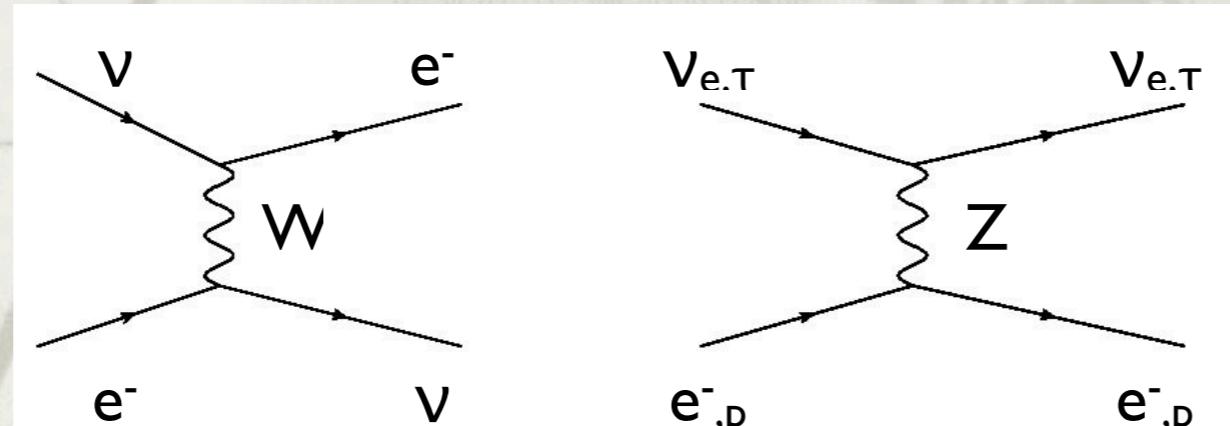
$$U_{PNMS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta_{CP}} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$(\nu_e \quad \nu_\mu \quad \nu_\tau) = U_{PNMS} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

- With  $3\nu$ , there are 3 angles and 1 imaginary phase ( $\delta$ ).
- The phase allows for CP violation similar to the quark sector.
- There are also 2 values of  $\Delta m^2$ , traditionally  $\Delta m^2_{12}$  &  $\Delta m^2_{31}$  with their signs.
- Oscillations are not sensitive to absolute mass.

# Neutrinos in matter

- Neutrino oscillations are altered by interaction with matter.
- This is actually the model for solar neutrino oscillation model.
- Neutrinos can have two types of interaction with matter:
  - Incoherent inelastic:  $\sigma \sim 10^{-43} (E/\text{MeV})^2$
  - Coherent. The medium is unchanged and the scattered and un-scattered waves interfere enhancing the effect.
  - It introduces a phase in the propagation, that can be invisible except for the fact that matter is made of electrons. The matter effects introduce a relative phase between electrons and other flavours.



# Measurements

$$\theta_{12}, \Delta m^2_{12}$$

- Natural sources (neutrinos from the sun) : SNO, SuperKamiokande, Borexino, Gallex, GNO, SAGE, Homestake.
- Man-made sources (nuclear reactors): Kamland.

$$\theta_{32}, \Delta m^2_{32}$$

- Natural sources (atmospheric neutrinos): SuperKamiokande.
- Man-made sources(accelerators): K2K, Minos.

# The usual “we know”

$$\Delta m_{21}^2 = 7.67^{+0.22}_{-0.21} \times 10^{-5} eV^2$$

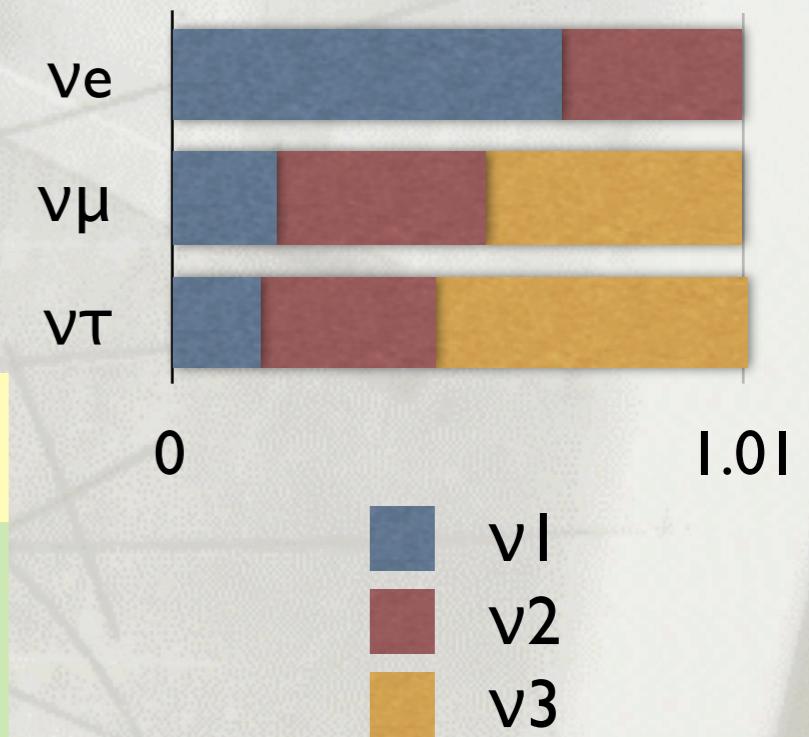
$$|\Delta m_{31}^2| = 2.46 \pm 0.15 \times 10^{-3} eV^2$$

$$\theta_{12} = 34.5^\circ \pm 1.4$$

$$\theta_{23} = 42.3^\circ \pm +5.1 - 3.3 \text{ Maximal?}$$

$$\theta_{13} < 10.1^\circ (90\% C.L.)$$

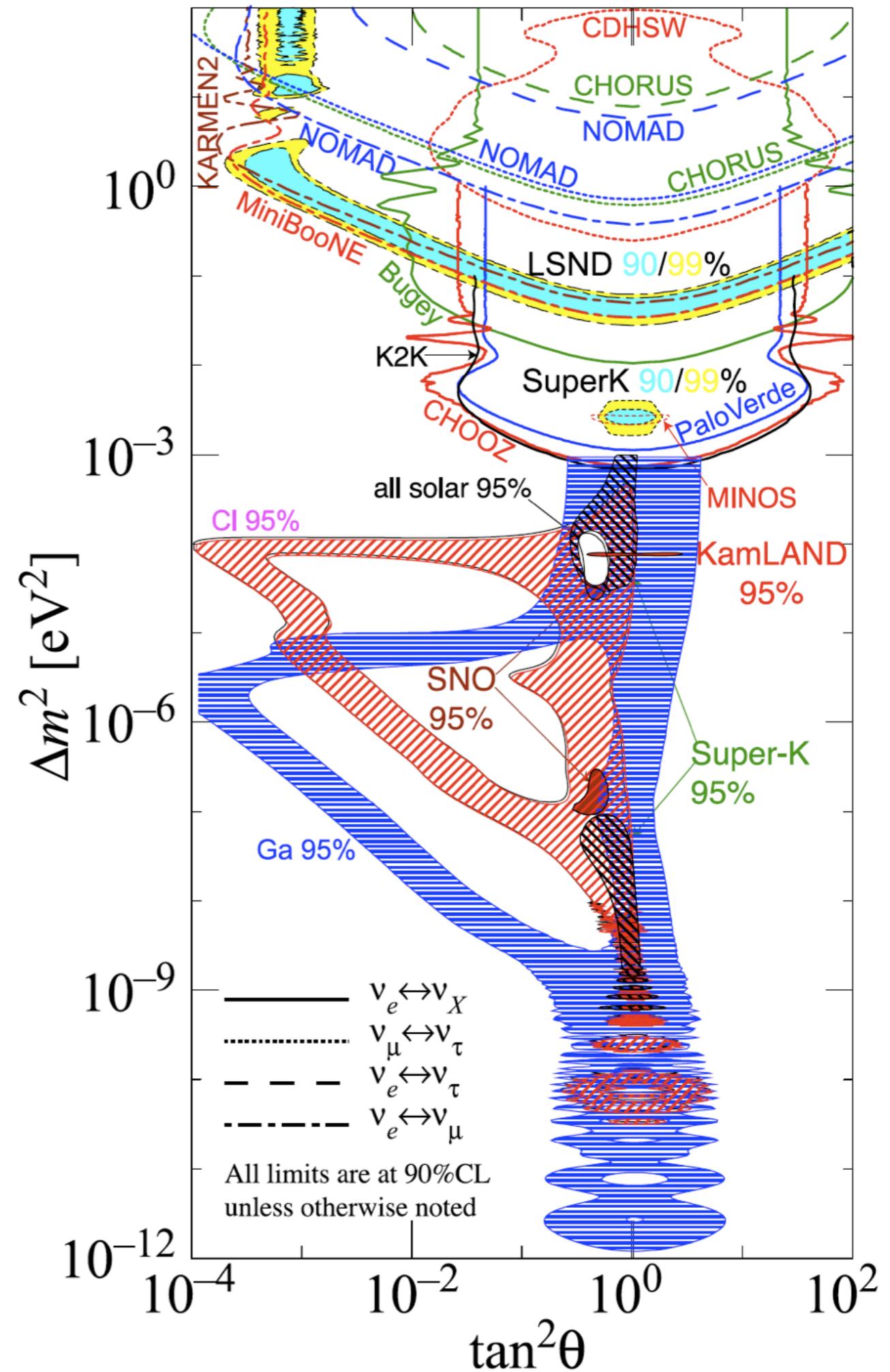
$$\delta_{CP} \in [0^\circ, 360^\circ]$$



- Still a long way to go!. But, it might be closer than we think!.
- Most urgent: values of  $\delta_{CP}$ ,  $\theta_{13}$ , absolute mass scale.

# Angles and masses

Particle data group  
review



# $\theta_{13}$ : accelerators vs reactors

## Accelerator



- Appearance experiment.
- Oscillation depends on  $\theta_{13}$ ,  $\theta_{23}$ ,  $\text{sign} \Delta m^2$ ,  $\delta_{CP}$  and matter effects:
  - degeneracies.
  - possible  $\text{sign} \Delta m^2$  &  $\delta_{CP}$
- Multipurpose: several oscillation ( $\theta_{23}$ , steriles) and non-oscillation physics.
- Experimental challenges:
  - beam intensity, flavor composition and flux extrapolation.
  - $\nu N$  interaction cross-sections.

## Reactor



- Disappearance experiment.
- Oscillation depends on  $\theta_{13}$ .
- No degeneracies except for  $\theta_{23}$  obtained from accelerators.
- No access to  $\text{sign} \Delta m^2$  &  $\delta_{CP}$
- Experimental challenges:
  - backgrounds
  - systematic uncertainties.

# Reactors



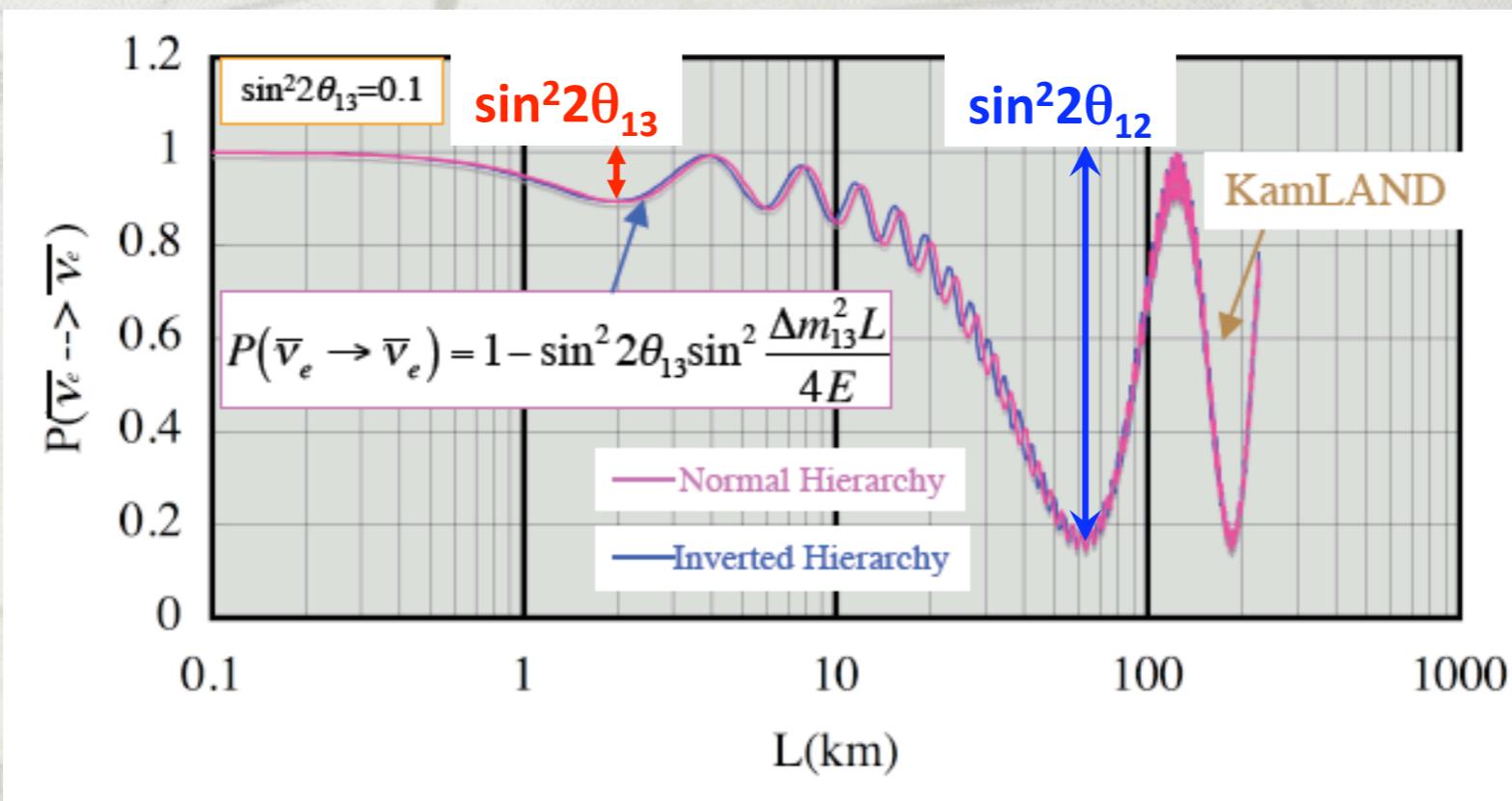
3 senior staff, 2 postdocs, 2 PhD, 3 engineers, 2 technical staff.  
(PI: I.Gil Botella)



# Reactor principle.

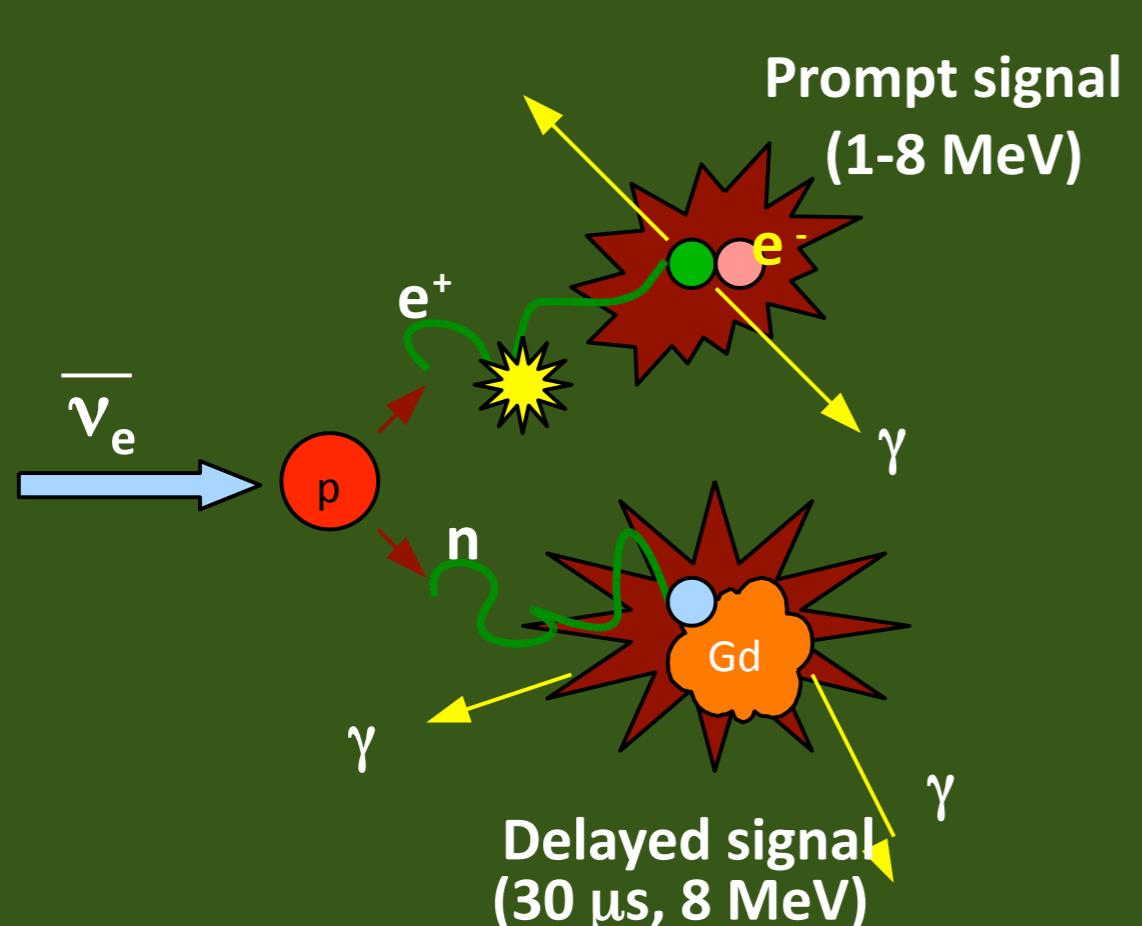
Clean measurement

$$P_{ee} \approx 1 - \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{13}^2 L}{4E_\nu} \right) - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E_\nu} \right)$$





# Reactor principle



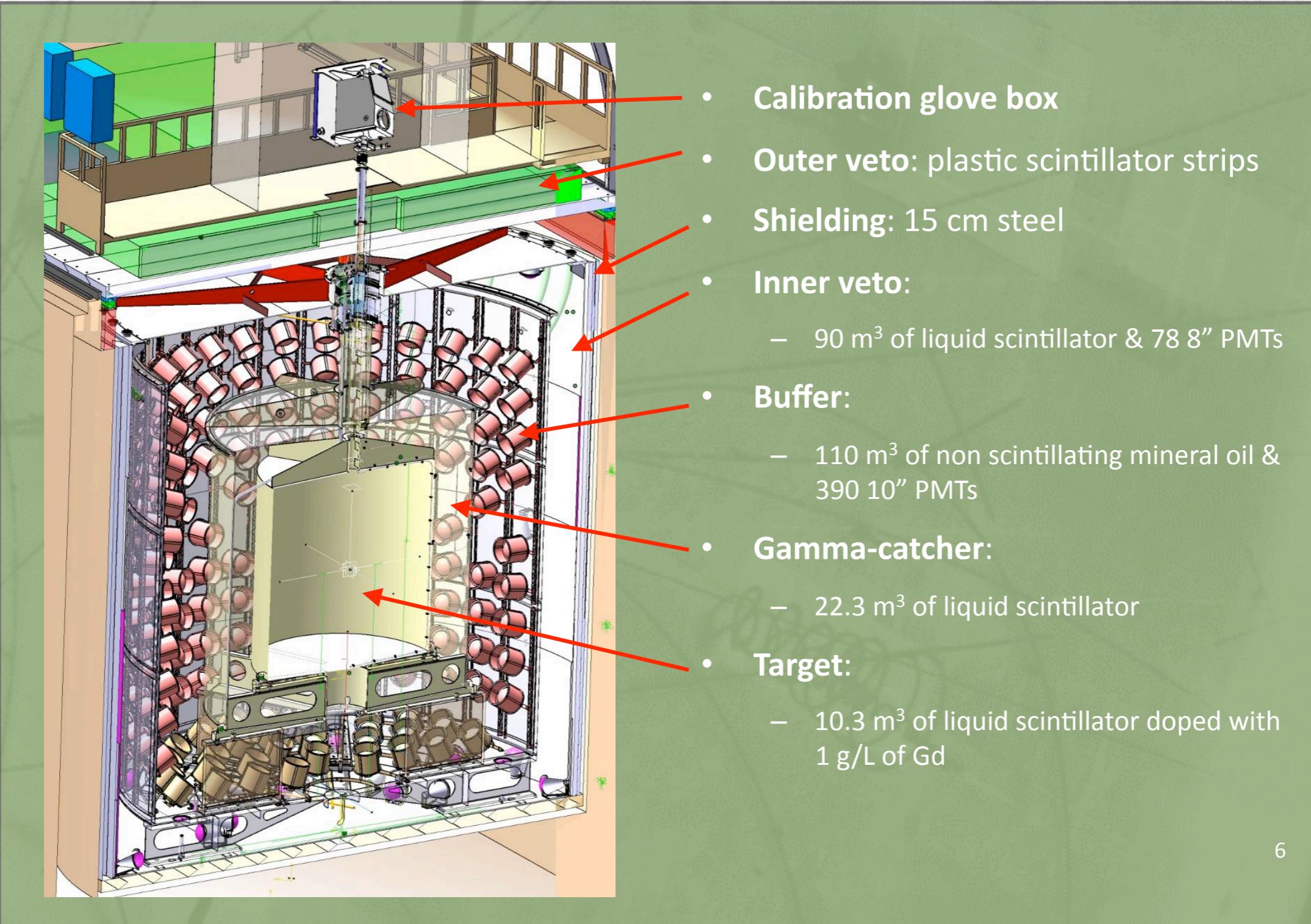
- Prompt photons from  $e^+$  annihilation:
  - $E_{VIS} \sim E_{\nu^-} (M_n - M_p) + m_e$
- Delayed photons from  $n$  capture:
  - on  $H$ :  $t \sim 200$  s,  $E \sim 2$  MeV
  - on  $Gd$ :  $t \sim 30$  s,  $E \sim 8$  MeV



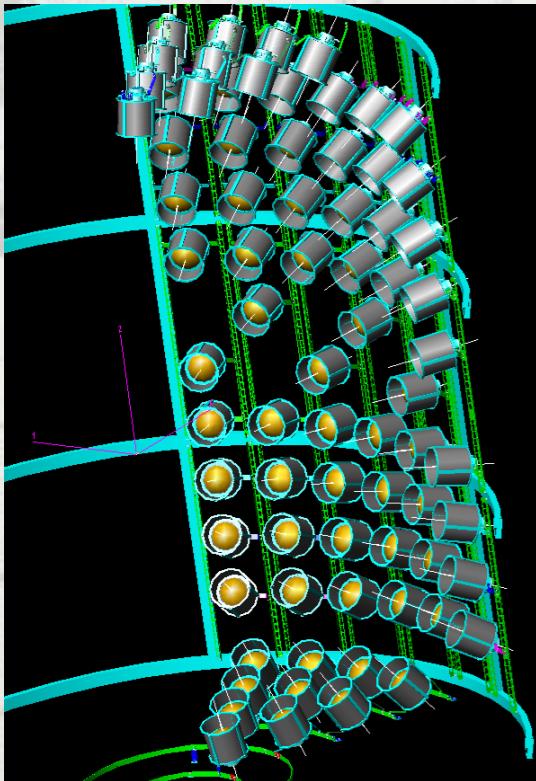
# Double Chooz



# Detector design



# Double Chooz



## DETECTOR MECHANICS

- Design and construction of special tools for acrylics installation
- Design, construction and assembly of PMT mechanical supports
- Installation of PMTs in the detectors

## PHOTODETECTION SYSTEM

- PMT functionality tests
- PMT characterization under magnetic field
- Design, tests, production and assembly of PMT magnetic shields

## COMMON FUND

- Filling system
- Buffer and veto liquids
- Safety systems
- Running costs

## ELECTRONICS

- Design, tests, production and installation of PMT HV splitters

## ONLINE SYSTEM

- DAQ Event Builder development

## SIMULATION, DATA RECONSTRUCTION AND PHYSICS ANALYSIS

- Detector simulation software
- Data reconstruction algorithms
- Background simulation
- Analysis tools for sys. and sens. estimation
- Coordination of the European cluster



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# Double Chooz



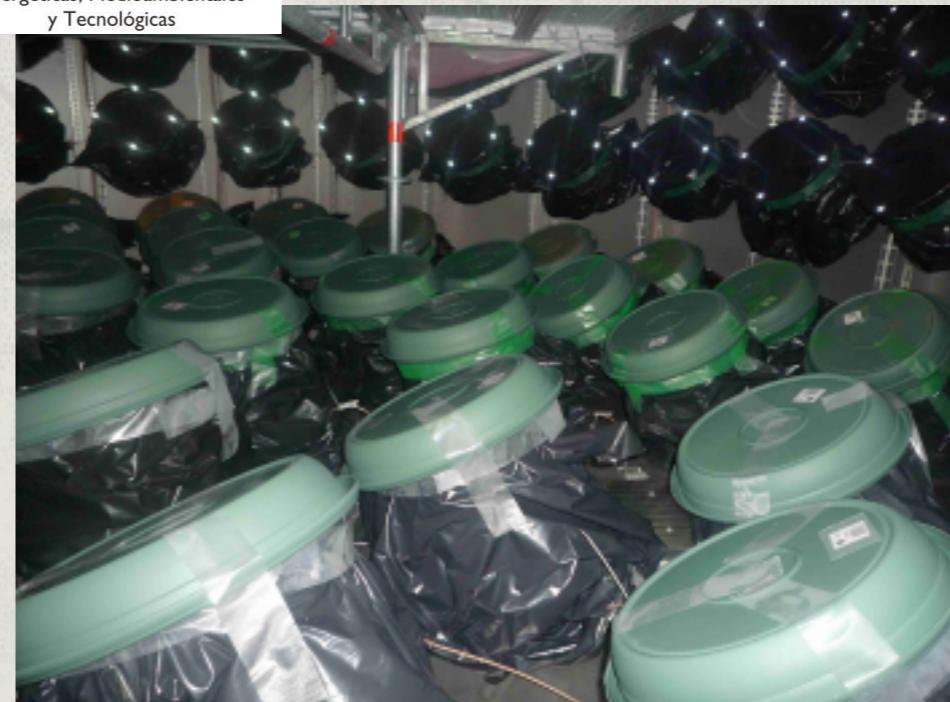
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**Ciemat**

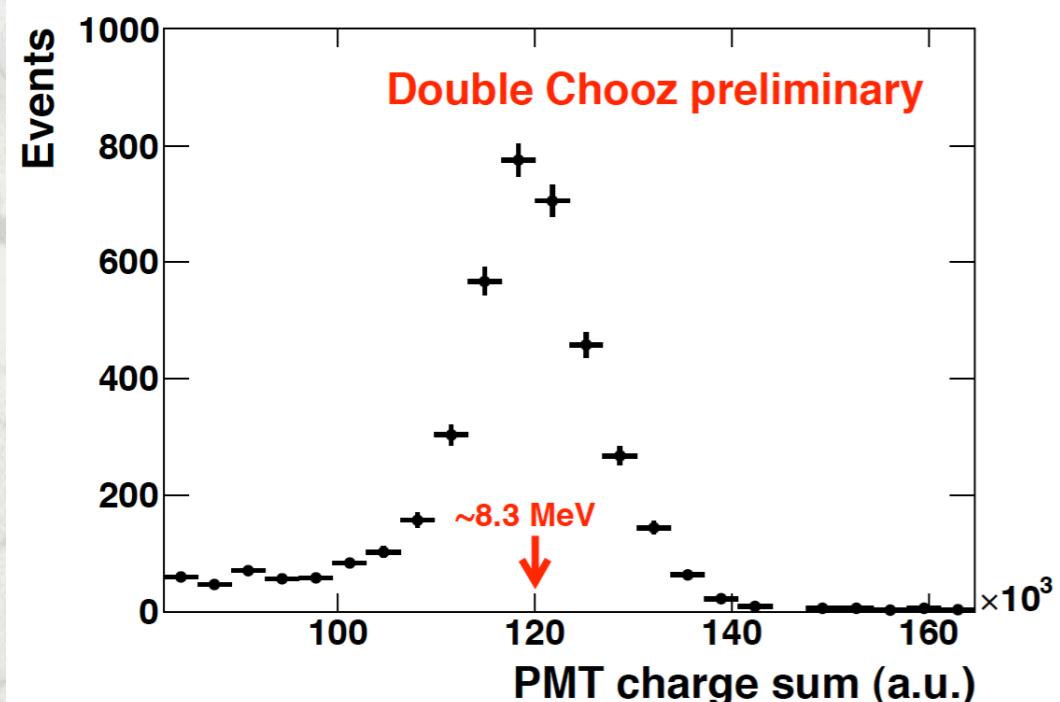
Centro de Investigaciones  
Energéticas, Medioambientales  
y Tecnológicas



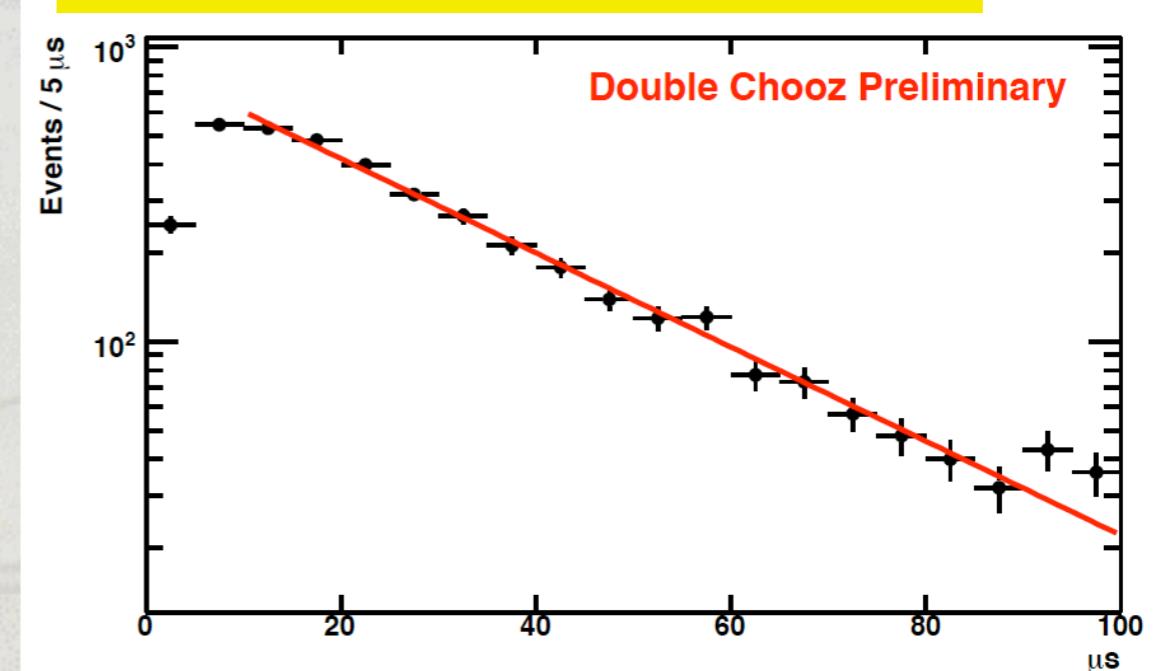


# Neutrino detection

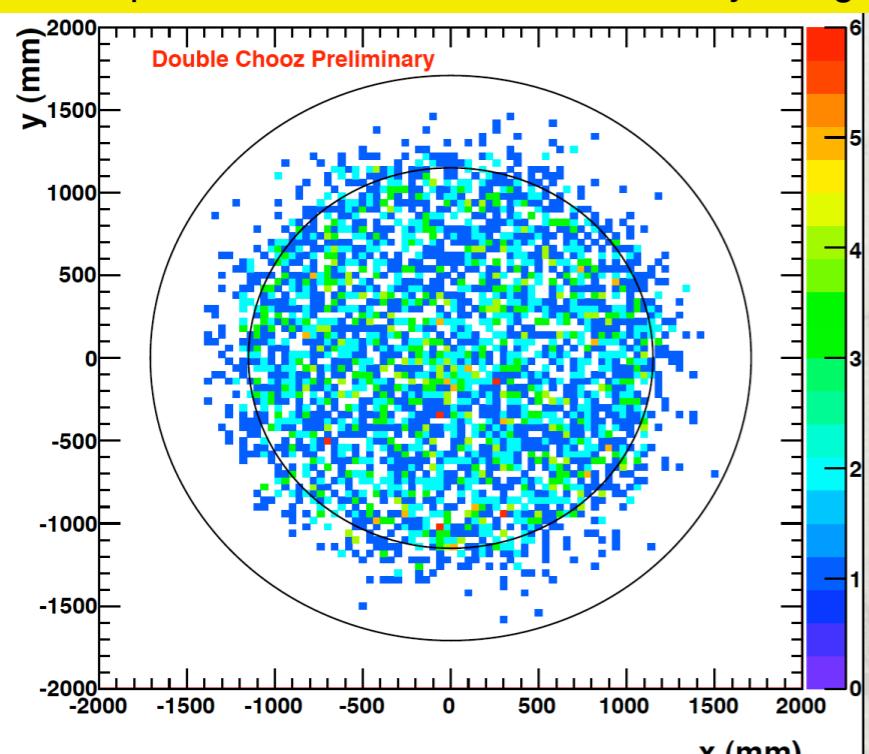
Energy spectrum in the delayed Gd-energy window (6 – 12 MeV)



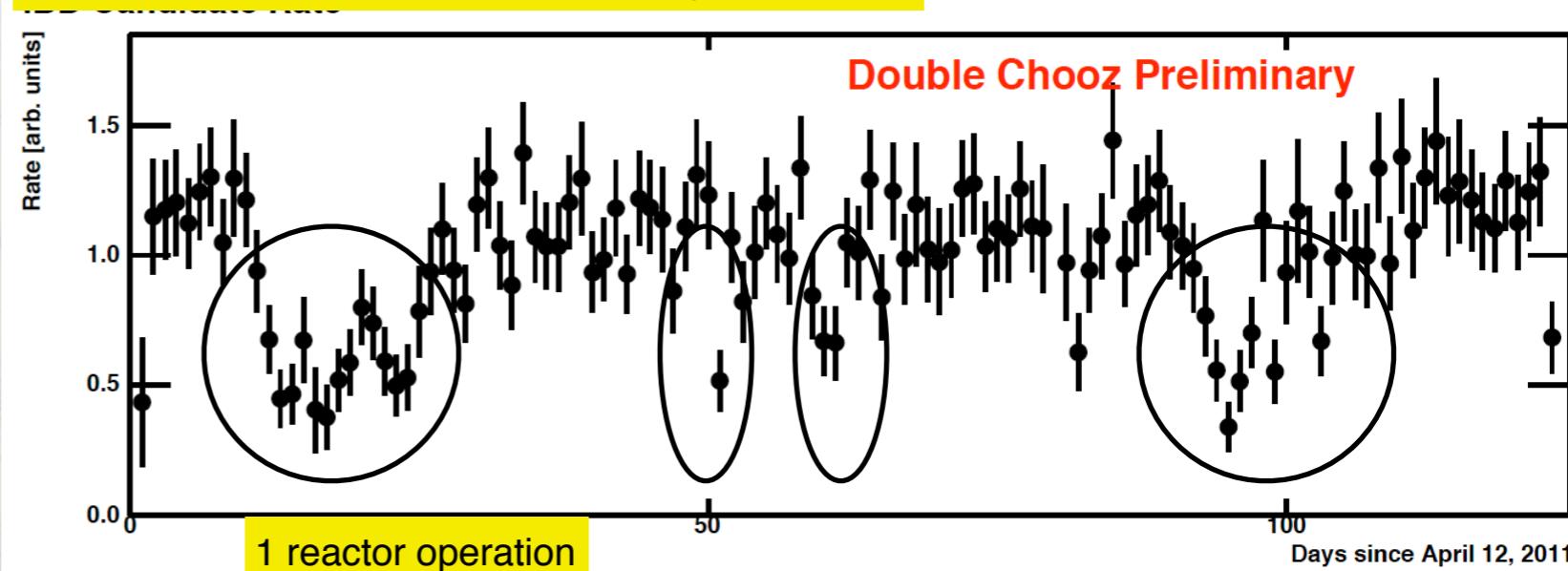
Timing distribution between prompt and delayed signal  
n-capture on Gd  $\sim 30 \mu\text{s}$



Vertex position reconstruction of the delayed signal



Neutrino candidate rate as function of operation time

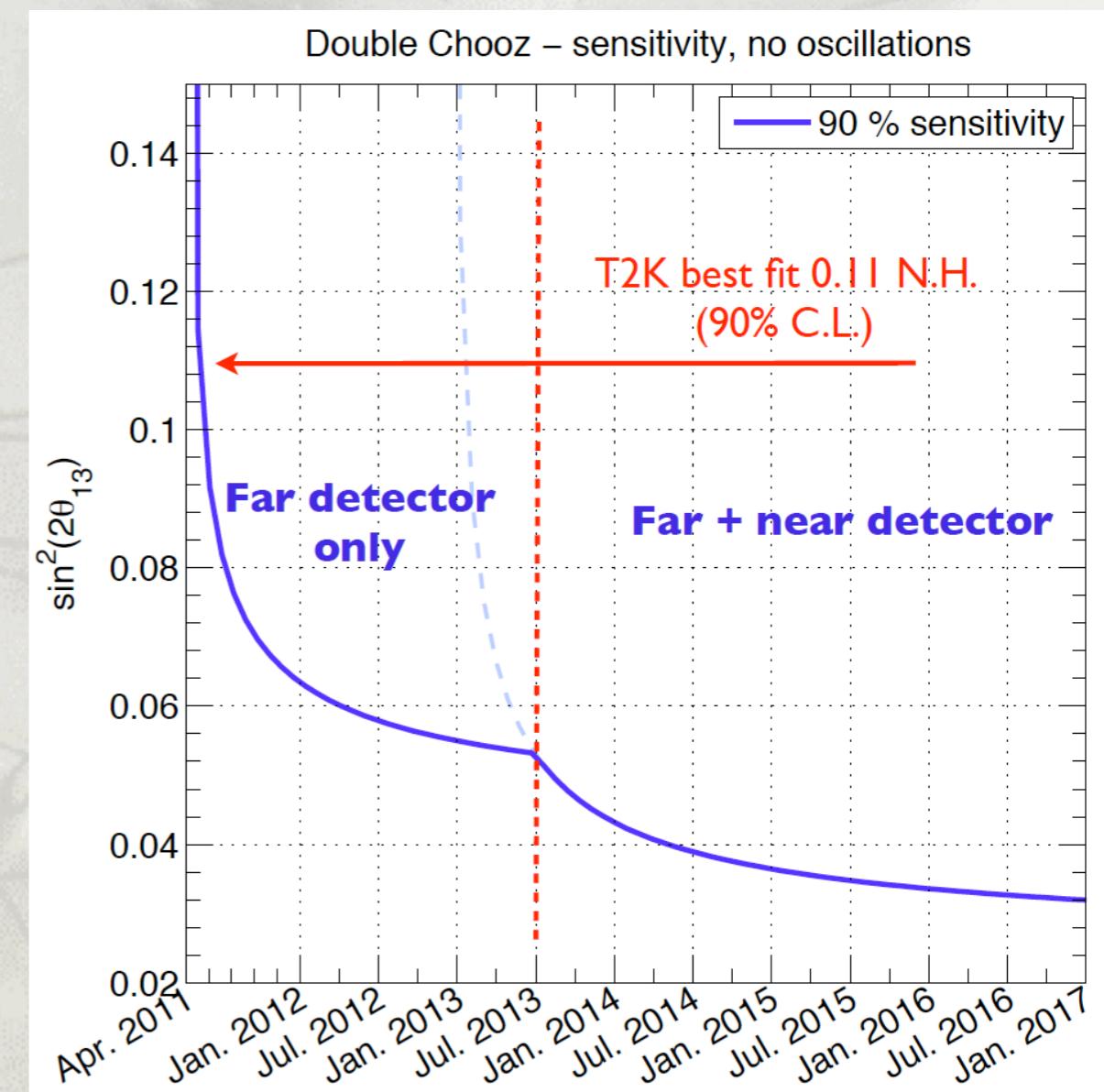




# Double Chooz

- T2K best fit can be addressed with 2011 statistics.

Results are expected very soon.



# Accelerators



**IFAE** <sup>R</sup> 1 senior staff, 2 postdocs, 2 PhD, 1 engineer (P.I.: F.Sanchez)

**IFIC** <sup>I</sup> 1 senior staff, 1 postdocs, 2 PhD (P.I.: A.Cervera)

# Measuring $\theta_{13}$

$$P_{\nu_\mu, \nu_e} =$$

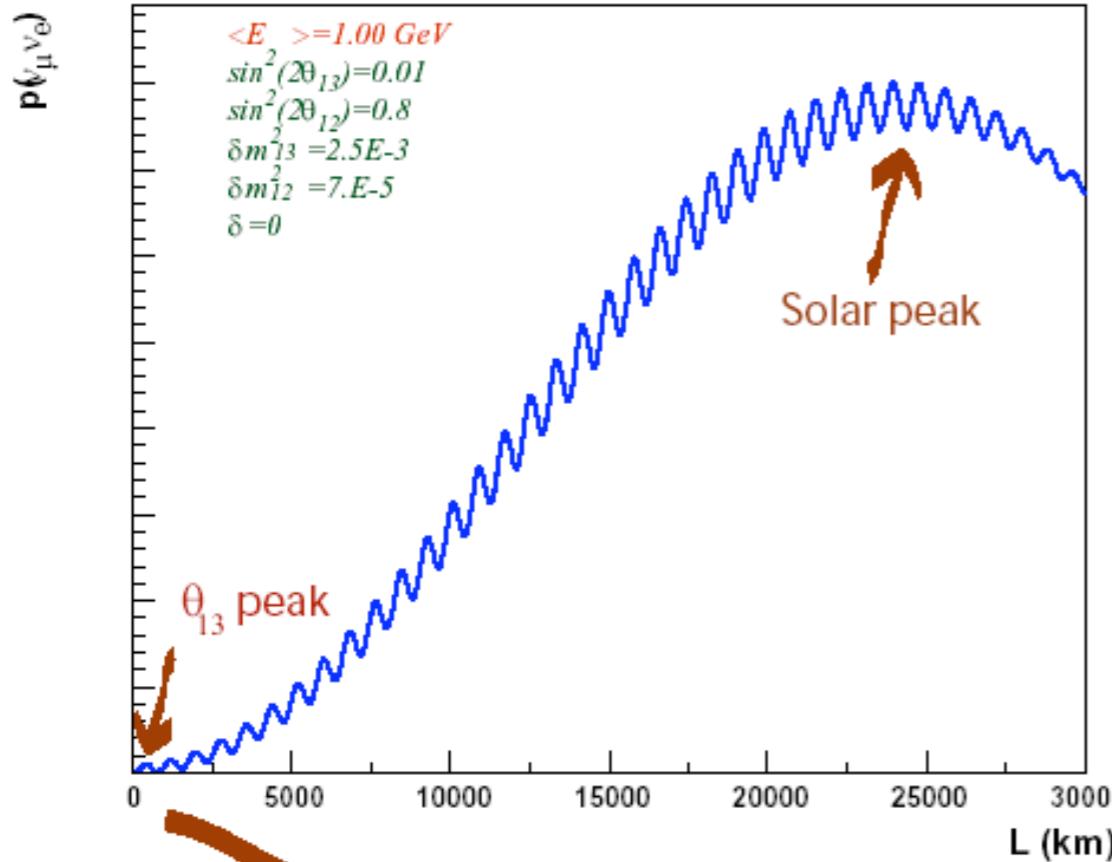
$$\sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E}$$

$$+ \cos^2 \theta_{23} \cos^2 \theta_{13} \sin^2 \theta_{12} \sin^2 \frac{\Delta m_{21}^2 L}{4E}$$

$$+ 8 \cos^2 \theta_{13} \sin \theta_{13} \sin \theta_{23} \cos \theta_{23} \sin \theta_{12} \cos \theta_{12} \sin \frac{\Delta m_{31}^2 L}{4E} \sin \frac{\Delta m_{12}^2 L}{4E} \cos \left( \frac{\Delta m_{32}^2 L}{4E} \delta_{CP} \right)$$

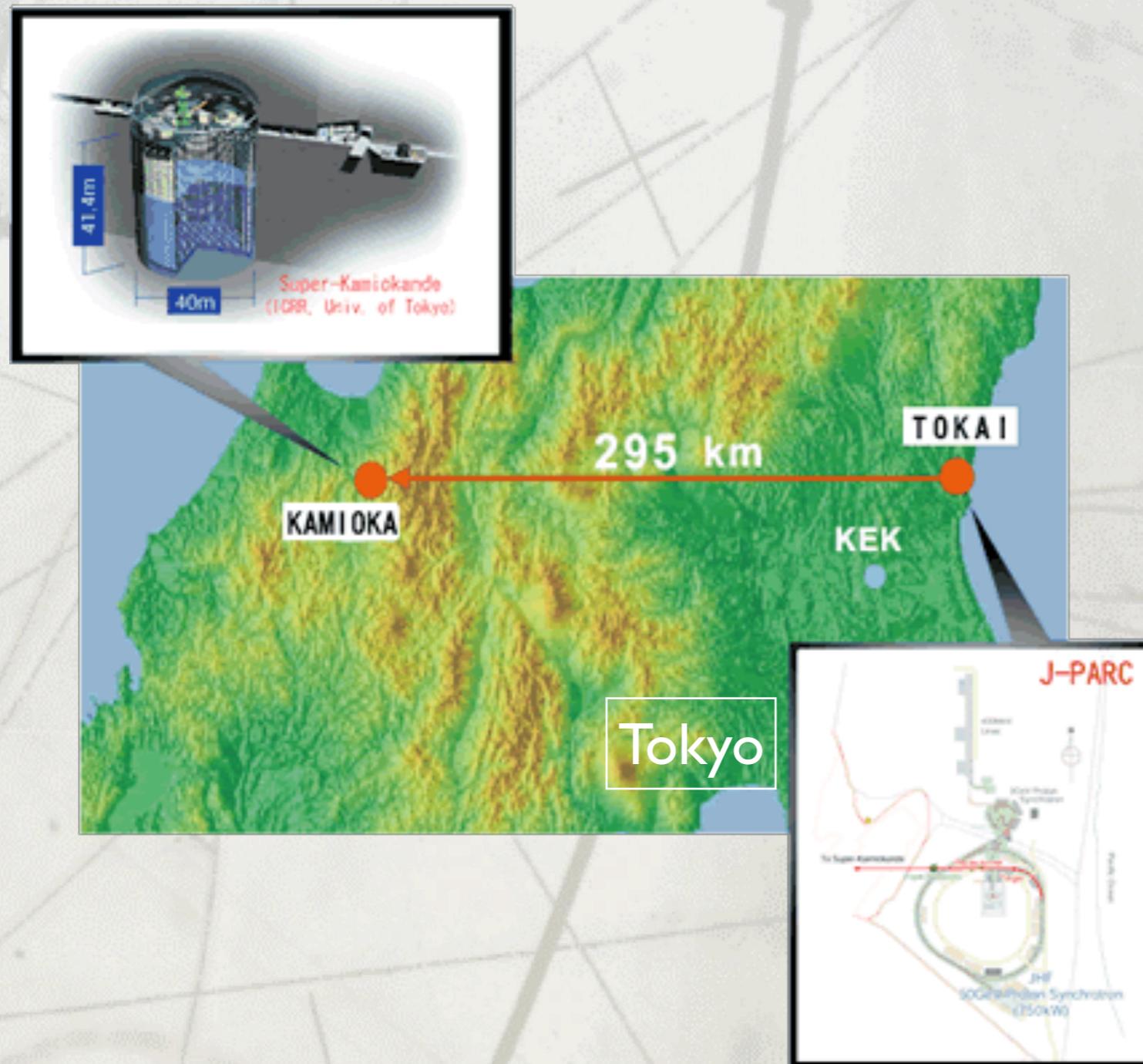
$$- 2 \sin^2 \theta_{12} \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin \frac{\Delta m_{21}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \cos \frac{\Delta m_{32}^2 L}{4E}$$

$$+ 4 \cos^2 \theta_{13} \sin^2 \theta_{12} \sin \theta_{13} \sin \theta_{23} (\sin \theta_{23} \sin \theta_{13} \sin \theta_{12} - 2 \cos \theta_{12} \cos \theta_{23} \cos \delta_{CP}) \sin^2 \frac{\Delta m_{21}^2 L}{4E}$$



- $\nu_\mu \rightarrow \nu_e$  competes with the solar oscillation.
- decoupled only from the L/E value similar to reactor neutrinos.

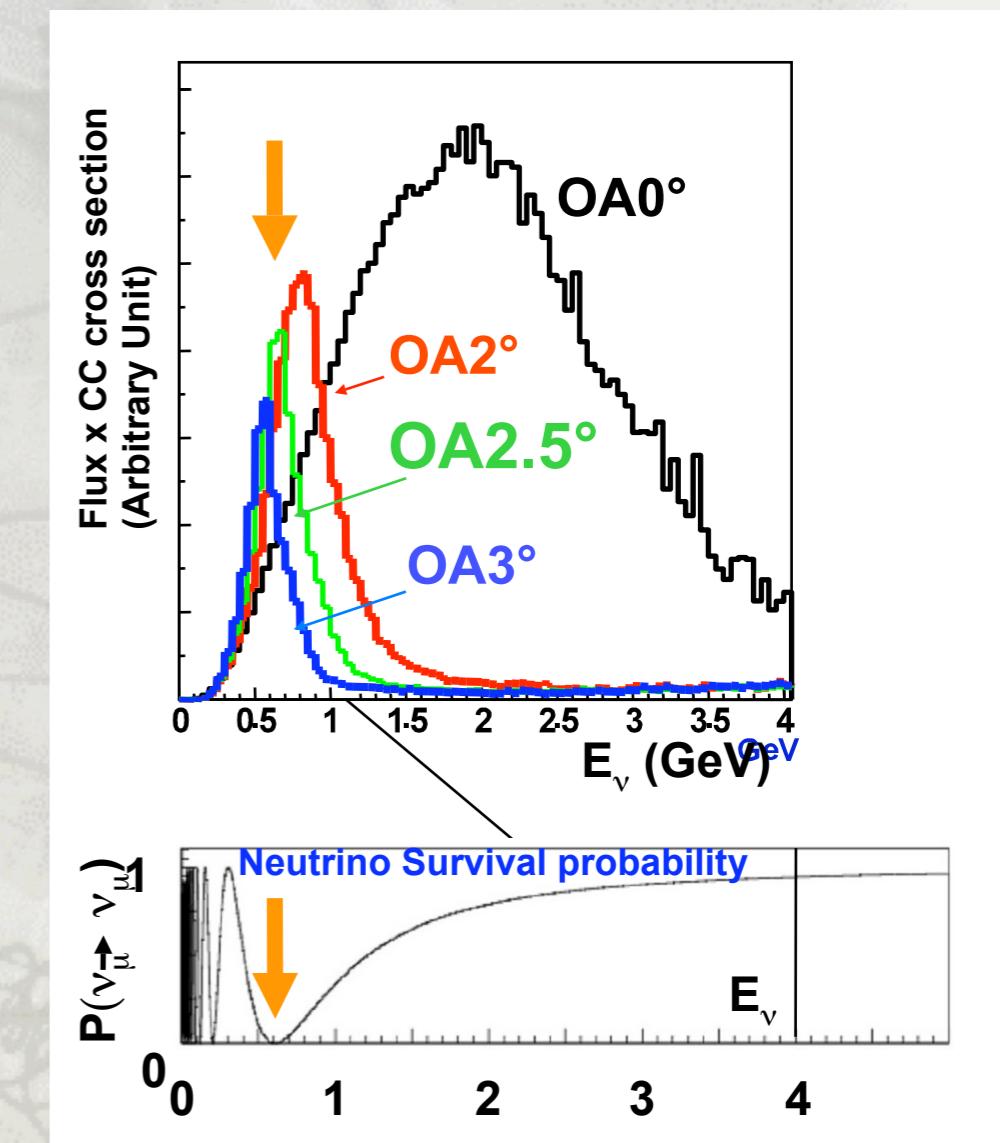
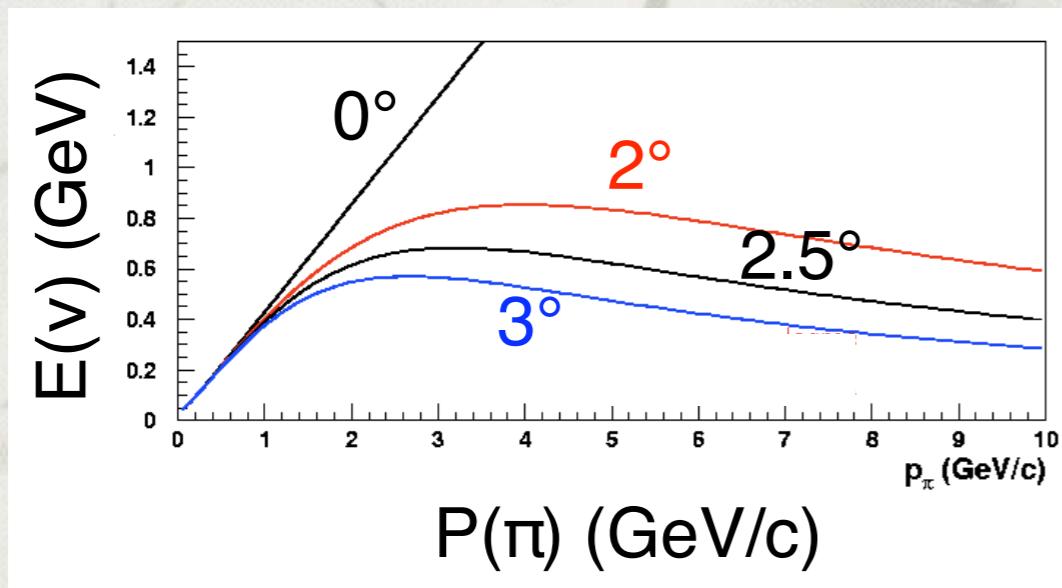
# T2K



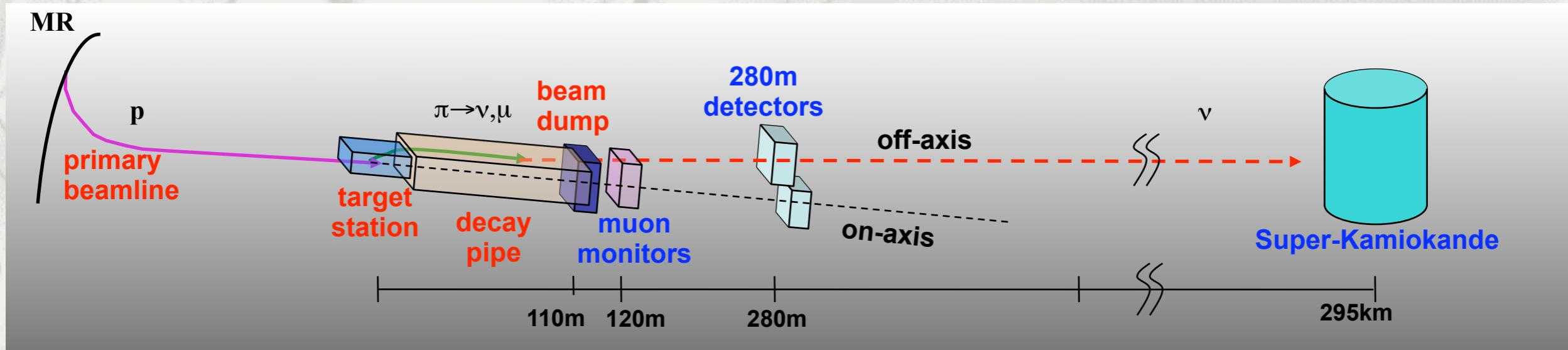
- $\nu_\mu \rightarrow \nu_e$  &  $\nu_\mu \rightarrow \nu_\mu$  from high intensity accelerator.
- $E_\nu \sim 600$  MeV.
- Oscillation distance: 295km.
- Off-axis technique → narrow energy spectrum.

# T2K: off-axis

- T2K is the first long baseline experiment using off-axis technique
- Reduced dependence of  $E_\nu$  from  $E_\pi$ 
  - Intense beam where the oscillation effect is maximum ( $\sim 0.6$  GeV)
  - Enhance the CCQE sample, reducing the high energy tails of the beam  $\rightarrow$  reduce the backgrounds to oscillation signal



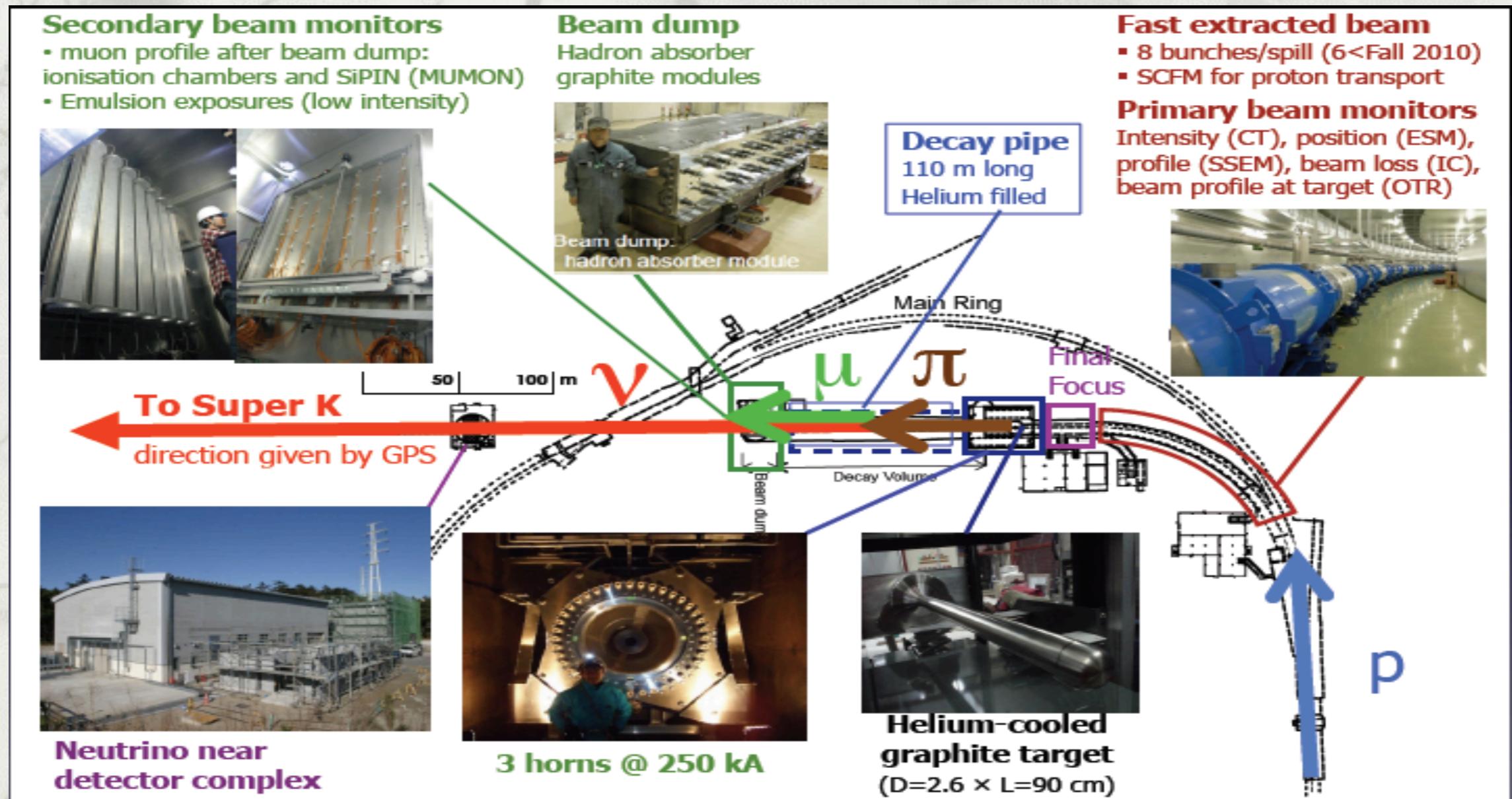
# T2K concept



- **Beamline:**
  - Produce a narrow band neutrino beam (peak energy  $\sim$ 600 MeV) using Off-axis beam technique: center of the beam  $\sim$ 2.5° off from SK direction
  - Design beam power 750 kW (50 kW in 2010, stable 145 kW in 2011)
- **Detectors:**
  - Proton beam profile, position and intensity monitored in several detectors along the beamline
  - 2 detectors monitor neutrino beam stability and direction: Muon Monitor and INGRID
  - Off-axis Near Detector (ND280): measure  $\nu$  interaction rates and flavors before the oscillation
  - Off-axis Far Detector (SK): measure  $\nu$  interaction rates and flavors after the oscillation

# T2K:beam

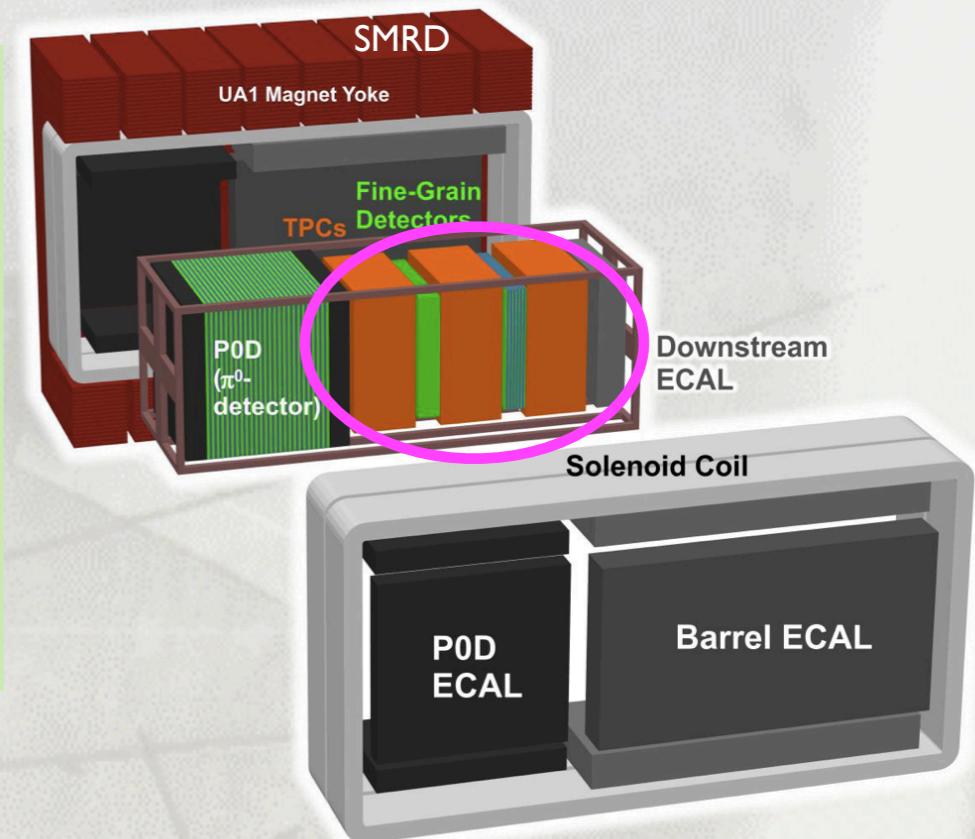
- 30 GeV proton accelerator
- Single turn extraction of the protons from the MR to target station (8 bunches)
- Graphite target + 3 Horns: hadrons ( $\pi$ , K) are produced and charge selected
- Decay tunnel (110 m):  $\pi \rightarrow \mu + \nu_\mu$  (+ other decays from kaons and muons that produce other neutrino species).
- The majority of muons and survived hadrons are stopped by the beam dump



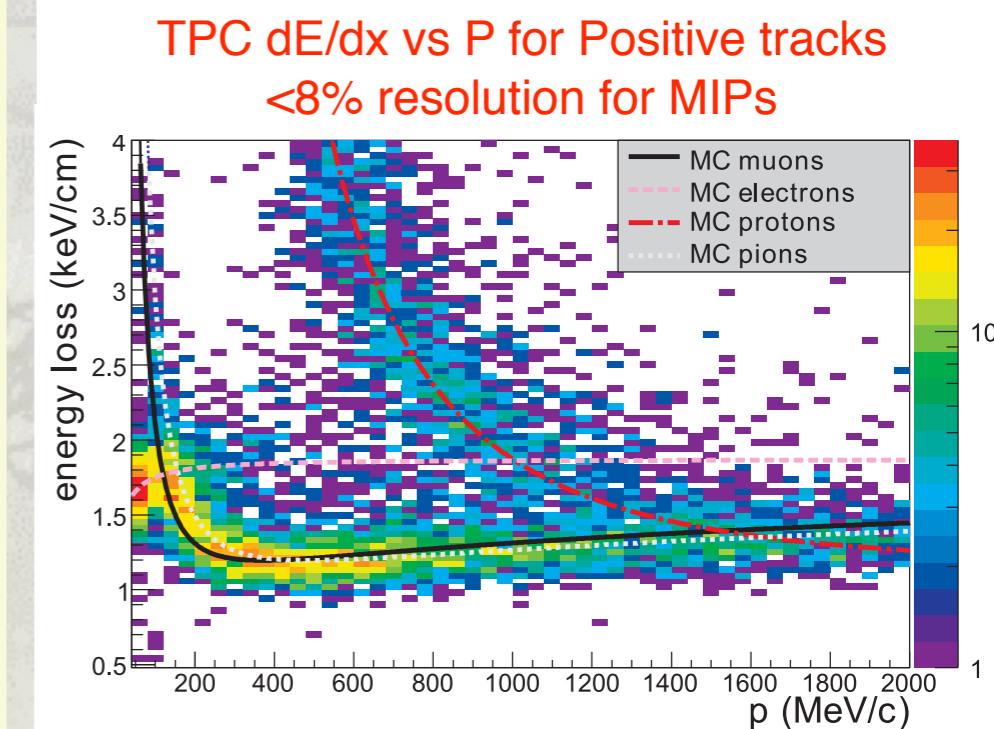


# T2K: Off-axis ND280

- Set of detector installed inside the ex-UA1/NOMAD magnet providing a 0.2 T magnetic field. **IFAE**
- Measure  $\nu_\mu$  and  $\nu_e$  spectra before the oscillation
- Measure cross-sections for backgrounds to oscillation
- Dedicated  $\pi^0$  detector (POD), EM calorimeter to identify e/  $\gamma$  (ECAL), side muon range detector for high angle  $\mu$  (SMRD)



- The Tracker:
  - 2 fine grained detectors (FGD)
    - Active target for neutrino interactions (carbon and water)
    - 1.6 ton of Fiducial Volume
  - 3 time projection chambers (TPC)\*
    - Instrumented with MicroMEGAS detectors
    - Reconstruct momentum and charge of the particles produced in  $\nu$  interactions
    - PID capabilities measuring dE/dx in the gas



\*NIM, A 637 (2011) pp. 25-46



# T2K



TPC

MicroMegas production quality assessment at CERN.  
Data Acquisition.  
Electronics for readout.  
TestBeam installation and data analysis.  
Contributed to MicroMegas (30%) and HV purchase (~20%).  
TPC calibration and distortions.



Magnet

Water cooling piping.  
Slow control.  
Shipping and refurbishing.

Near detector reconstruction:  
kalman filter.  
TPC reconstruction.  
Analysis tools.  
Calibration framework.

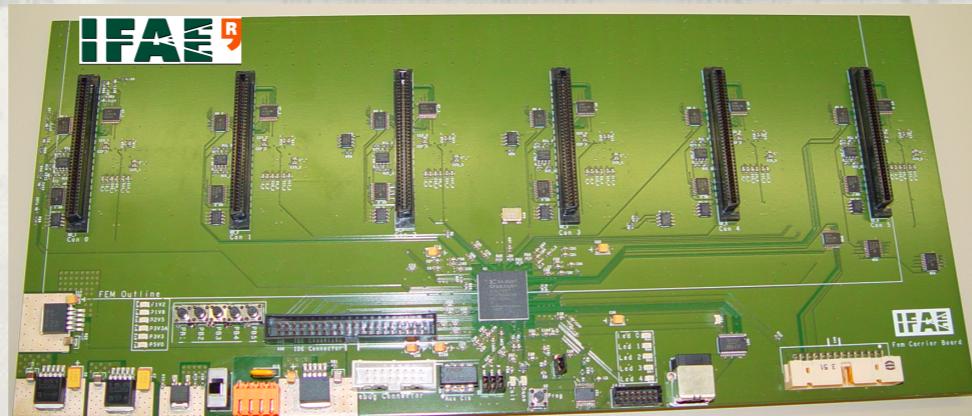
Software

muon neutrino CC inclusive for oscillations.  
electron neutrino CC inclusive for oscillations.  
Neutrino cross-sections: CCQE, NCE, CC-Coh, CC- $\bar{\pi}$

Analysis

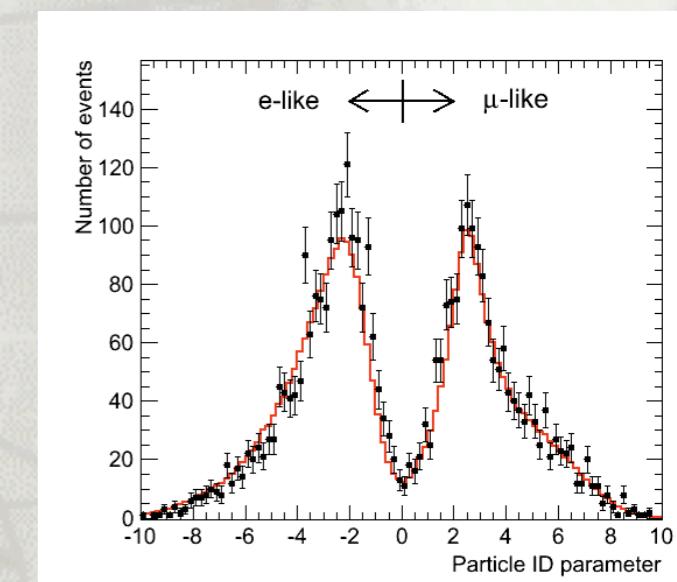
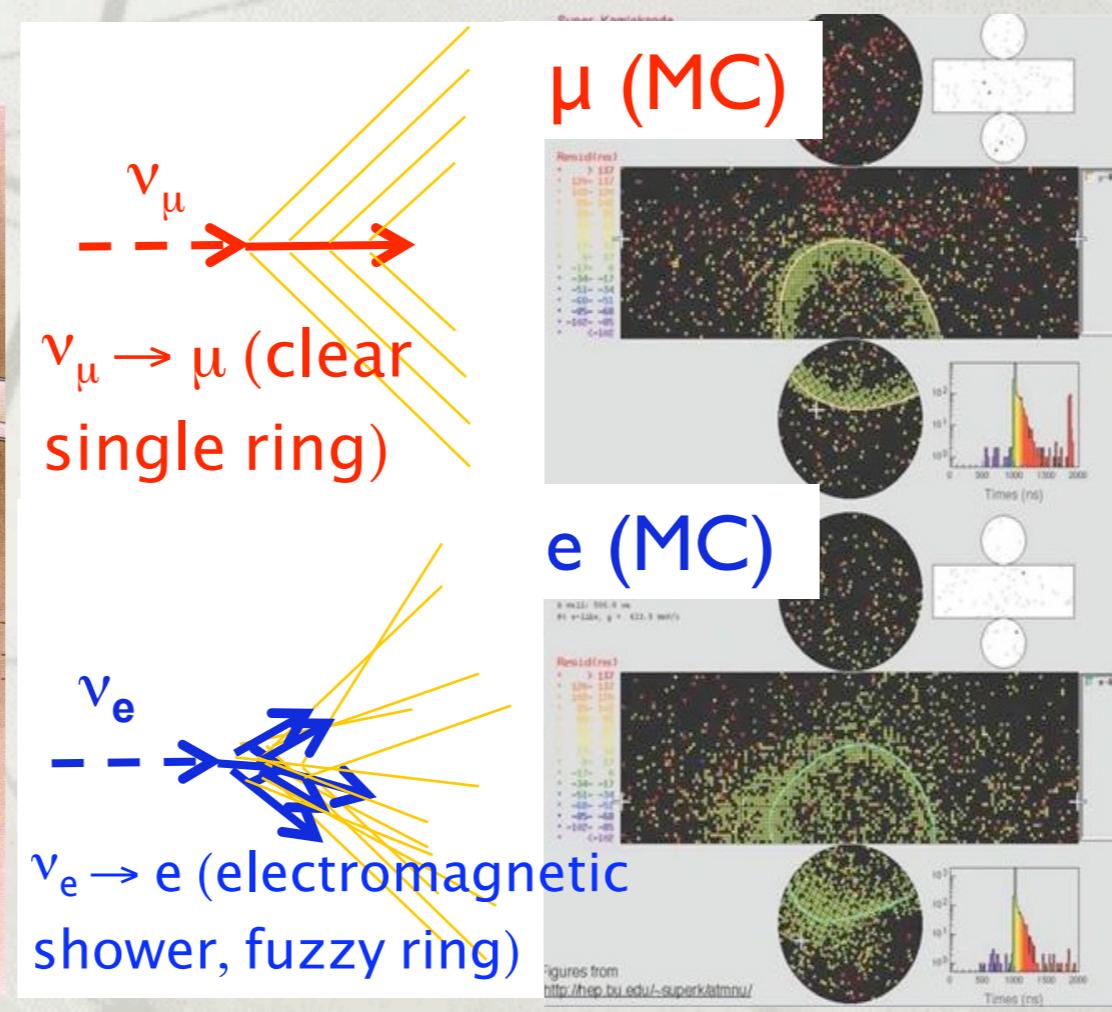
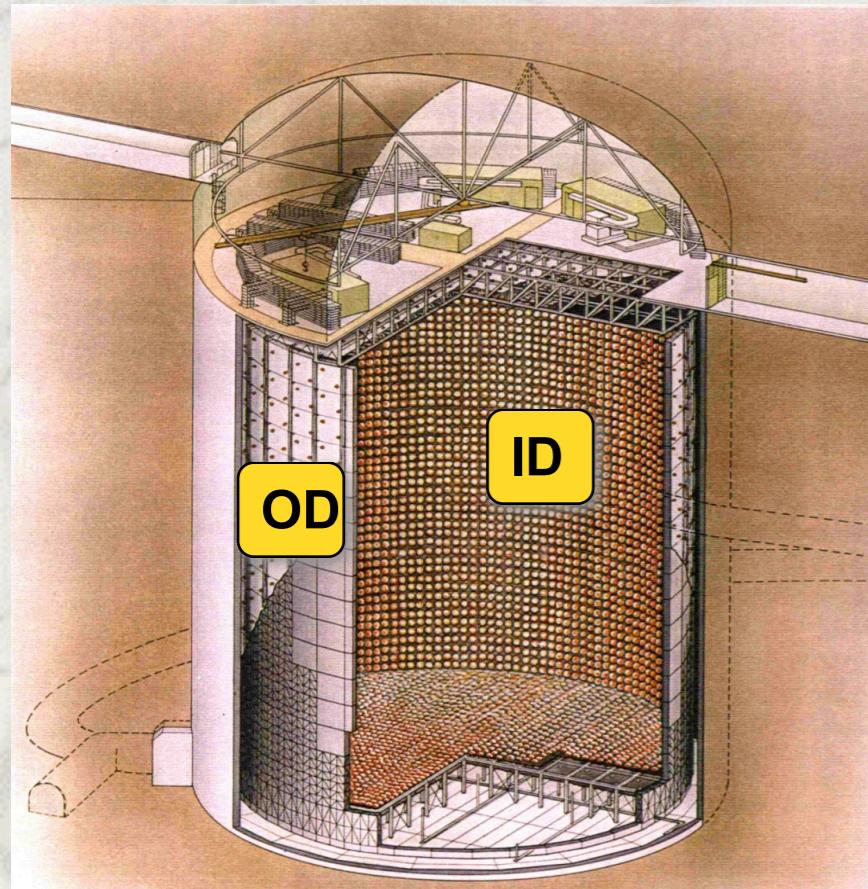
Reconstruction convener  
Calibration convener  
numu analysis convener  
European convener for detector design

Management

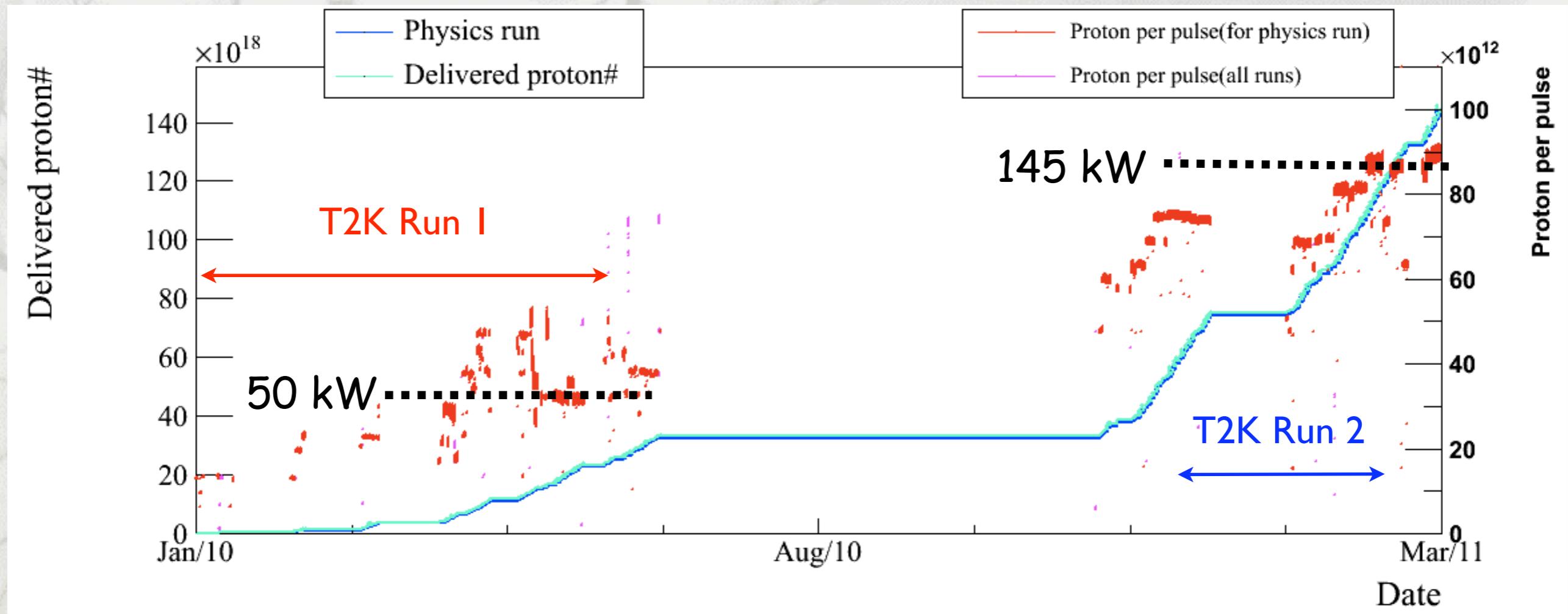


# T2K far Detector: Super-Kamiokande

- 50 kton water Cherenkov detector (22.5 kton Fiducial Volume)
- Optically divided between an inner detector (ID) and an outer detector (OD)
  - 11129 20-inch Hamamatsu PMTs for the inner detector
- 1000 meters underground in the Kamioka mine (295 km from JPARC)
- Very good PID capabilities: probability of a muon reconstructed as an electron of 1%



# Run1+Run2 data set



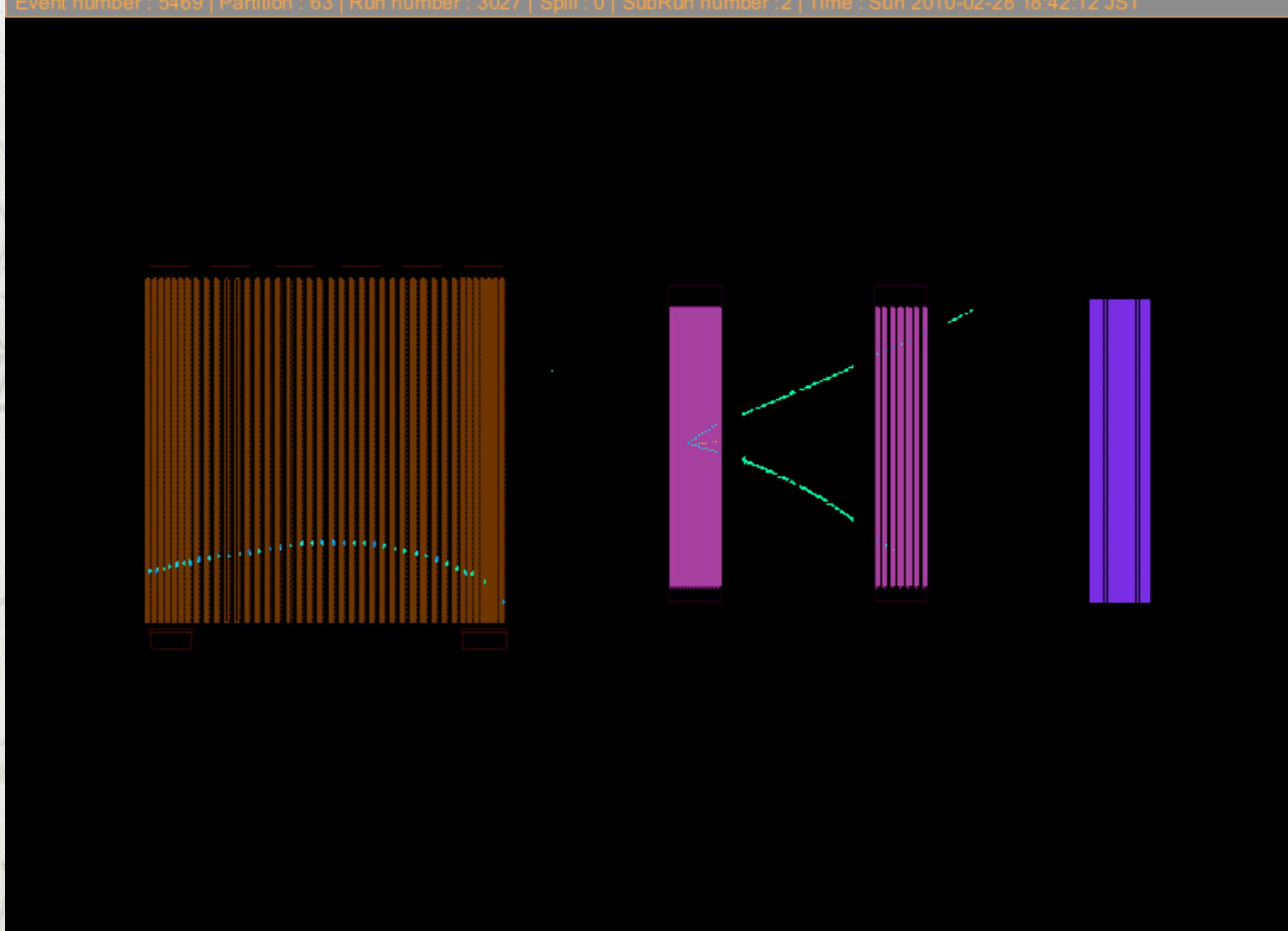
Run 1 (Jan-Jun 2010)  
 $3.23 \times 10^{19}$  p.o.t for analysis  
 50 kW stable beam operation

Run 2 (Nov 2010 - Mar 2011)  
 $11.08 \times 10^{19}$  p.o.t for analysis  
 145 kW stable beam operation

The total number of protons used for this analysis is  $1.43 \times 10^{20}$  p.o.t  $\rightarrow$  2% of the T2K final physics goal.

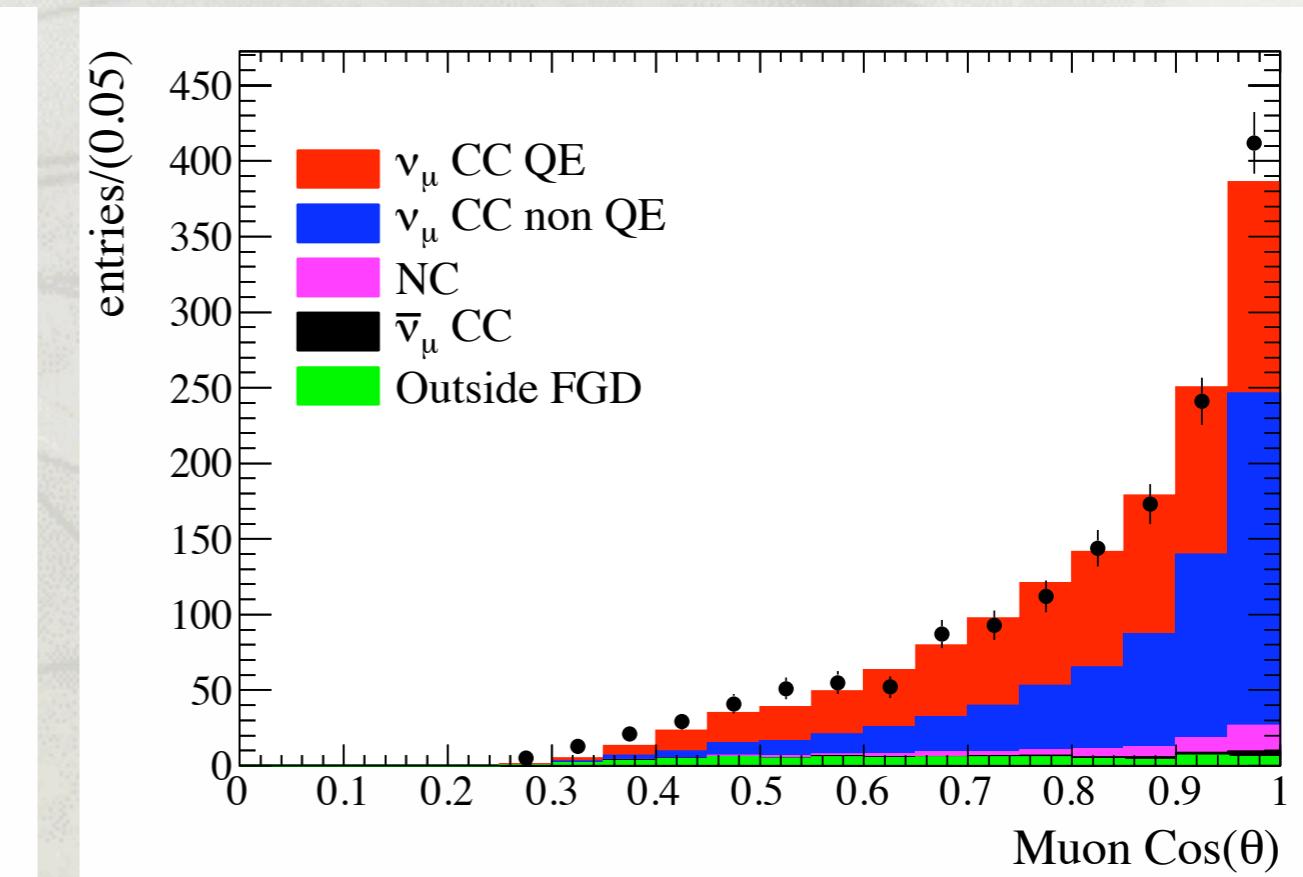
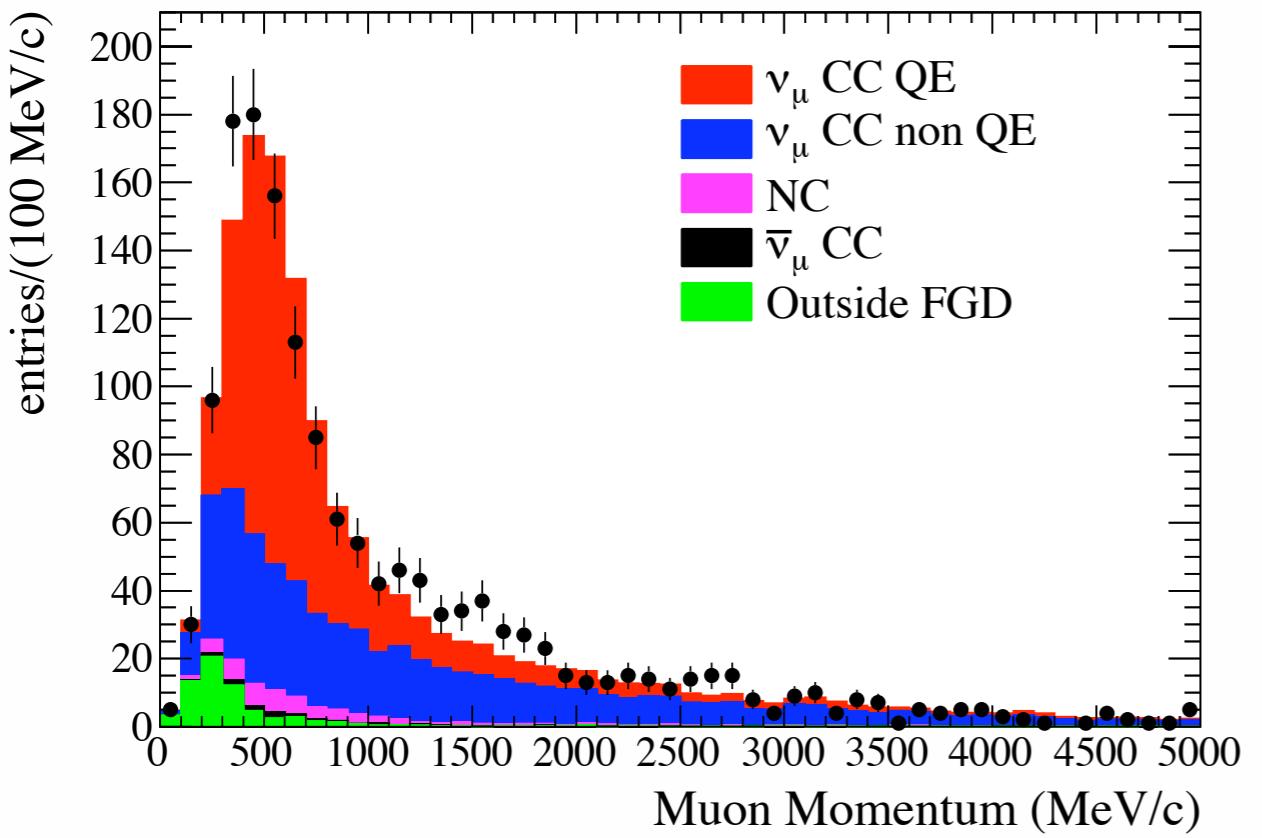
# Neutrino event

Event number : 5469 | Partition : 63 | Run number : 3027 | Spill : 0 | SubRun number : 2 | Time : Sun 2010-02-28 18:42:12 JST



## analysis

- Selection of  $\mu$ -like tracks requiring  $dE/dx$  in the TPC compatible with muons
- Good agreement between data and MC.

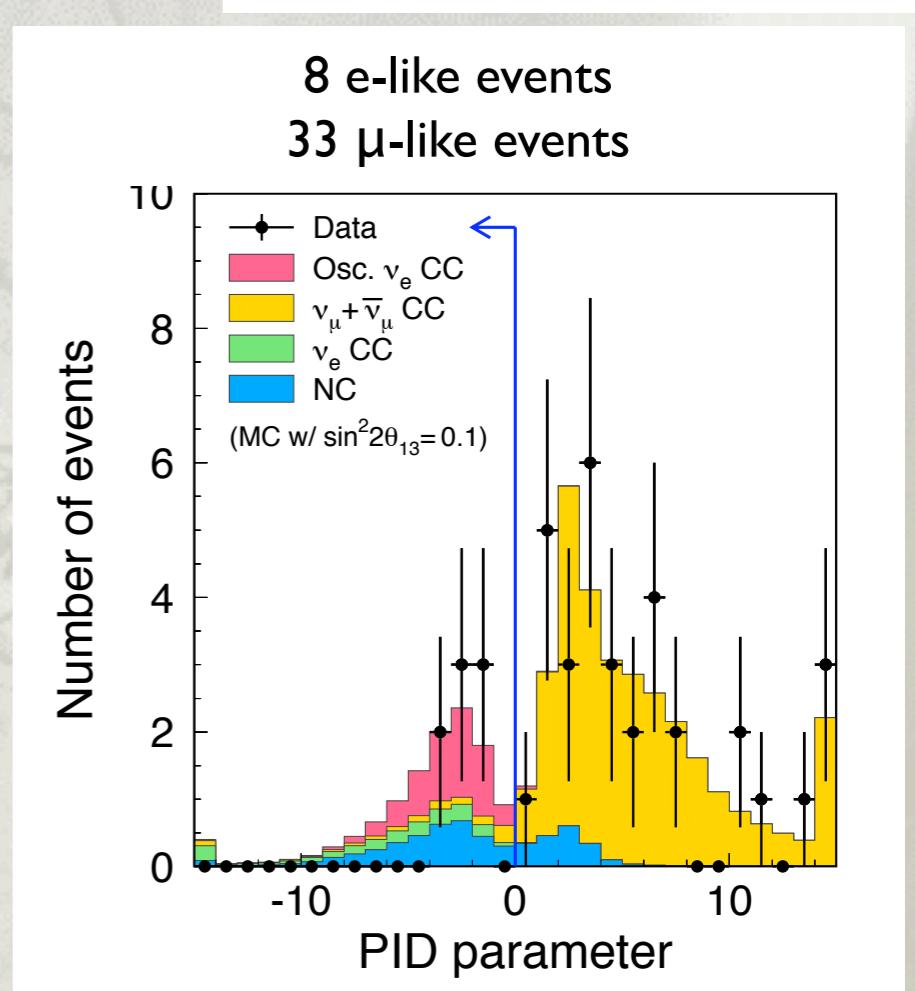
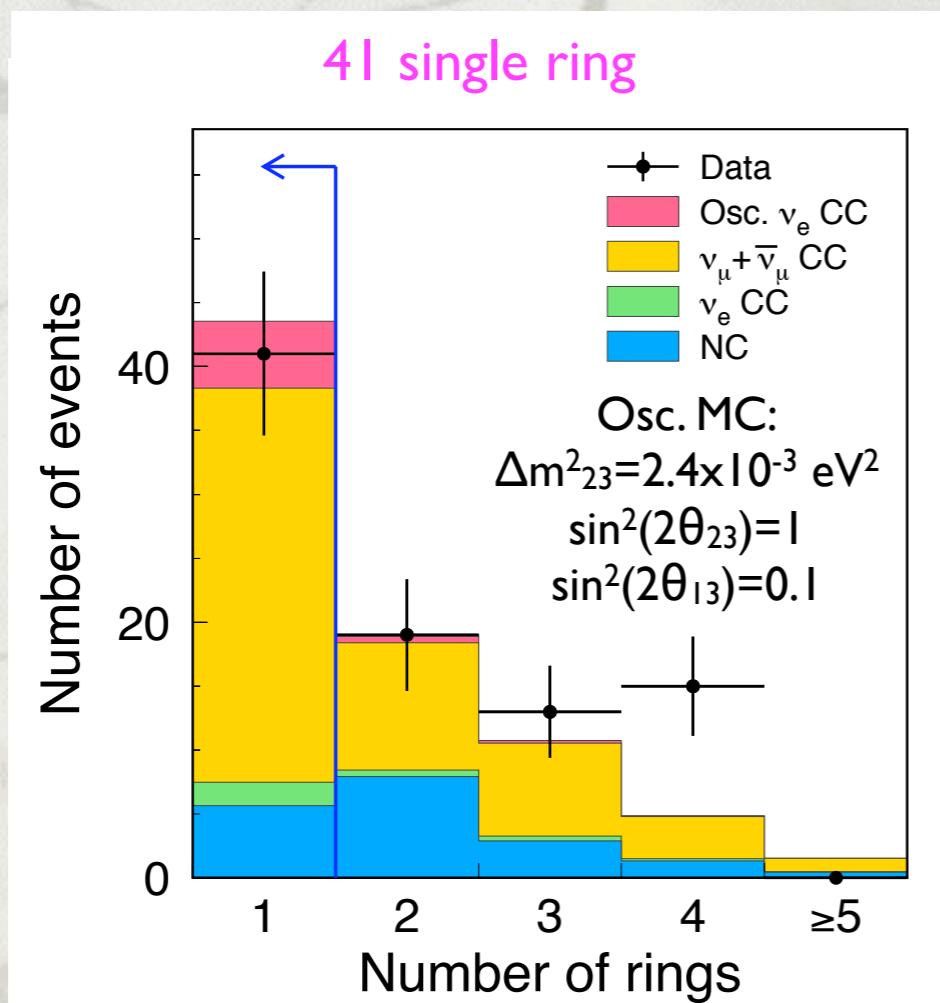
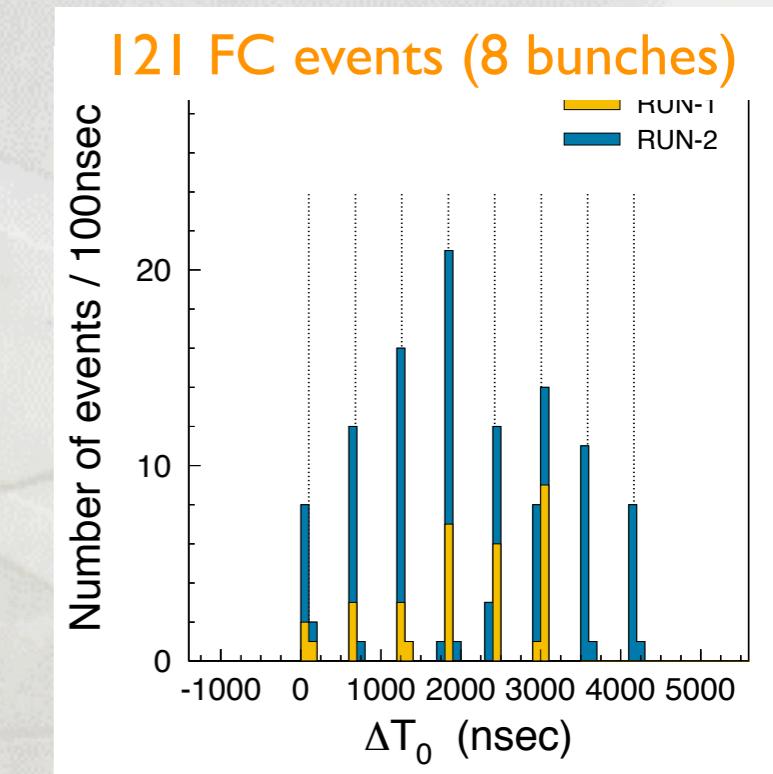


$$R(\text{data}/\text{MC}) = 1.036 \pm 0.028(\text{stat})^{+0.044}_{-0.037}(\text{det. syst}) \pm 0.038(\text{phys. model})$$



# Super-Kamiokande event selection

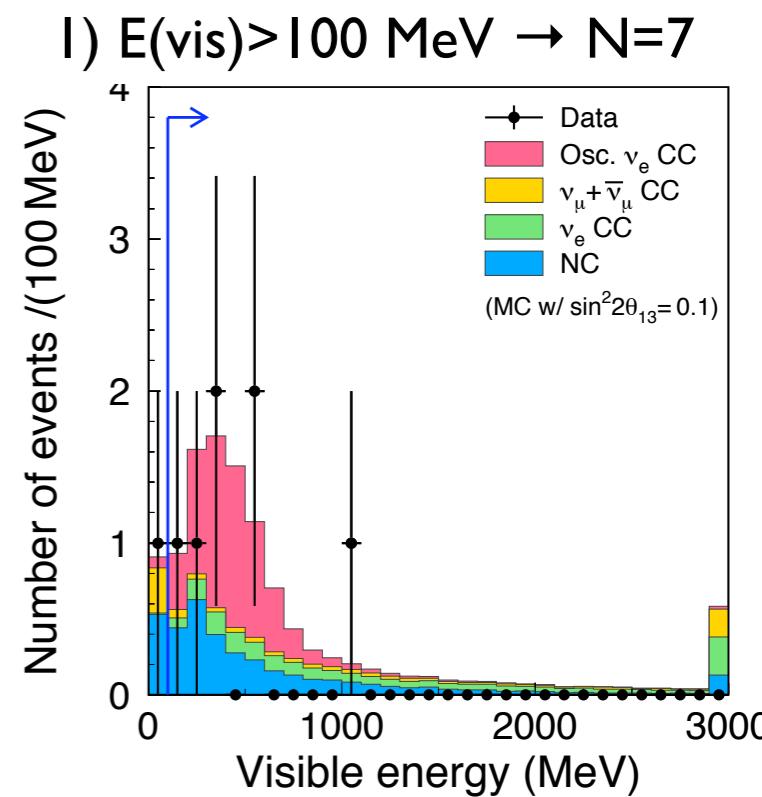
- Predefined event selection for  $\nu_\mu$  and  $\nu_e$
- First steps that are common:
  - SK synchronized to beam timing using GPS
  - Fully contained events in the Inner Detector, minimal activity in the Outer Detector
  - Starting in the FV (FCFV)
  - Number of rings = 1
  - PID algorithm to distinguish e-like and  $\mu$ -like events



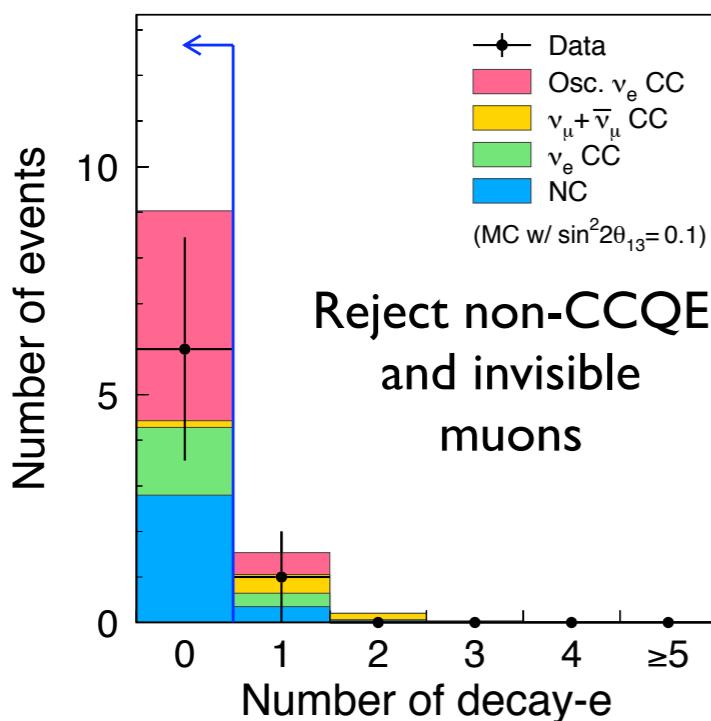


# $\nu_e$ event reduction

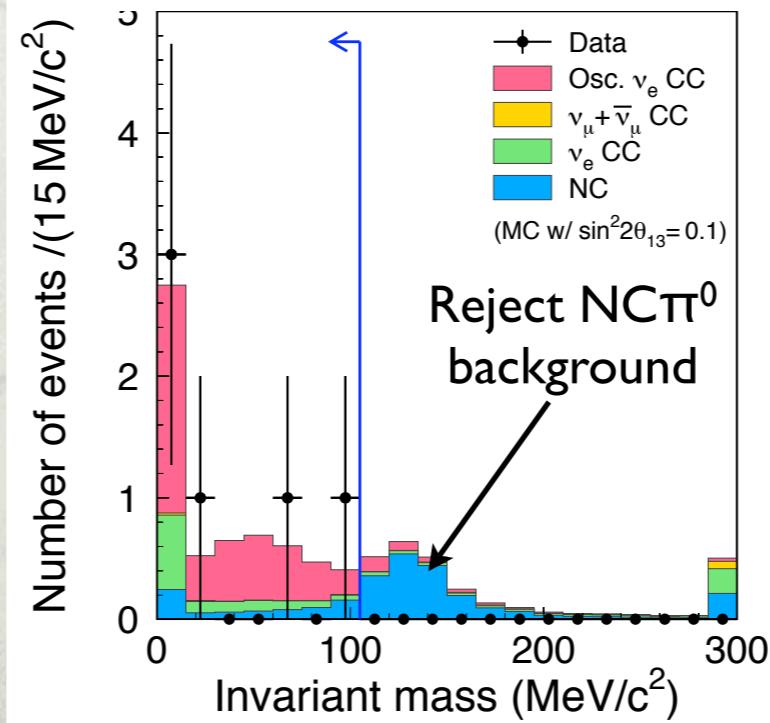
- After ring counting 8 single ring  $e$ -like events are selected
- SK "tight" cuts are applied to further reject the background:
  - 6 events are selected over a predicted background of 1.5 (mainly electron neutrinos in the beam).



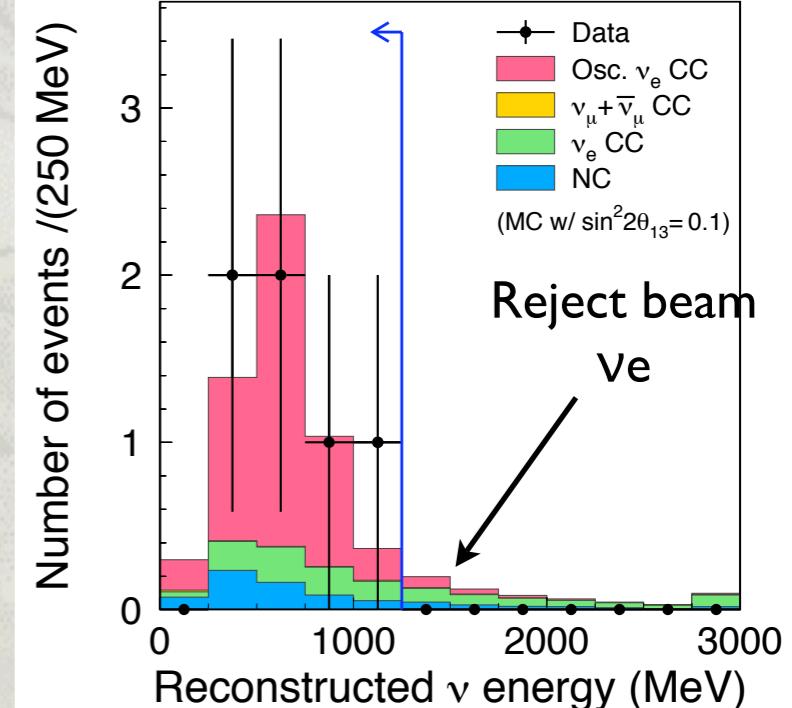
2) No decay electrons  $\rightarrow N=6$



3)  $M_{\text{inv}}$  with forced 2nd ring  $< 105 \text{ MeV} \rightarrow N=6$



4) Rec neutrino energy  $< 1250 \text{ MeV} \rightarrow N=6$



# $\nu_e$ appearance analysis

- Probability of observing 6 events if  $\sin^2(2\theta_{13})=0 \rightarrow 0.7\% (2.5\sigma \text{ significance})$
- For  $\sin^2(2\theta_{23})=1$  and  $\Delta m^2_{23}=2.4 \times 10^{-3} \text{ eV}^2$ :

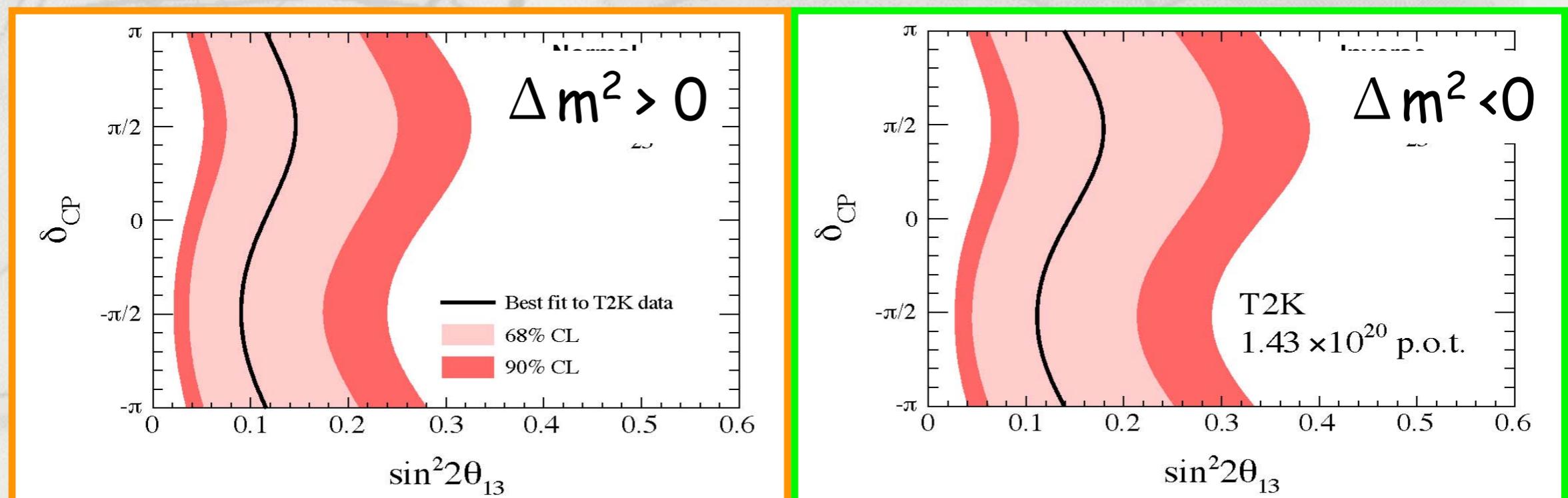
- Normal hierarchy,  $\delta=0$ :

Published in Phys. Rev. Lett. 107, 041801 (2011)

- Best fit  $\rightarrow \sin^2(2\theta_{13})=0.11$  and  $0.03 < \sin^2(2\theta_{13}) < 0.28$  at 90% C.L.

- Inverted hierarchy,  $\delta=0$ :

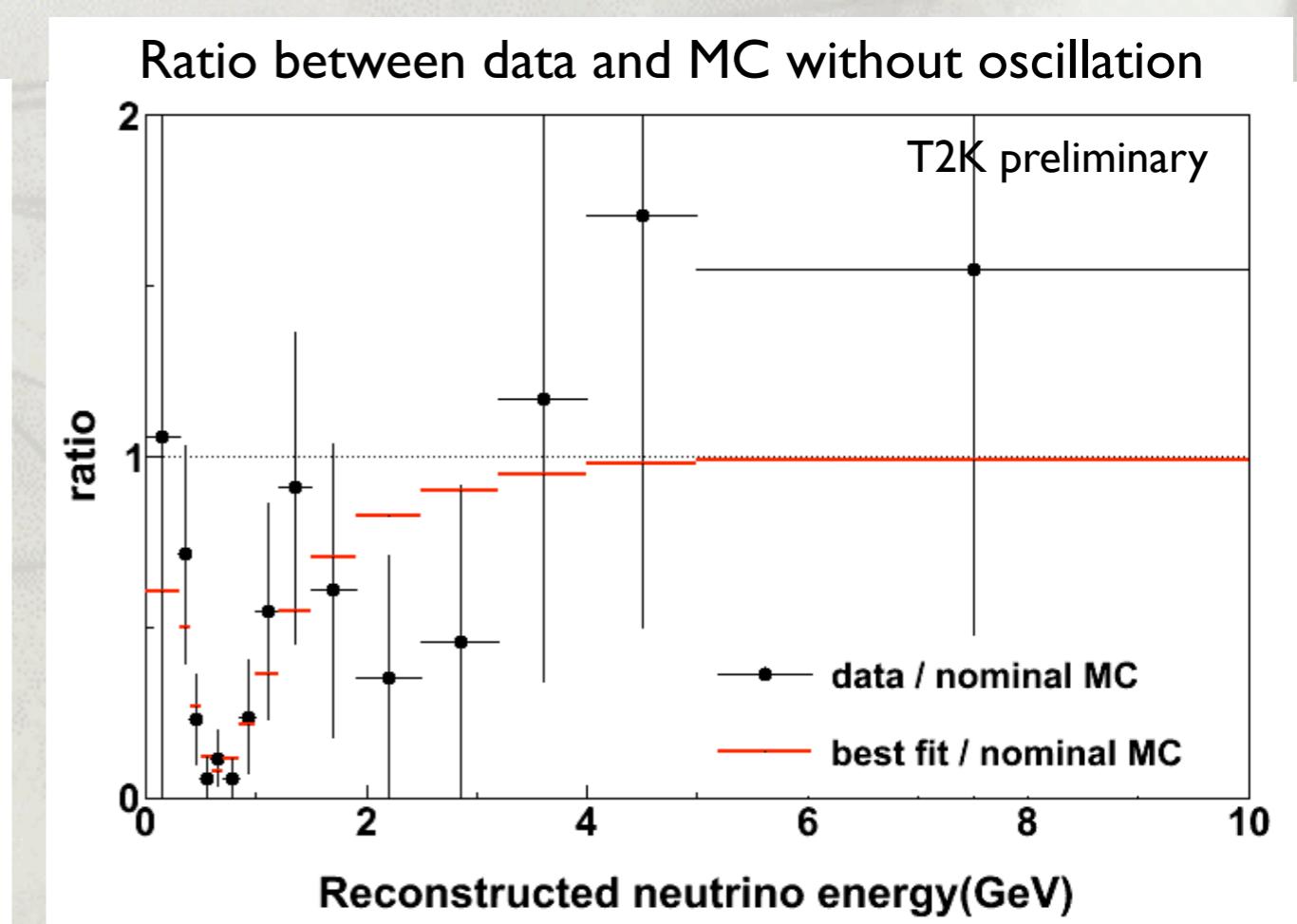
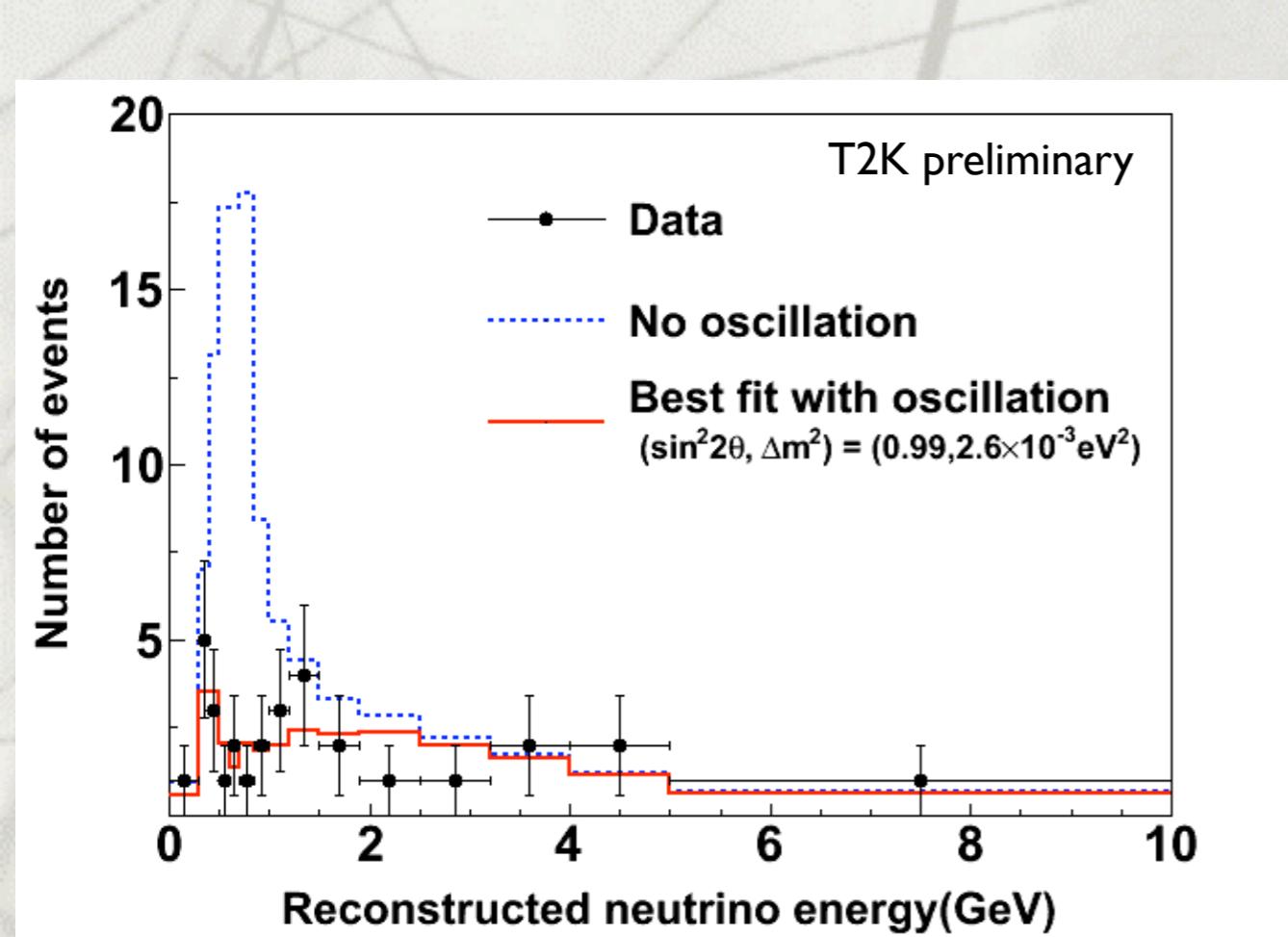
- Best fit  $\rightarrow \sin^2(2\theta_{13})=0.14$  and  $0.04 < \sin^2(2\theta_{13}) < 0.34$  at 90% C.L.



CP phase degeneracy &  $\Delta m^2$  sign clearly visible

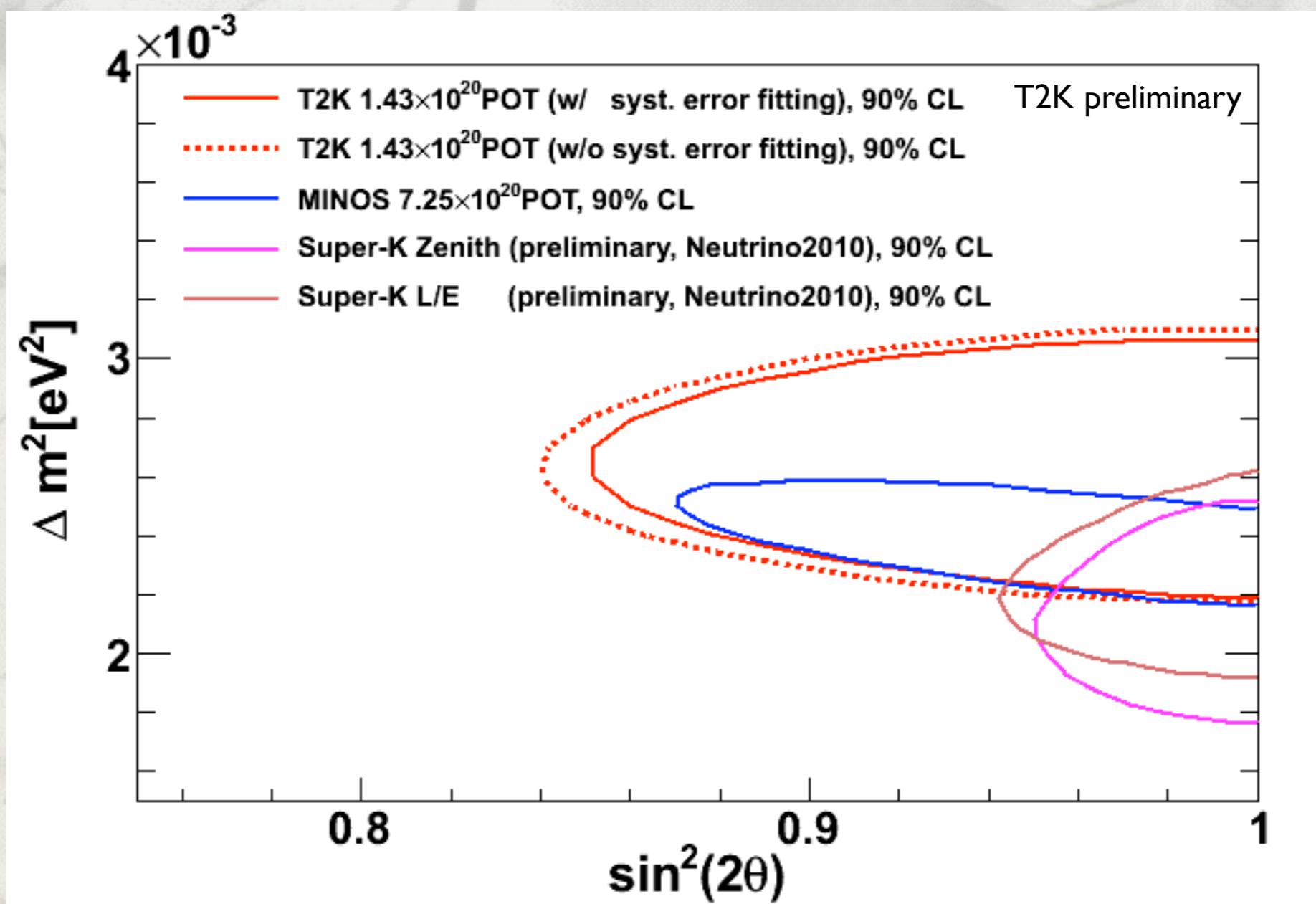
# $\nu_\mu$ disappearance

- Single muon event in SuperKamiokande fiducial volume.
- Observed events at SK satisfying  $\nu_\mu$  disappearance criteria: 31
- Oscillation parameters extracted from an oscillation fit on  $E(\nu)^{\text{rec}}$
- The oscillation pattern due to the disappearance of  $\nu_\mu$  is clearly visible in the reconstructed energy spectrum  $\rightarrow$  advantage of using off-axis configuration



$\nu_\mu$  disappearance

- T2K results are in good agreement with previous results.
- It is not statistically significant but low statistics.
- The sensitivity to the mixing angle is larger than in Minos (off-axis)



# Conclusions

- Spanish groups have contributed to neutrinos oscillation efforts since 2002 for the first confirmation of oscillation in accelerators (K2K experiment).
- Current  $\theta_{13}$  world leading experiment have strong Spanish contributions.
- Very exciting times:  $\theta_{13}$  might be around the corner.
  - First indication by T2K this summer!. (138 citations in Spires in 4 months)
  - Double Chooz will deliver results soon.

Coming months will shape the future neutrino physics:  
Strong Spanish contribution  
Spanish expertise to play leading role in the future (CP)

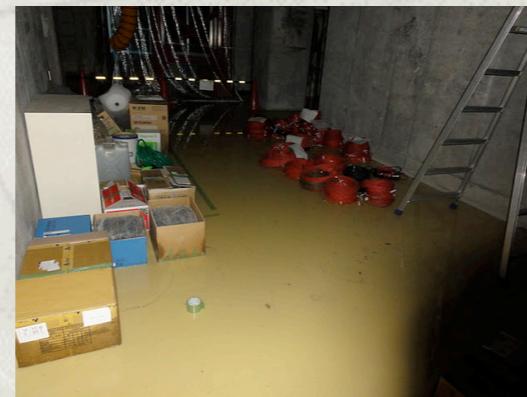


# Backup slides

# Earthquake



- Ground level damages → rapidly repaired
- Equipments → no fatal damages
- LINAC floor, MR tunnel side pit, Near Detector bottom floor submerged under water
  - Fixed in few weeks
  - No serious damages on components
- Tunnel moved or bent of ~ several cm
  - Major alignment of many components need to be done
- We plan to resume JPARC beam operation in January 2011. Still on schedule.
- Two physics runs (~1 month each) for users before March 2012
- Future milestone:
  - $0.5 \text{ MW} \times 10^7 \text{ s}$  ( $1 \times 10^{21} \text{ p.o.t.}$ ) in Summer 2013
    - Conclude  $\theta_{13}$  different from 0 (more than  $5 \sigma$  at present T2K best fit )



# Superluminal neutrinos & T2K

- Official statement by T2K :
  - Based on our initial assessment of our capability, at the moment T2K cannot make any definitive statement to verify the Opera measurement of the speed of neutrino (Opera Anomaly).
  - We will assess a possibility to improve our experimental sensitivity for a measurement to cross-check the OPERA anomaly in the future. Such a measurement with an improved system, however, could take a while to achieve.

- Time of flight in T2K :
  - Baseline is shorter: 300 km vs 700 km
  - Energy is lower:  $E_{\nu} < 10 \text{ GeV}$  vs  $E_{\nu} > 20 \text{ GeV}$
  - Actual GPS synchronization precision  $\sim 100 \text{ ns}$ .

# Measuring $\delta_{CP}$

- To measure CP we need:
  - $\theta_{13} \neq 0$ .
    - If 0, this is like a 2 neutrino mixing and the phase is cancelled.
  - Neutrino appearance:
    - If we look at disappearance only, this is like two neutrino oscillation and the phase cancelled out.
  - One of the following:
    - Compare  $\nu$  and  $\bar{\nu}$  transitions.
    - Compare disappearance (no CP effect) to appearance experiment (CP effect) so we can derive the phase.
  - Measure first and second oscillation maximum.

# Measuring $\theta_{13}$

- There are two possibilities explored now:

- $\nu_\mu \rightarrow \nu_e$  with atmospheric  $\Delta m^2$  (long base line: T2K, Nova)

$$\begin{aligned}
 P_{\nu_\mu, \nu_e} = & \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E} \\
 & + \cos^2 \theta_{23} \cos^2 \theta_{13} \sin^2 \theta_{12} \sin^2 \frac{\Delta m_{21}^2 L}{4E} \\
 & + 8 \cos^2 \theta_{13} \sin \theta_{13} \sin \theta_{23} \cos \theta_{23} \sin \theta_{12} \cos \theta_{12} \sin \frac{\Delta m_{31}^2 L}{4E} \sin \frac{\Delta m_{12}^2 L}{4E} \cos \left( \frac{\Delta m_{32}^2 L}{4E} \delta_{CP} \right) \\
 & - 2 \sin^2 \theta_{12} \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin \frac{\Delta m_{21}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \cos \frac{\Delta m_{32}^2 L}{4E} \\
 & + 4 \cos^2 \theta_{13} \sin^2 \theta_{12} \sin \theta_{13} \sin \theta_{23} (\sin \theta_{23} \sin \theta_{13} \sin \theta_{12} - 2 \cos \theta_{12} \cos \theta_{23} \cos \delta_{CP}) \sin^2 \frac{\Delta m_{21}^2 L}{4E}
 \end{aligned}$$

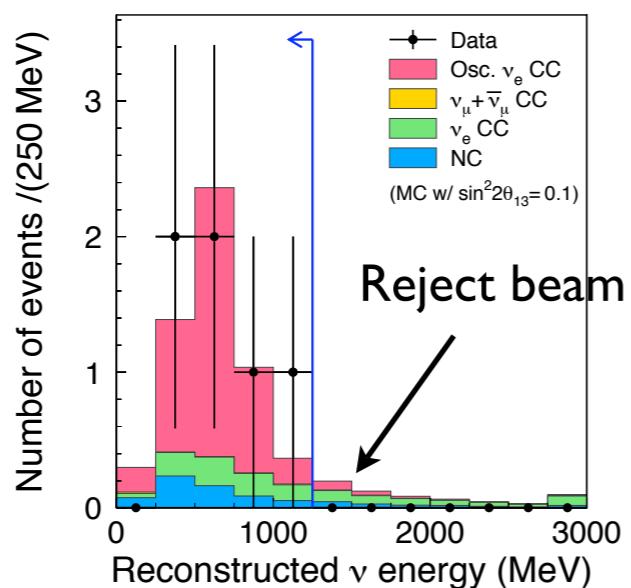
- Sensitive to CP and matter effects (not in the formula).
- $\nu_e \rightarrow \nu_e$  with "atmospheric"  $\Delta m^2$  (reactor experiments)

$$P_{\nu_e, \nu_e} \approx 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E} - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \frac{\Delta m_{21}^2 L}{4E}$$

- Insensitive to CP phase.

# Results

## 4) Rec neutrino energy



- We observed 6  $\nu_e$  candidates
- The expected number of events from un-oscillated neutrinos is 1.5  
Syst for  $\theta_{13}=0 \rightarrow N_{\text{exp}} = 1.5 \pm 0.3$

Source	$N_{\text{exp}}$
Beam $\nu_e$	0.8
$\nu_\mu$ Neutral Current	0.6
$\nu_\mu$ Charged Current	0.1
Total	$1.5 \pm 0.3$

error source	syst. error
$\nu$ flux	$\pm 8.5\%$
$\nu$ int. cross section	$\pm 14.0\%$
Near detector	$\pm 5.6\%$ $\pm 5.2\%$
Far detector	$\pm 14.7\%$
Near det. statistics	$\pm 2.7\%$ $\pm 22.8\%$ $\pm 22.7\%$
Total	

Dominated by hadron production

Dominated by FSI and NC $\pi$ 0 cross-section uncertainties

ND280 dominated by TPC tracking efficiency and ionization in the gas

SK dominated by ring counting, PID and  $\pi^0$  mass systematics