

Gui, Seesaw and Me

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Philosophical Questions: Who am I? Why am I here?

- I met **Gui** in February 2016 on the first edition of "Lisbon mini-school on Particle and Astroparticle Physics". I turned 21 some days later.
- One year later we started working in what would become a big part of my master thesis, along with G. Branco and J. Silva-Marcos. **Gui** becomes my master thesis supervisor.
- End of 2018: "Can one have significant deviations from leptonic 3×3 unitarity in the framework of type I seesaw mechanism?" is published and I present my master thesis.
- September 2019: I start my PhD with the supervision of **Gui**. (In the meantime we did some work on low-scale seesaw type one models that will be on arXiv very soon, make sure to check it out!)

Seesaw Type I

$$\frac{1}{2} \overline{\nu_R^c} M \nu_R \implies \nu = C \overline{\nu}^T = \nu^c \quad (1)$$

$$L_{M\nu}^{SI\nu SM} = \frac{1}{2} \left[(\nu_L^T \quad \nu_L'^T) C^{-1} \begin{pmatrix} 0 & m^* \\ m^\dagger & M^\dagger \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_L' \end{pmatrix} \right] - \overline{l}_L m_l l_R + h.c. \quad (2)$$

$$\mathcal{M}^\dagger = \mathcal{M}^* = \begin{pmatrix} 0 & m^* \\ m^\dagger & M^\dagger \end{pmatrix} \quad (3)$$

$$\nu^T \mathcal{M}^* \nu = \begin{pmatrix} d & 0 \\ 0 & D \end{pmatrix} = \text{Diag}(m_1, m_2, m_3, M_1, M_2, M_3) = D \quad (4)$$

Seesaw Type I

$$V = \begin{pmatrix} K & R \\ S & Z \end{pmatrix} \quad (5)$$

$$\begin{pmatrix} \nu_L \\ \nu'_L \end{pmatrix} = V \begin{pmatrix} n_L \\ N_L \end{pmatrix} \quad (6)$$

$$\nu_L^\delta = K^{\delta j} n_{Lj} + R^{\delta j} N_{Lj} \quad (7)$$

$$\nu'_L{}^\delta = S^{\delta j} n_{Lj} + Z^{\delta j} N_{Lj} \quad (8)$$

$$L_W^I = -\frac{g}{\sqrt{2}} \left[W_\mu^+ (\bar{n}_L^i K_{ik}^\dagger + \bar{N}_L^i R_{ik}^\dagger) \gamma^\mu l_L^k + W_\mu^- \bar{l}_L^k \gamma^\mu (K_{kj} n_L^j + R_{kj} N_L^j) \right] \quad (9)$$

$$L_{A,Z}^I = -\frac{g}{2 \cos \theta_w} \left[Z_\mu \left((\bar{n}_L^i K_{ik}^\dagger + \bar{N}_L^i R_{ik}^\dagger) \gamma^\mu (K_{kj} n_L^j + R_{kj} N_L^j) - \bar{l}_L^i \delta_{ij} \gamma^\mu l_L^j \right) \right] - \left[\left(\frac{g \sin^2 \theta_w}{\cos \theta_w} Z_\mu + e A_\mu \right) (\bar{l}_L^i \delta_{ij} \gamma^\mu l_L^j + \bar{l}_R^i \delta_{ij} \gamma^\mu l_R^j) \right] \quad (10)$$

Majorana Neutrinos

Pros

- Mass States \neq Interaction States
- Neutrino Flavour Oscillations possible for small mass difference between light mass states n .
- Explains small light neutrino masses (Seesaw Approximation) :
 $M \sim D \gg m \sim d \implies d = -K^\dagger m M^{-1} m^T (K^T)^{-1}$, with
 $M_{eff} = -m M^{-1} m^T$.
- CP Violating phases
- Possibility of Leptogenesis due to CP Violation at High Energies (Heavy mass states involved).

Majorana Neutrinos

Cons

- 3×3 Leptonic Mixing Matrix (K) is not Unitary (FCNC).

BUT, FCNC are proportional to the deviations from unitarity which are also responsible for heavy-light neutrino mixing - through the matrix R .

Deviations from Unitarity are fundamental to test and characterize Seesaw Type I models

Fits to a Unitary Leptonic Mixing Matrix

$$U_{PMNS} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \quad (11)$$

where $c_{ij} = \cos \theta_{ij}$, $s_{ij} = \sin \theta_{ij}$, and δ is a Dirac-type CP violating phase.

Parameter	Best fit	1σ range	3σ range
Δm_{21}^2 [$10^{-5}eV^2$]	7.55	7.39 – 7.75	7.05 – 8.14
$ \Delta m_{31}^2 $ [$10^{-3}eV^2$](<i>NO</i>)	2.50	2.47 – 2.53	2.41 – 2.60
$ \Delta m_{31}^2 $ [$10^{-3}eV^2$](<i>IO</i>)	2.42	2.38 – 2.45	2.31 – 2.51
$\sin^2 \theta_{12}$	0.320	0.304 – 0.340	0.273 – 0.379
$\sin^2 \theta_{23}$ (<i>NO</i>)	0.547	0.517 – 0.567	0.445 – 0.599
$\sin^2 \theta_{23}$ (<i>IO</i>)	0.551	0.521 – 0.569	0.453 – 0.598
$\sin^2 \theta_{13}$ (<i>NO</i>)	0.02160	0.02091 – 0.02243	0.0196 – 0.0241
$\sin^2 \theta_{13}$ (<i>IO</i>)	0.02220	0.02144 – 0.02294	0.0199 – 0.0244
δ/π (<i>NO</i>)	1.32	1.17 – 1.53	0.87 – 1.94
δ/π (<i>IO</i>)	1.56	1.41 – 1.69	1.12 – 1.94

Source: de Salas, P. F. and Forero, D. V. and Ternes, C. A. and Tortola, M. and Valle, J. W. F., Phys. Lett. B, (2018) 782: 633-640.

Bounds on Deviations from Unitarity of K

Taken from searches for Lepton Flavour Violating (LFV) decays, probes of the universality of weak interactions, CKM unitarity bounds and electroweak precision data.

$$1 - |KK^\dagger| \sim |2\eta| \leq \begin{pmatrix} 2.5 \times 10^{-3} & 2.4 \times 10^{-5} & 2.7 \times 10^{-3} \\ 2.4 \times 10^{-5} & 4.0 \times 10^{-4} & 1.2 \times 10^{-3} \\ 2.7 \times 10^{-3} & 1.2 \times 10^{-3} & 5.6 \times 10^{-3} \end{pmatrix} \quad (12)$$

Valid when all heavy neutrinos have a mass above m_W .

Source: Fernandez-Martinez, E., Hernandez-Garcia, J. Lopez-Pavon, J. J. High Energ. Phys. (2016) 2016: 33. [https://doi.org/10.1007/JHEP08\(2016\)033](https://doi.org/10.1007/JHEP08(2016)033)

Developing Appealing Models within the Seesaw Type I Framework

Detectable at the next round of experiments:

- Masses not bigger than $1 - 10 \text{ TeV} \rightarrow$ NO Seesaw approximation
- Sizable Deviations from Unitarity

Major Constraint: Finite loop corrections to light neutrino masses!



Necessary Equations for an Exact Treatment I

$$\mathcal{V} = \begin{pmatrix} K & KX^\dagger \\ -ZX & Z \end{pmatrix} \quad (13)$$

$$-X^\dagger Z^\dagger m^T = dK^T \quad (14)$$

$$K^\dagger m - X^\dagger Z^\dagger M = -dX^T Z^T \quad (15)$$

$$Z^\dagger m^T = DX^* K^T \quad (16)$$

$$XK^\dagger m + Z^\dagger M = DZ^T \quad (17)$$

$$d = -X^T D X \quad (18)$$

$$X = \pm i\sqrt{D^{-1}} O_c \sqrt{d} \quad (19)$$

Details: Nuno Rosa Agostinho, G. C. Branco, Pedro M. F. Pereira, M. N. Rebelo, J. I. Silva-Marcos, "Can One have Significant Deviations from Leptonic 3×3 Unitarity in the Framework of Type I Seesaw Mechanism?", *Eur. Phys. J. C* (2018) 78: 895

Necessary Equations for an Exact Treatment II

$$I + X^\dagger X = U (I + d_X^2) U^\dagger \quad (20)$$

$$\mathcal{V}\mathcal{V}^\dagger = I_{6 \times 6} \rightarrow K(K^\dagger + X^\dagger X K^\dagger) = I \quad (21)$$

$$K U (I + d_X^2) U^\dagger K^\dagger = K U \sqrt{(I + d_X^2)} \cdot \sqrt{(I + d_X^2)} U^\dagger K^\dagger = I \quad (22)$$

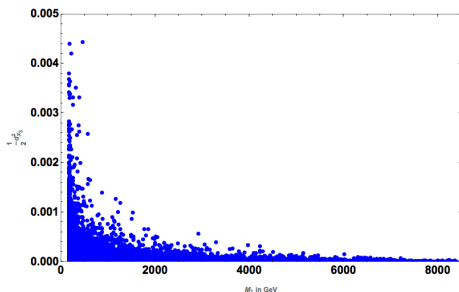
$$K U \sqrt{(I + d_X^2)} = U_K \quad (23)$$

Necessary Equations for an Exact Treatment III

$$\left(\sqrt{I + d_X^2}\right)^{-1} = \begin{pmatrix} \frac{1}{\sqrt{1+d_{X_1}^2}} & 0 & 0 \\ 0 & \frac{1}{\sqrt{1+d_{X_2}^2}} & 0 \\ 0 & 0 & \frac{1}{\sqrt{1+d_{X_3}^2}} \end{pmatrix} \quad (24)$$

$$\begin{aligned} K &= \left(U_K \left(\sqrt{I + d_X^2}\right)^{-1} U_K^\dagger \right) (U_K \cdot U^\dagger) \\ &= \left(U_K U^\dagger \left(\sqrt{I + X^\dagger X}\right)^{-1} U U_K^\dagger \right) (U_K \cdot U^\dagger) \\ &= (I - \eta) \Omega \end{aligned} \quad (25)$$

Size of Deviations from Unitarity



Exact for all M :

$$m = KX^\dagger D (Z^*)^{-1} = -i K \sqrt{d} O_c^\dagger \sqrt{D} (Z^{-1})^* \quad (26)$$

Casas-Ibarra approximate ($M \gg m$) result:

$$m \simeq -i U_{\text{PMNS}} \sqrt{d} O_c^{\text{CI}} \sqrt{D} \quad (27)$$

On Finite Loop corrections

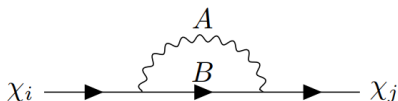


Figure: Diagrams which contribute to $\Sigma(p^2)$

Where if $A = Z, H, \phi_Z$ then $B = \chi_K$
 or else if $A = \phi^\pm, W^\pm$, then $B = l^\mp$
 and

$$\chi = \begin{pmatrix} n \\ N \end{pmatrix} \quad (28)$$

$$\nu_L = (K \ R) P_L \chi \quad (29)$$

as in [eq. 6].

$$M = M^{tree} + M^{loop} \quad (30)$$

$$M^{loop} = \begin{pmatrix} \delta M_L & \delta m \\ (\delta m)^T & \delta M \end{pmatrix} \quad (31)$$

$$\delta M_L = (K \ R) \Sigma^*(p^2) (K \ R)^T \quad (32)$$

Generating a Controlled δM_L

$$\delta M_L \approx \frac{g^2}{64\pi^2 m_W^2} R [3L^M(m_Z) + L^M(m_H)] R^T \quad (33)$$

$$L^M(m_B) = \text{Diag} \left(M_1^3 \frac{\log(M_1^2/m_B^2)}{M_1^2/m_B^2 - 1}, M_2^3 \frac{\log(M_2^2/m_B^2)}{M_2^2/m_B^2 - 1}, M_3^3 \frac{\log(M_3^2/m_B^2)}{M_3^2/m_B^2 - 1} \right) \quad (34)$$

Cases

- ① Usual Seesaw: Having a very small R , such that $R (L^M(m_B)) R^T$ is suppressed.
- ② Almost Conserved U(1)-like symmetry: Having a R with a given structure such that combined with at least two almost degenerate heavy neutrinos it yields a small $R (L^M(m_B)) R^T$ due to cancellations.

Almost Conserved U(1)-like symmetry

Table: Example for the case of Normal Ordering of light neutrino masses. This example gives the following phenomenological important quantities: $|m_{\beta\beta}| = 6.58 \times 10^{-3} \text{ eV}$, $m_{\beta} = 1.01 \times 10^{-2} \text{ eV}$, and $\text{Tr} [mm^{\dagger}] = 0.0488m_t^2$.

Heavy Neutrino Masses (m_{τ})		$ m $ (m_{τ})	$ M $ (m_{τ})
$M_1 = 3$ $M_2 = 3 + 1 \times 10^{-10}$ $M_3 = 50$		$\begin{pmatrix} 0.140 & 4.12 \times 10^{-13} & 6.49 \times 10^{-7} \\ 0.000876 & 2.06 \times 10^{-12} & 2.32 \times 10^{-6} \\ 0.171 & 1.84 \times 10^{-12} & 3.17 \times 10^{-6} \end{pmatrix}$	$\begin{pmatrix} 7.15 \times 10^{-10} & 2.99 & 1.76 \times 10^{-4} \\ 2.99 & 2.14 \times 10^{-11} & 3.85 \times 10^{-5} \\ 1.76 \times 10^{-4} & 3.85 \times 10^{-5} & 5.00 \times 10^1 \end{pmatrix}$
Tree Level Light Neutrino Masses (eV)		$ \eta ^{tree}$	R^{tree}
$m_1 = 0.00507$ $m_2 = 0.0100$ $m_3 = 0.0522$		$\begin{pmatrix} 1.09 \times 10^{-3} & 6.82 \times 10^{-6} & 1.33 \times 10^{-3} \\ 6.82 \times 10^{-6} & 4.27 \times 10^{-8} & 8.34 \times 10^{-6} \\ 1.33 \times 10^{-3} & 8.34 \times 10^{-6} & 1.63 \times 10^{-3} \end{pmatrix}$	$\begin{pmatrix} -0.0262 - 0.0201i & -0.0201 + 0.0262i & 4.78 \times 10^{-9} + (4.53 \times 10^{-10})i \\ 0.000137 + 0.000154i & 0.000154 - 0.000137i & -4.46 \times 10^{-8} - (1.28 \times 10^{-8})i \\ -0.0066 + 0.0398i & 0.0398 + 0.0066i & -5.12 \times 10^{-8} - (4.92 \times 10^{-9})i \end{pmatrix}$
One Loop Light Neutrino Masses (eV)		$ \eta ^{loop}$	R^{loop}
$m_1 = 0.00491$ $m_2 = 0.0100$ $m_3 = 0.0504$		$\begin{pmatrix} 1.09 \times 10^{-3} & 6.82 \times 10^{-6} & 1.33 \times 10^{-3} \\ 6.82 \times 10^{-6} & 4.27 \times 10^{-8} & 8.33 \times 10^{-6} \\ 1.33 \times 10^{-3} & 8.33 \times 10^{-6} & 1.63 \times 10^{-3} \end{pmatrix}$	$\begin{pmatrix} -0.0262 - 0.0201i & -0.0201 + 0.0262i & 4.78 \times 10^{-9} + (4.53 \times 10^{-10})i \\ 0.000137 + 0.000154i & 0.000154 - 0.000137i & -4.46 \times 10^{-8} - (1.28 \times 10^{-8})i \\ -0.0066 + 0.0398i & 0.0398 + 0.0066i & -5.12 \times 10^{-8} - (4.92 \times 10^{-9})i \end{pmatrix}$

Conclusions

Usual Seesaw

Not appealing because:

- Small Deviations from unitarity.
- No constraints on heavy masses \implies Very difficult to test at colliders.

Conclusions

Symmetry-Protected Seesaw with GeV/TeV Heavy Neutrinos

Appealing because:

- No unnaturally small Yukawa couplings.
- Can be observed in the next round of experiments at the LHC.
- Possibility of resonant Leptogenesis?

Experimental input from KATRIN (& similar experiments), GERDA (& similar experiments), the LHC and neutrino oscillation experiments will be fundamental to discern if the proposed solution matches with Nature.

A Midsummer Night's Dream

Trieste, June 2019

(Someone is talking about their harsh relationship with their supervisor...)

A playful German PhD Student enters the scene:

But your supervisor is like your mother in Physics!! Don't you love your mother?!

Next February I will turn 25, which means **Gui** has been my mother in Physics for the last four years. **Thank you and Happy Birthday.**