

# ML based RICH reconstruction

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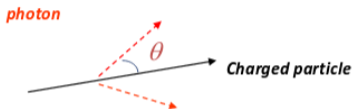


## The RICH reconstruction

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# Problem formulation

## Cherenkov radiation principle



$$\cos(\theta) = 1 / (n \beta) \quad \text{where } n = \text{Refractive Index} = c/c_M = n(E_{ph})$$

$$\beta = v/c = p/E = p / (p^2 + m^2)^{0.5} = 1 / (1 + (m/p)^2)^{0.5}$$

$\beta$  = velocity of the charged particle in units of speed of light (c) vacuum

$p, E, m$  = momentum, Energy, mass of the charged particle.

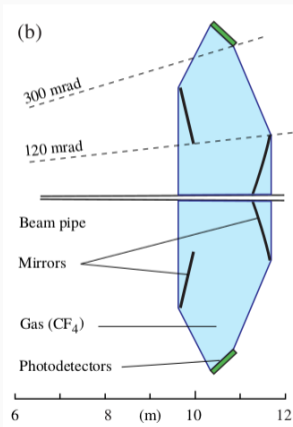
$c_M$  = Speed of light in the Medium (Phase velocity),

$E_{ph}$  = Photon Energy,  $\lambda$  = Photon Wavelength.

➤ Theory of Cherenkov Radiation: Classical Electrodynamics by J.D.Jackson ( Section 13.5 )

## RICH detectors in LHCb

In LHCb, we have two RICH detectors. Below is a schematic XZ view of RICH1:



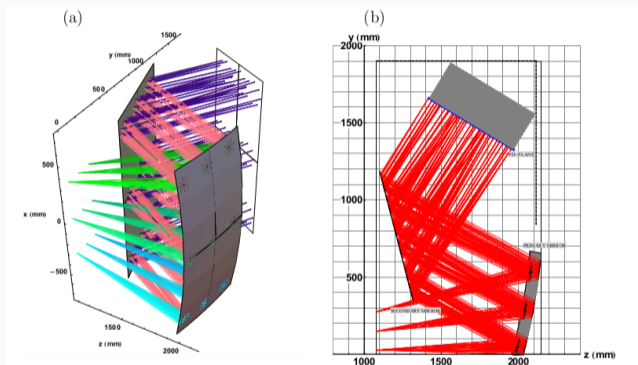


Figure 2.21: Simulated Cherenkov photons in the upper half of the upgraded RICH 1; (a) 3D view, (b) 2D view in the vertical plane.

## Analytical solution

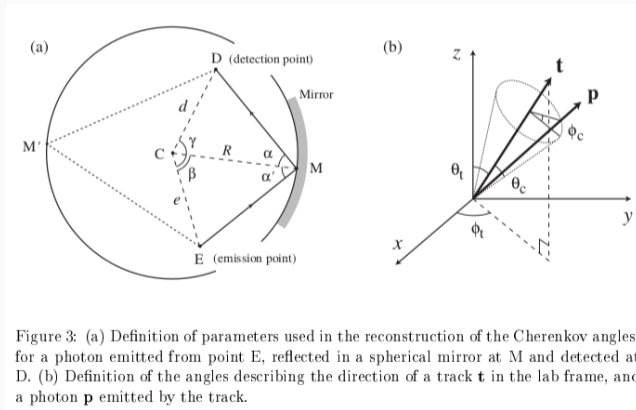
The analytical solution consists in *creating photons*, ie. associations of detected pixels in the HPDs / MaPMTs with their originating track segments through the Rich detector.

Once this association is found, a likelihood minimisation algorithm is run in order to find the most likely candidate for each particle.

- Photon creation, heavily involving ray tracing
- Likelihood minimisation

# Analytical solution - Ray tracing

The creation of the photons involves a ray tracing algorithm from each segment to the candidate pixels. In turn, this means solving the quartic equation:



## Analytical solution - Ray tracing (2)

In turn, this means solving the quartic equation:

$$4e^2 d^2 \sin^4 \beta - 4e^2 d_y R \sin^3 \beta + (d_y^2 R^2 + (e + d_x)^2 R^2 - 4e^2 d^2) \sin^2 \beta + 2ed_y(e - d_x)R \sin \beta + (e^2 - R^2)d_y^2 = 0. \quad (3)$$

This can be solved, using for example a routine in the CERN library [6], and gives four solutions for  $\sin \beta$ , two complex and two real. Of the real solutions one is the “backward” reflection (that would exist if the mirror were a complete sphere, shown as  $M'$  in Fig. 3); the other is the desired solution, and can be selected from knowledge of the RICH detector geometry. The value of  $\cos \beta$  can then be extracted using Eq. 2, and the coordinates of the reflection point M determined.



## Analytical solution - Likelihood minimisation

Afterwards, each particle segment could be associated with one of six possibilities (electron, pion, muon, kaon, proton, deuteron). A brute-force search would imply solving the intractable problem of testing  $6^n$  possibilities!

Instead, a local search is done by sequentially finding the best local possibility for each particle. This reduces the search to only testing  $6n$  possibilities, at the cost of possibly falling into a local minimum.

**Rico**

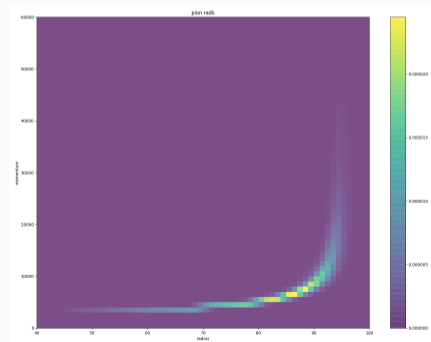
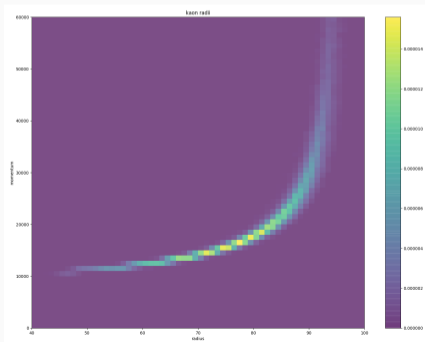
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## Overview of Rico

Rico is a small set of algorithms to attempt Machine Learning techniques for RICH reconstruction.

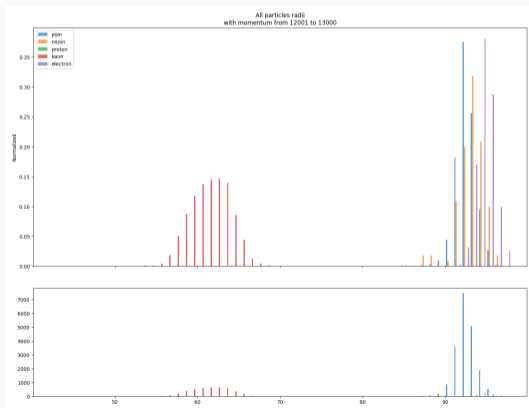
# Motivation

It is possible to detect the PID based on the momentum of the track (Y axis - known a priori from the tracking detectors reconstruction) and the radius of the detected circle / ellipsoid in the detectors (X axis):



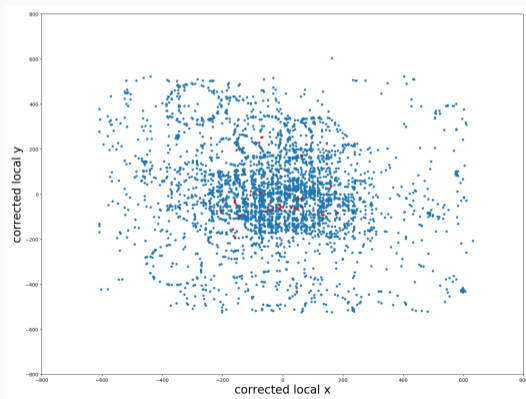
## Motivation (2)

We can divide the input tracks into momenta ranges, and determine the correlation between radius and PID for that range:



## Motivation (3)

This is a view of an event in RICH1. Red dots represent the extrapolated centroid for each reconstructed long track:



## Motivation (4)

If we observe the surrounding area of a single centroid and convert it to polars, we end up with the figures below:



Figure 1: Left: Pion. Right: Electron.

## A CNN problem

We have effectively transformed the RICH reconstruction into a *classification* problem. The input is a binary image, the output is a classification of the particle into one of six possibilities.

Now, we can define various Convolutional Neural Networks in order to solve the problem.



## Exploring possibilities

Some CNNs are more amenable to solving the problem at hand. In fact, the problem looks very similar to the classical MNIST problem. One such CNN is:

Layer (type)	Output Shape	Param #
conv2d_28 (Conv2D)	(None, 30, 30, 32)	320
conv2d_29 (Conv2D)	(None, 28, 28, 64)	18496
max_pooling2d_13 (MaxPooling)	(None, 14, 14, 64)	0
dropout_23 (Dropout)	(None, 14, 14, 64)	0
flatten_13 (Flatten)	(None, 12544)	0
dense_24 (Dense)	(None, 128)	1605760
dropout_24 (Dropout)	(None, 128)	0
dense_25 (Dense)	(None, 6)	774

=====  
Total params: 1,625,350  
Trainable params: 1,625,350

# Ongoing research

```
Particle type # particles Accuracy Loss
```

```
-----  
electron 76 0.013158 5.977299  
kaon 540 0.557407 1.147596  
muon 12 0.000000 12.524119  
pion 2058 0.965015 0.140477  
proton 299 0.304348 2.457402
```

```
Predictions (%tot) | electron kaon muon pion proton | Purity (%)
```

```
-----+-----+-----  
electron | 0.034 0.034 0.000 2.412 0.067 | 1.316  
kaon | 0.000 10.084 0.000 5.829 2.178 | 55.741  
muon | 0.000 0.000 0.000 0.369 0.034 | 0.000  
pion | 0.034 1.374 0.000 66.533 1.005 | 96.501  
proton | 0.000 2.647 0.000 4.322 3.049 | 30.435
```

```
-----+-----+-----  
Efficiency (%) | 50.000 71.327 0.000 83.727 48.148 |
```

```
-----+-----+-----  
ID eff (%) | K->K,Pr,D : 90.047 pi->e,m,pi : 87.226
```

```
MisID eff (%) | K->e,m,pi : 9.953 pi->K,Pr,D : 12.774
```

## Implementation back in the LHCb framework

I am working on an inference done in C++ back in the framework based on preexisting models.

Hardware optimized for inference is now appearing and is becoming more and more like a viable option. These could also be tested and evaluated: ie. GPUs, Intel Nervana, FPGA, etc.

The ML *hype* doesn't seem to be going anytime soon, so it is conceivable that these technologies will make it into more affordable solutions, ie. gaming GPUs.

I would very much encourage anybody interested in doing research on CNNs to look out for preexisting technologies:

- Keras
- Caffe
- Tensorflow
- Theano

Nvidia GPU-based ML is very accessible and many preexisting models exist in literature.

Ari ga tou!

