

# The high energy limit of QCD: $k_T$ -factorization and exclusive production cross-sections at the LHC

Martin Hentschinski



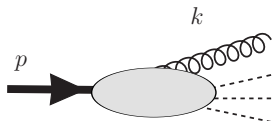
IFT UAM/CSIC

Based on results obtained with  
G. Chachamis, A. Sabio Vera & C. Salas and J. Bartels & L.N. Lipatov

# Outline

- 1 Introduction
- 2 NLO BFKL for  $k_T$  factorization
- 3  $k_T$ -factorized matrix-elements and the effective action
- 4 Summary and Conclusion

# Motivation



- collinear factorization:  $k = x \cdot p$  -collinear  
 $k^2 = 0$  - onshell
- $k_T$  factorization:  $k = x \cdot p + \mathbf{k}$  - add  $k_T$ ,  
 $k^2 = -\mathbf{k}^2$  off-shell

- natural @ small  $x$ : center of mass frame:  $x\sqrt{s} \simeq |\mathbf{k}|$   
 → arises from high energy factorization  
 & for BFKL evolution (small  $x$  resummation)

Why?

- take into account  $k_T$  of gluon  
 → more accurate kinematics - exclusive!  
 collinear factorization: only NLO and beyond
- direct connection to small  $x$   
 → appropriate for high center of mass energies!
- direct test of BFKL-amplitudes, access to QCD-ReggeonFieldTheory, saturation physics, ...

# Different realizations of $k_T$ factorization

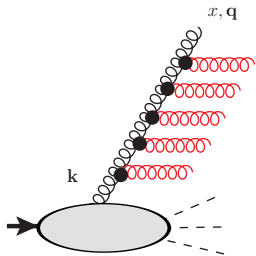
## LO order approaches:

- LO BFKL evolution: scaleless, running coupling doesn't run
- DGLAP: KMR unintegrated parton distributions (and extensions thereof): based on standard DGLAP evolution, generate  $k_T$  in the last evolution step
- CCFM equation: based on color coherence, interpolation between BFKL and DGLAP, implemented into CASCADE Monte Carlo

## Here: construct approach based on NLO BFKL evolution:

- reduce LO scale dependence
- running coupling: naturally incorporated
- complete small  $x$  resummation
- gluon  $k_T$  build up through the complete evolution

# NLO unintegrated gluon density



Gluon density: convolution of BFKL Green's function  $f_{\text{BFKL}}$  & proton impact factor  $\phi_P(\mathbf{k})$

$$g(x, \mathbf{q}^2) = \int \frac{d^2\mathbf{k}}{2\pi} f_{\text{BFKL}}(x, \mathbf{q}, \mathbf{k}) \frac{\phi_P(\mathbf{k})}{k^2}$$

$\phi_P$ : non-perturb.: model & fit to data (HERA)

$$\phi_P(\mathbf{k}; Q_0, \alpha, b_0, A) = A \cdot \left( \frac{k^2}{Q_0^2 + k^2} \right)^\alpha e^{-b_0 \cdot k^2}$$

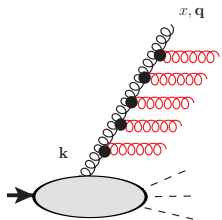
Cross-section: need off-shell partonic cross-section  $\hat{\sigma}(x, \mathbf{q}^2, \dots)$

$$d\sigma = \int_\tau^1 \frac{dx}{x} \int_0^\infty d\mathbf{q}^2 g(x, \mathbf{q}^2) \cdot d\hat{\sigma}(x, \mathbf{q}^2, \dots)$$

Here: DIS-like ( $\gamma^* p \rightarrow X$ ) example

# The NLO BFKL Green's function

Use 2 methods in parallel: (A) '**analytic**'



$$f(x, \mathbf{q}, \mathbf{k}) = \frac{1}{\sqrt{q^2 k^2}} \int_{1/2-i\infty}^{1/2+i\infty} \frac{d\gamma}{2\pi^2 i} x^{-\chi_{\text{BFKL}}(\gamma)} \left( \frac{q^2}{k^2} \right)^{\gamma-1/2}$$

$$\chi_{\text{BFKL}}(\gamma) = \bar{\alpha}_s \chi_0(\gamma) + \bar{\alpha}_s^2 \chi_1(\gamma) + \bar{\alpha}_s^3 \chi_{\text{RG}}(\gamma)$$

representation of BFKL kernel in  $\gamma$ -space  $\rightarrow$   
exhibits (anti-)collinear singularities of kernel

- (anti-)collinear singularities: poles of  $\chi_{\text{BFKL}}$  for  $\gamma \rightarrow 0, 1$
- resummation by higher order term  $\chi_{\text{RG}}(\gamma)$   $\rightarrow$  BFKL kernel in agreement with DGLAP limit  $\rightarrow$  RG-improved BFKL

# Some aspects of the running coupling

- NLO kernel  $\chi_1(\gamma)$  contains running coupling term

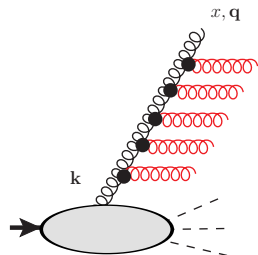
$$-\frac{\alpha_s(\mu^2)\beta_0}{8N_c} \left[ -i\overleftarrow{\partial}_\gamma \chi_0(\gamma) + \chi_0(\gamma)i\overrightarrow{\partial}_\gamma - 2\ln\mu^2 \right]$$

- operator: acts on Mellin transforms of  $\phi_P$  and  $d\hat{\sigma}$

$$\sigma(Q^2, \tau) \sim \int_{1/2-i\infty}^{1/2+i\infty} \frac{d\gamma}{2\pi i} \tilde{\phi}_P(\gamma; A, \alpha, b, Q_0^2) \cdot \tilde{f}_{\text{BFKL}}(\tau, \gamma) \cdot \tilde{\sigma}(\gamma, \dots) \left( \frac{Q^2}{Q_0^2} \right)^\gamma$$

- running coupling depends on external scales + structure of impact factors
- running coupling term: (anti-)collinear singularities  $\rightarrow$  requires modification of  $\chi_{RG}(\gamma)$
- $pp$ -scattering: complication due to  $2^{nd}$  gluon density

# The NLO BFKL Green's function



(B) 'Monte Carlo' [Andersen, Sabio Vera, 03-04]

→ see talks by M. Deak, A. Sabio Vera

- iterative solution of BFKL Green's function in  $(x, k_T)$  space
- close connection to  $n$ -gluon production
- inclusive → exclusive straight forward

$$f(x, \mathbf{q}, \mathbf{k}) = x^{-\omega_\lambda(\mathbf{q})} \left\{ \delta^{(2)}(\mathbf{q} - \mathbf{k}) + \sum_{n=1}^{\infty} \prod_{i=1}^n \int d^2 \mathbf{l}_i \left[ K_\lambda^{\text{real}}(\mathbf{q} + \sum_j^{i-1} \mathbf{l}_j, \mathbf{q} + \sum_j^i \mathbf{l}_j) \right. \right. \\ \left. \left. \times \int_{x_{i-1}}^1 \frac{dx_i}{x_i} x_i^{-\omega_\lambda(\mathbf{q} + \sum_{j=1}^i \mathbf{l}_j) + \omega_\lambda(\mathbf{q} + \sum_{j=1}^{i-1} \mathbf{l}_j)} \right] \delta^{(2)}(\mathbf{q} + \sum_{j=1}^n \mathbf{l}_j - \mathbf{k}), \right\}$$

- RG-improved BFKL kernel in  $k_T$ -space known, once singularity structure understood within  $\gamma$ -representation
- use both approaches as cross-check

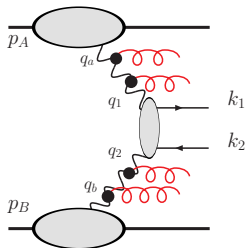


# A study of a LHC process

Completely differential  $b\bar{b}$ -production:

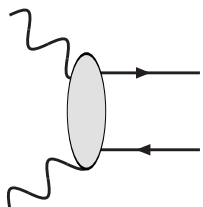
$$\frac{d\sigma^5}{d\eta_1 d\eta_2 d\mathbf{k}_1^2 d\mathbf{k}_2^2 d\phi_{Q\bar{Q}}} = \int d^2\mathbf{q} g(x_1, \mathbf{q}^2) \cdot V_{Q\bar{Q}}^{g^*g^*}(\mathbf{q}, \mathbf{k}_1, \mathbf{k}_2, \Delta\eta) \cdot g(x_2, (\mathbf{q} - \mathbf{k}_{12})^2)$$

$$x_{1,2} = \sqrt{\frac{M^2 + \mathbf{k}_1^2}{s}} e^{\pm\eta_1} + \sqrt{\frac{M^2 + \mathbf{k}_2^2}{s}} e^{\pm\eta_2}$$



- $4m_b^2/s \sim 1.4 \cdot 10^{-6}$ : BFKL kinematics
- $d\sigma$  differential in 5 variables  $\rightarrow$  direct access on  $k_T$  of gluons
- test and constrain ugd's @ LHC
- construction of large variety of distributions possible  $\rightarrow$  contain intrinsic gluon  $k_T$  and resummation
- study differences to collinear factorized expressions

# Matrix elements within $k_T$ factorization

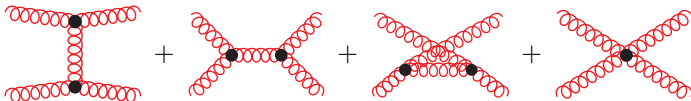


- The LO heavy quark production vertex  $V_{Q\bar{Q}}^{g^*g^*}$  is known [Catani,Ciafaloni,Hautmann, 91]
  - Main corrections from Green's function: study with LO vertex meaningful
  - complete NLO treatment → NLO matrix elements
- 
- only a limited # of NLO matrix elements known
  - determination complicated due to off-shellness → gauge invariance
  - promising method: gauge invariant effective action of high energy QCD [Lipatov, '95]

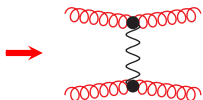
# The effective action of high energy QCD and $k_T$ factorized matrix elements

# High energy factorization and the reggeized gluon

→ High energy (or Regge) factorization of QCD scattering amplitudes  
gluon scattering at LO:



high energy limit: light-cone momenta of gluons strongly ordered



$$s \gg |t|, t = q^2$$

- effective d.o.f.: **reggeized gluon**
- couples to QCD partons by **effective vertex**
- on the mass-shell  $q^2 = 0$ : reggeized gluon  $\rightarrow$  gluon
- polarization tensor  $(n^-)^\mu (n^-)^\nu \rightarrow$  leading power in  $s$
- $q^2 = -q^2$  only transverse,

# Effective vertices for the reggeized gluon

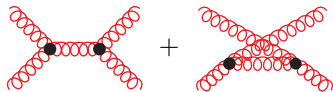
$$= g f^{abc} \Gamma_{\pm}^{\mu\nu}(p, r)$$

contains projection of QCD 3-gluon vertex on 'reggeized gluon state':

- \* polarization  $n^{\pm}$  and 'kinematical constraint'  $k^{\pm} = 0$
- \* high energy limit of QCD  $t$ -channel diagram

additional induced term  $\sim \mathbf{q}^2/p^{\pm}$ :

- \* collects non-suppressed parts of  $s$ - and  $u$ -channel diagrams
- \*  $1/p^{\pm}$  identified with expansion of  $s/u$ -channel propagator



Ward ID:

$$\epsilon_{\mu}(p) \cdot \Gamma_{\pm}^{\mu\nu}(p, r) \cdot r_{\nu} = 0$$

- vanishes for real on-shell gluon
- gauge invariant reggeized gluon  
➔ factorization and formulation of effective action!

# The gauge invariant effective action

[Lipatov, 1995]: systematic determination of effective vertices

$$S_{\text{eff}} = \int d^4x [\mathcal{L}_{\text{QCD}}(v_\mu, \psi) + \mathcal{L}_{\text{ind}}(v_\mu, A_+, A_-)]$$

- interaction of reggeized gluons ( $A_\pm^a$ ) with quark- ( $\psi$ ) and gluon-fields ( $v_\mu$ )
- reggeized gluon  $A_\pm^a$ : invariant under local gauge transformations, but global  $SU(N_c)$  matrix  $A_\pm = A_\pm^a \cdot t^a$
- kinematical condition  $\partial_\pm A_\mp = 0$  (rapidity ordering!)
- coupling of  $A_\pm^a$  to QCD fields described by induced term

$$\mathcal{L}_{\text{ind}} = -\text{tr}\left[\frac{1}{g}\partial_- U(v_-)\partial_\sigma^2 A_+\right] - \text{tr}\left[\frac{1}{g}\partial_+ U(v_+)\partial_\sigma^2 A_-\right] - 2\text{tr}[A_+\partial_\sigma^2 A_-]$$

- all interaction by definition ‘local’ in rapidity space:  
implied but not contained

# Matrix elements from the effective action

LO matrix elements straight forward:  
e.g. central heavy quark production

$$\left| \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} \right|^2 = c \cdot V_{g^*g^*Q\bar{Q}}$$

- Loop corrections show however divergencies, not present in QCD
- Natural for effective theory: expansion in certain energy ratios  
➔ lose regulation present in full theory

classical example: collinear factorization:  $\frac{k^2}{Q^2} \rightarrow 0 \Rightarrow$  collinear divergence

➔ regularize by dimensional regularization

➔ renormalization of parton densities: subtract divergencies and absorb them into parton densities

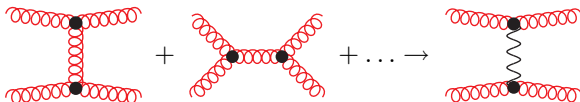
# High energy divergencies of the effective action

effective action: loop correction to reggeized gluon propagator

$$\begin{array}{c}
 \text{gluon trajectory} \\
 \text{virtual part of BFKL kernel}
 \end{array}
 \cdot \int_0^\infty \frac{dk^-}{k^-}$$

crude cutoff =  $\ln s$

reason: underlying approximation e.g.



only valid for  $s \gg \Lambda^2$

- $\Lambda^2$ : scale of the order, but bigger than all transverse scale
- factorization scale: separates longitudinal from transverse dynamics

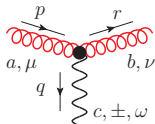


# ' $\omega$ '-regularization

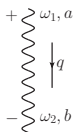
Constraint  $s > \Lambda^2$  can be rewritten as Mellin integral

$$\Theta(|s| - \Lambda^2) = \lim_{\nu \rightarrow 0^+} \int_{-i\infty}^{i\infty} \frac{d\omega}{2\pi i} \frac{1}{\omega + \nu} \left(\frac{s}{\Lambda^2}\right)^\omega$$

' $\omega$ '-regularization: factorize  $\Theta$ -function  $\rightarrow$  modified Feynman rules



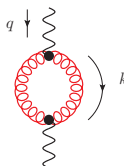
$$= 2gf^{abc}\Gamma_{\pm}^{\mu\nu}(p, r) \int_{-i\infty}^{i\infty} \frac{d\omega}{2\pi i} \left(\frac{p^\pm}{\Lambda}\right)^\omega \xi^{(-)}(\omega)$$



$$= \frac{2\pi i \delta(\omega_1 - \omega_2)}{\omega_1 + \nu} \frac{i/2}{\mathbf{q}^2 \xi^{(-)}(\omega)}$$

signature factor  $\xi^{(-)}(\omega) = \frac{e^{-i\pi\omega} + 1}{2} \rightarrow i\epsilon$  prescription of branch-cuts

# The 1-loop propagator of the reggeized gluon



$$= 2\pi i \delta(\omega_1 - \omega_2) \xi^{(-)}(\omega_1) f\left(\omega_1, \frac{q^2}{\Lambda^2}; \epsilon, \frac{q^2}{\mu^2}\right)$$

$$f\left(\omega, \frac{q^2}{\Lambda^2}; \epsilon, \frac{q^2}{\mu^2}\right) = (-2iq^2) \frac{-\alpha_s N_c (4\pi)^\epsilon}{2\pi} \left(\frac{q^2}{\Lambda^2}\right)^\omega \left(\frac{\mu^2}{q^2}\right)^\epsilon$$

$$\times \left[ \frac{\Gamma^2(\omega - \epsilon) \Gamma(1 - \omega + \epsilon)}{2\Gamma(1 - \omega) \Gamma(2\omega - 2\epsilon)} - \frac{(2 - \epsilon)}{2} \left( \frac{\Gamma(-\omega - 1 + \epsilon) \Gamma(2 + \omega - \epsilon) \Gamma(1 - \omega)}{\Gamma^2(-\omega) \Gamma(4 + 2\omega - 2\epsilon)} \right. \right.$$

$$\left. \left. - \frac{\Gamma(-\omega + \epsilon) \Gamma(2 + \omega - \epsilon) \Gamma(1 + \omega - \epsilon)}{\Gamma(-\omega) \Gamma(3 + 2\omega - 2\epsilon)} \right) - 2 \frac{\Gamma(-\omega + \epsilon) \Gamma^2(1 + \omega - \epsilon)}{\Gamma(-\omega) \Gamma(2 + 2\omega - 2\epsilon)} \right]$$

interesting limit  $\omega \rightarrow 0$ :

$$f\left(\omega = 0, \frac{q^2}{\Lambda^2}; \epsilon, \frac{q^2}{\mu^2}\right) = -2iq^2 \cdot \omega \left(\epsilon, \frac{q^2}{\mu^2}\right) \quad \text{gluon trajectory}$$

# The 1-loop propagator of the reggeized gluon

Combine with reggeized gluon propagators & GGR-vertices

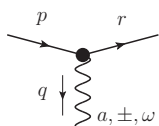
$$\sim \omega \left( \epsilon, \frac{\mathbf{q}^2}{\mu^2} \right) \int_{-i\infty}^{i\infty} \frac{d\omega}{2\pi i} \frac{1}{\omega^2} \left( \frac{s}{\Lambda^2} \right)^\omega \xi^{(-)}(\omega) = \frac{\ln(-s) + \ln s}{2} \omega \left( \epsilon, \frac{\mathbf{q}^2}{\mu^2} \right)$$

logarithmically enhanced contribution,

complete  $f(\omega)$ ?

- remainder  $\sim \omega \rightarrow$  technically higher order (next-to-logarithmic)
- artefact of regularization, NLL correction something else
- use scheme where  $f(\omega)$  is evaluated at  $\omega = 0$

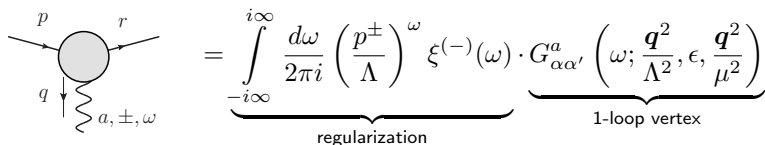
Example: Quark-Quark-Reggeized gluon (QQR) vertex



$$= \underbrace{igt^a(\mathcal{N})_{\alpha\alpha'}}_{\text{eff. action}} \cdot \underbrace{\int_{-i\infty}^{i\infty} \frac{d\omega}{2\pi i} \left( \frac{p^\pm}{\Lambda} \right)^\omega \xi^{(-)}(\omega)}_{\text{regularization}}$$

# The 1-loop quark-reggeized gluon (QQR) vertex

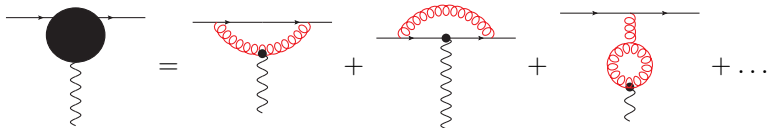
1-loop structure of following type (here:  $p^2 = r^2 = 0$ ):



$$\begin{aligned}
 & \text{Diagram: } \text{Quark } p \text{ and } r \text{ meet at a vertex with a gluon } q, a, \pm, \omega. \\
 & = \underbrace{\int_{-i\infty}^{i\infty} \frac{d\omega}{2\pi i} \left(\frac{p^\pm}{\Lambda}\right)^\omega \xi^{(-)}(\omega)}_{\text{regularization}} \cdot \underbrace{G_{\alpha\alpha'}^a\left(\omega; \frac{q^2}{\Lambda^2}, \epsilon, \frac{q^2}{\mu^2}\right)}_{\text{1-loop vertex}}
 \end{aligned}$$

Idea: evaluate  $QQR$ -vertex at  $\omega = 0$ ,  
 but keep leading order  $\omega$ -structure  
 $\equiv$  use  $G(\omega = 0)$ .

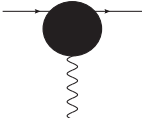
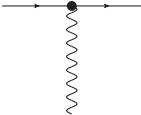
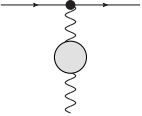
The 1-loop correction can be calculated:



$$\text{Tree-level vertex} = \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \text{Diagram 4} + \dots$$

find:  $G^\mu(\omega; r, p)$  not finite for  $\omega \rightarrow 0!$

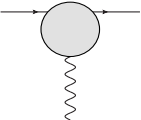
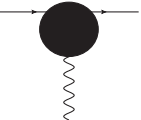
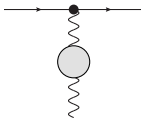
# QQR vertex at 1-loop

Obtain  $\lim_{\omega \rightarrow 0}$    $= \frac{1}{\omega} \cdot \omega(q^2) \cdot$    $=$  

collinear factorization: divergence in 1-loop X-sec  $\propto$  splitting function

$\rightarrow$  subtract divergent term

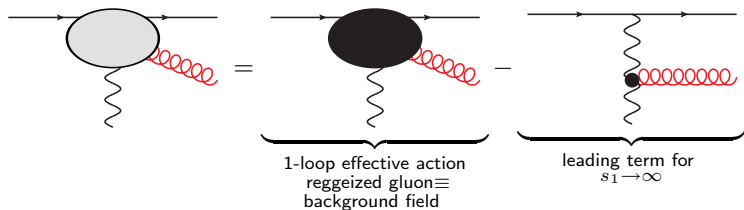
Here: “renormalize” QQR-vertex

  $=$    $-$  

- subtraction  $\rightarrow$  QQR vertex local in rapidity space
- no overcounting

# Subtractions for effective action amplitudes

The same applies to real corrections:



resulting 'coefficient' local in rapidity space  $\rightarrow$  fulfill requirement of effective action

- subtracted term  $\equiv$  leading logarithmic term
- effective action: leading logarithmic terms  $\equiv$  diagrams with only reggeized gluon propagator

# An attempt of a formal interpretation

$S_{RG}[\xi, \bar{\xi}, J_\mu, A_\pm]$ : non-local reggeized gluon action

- $J_\mu, \xi, \bar{\xi}$ : external currents, couple to (anti-)quarks, gluons
- $A_\pm$ : reggeized gluon fields, only interacting d.o.f.

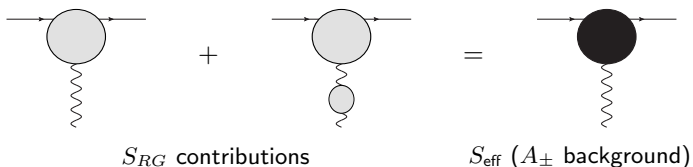
$$Z_{RG}[\xi, \bar{\xi}, J_\mu] = \int \mathcal{D}A_\pm e^{iS_{RG}[\xi, \bar{\xi}, J_\mu, A_\pm]}$$

- fix non-local couplings of  $S_{RG}$  order by order in  $g$  through matching with effective action with  $A_\pm$  as background field

$$Z_{\text{eff}}[\xi, \bar{\xi}, J_\mu, A_\pm] = \int \mathcal{D}V \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{iS_{\text{eff}}[V, \psi, A_\pm] + i \int d^4x (J \cdot V + \bar{\psi} \xi + \bar{\xi} \psi)}$$

- functional derivatives of partition functions w.r.t currents: QCD correlators
- 'renormalization condition':  $\text{correlator}(S_{RG}) = \text{correlator}(S_{\text{eff}})$
- by construction: QCD scattering amplitudes of pure QCD and reggeized gluon action  $S_{RG}$  coincide order by order!

example: QQR correlator to  $\mathcal{O}(g^3)$



Other important checks have been performed for effective action  
[J.Bartels, MH, L.N. Lipatov, in prep. ]:

- BFKL equation
- $s$ -channel discontinuity structure of production amplitudes  
➔ Steinmann rules!
- signature conservation
- exchange of 4 reggeized gluons: triple Pomeron Vertex recalculated



# Summary and conclusions

## $k_T$ factorization:

- more accurate kinematics through finite  $k_T$  of gluon
- take into account small  $x$  dynamics through BFKL evolution
- NLO BFKL: reduce scale dependence and increase accuracy

## effective action:

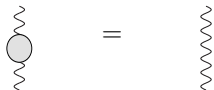
- complete NLO treatment requires NLO matrix elements
- tool: high energy factorization and effective action of high energy QCD
- $\omega$ -regularization and subtractions: systematic determination (conservative: at least for 1-loop)
- important consistency conditions of the effective action have been checked

.... work in progress

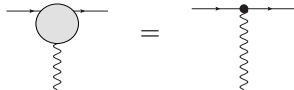
reggeized gluon action  $S_{RG}$

effective action  $S_{\text{eff}}$

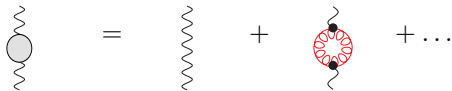
$\mathcal{O}(g^0)$



$\mathcal{O}(g^1)$



$\mathcal{O}(g^2)$



$\mathcal{O}(g^3)$

