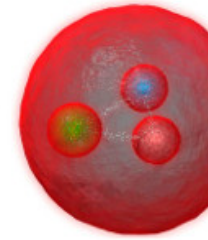




X CPAN DAYS

Salamanca, 29 - 31 October 2018



Hadron physics for neutrino oscillation experiments

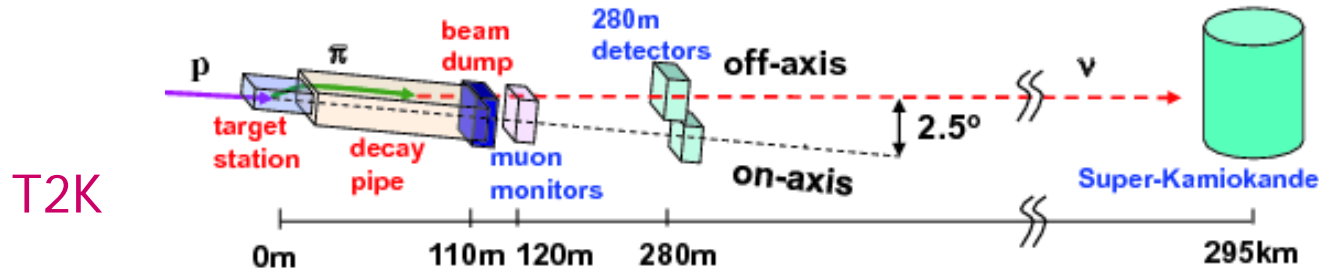
Luis Alvarez Ruso

K. Graczyk, E. Saúl Sala, M. J. Vicente Vacas, D. Yao



Introduction

- Oscillation experiments (with accelerator ν in the few-GeV region)
- Experiments with near & far detectors: T2K, NOvA, SBN, Hyper-K, DUNE



- Near detectors help to reduce systematic errors:

$$\frac{N_{events}^{far}(E_\nu)}{N_{events}(E_\nu)} = \frac{\int \sigma(E'_\nu) \Phi(E'_\nu) P(E_\nu | E'_\nu) P_{osc}(E'_\nu) dE'_\nu}{\int \sigma(E'_\nu) \Phi(E'_\nu) P(E_\nu | E'_\nu) dE'_\nu}$$

F. Sanchez @ NuPhys2015

but cross section uncertainties **do not cancel** (exactly) in the ratio

- exposed to different fluxes with different flavor composition
- different geometry, acceptance and targets

Introduction

- ν cross sections are **crucial** to achieve the **precision goals** of **oscillation experiments**

$$\frac{N_{events}^{far}(E_\nu)}{N_{events}(E_\nu)} = \frac{\int \sigma(E'_\nu) \Phi(E'_\nu) P(E_\nu | E'_\nu) P_{osc}(E'_\nu) dE'_\nu}{\int \sigma(E'_\nu) \Phi(E'_\nu) P(E_\nu | E'_\nu) dE'_\nu}$$

F. Sanchez @ NuPhys2015

- Need for theory?
 - Measurements are not (cannot be) perfect
 - (partially) rely on **simulations \approx theory** to determine
 - efficiency, acceptance
 - (irreducible) **background** subtraction
 - E_ν is not known: reconstructed using kinematics and/or calorimetry
 - $\sigma(\nu_\mu)$ to $\sigma(\nu_e)$ extrapolations
- **Neutrino** c.s. mismodeling could lead to unacceptably large systematic uncertainties or biased measurements **Coloma, Huber, PRL 111 (2013)**

Nucleon axial form factor

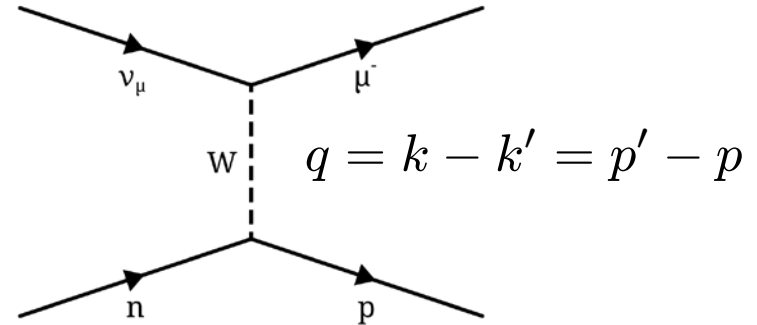
- Fundamental **nucleon** property
- Main source of uncertainty for **QE scattering** on **nucleons**:

$$\text{CCQE} : \nu(k) + n(p) \rightarrow l^-(k') + p(p')$$

$$\bar{\nu}(k) + p(p) \rightarrow l^+(k') + n(p')$$

$$\text{NCE} : \nu(k) + N(p) \rightarrow \nu(k') + N(p')$$

$$\bar{\nu}(k) + N(p) \rightarrow \bar{\nu}(k') + N(p')$$



- Largest contribution at **T2K**, **MicroBooNE**

$$\mathcal{M} = \frac{G_F \cos \theta_C}{\sqrt{2}} l^\alpha J_\alpha$$

$$l^\alpha = \bar{u}(k') \gamma^\alpha (1 - \gamma_5) u(k)$$

$$J_\alpha = \bar{u}(p') \left[\gamma_\alpha F_1^V + \frac{i}{2M} \sigma_{\alpha\beta} q^\beta F_2^V + \gamma_\mu \gamma_5 F_A + \frac{q_\mu}{M} \gamma_5 F_P \right] u(p)$$

Nucleon axial form factor

- What is known:

- $F_A(0) = g_A \leftarrow \beta$ decay

- $F_A(\infty) \sim Q^{-4} \leftarrow$ QCD

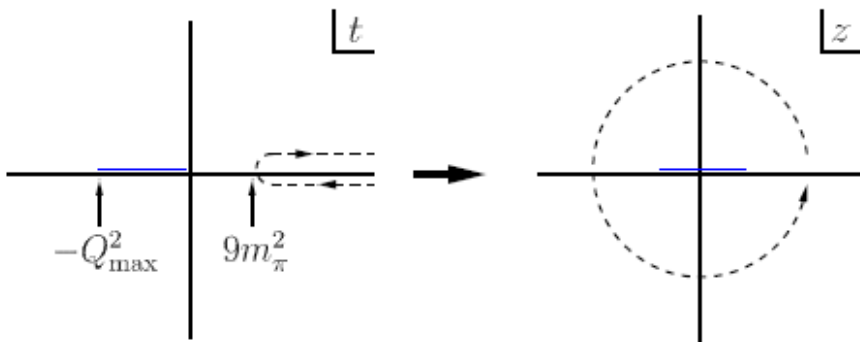
- Main source of information: bubble chamber (ANL, BNL, FNAL) data

- Dipole ansatz: Bodek et al., EPJC 53 (2008)

$$F_A(Q^2) = g_A \left(1 + \frac{Q^2}{M_A^2} \right)^{-2} \quad \langle r_A^2 \rangle = \frac{12}{M_A^2}$$

- Axial-meson dominance: Masjuan et al., PRD 87 (2013)

- z-expansion: Meyer et al., PRD 93 (2016)

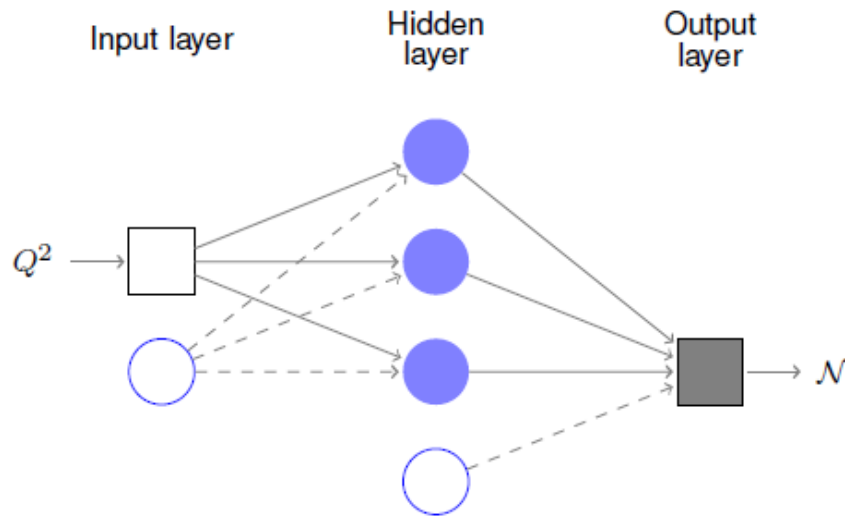


$$F_A(Q^2) = \sum_{k=0}^{\infty} a_k z(Q^2)^k$$

- Neural networks + Bayesian statistics: LAR, Graczyk, Saúl-Sala, arXiv:1805.00905

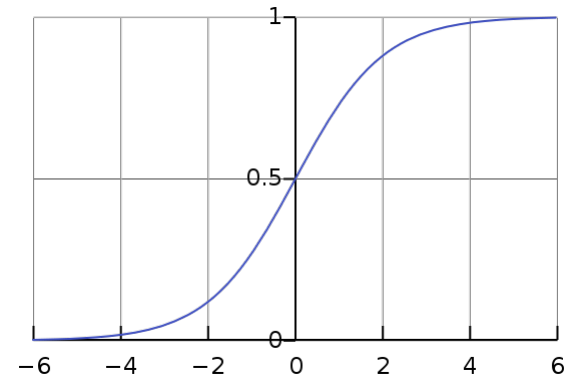
Neural Networks for F_A

- Feed-forward NN in multilayer perceptron (MLP) configurations
- Non-linear map $\mathcal{N}: \mathbb{R}^{\text{in}} \rightarrow \mathbb{R}^{\text{out}}$



- For every unit: $y_j = f_{\text{act}} \left(\sum_{i \in \text{prev. layer}} w_i y_i \right)$

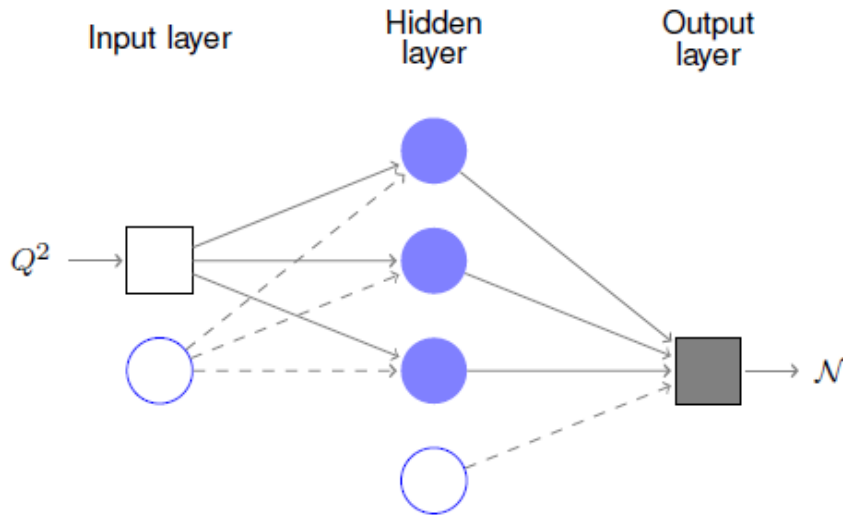
- Activation function: $f(x) = \frac{1}{1 + \exp(-x)}$



- except in bias units: $f(x) = 1$ and output: $f(x) = x$

Neural Networks for F_A

- Feed-forward NN in multilayer perceptron (MLP) configurations
- Non-linear map $\mathcal{N}: \mathbb{R}^{\text{in}} \rightarrow \mathbb{R}^{\text{out}}$



$$\mathcal{N}_M(Q^2; \{w_j\}) = \sum_{n=1}^M w_{2M+n} f(w_n Q^2 + w_{M+n}) + w_{3M+1}.$$

$$F_A(Q^2) = F_A^{\text{dipole}}(Q^2) \times \mathcal{N}_M(Q^2; \{w_i\}) \leftarrow \text{function of } W=3M+1 \text{ weights and } Q^2$$

- Cybenko's theorem: for large enough M , can map arbitrarily well any continuous function and its derivative

Bayesian inference for NN

$$\text{posterior} = \frac{\text{likelihood} \times \text{prior}}{\text{evidence}}$$

1. For a given \mathcal{N} : $\mathcal{P}(\{w_j\} | \mathcal{D}, \mathcal{N}) = \frac{\mathcal{P}(\mathcal{D} | \{w_j\}, \mathcal{N})\mathcal{P}(\{w_j\} | \mathcal{N})}{\mathcal{P}(\mathcal{D} | \mathcal{N})}$,

- Likelihood in terms of χ^2 :

$$\mathcal{P}(\mathcal{D} | \{w_j\}, \mathcal{N}) = \frac{1}{N_L} \exp(-\chi^2)$$

- Prior: weights w_j are Gaussian distributed

$$\mathcal{P}(\{w_j\}, \mathcal{N}) = \frac{1}{N_w} \exp\left(-\alpha \frac{1}{2} \sum_{i=1}^W w_i^2\right) \quad \alpha \leftarrow \text{regularizer}$$

- Algorithm to find the optimal: $(\{w_j\}_{MP}, \alpha_{MP})$

Bayesian inference for NN

$$\text{posterior} = \frac{\text{likelihood} \times \text{prior}}{\text{evidence}}$$

1. For a given \mathcal{N} : $\mathcal{P}(\{w_j\} | \mathcal{D}, \mathcal{N}) = \frac{\mathcal{P}(\mathcal{D} | \{w_j\}, \mathcal{N})\mathcal{P}(\{w_j\} | \mathcal{N})}{\mathcal{P}(\mathcal{D} | \mathcal{N})}$,
2. For \mathcal{N}_{1-M} : $\mathcal{P}(\mathcal{N}_i | \mathcal{D}) = \frac{\mathcal{P}(\mathcal{D} | \mathcal{N}_i)\mathcal{P}(\mathcal{N}_i)}{\mathcal{P}(\mathcal{D})}$

- Assuming all NN configurations are equally suited to describe data:

$$\mathcal{P}(\mathcal{N}_1) = \mathcal{P}(\mathcal{N}_2) = \dots = \mathcal{P}(\mathcal{N}_M) \quad \text{then} \quad \mathcal{P}(\mathcal{N} | \mathcal{D}) \propto \mathcal{P}(\mathcal{D} | \mathcal{N})$$

- In the Hessian approximation:

$$\mathcal{P}(\mathcal{D} | \mathcal{N}) = \int dw_1 \cdots dw_W \mathcal{P}(\mathcal{D} | \{w_j\}, \mathcal{N}) \mathcal{P}(\{w_j\} | \mathcal{N})$$

$$\ln \mathcal{P}(\mathcal{D} | \mathcal{N}) \approx -\chi^2 - \alpha_{MP} \frac{1}{2} \sum_{i=1}^W \{w_i\}_{MP}^2 - (\text{Occam's factor})$$

large for models with many parameters

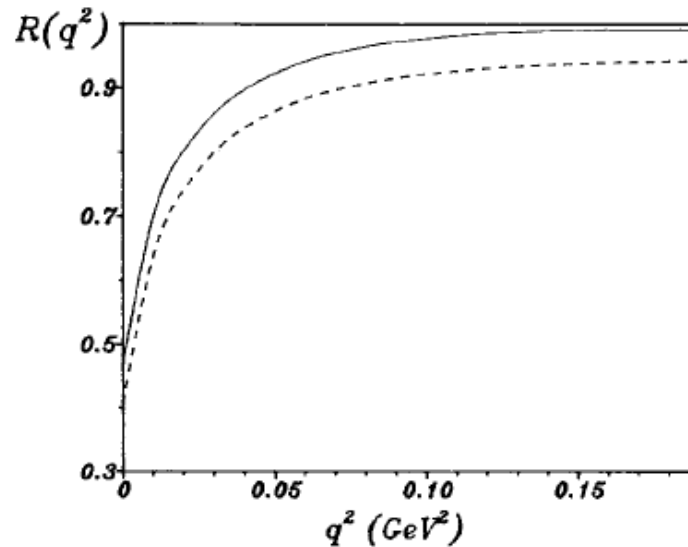
Bayesian NN analysis of ANL data

- LAR, Graczyk, Saúl-Sala, arXiv:1805.00905

$$\frac{d\sigma_{\nu n}}{dQ^2} = \frac{G_F^2 m_N^2}{8\pi E_\nu^2} \left[A(Q^2) + B(Q^2) \frac{(s-u)}{m_N^2} + C(Q^2) \frac{(s-u)^2}{m_N^4} \right]$$

- A, B, C are functions of $F_{1,2}^V$ and $F_{A,P}$
- $F_{1,2}^V$ assumed exact; F_P given in terms of F_A

$$\frac{d\sigma_{\nu d}}{dQ^2} = R(Q^2) \frac{d\sigma_{\nu n}}{dQ^2}$$



Singh, Arenhövel, Z. Phys. A 324 (1986)

Bayesian NN analysis of ANL data

- LAR, Graczyk, Saúl-Sala, arXiv:1805.00905

$$\frac{d\sigma_{\nu n}}{dQ^2} = \frac{G_F^2 m_N^2}{8\pi E_\nu^2} \left[A(Q^2) + B(Q^2) \frac{(s-u)}{m_N^2} + C(Q^2) \frac{(s-u)^2}{m_N^4} \right]$$

- A, B, C are functions of $F_{1,2}^V$ and $F_{A,P}$
- $F_{1,2}^V$ assumed exact; F_P given in terms of F_A

- Events: $N^{th}(Q^2) = \int_0^\infty dE_\nu \frac{d\sigma}{dQ^2}(E_\nu, F_A, Q^2) \phi(E_\nu)$

- Neutrino flux: $\phi(E_\nu) = p \frac{1}{\sigma(E_\nu, F_A)} \frac{dN}{dE_\nu}$

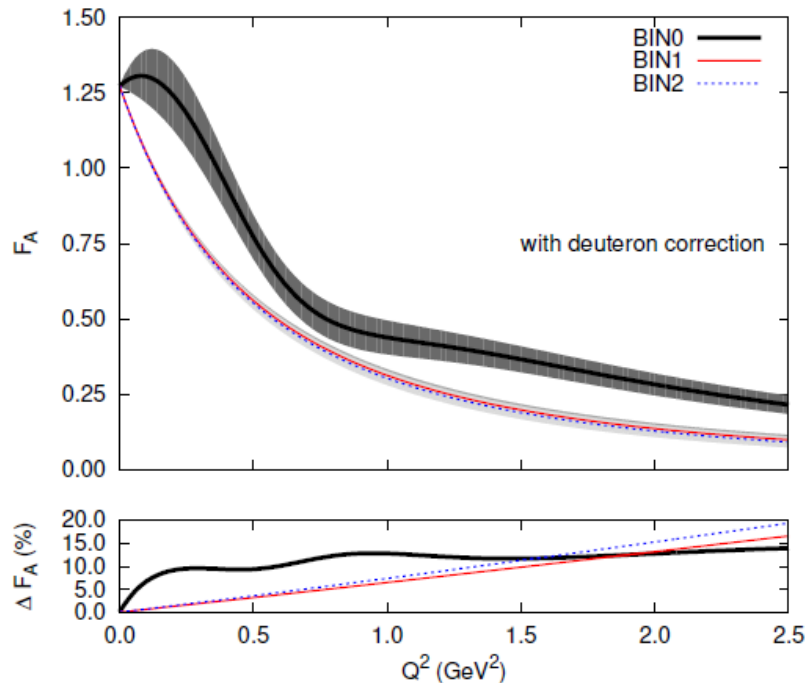
$$\frac{dN}{dE_\nu} \leftarrow \text{experimental } E_\nu \text{ distribution of observed events}$$

Barish et al., PRD19 (1979)

- $\chi^2 = \left(\frac{F_A(0) - g_A}{\Delta g_A} \right)^2 + \sum_{i=k}^{n_{ANL}} \frac{(N_i - N_i^{th})^2}{N_i} + \left(\frac{1-p}{\Delta p} \right)^2 \quad \Delta p = 20\%$

Bayesian NN analysis of ANL data

■ Results:



■ BIN0 results **inconsistent** with **z-exp** ones:

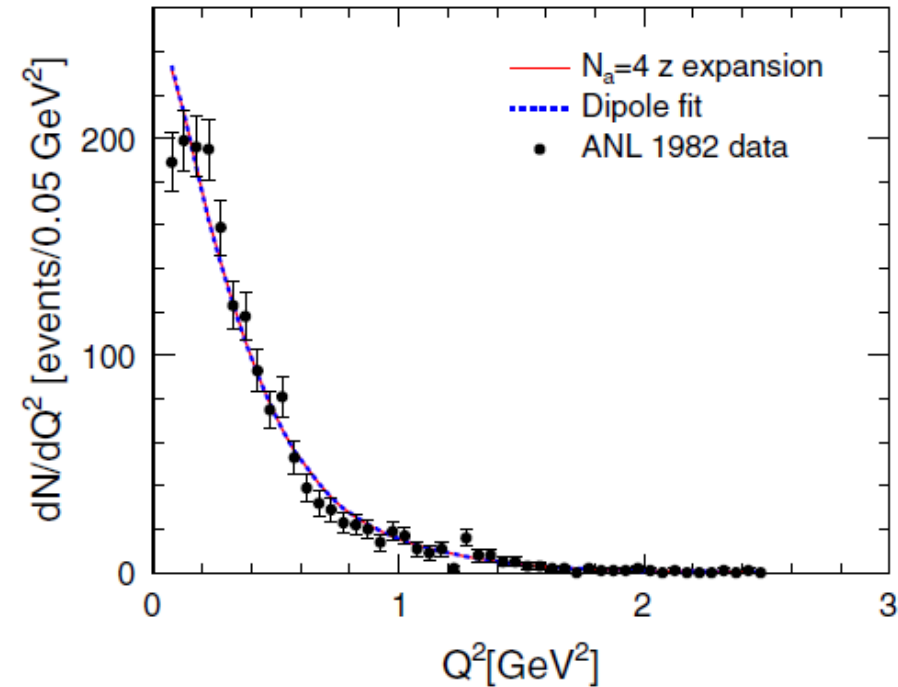
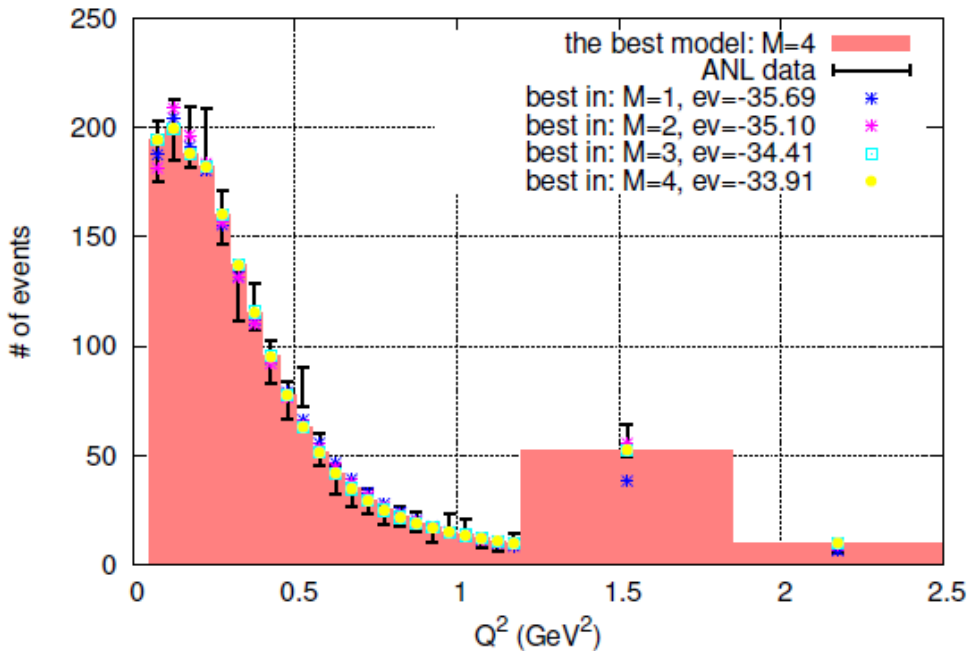
■ $r_A^2 = -1.61 \pm 0.24 \text{ fm}^2$ vs $0.46(22) \text{ fm}^2$ [νd] & $0.43(24) \text{ fm}^2$ [μ -capt.]

Meyer et al., PRD93 (2016)

Hill et al., arXiv:1708.08462

Bayesian NN analysis of ANL data

Results:



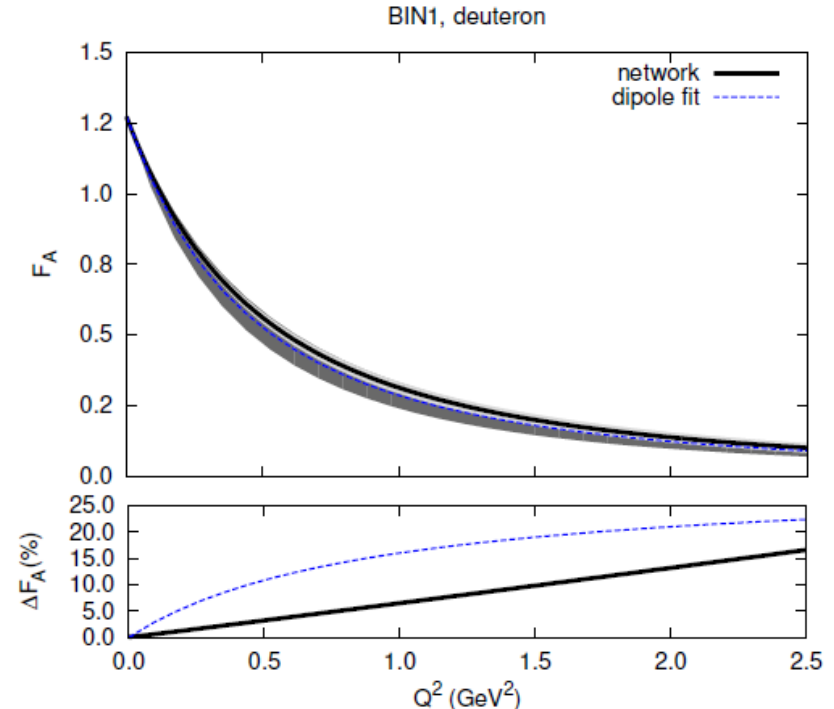
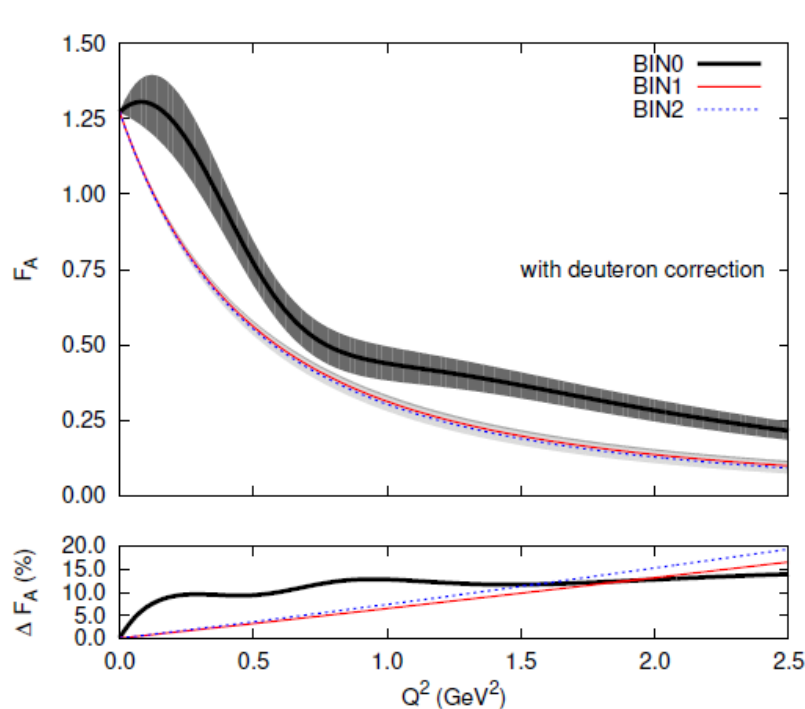
Meyer et al., PRD93 (2016)

BINO results inconsistent with z-exp ones:

- $r_A^2 = -1.61 \pm 0.24 \text{ fm}^2$ vs $0.46(22) \text{ fm}^2$ [νd] & $0.43(24) \text{ fm}^2$ [μ -capt.]
- Deuteron corrections (important in the 1st,2nd bins)
- Experimental efficiency issues at low Q^2

Bayesian NN analysis of ANL data

■ Results:



■ BIN1 results consistent with z-exp (and dipole) ones:

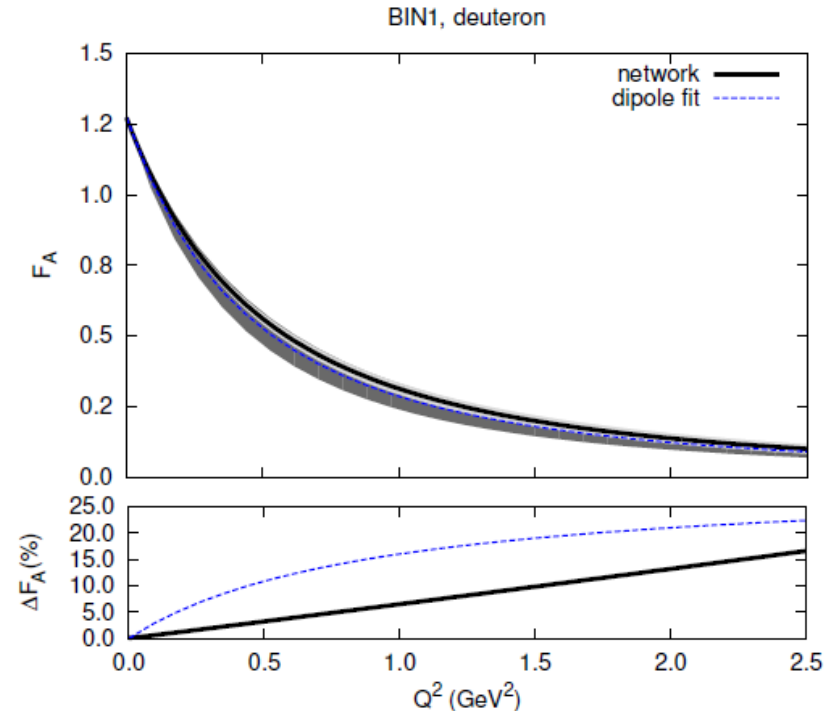
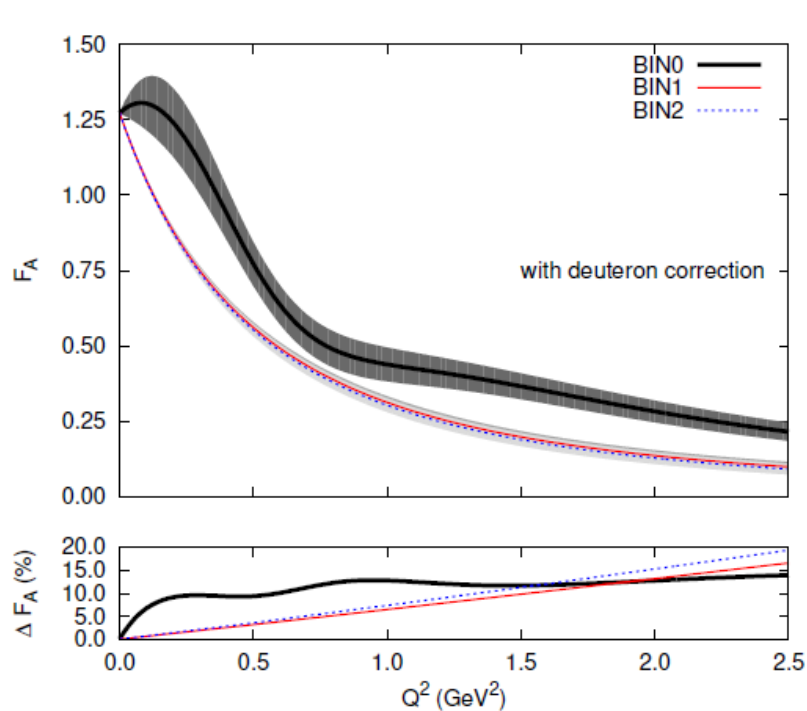
■ $r_A^2 = 0.471 \pm 0.015 \text{ fm}^2$ vs $0.46(22) \text{ fm}^2$ [νd] & $0.43(24) \text{ fm}^2$ [μ -capt.]

Meyer et al., PRD93 (2016)

Hill et al., arXiv:1708.08462

Bayesian NN analysis of ANL data

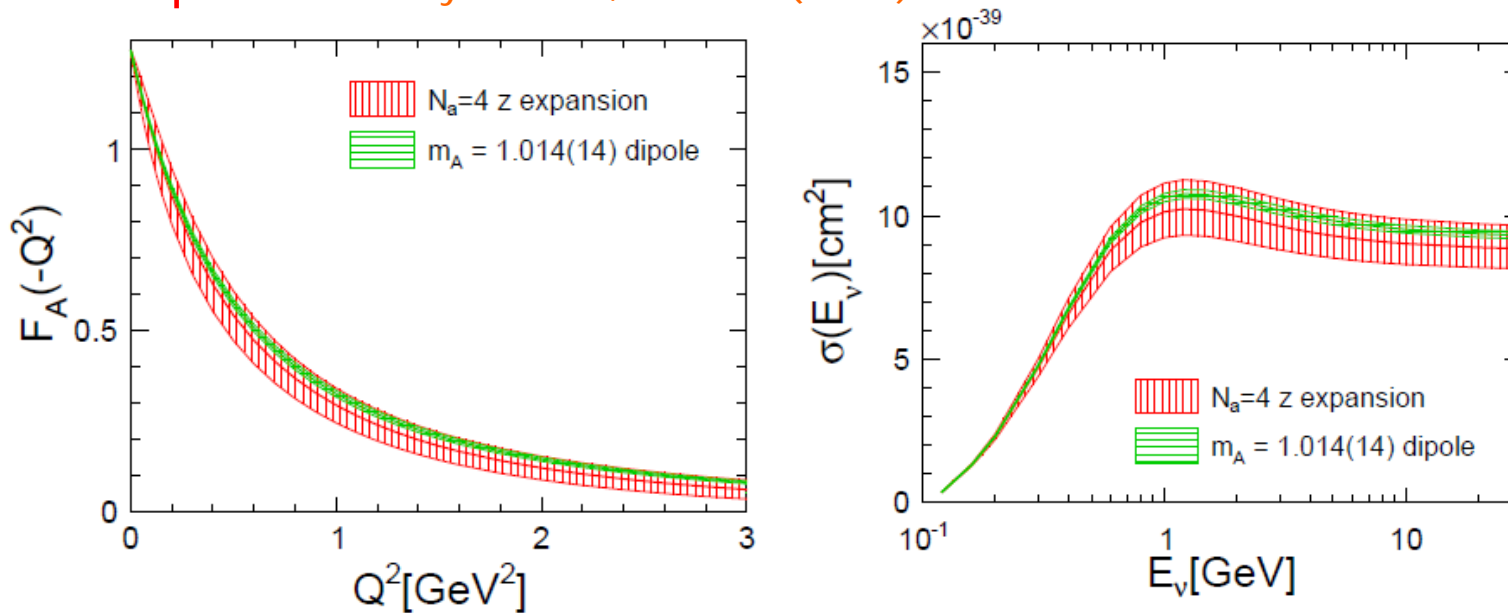
■ Results:



- All methods obtain similar $F_A(Q^2)$... but with **different errors**

QE scattering on the nucleon

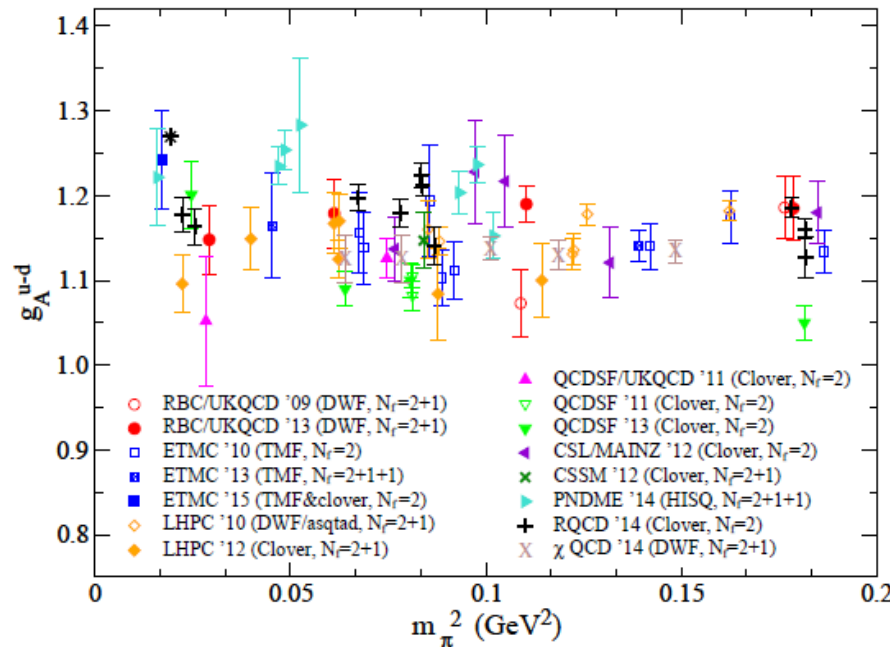
- **z-expansion** Meyer et al., PRD 93 (2016)



- At $E_\nu \sim 1$ GeV $\sigma(\text{CCQE})$ has $\approx 10\%$ error
- More precise information about F_A is needed
 - Direct or indirect CCQE measurement on n/p
 - Lattice QCD

F_A & LQCD

- g_A : lower than experimental values have been recurrently obtained



Constantinou, PoS CD15 (2015) 009

- Recent progress:
 - improved algorithms for a careful treatment of excited states
 - low pion masses
 - A per-cent-level determination of the nucleon axial coupling from QCD

Chang et al., Nature 558 (2018)

F_A from LQCD

- Recent determinations of $F_A(Q^2, M_\pi)$:
 - improved algorithms for a careful treatment of **excited states**
 - **low pion masses**

Alexandrou et al., Phys. Rev. D 96 (2017)

Capitani et al., arXiv:1705.06186

Gupta, Phys.Rev. D96 (2017)

F_A in BChPT

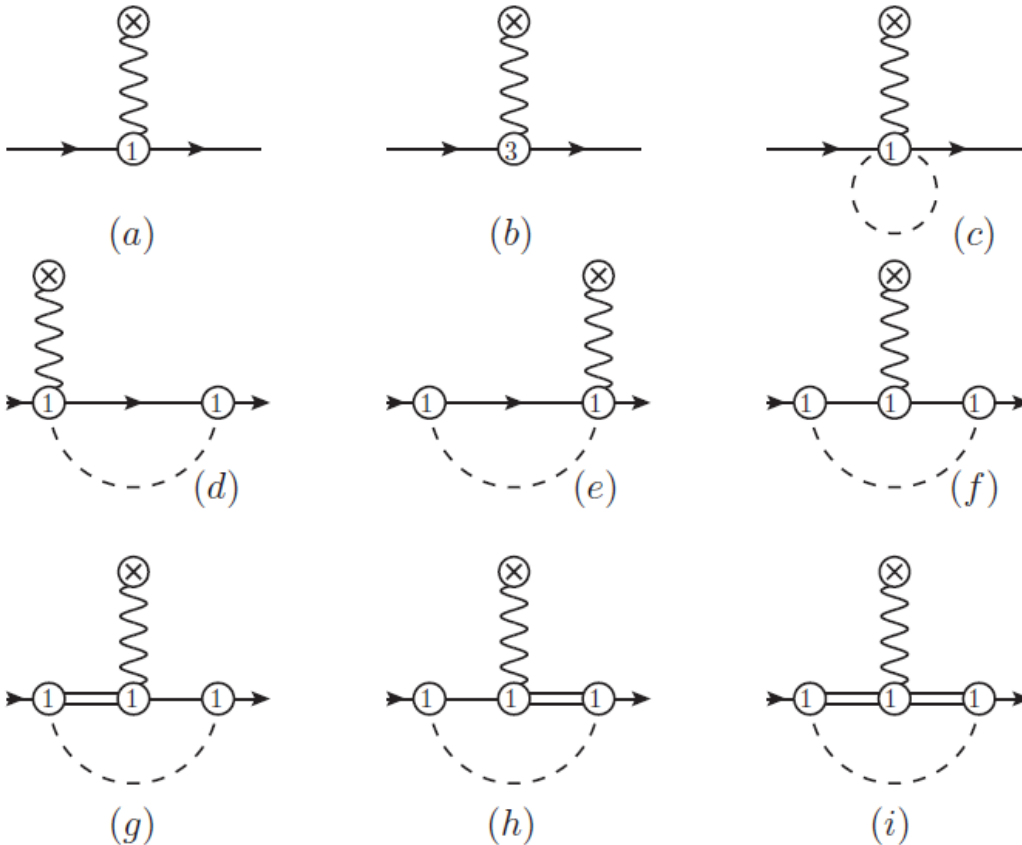
$$A_\alpha^a = \bar{u}(p') \left[\gamma_\alpha \gamma_5 F_A + \frac{q_\alpha}{m_N} \gamma_5 F_P \right] \frac{\tau^a}{2} u(p)$$

- $F_A(Q^2, M_\pi)$ calculated using **covariant** ChPT
Yao, LAR, Vicente Vacas, PRD 96 (2017)
- up to leading one-loop $O(p^3)$
 - standard power counting
- with **explicit** $\Delta(1232)$
 - $\delta = m_\Delta - m_N \sim O(p)$
- **Power-counting breaking** (PCB) terms:
 - because of N, Δ with masses that **do not vanish in the χ limit**
 - **EOMS** (Extended on mass shell) scheme Gegelia & Scherer
 - PCB terms absorbed by low-energy constants (**LEC**)
 - Covariance and analytic properties of loops preserved.

F_A in BChPT

$$A_\alpha^a = \bar{u}(p') \left[\gamma_\alpha \gamma_5 F_A + \frac{q_\alpha}{m_N} \gamma_5 F_P \right] \frac{\tau^a}{2} u(p)$$

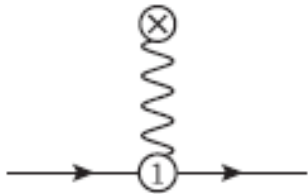
- $F_A(Q^2, M_\pi)$ calculated in **covariant** ChPT up to $O(p^3)$ with **explicit** $\Delta(1232)$
 Yao, LAR, Vicente Vacas, PRD 96 (2017)



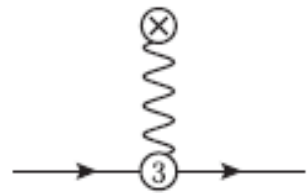
F_A in BChPT

$$A_\alpha^a = \bar{u}(p') \left[\gamma_\alpha \gamma_5 F_A + \frac{q_\alpha}{m_N} \gamma_5 F_P \right] \frac{\tau^a}{2} u(p)$$

- $F_A(Q^2, M_\pi)$ calculated in **covariant** ChPT up to $O(p^3)$ with **explicit $\Delta(1232)$**
Yao, LAR, Vicente Vacas, PRD 96 (2017)



$$\mathcal{L}_{\pi N}^{(1)} \supset \bar{\Psi} \left(\frac{1}{2} g u^\mu \gamma_\mu \gamma_5 \right) \Psi ,$$

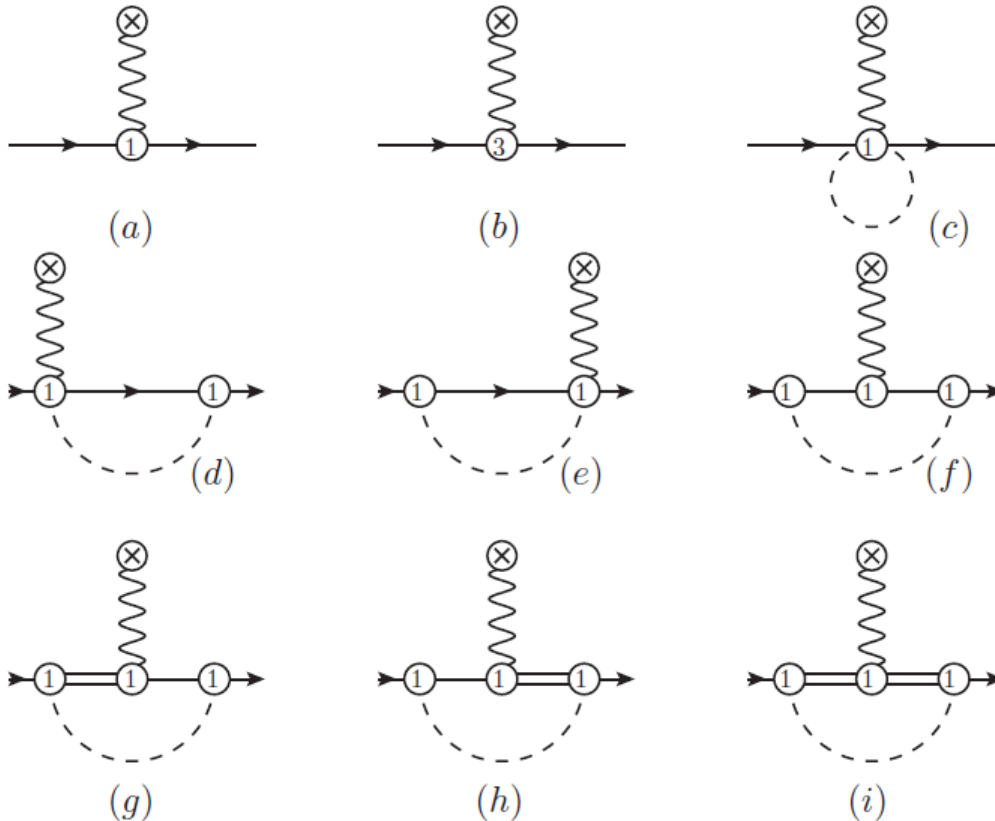


$$\mathcal{L}_{\pi N}^{(3)} \supset \bar{\Psi} \left\{ \frac{d_{16}}{2} \gamma^\mu \gamma_5 \langle \chi_+ \rangle u_\mu + \frac{d_{22}}{2} \gamma^\mu \gamma_5 [D_\nu, F_{\mu\nu}^-] \right\} \Psi ,$$

F_A in BChPT

- $F_A(Q^2, M_\pi)$ calculated in **covariant** ChPT up to $O(p^3)$ with **explicit** $\Delta(1232)$

Yao, LAR, Vicente Vacas, PRD 96 (2017)



$$F_A(Q^2, M_\pi^2) = g + 4d_{16}M_\pi^2 + d_{22}Q^2 + F_A^{(c)} + F_A^{(f)} + 2F_A^{(g)} + F_A^{(i)} + F_A^{(wf)}$$

F_A in BChPT

- $F_A(Q^2, M_\pi)$ calculated in **covariant** ChPT up to $O(p^3)$ with **explicit $\Delta(1232)$**
Yao, LAR, Vicente Vacas, PRD 96 (2017)

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- g, d_{16}, d_{22} are determined from a **fit** to **LQCD data** in **both Q^2 and M_π**
Alexandrou et al., Phys. Rev. D 96 (2017)
Capitani et al., arXiv:1705.06186
Gupta, Phys.Rev. D96 (2017)

- **Fit range:**

- $M_\pi < ???$

- $Q^2 < ???$

- **Explicit** or **implicit $\Delta(1232)$?**

F_A in BChPT

- $F_A(Q^2, M_\pi)$ calculated in **covariant** ChPT up to $O(p^3)$ with **explicit $\Delta(1232)$**
Yao, LAR, Vicente Vacas, PRD 96 (2017)

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Alexandrou et al., Phys. Rev. D 96 (2017)
Capitani et al., arXiv:1705.06186
Gupta, Phys.Rev. D96 (2017)

- **Fit range:**

- $M_\pi < 400$ MeV
 - reasonable
 - **LQCD ensembles** with $M_\pi > 400$ MeV **increase χ^2**
- $Q^2 < ???$

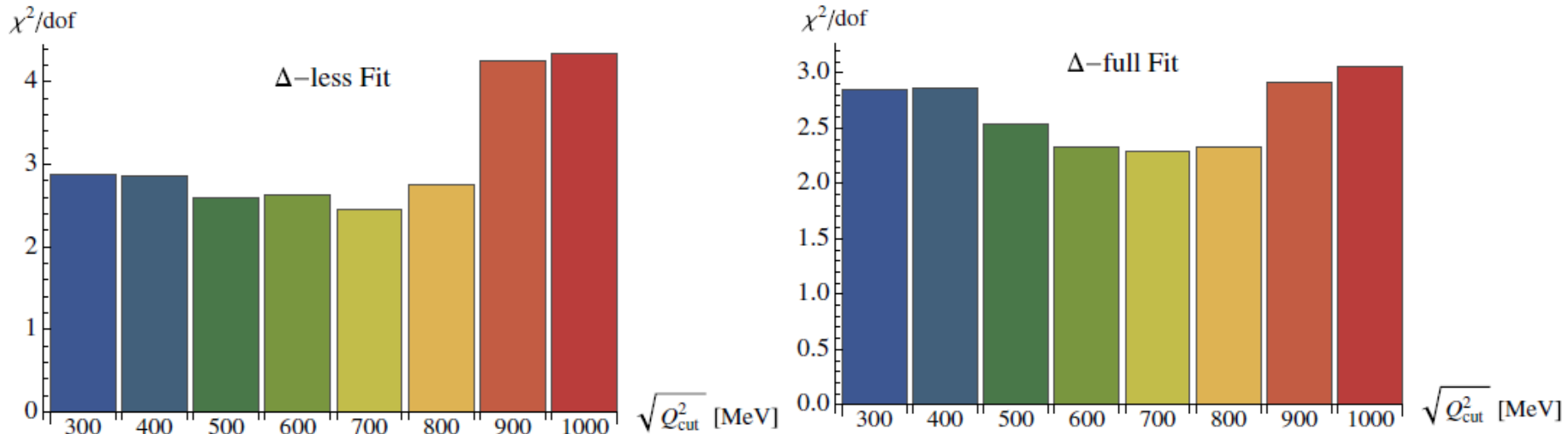
- **Explicit** or **implicit $\Delta(1232)$?**

F_A in BChPT

- $F_A(Q^2, M_\pi)$ calculated in **covariant** ChPT up to $O(p^3)$ with **explicit $\Delta(1232)$**
Yao, LAR, Vicente Vacas, PRD 96 (2017)

$$F_A(Q^2, M_\pi^2) = g + 4d_{16}M_\pi^2 + d_{22}Q^2 + F_A^{(c)} + F_A^{(f)} + 2F_A^{(g)} + F_A^{(i)} + F_A^{(wf)}$$

- g, d_{16}, d_{22} are determined from a **fit** to **LQCD data** both in Q^2 and M_π



- $Q^2 < 0.25$ (0.36) GeV^2

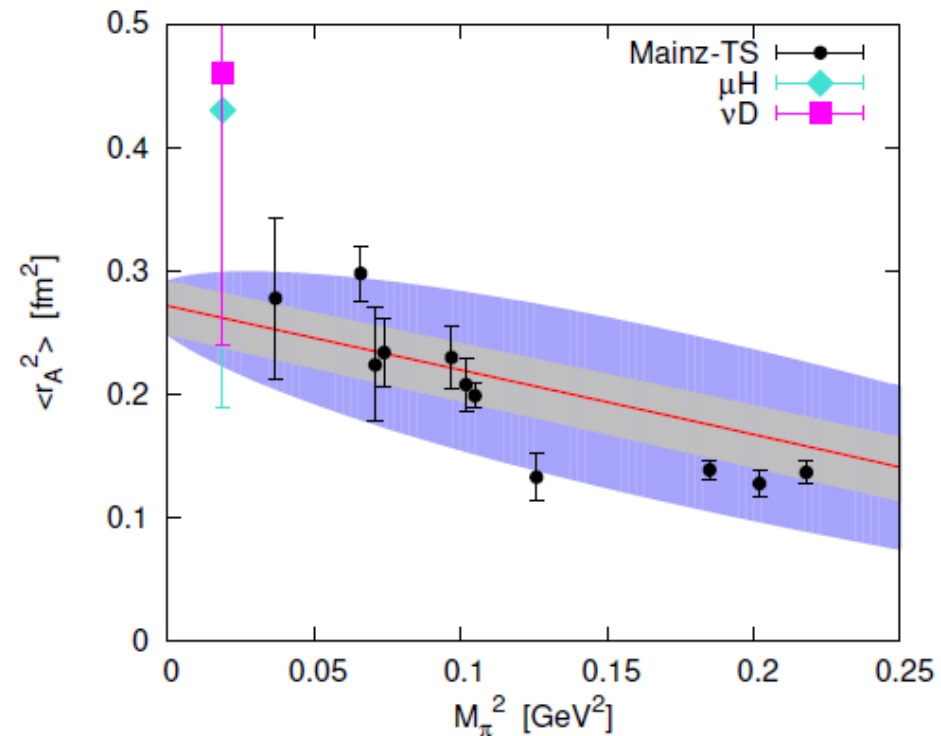
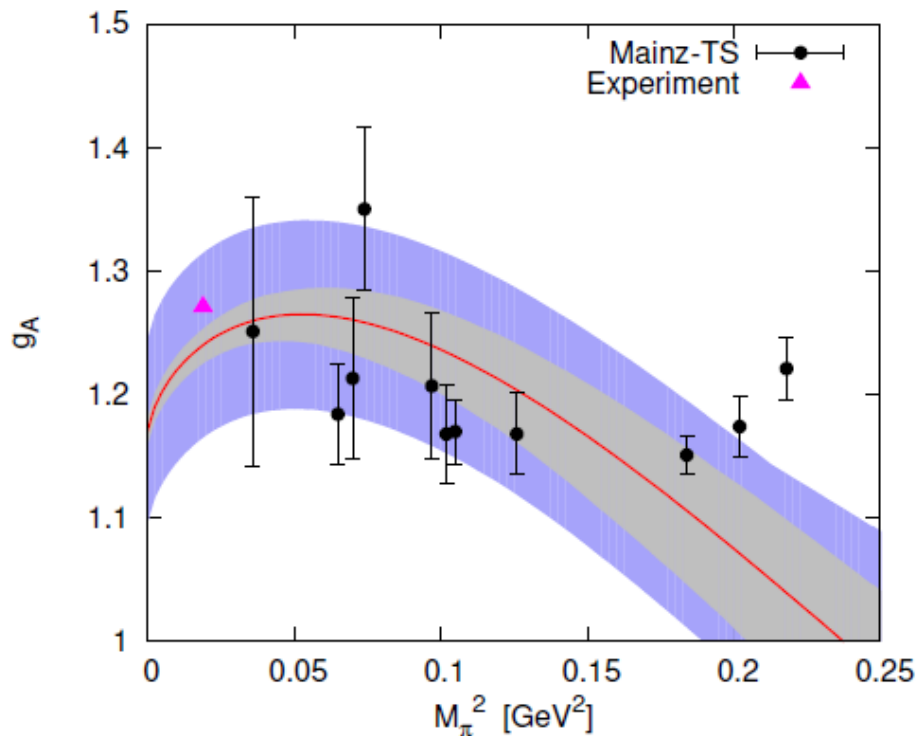
- **Explicit $\Delta(1232)$**

- better χ^2/dof

F_A & LQCD

- $F_A(Q^2, M_\pi)$ calculated in **covariant** ChPT up to $O(p^3)$ with **explicit** $\Delta(1232)$

Yao, LAR, Vicente Vacas, PRD 96 (2017)

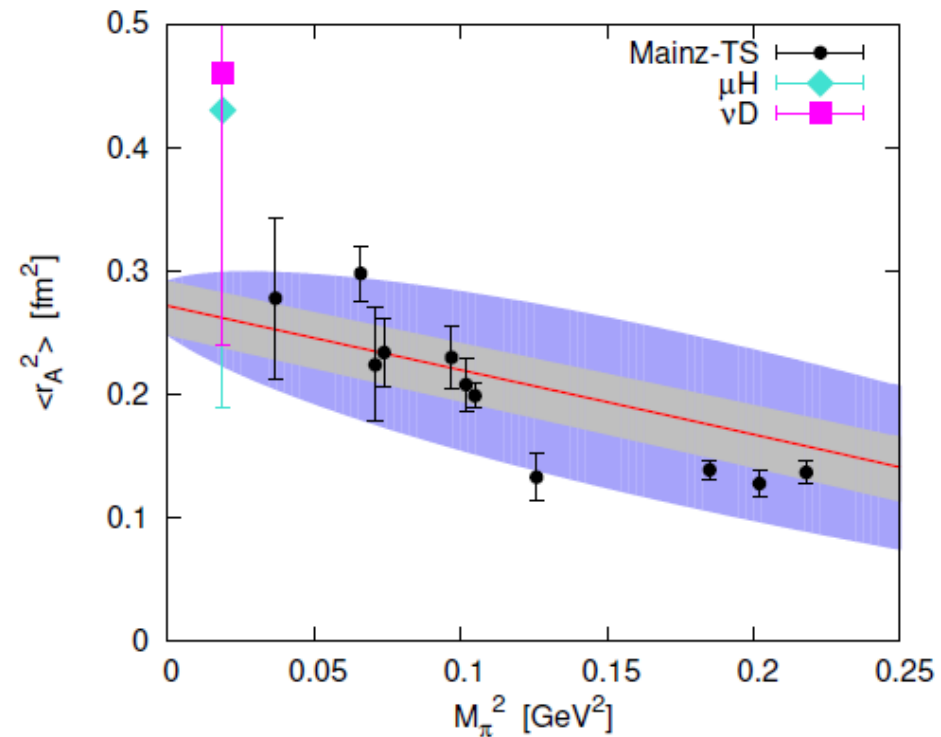
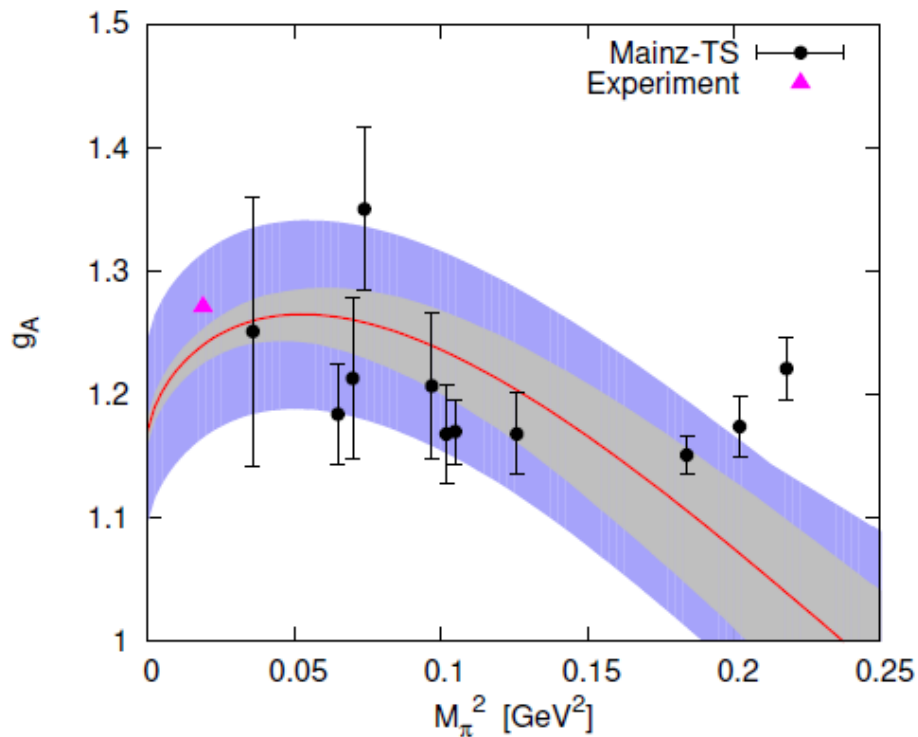


- At the **physical point**: $g_A = 1.237(74)$, $\langle r_A^2 \rangle = 0.263(38) \text{ fm}^2$

- Mainz-TS points: **z-expansion** results from **Capitani et al.**, [arXiv:1705.06186](https://arxiv.org/abs/1705.06186), **not used in the fit**.

F_A & LQCD

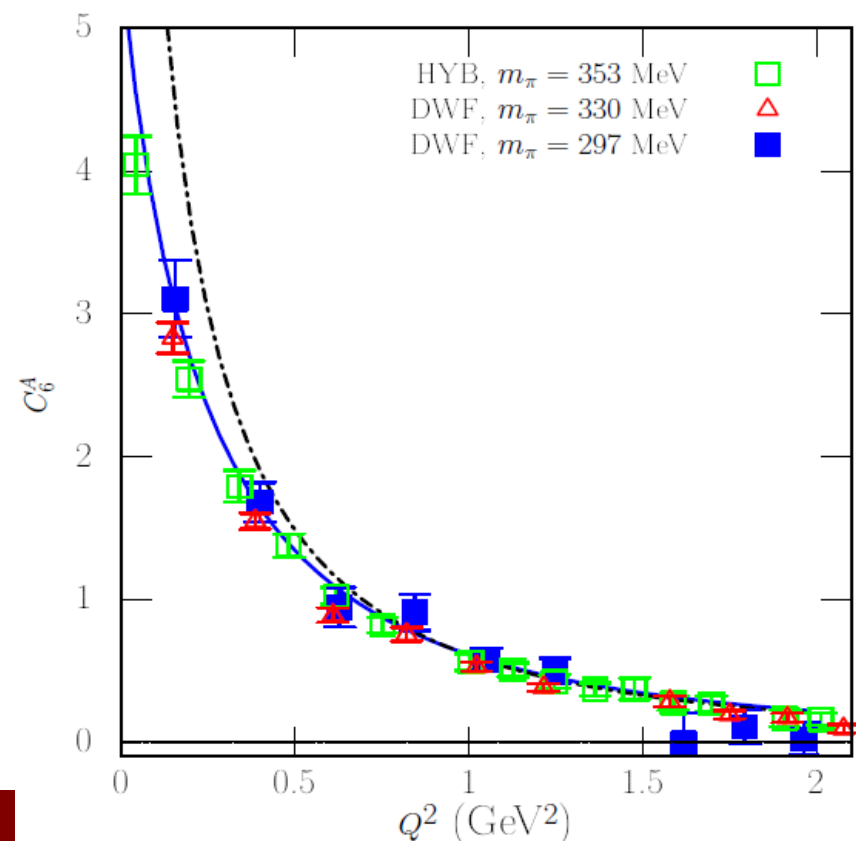
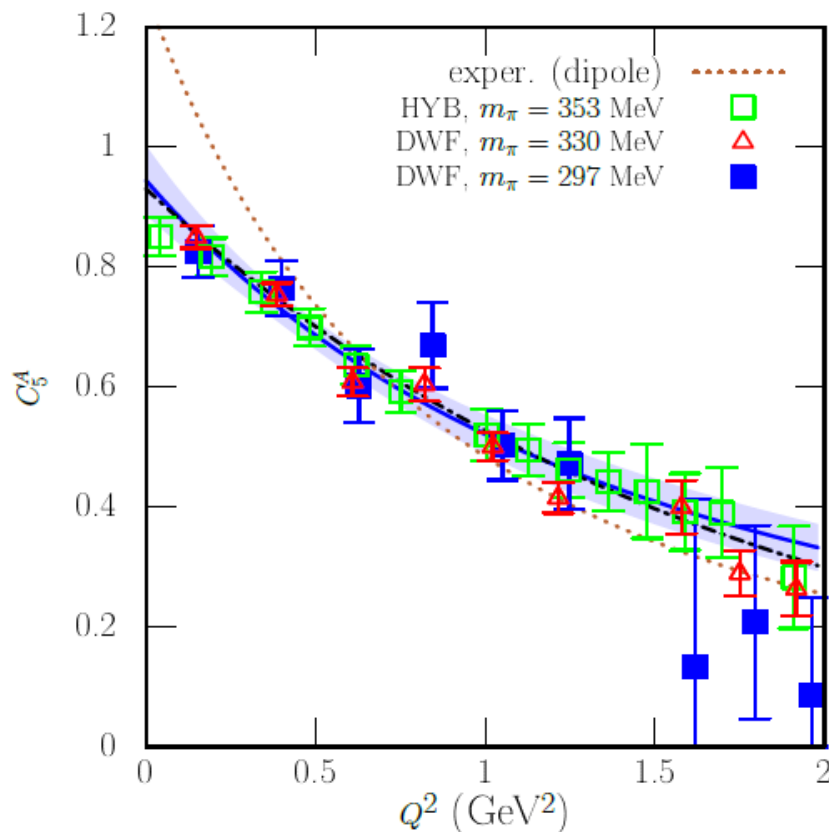
- $F_A(Q^2, M_\pi)$ calculated in **covariant** ChPT up to $O(p^3)$ with **explicit** $\Delta(1232)$
Yao, LAR, Vicente Vacas, PRD 96 (2017)



- At the **physical point**: $g_A = 1.237(74)$, $\langle r_A^2 \rangle = 0.263(38)$ fm²
- $O(p^5)$ might be needed to improve the M_π dependence of $\langle r_A^2 \rangle$

Axial N-Resonance transitions

- Goldberger-Treiman relations (PCAC) can be derived for leading couplings
- No information about Q^2 dependence
- Calculations assume dipole shapes with $M_A = 1$ GeV
- Few LQCD results
 - N- Δ axial form factors: Alexandrou et al., PRD83 (2011)

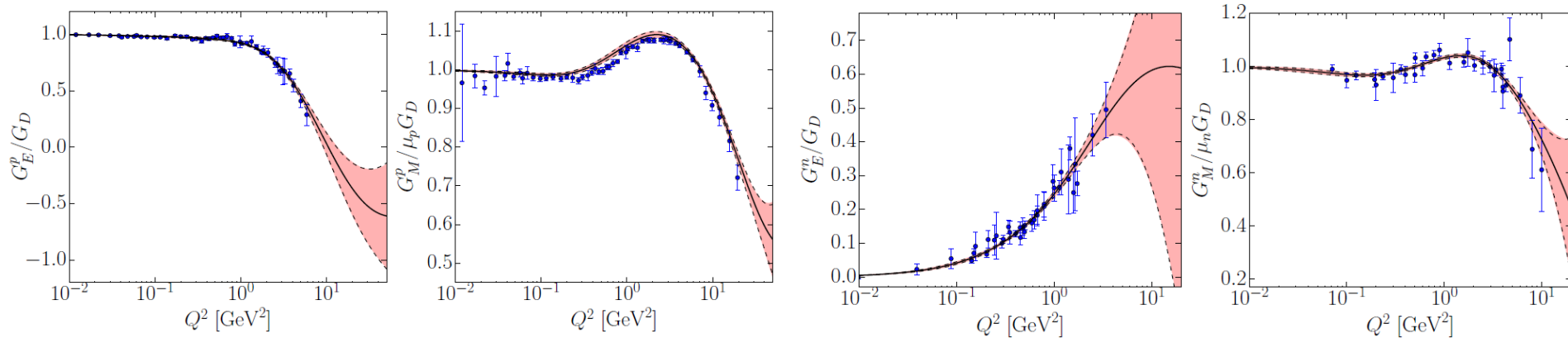


Conclusions

- Good **understanding** and realistic **modeling** of **neutrino interactions** with **matter** are **crucial** for current and future **oscillation experiments**
- **Neutrino-nucleon** cross sections are **insufficiently constrained**: direct or indirect **measurements** are required
- Complementary information from **LQCD** on **nucleon** axial form factors is also valuable

Dipole nucleon form factors?

EM form factors from (e,e') scattering

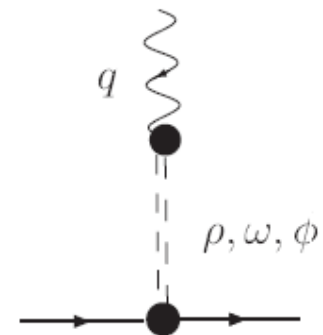


Ye et al., arXiv:1707.09063

Dipole behavior for $Q^2 \lesssim 1 \text{ GeV}^2$

- Exponential charge distributions (in the static limit)
- In the **VMD** picture, a dipole might arise from two mesons with similar masses and opposite couplings

$$G_D = \left(1 + \frac{Q^2}{0.71 \text{ GeV}^2} \right)^{-2}$$



F_A in BChPT

- Example: nucleon mass in SU(2)

$$O(p) \quad \mathcal{L}_1 = -\bar{\psi} M_0 \psi + \dots \quad M = M_0$$

$$O(p^2) \quad \mathcal{L}_2 = 4c_1 m_\pi^2 \bar{\psi} \psi + \dots \quad M = M_0 - 4c_1 m_\pi^2$$

$$O(p^3) \quad \text{Loops} \quad M = M_0 - 4c_1 m_\pi^2 + \frac{1}{16\pi^2} \left(\frac{g_A}{f_\pi} \right)^2 m_\pi^2 M_0 + \dots$$

$O(p^2)$!

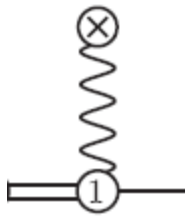


$$\text{EOMS:} \quad c_1 \rightarrow c_1 + \frac{1}{64\pi^2} \left(\frac{g_A}{f_\pi} \right)^2 M_0$$

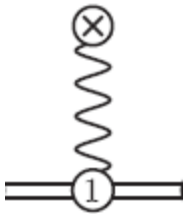
F_A in BChPT

$$A_\alpha^a = \bar{u}(p') \left[\gamma_\alpha \gamma_5 F_A + \frac{q_\alpha}{m_N} \gamma_5 F_P \right] \frac{\tau^a}{2} u(p)$$

- $F_A(Q^2, M_\pi)$ calculated in **covariant** ChPT up to $O(p^3)$ with **explicit** $\Delta(1232)$
Yao, LAR, Vicente Vacas, PRD 96 (2017)



$$\mathcal{L}_{\pi N \Delta}^{(1)} = h_A \bar{\Psi}_\mu^i \xi_{ij}^{\frac{3}{2}} \omega^{\mu,j} \Psi + h.c.$$



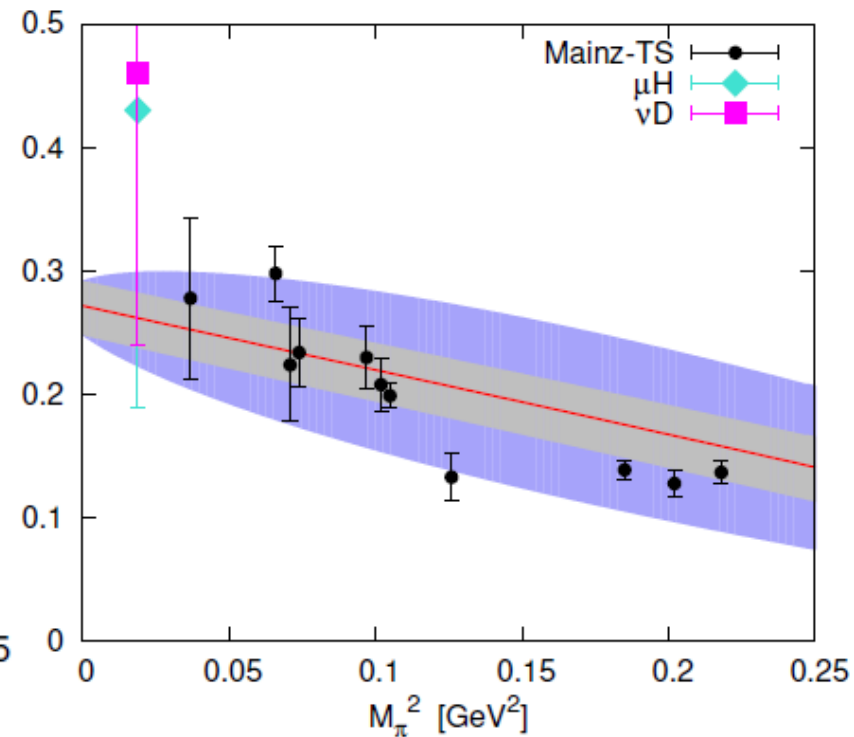
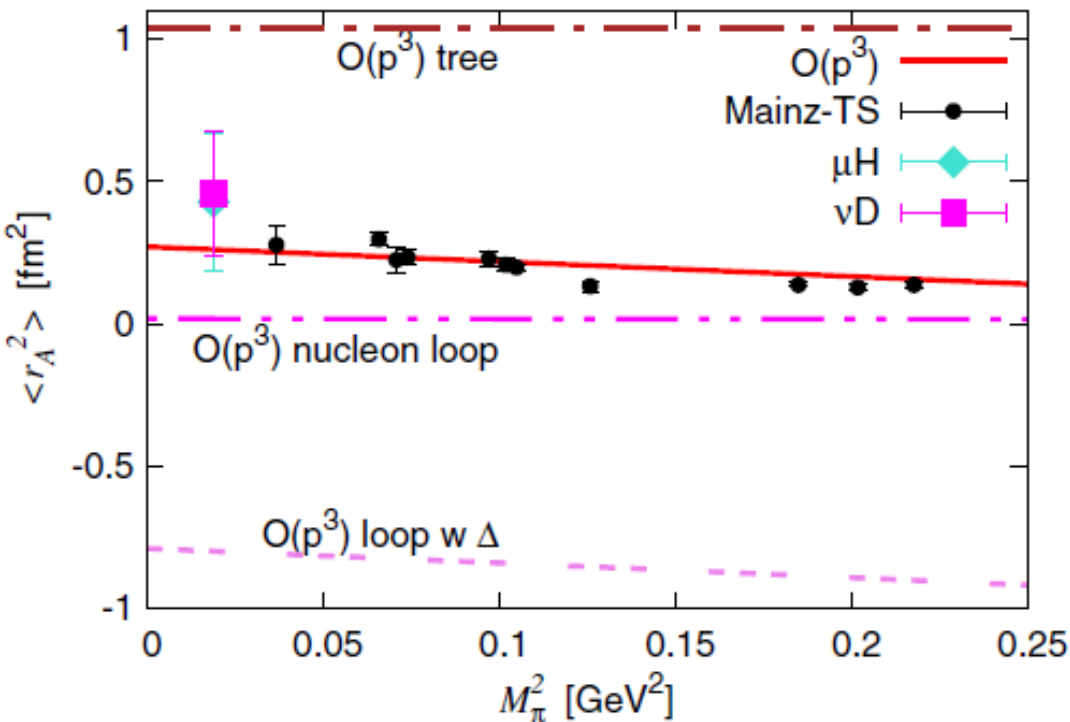
$$\mathcal{L}_{\pi \Delta}^{(1)} \supset -\bar{\Psi}_\mu^i \xi_{ij}^{\frac{3}{2}} \left\{ \frac{g_1}{2} \psi^{jk} \gamma_5 g^{\mu\nu} \right\} \xi_{kl}^{\frac{3}{2}} \Psi_\nu^l$$

- h_A , g_1 fixed in πN scattering Yao et al., JHEP 05 (2016)

F_A & LQCD

- $F_A(Q^2, M_\pi)$ calculated in **covariant** ChPT up to $O(p^3)$ with **explicit $\Delta(1232)$**

Yao, LAR, Vicente Vacas, PRD 96 (2017)



- At the **physical point**: $g_A = 1.237(74)$, $\langle r_A^2 \rangle = 0.263(38)$ fm²
- Loops with $\Delta(1232)$ significantly improve $\langle r_A^2 \rangle$
- $O(p^5)$ might be needed to improve the M_π dependence of $\langle r_A^2 \rangle$