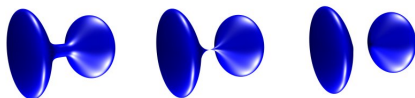


RG-2 flow, mass and entropy

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The Riemannian Penrose Inequality

Let (Σ, h_{ab}, K_{ab}) be a initial data set with mass M_{ADM} . Let A be the area of the outermost apparent horizon in Σ

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$$M_{ADM} \geq \sqrt{\frac{A}{16\pi}}$$

It implies the positive mass theorem.

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([Huiske and Ilmanen, 1997](#)) Weak formulation and single black hole.

([Bray, 2001](#)) Multiple black holes.

- A purely a geometrical fact.
- (Σ, h) needs to have nonnegative scalar curvature / obey the dominant energy condition.

An overview to the proof

- Let (Σ, h_{ab}, K_{ab}) a foliation of spacetime
- Introduce λ such that the surfaces $\lambda = cte$ are nested topological two spheres in Σ .
The innermost sphere corresponds to a point.
- A normal vector to S is defined $n_a = D_a \lambda$. Define $\chi^a = un^a$ and $\chi^a D_a \lambda = 1$
- If $v^2 = n_a n^a$ we have $\chi^a D_a \lambda = uv$

Consider

$$C(\lambda) := \int_{S \in \Sigma} (2R_s^2 - k^2) dA, \quad M_H = \frac{A^{1/2}}{64\pi^{3/2}} C(\lambda)$$

where $k = D_a n^a$

Variation of Compactness

$$\frac{dC(\lambda)}{d\lambda} = \int (2k \tilde{D}^a \tilde{D}_a u + ukk^{ab} k_{ab} - ukR_s + ukR) dA$$

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Inverse mean curvature flow

If $uk = 1$

$$\frac{dC(\lambda)}{d\lambda} \geq -\frac{1}{2} C(\lambda)$$

When S is a point $C(\lambda) = 0$ then $C(\lambda) \geq 0$ for all τ

When $\lambda \rightarrow \infty$ then S expands to a sphere in the infinity and M_H becomes the M_{ADM}

- Geometric evolution equations. What is the long behaviour of the flow?
- Partial Differential Equations + Riemannian Geometry \rightarrow Geometric Analysis .

Extrinsic Flows

Curve shortening flow **Mullins, '56**
Harmonic map heat flow **Eells, Sampson, '64**
Mean Curvature flow **Brakke, '78**
Willmore flow **Willmore, 60's**

Intrinsic Flows

Ricci flow **Hamilton, '81**
Calabi flow **Calabi, '??**
Yamabe flow **Hamilton, '81**

Introduction

The Ricci Flow

Given a 1-parameter family of metrics $g(\lambda)$ on a Riemann manifold M^n , defined on a "time" interval $I \in \mathbb{R}$, the Ricci flow is defined

$$\frac{\partial g_{ij}}{\partial \lambda} = -2R_{ij}$$

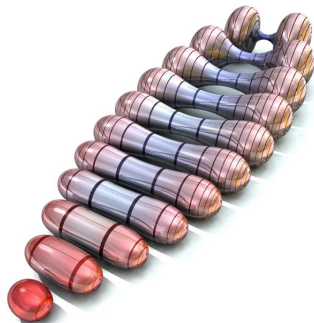
- It is not parabolic.
- Define Ricci-DeTurck flow:

$$\frac{\partial g_{ij}}{\partial \lambda} = -2R_{ij} + \nabla_i W_j + \nabla_j W_i$$
$$g(0) = g_0$$

where the 1-form $W = W(\lambda)$ is given by

$$W_j = g_{jk} g^{pq} \left(\Gamma_{pq}^k - \Gamma_{pq}^k \right)$$

The new flow is parabolic.



- With the evolution equation for the metric we can calculate the evolution for all related quantities:

$$\begin{aligned}\frac{\partial}{\partial \lambda} \Gamma_{ij}^k &= -g^{kl} (\nabla_i R_{jl} + \nabla_j R_{il} - \nabla_l R_{ij}) \\ \frac{\partial}{\partial \lambda} R &= \Delta R + 2|Rc|^2 \\ \frac{\partial}{\partial \lambda} R_{ij} &= \Delta R_{ij} + 2R_{kijl} R_{kl} - 2R_{ik} R_{jk} \\ \frac{\partial}{\partial \lambda} R_{ijkl} &= \Delta R_{ijkl} + 2(B_{ijkl} - B_{ikjl} - B_{iljk}) \\ &\quad - (R_{ip} R_{pjkl} + R_{jp} R_{ipkl} + R_{kp} R_{ijpl} + R_{lp} R_{ijkp})\end{aligned}$$

where

$$B_{ijkl} = -R_{pijq} R_{qlkp}$$

The entropy formula for the Ricci flow and its geometric applications.

Grisha Perelman 2008

"The interplay of statistical physics and (pseudo)-riemannian geometry occurs in the subject of Black Hole Thermodynamics, developed by Hawking et al. Unfortunately, this subject is beyond my understanding at the moment."

- Let (M, g) be a Riemannian manifold and let Σ be a two dimensional Riemannian surface with metric γ . We define a map $\phi : \Sigma \rightarrow M$ and the functional

$$S(\phi) = \int \frac{1}{\alpha} g_{ij}(\phi(x)) \partial^\mu \phi^i(x) \partial^\nu \phi^j(x) \gamma_{\mu\nu} dx$$

with $\alpha > 0$.

- Non-linear sigma models: (Σ, γ) is called the worldsheet and (M, g) is the target space.
- Quantizing the action \Rightarrow renormalization group

The RG-2 flow

The second order approximation in λ to the RG flow is

$$\underbrace{\frac{\partial g_{ij}}{\partial \lambda}}_{\text{Ricci flow}} = -2R_{ij} - \frac{\alpha}{2} R_{iklm} R_j{}^{klm}$$

- Curvature small \Rightarrow The Ricci flow approximates full renormalization group flow.
- The RG-2 flow is *not* parabolic.
- In 3-dimensions the flow is weakly parabolic only when

$$1 + \alpha K_{ij} > 0$$

for all sectional curvatures K_{ij} . (There is a similar result in n-dimensions).

- Mathematical Problem \Rightarrow There is no gradient formulation for the RG-2 flow (Carfora and Guenther 2018) "Scale invariant" (α is not a fixed constant) RG-2 flow. Flow coupled to a backwards Fokker-Plack equation.

- $g_{\mu\nu} \Rightarrow h_{ab} \Rightarrow \gamma_{ij}$

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- After a foliation: the extrinsic curvature k_{ab} of Σ , the Ricci scalar ${}_3R$, the matter current J^b , the matter density ρ , have to satisfy:

$$\nabla_b(k^{ab} - h^{ab}k) = 8\pi J^b$$

$${}_3R + k^2 - k_{ab}k^{ab} = 16\pi\rho$$

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$$R = 16\pi\rho$$

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- The flow must preserve the non-negativity.
- The scalar curvature under RG-2 flow satisfies

$$\frac{dR}{d\lambda} = \Delta R + 2|Rc|^2 + \alpha \left(\frac{1}{2}\Delta|Rm|^2 - \frac{1}{2}\nabla_a\nabla_b Rm_{ab}^2 - R_a^s R_{sb} R^{ab} + 2R|Rc|^2 - \frac{R^3}{2} \right)$$

Let S be a closed surface on M , R_S is the scalar curvature on S , and κ is the trace of the extrinsic curvature.

The Hawking Mass

$$M_H(S) = \frac{\sqrt{A(S)}}{64\pi^{3/2}} C(S)$$

where

$$A(S) = \int_S dA = \int_S d^2x \sqrt{\gamma}$$

and

$$C(S) = \int_S dA (2R_S - \kappa^2)$$

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The **a** form of the metric:

$$ds^2 = a(r, \lambda) dr^2 + r^2(d\theta^2 + \sin^2(\theta)d\phi^2)$$

The **b** form of the metric

$$ds^2 = dr^2 + b(r, \lambda)(d\theta^2 + \sin^2(\theta)d\phi^2)$$

([Samuel, Roy Chowdhury, 2008](#)) Ricci flow case

- We took the metric in the **b** form.
- We assume the existence of an apparent horizon in $r = r_a$.

Area of a apparent horizon under RG-2 flow

$$\frac{dA_a}{d\lambda} < -4\pi(1+\alpha b^2)$$

Area of a sphere under RG-2 flow

$$\frac{dA}{d\lambda} \leq -16\pi^{3/2} \frac{M_H}{\sqrt{A}} - \frac{\alpha}{2} \left(\frac{b'^2}{2b} - b'' \right)^2$$

Area of a surface S under RG-2 flow

$$\frac{dA}{d\lambda} \leq -\frac{1}{4} C(S) - \frac{\alpha}{4} C_{RR_S}(S)$$

where

$$C_{RR_S} = \int_S \sqrt{\gamma} d^2x R \left(R_S + \frac{1}{4} K^2 \right).$$

Remember the Hawking Mass

$$M_H(S) = \frac{\sqrt{A(S)}}{64\pi^{3/2}} C(S)$$

We need:

$$\begin{aligned} \frac{dC}{d\lambda} = & \int dAK \left\{ h^{ab} n^c \nabla_c (2R_{ab} + \frac{\alpha}{2} R m_{ab}^2) + 2\nabla_a (2R^{ab} + \frac{\alpha}{2} R m^{ab} n_b) \right. \\ & \left. - n^a \nabla_a (2R^{cd} - \frac{\alpha}{2} R m^{cd} n_c n_d) \right\} \\ & + \int dAK^2 \left\{ R + n^a n^b R_{ab} + \frac{\alpha}{4} (R_m^{cd} n_c n_d + R_m^2) \right\}, \end{aligned}$$

RG-2 flow in 2 dimensions

$$\partial_\lambda g_{ij} = -Rg_{ij} - \frac{\alpha}{4} R^2 g_{ij},$$

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- At "time" ($\lambda = 0$) we chose a metric of the Schwarzschild type:

$$ds^2 = f(x)dt^2 + \frac{1}{f(x)}dx^2,$$

$f(x)$ is positive everywhere but becomes zero when evaluated at the "horizon".

- Define the metric for $\lambda > 0$:

$$ds^2 = f(x, \lambda)dt^2 + \frac{e^{2\phi(x, \lambda)}}{f(x, \lambda)}dx^2,$$

- The RG-2 flow becomes

$$\frac{\partial f}{\partial \lambda} = -e^{-2\phi}(-\partial_{xx}f + \partial_x\phi\partial_x f)f - \frac{\alpha}{4}e^{-4\phi}(-\partial_{xx}f + \partial_x\phi\partial_x f)^2 f$$

$$\frac{\partial e^{2\phi}}{\partial \lambda} = -2(-\partial_{xx}f + \partial_x\phi\partial_x f) - \frac{\alpha}{2}e^{-2\phi}(-\partial_{xx}f + \partial_x\phi\partial_x f)^2$$

- A solution

$$\phi = \ln\left(\frac{f(x, \lambda)}{f(x, 0)}\right).$$

- Metric in Schwarzschild like form

$$ds^2 = f(r, \lambda) dt^2 + \frac{1}{f(r, \lambda)} dr^2.$$

- The RG-2 flow becomes

$$\frac{\partial f}{\partial \lambda} = f \partial_{rr} f + \frac{\alpha}{4} f (\partial_{rr} f)^2 - (\partial_r f)^2 + q_o \partial_r f$$

here $q_o = f(x, 0)|_{x=x_h}$.

- An asymptotically flat metric will remain asymptotically flat after evolution under the RG-2 flow.

Evolution of scalar curvature

$$\frac{\partial R}{\partial \lambda} = \left(1 + \frac{\alpha}{2} R\right) f R'' + \frac{\alpha}{2} |R'|^2 + \left(1 + \frac{\alpha}{4}\right) R^2 + q_o R'$$

Curvature

R remains positive everywhere including the point $r = 0$.

Non-existence of horizons

$$1 - \frac{\alpha}{2} R > 0$$

Entanglement entropy of 2d a black hole

$$\frac{\partial S(\lambda)}{\partial \lambda} = -\frac{c}{12} \left(R(0, \lambda) - \alpha \frac{q_0}{2} \int_0^{L_r} \frac{(R(0, \lambda))^2}{f} \right)$$

- Mathematical properties of some flows and how are related to Physics.
- We have calculated how the area of an apparent horizon evolves under RG-2 flow.
- We have calculated how the area of an arbitrary closed surface evolves under RG-2 flow.
- Clues about gradient formulation of the RG-2 flow.
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