

# Quantum structure of the minimal calculable unified model

*to appear\**

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PLANCK 2016



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Can we improve the theoretical predictions for the  
*proton lifetime?*

# Introduction

## Problems of SM :

neutrino oscillations, DM, charge quantization, hierarchy problem, . . .



**BSM**

## GUTs :

*experimental signature* → **proton decay**

enormous *uncertainties* in  $\tau_p$  estimates !!!

# Motivation

## Experiment :

Future proton decay searches (DUNE, Hyper-K, ...)



$\mathcal{O}(10)$  increase in  $\tau_p(p^+ \rightarrow \pi^0 e^+, K^+ \bar{\nu})$  sensitivity

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## Theory :

matching experiment: first ever *NLO* calculation of  $\tau_p$



requires reducing some of the large theoretical uncertainties

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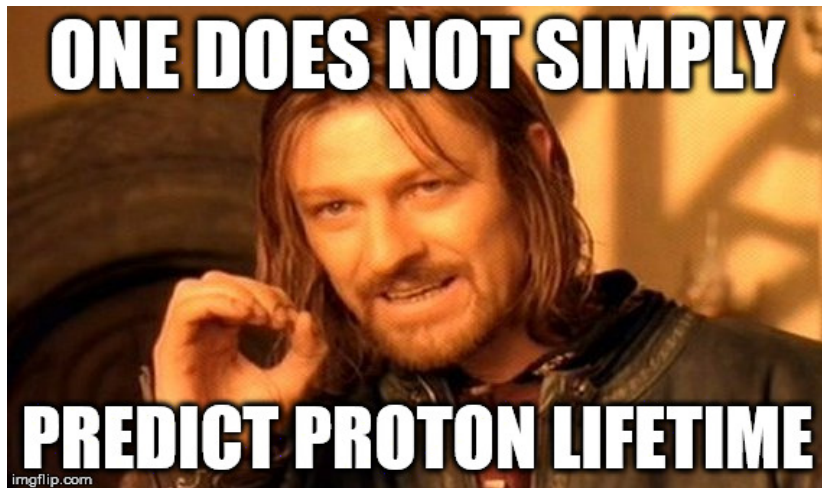


requires reducing some of the large theoretical uncertainties

# Outline

- 1 Theoretical uncertainties
- 2 The minimal model
- 3 Conclusions

## Theoretical uncertainties



# Theoretical uncertainties

Main sources of *uncertainties* in  $\tau_p$  estimates in *renormalizable non-SUSY GUTs*:

- **hadronic matrix elements** :

$$\langle \pi^{+,0}, K^{+,0}, \eta | \dots | p^+ \rangle$$

$$\text{lattice}/\chi PT \longrightarrow \Delta \sim 20\text{--}40\%$$



# Theoretical uncertainties

Main sources of *uncertainties* in  $\tau_p$  estimates in *renormalizable non-SUSY GUTs*:

- **flavour structure of the BLV currents** : ▷▷▷ talk by Helena Kolešová

- \* *Planck scale effects* :

$$\left. \begin{array}{l} \text{scalar kinetic form } \frac{\langle \Phi \rangle}{M_P} (D_\mu \phi)^\dagger (D^\mu \phi) \\ \text{fermionic kinetic form } \frac{\langle \Phi \rangle}{M_P} \bar{\psi} i \not{D} \psi \\ \text{higher-order Yukawas } \frac{\langle \Phi \rangle}{M_P} Y' \psi \psi \phi \\ \dots \end{array} \right\} \longrightarrow \text{shifts in } d = 4 \text{ matching}$$

- \* Yukawa sector fits inaccurate to  $\frac{\langle \Phi \rangle}{M_P} \sim 1\%$  due to shifted matching  
 → potentially large changes in the *mixing angles* and *BLV currents* !
- \* partial widths  $\Gamma(p^+ \rightarrow \text{final state})$  depend on *rotation matrices*

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- 
- \* *mass matrices robust to perturbations* in some channels

e.g.  $\Gamma(p^+ \rightarrow K^+ \bar{\nu}, \pi^+ \bar{\nu})$  for symmetric quark-sector mass matrices  
 → governed by *mediator masses*

# Theoretical uncertainties

Main sources of *uncertainties* in  $\tau_p$  estimates in *renormalizable non-SUSY GUTs*:

- **unification scale determination** :

$$M_{GUT} \sim \text{effective mediator mass} \quad \longrightarrow \quad \tau_p \propto \alpha_{GUT}^{-1} \frac{M_{GUT}^4}{m_p^5}$$

(in non-SUSY predominantly *heavy gauge bosons*:

$$(3, 2, -\frac{5}{6})_{45} \quad \text{or} \quad (3, 2, +\frac{1}{6})_{45})$$

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## gauge coupling unification @ NLO:

- \* running of  $\alpha_i$  @ **2-loop** ( $\Delta\alpha_i^{exp}(m_Z)$ )
- \* *threshold effects* @ **1-loop** (scalar spectrum @ tree level/1-loop)
- \* *Planck scale effects* :  $d = 5$  gauge kinetic form operators

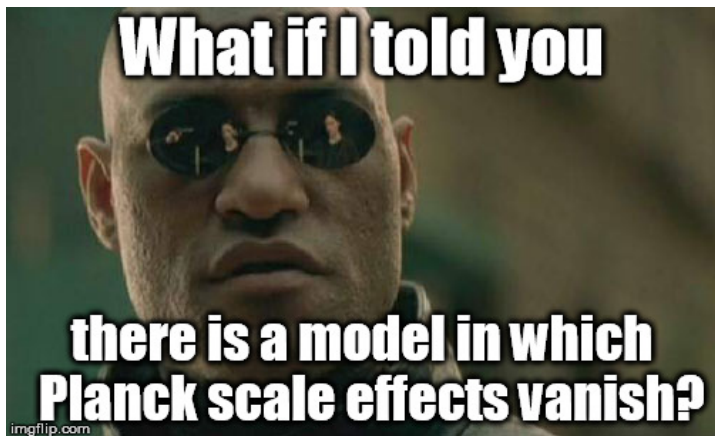
$$\mathcal{L} \ni \frac{\kappa}{M_P} F^{\mu\nu} \langle \Phi \rangle F_{\mu\nu}$$

→ uncontrolled & inhomogeneous *shifts in matching*

$$\Delta M_{GUT} \sim \mathcal{O}(10^3)$$

→ tame them **or** no sense in NLO

# The minimal renormalizable non-SUSY $SO(10)$ model



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SO(10) GUT spontaneously broken by 45 :

leading Planck-scale effects in gauge matching *absent* (group theory argument)

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Higgs sector :

- SO(10) broken by  $\omega_b \equiv (15, 1, 1)_{\text{PS}}, \omega_r \equiv (1, 3, 1)_{\text{PS}} \subset \langle 45_{ij} \rangle$   
 $10 \xrightarrow{\omega_b} 3_C 2_L 2_R 1_{B-L} \xrightarrow{\omega_r} 3_C 2_L 1_R 1_{B-L}$   
 or  
 $10 \xrightarrow{\omega_r} 4_C 2_L 1_R \xrightarrow{\omega_b} 3_C 2_L 1_R 1_{B-L}$
- rank reduced by  $\sigma \equiv (\bar{10}, 3, 1)_{\text{PS}} \subset \langle 126_{ijklm} \rangle = \text{seesaw scale} \ll M_{\text{GUT}}$   
 $3_C 2_L 1_R 1_{B-L} \xrightarrow{\sigma} 3_C 2_L 1_Y$

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- 

Yukawa sector :  $\langle 10_i \rangle \oplus \langle 126_{ijklm} \rangle \longrightarrow$  symmetric mass matrices



Is the *minimal renormalizable non-SUSY SO(10)*  
really ruled out?

# Quantum salvation

@ tree level :

either **tachyonic** spectrum or **SU(5)** vacuum

*Yasue '81*

*Anastaze et al. '83*

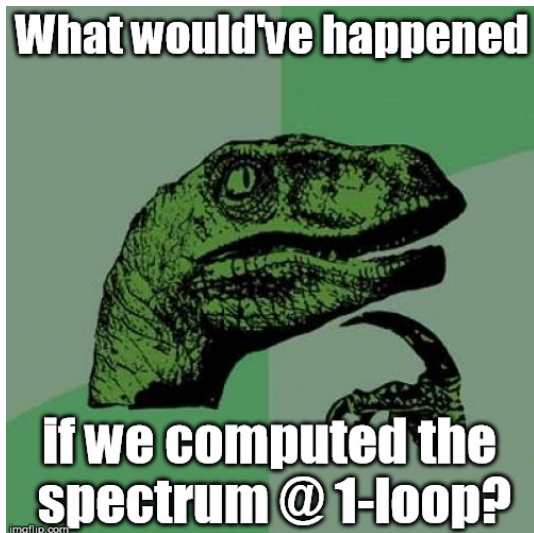
*Babu, Ma '85*

$$\left. \begin{aligned} m_{(8,1,0)_{45}}^2 &= -2 a_2 (\omega_b - \omega_r)(2\omega_b + \omega_r) \\ m_{(1,3,0)_{45}}^2 &= +2 a_2 (\omega_b - \omega_r)(\omega_b + 2\omega_r) \end{aligned} \right\} \rightarrow \frac{1}{2} < \left| \frac{\omega_b}{\omega_r} \right| < 2$$

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## @ 1-loop\* :

large corrections  $\longrightarrow$  *quantum salvation* *Bertolini, Di Luzio, Malinsky '10*

$$\Delta m_{(8,1,0)_{45}}^2 = \frac{1}{(4\pi^2)} \left[ \tau^2 + \beta^2(\omega_r^2 - \omega_r\omega_b + 3\omega_b^2) + g^4(13\omega_r^2 + \omega_r\omega_b + 22\omega_b^2) \right] + \text{logs}$$

$$\Delta m_{(1,3,0)_{45}}^2 = \frac{1}{(4\pi^2)} \left[ \tau^2 + \beta^2(2\omega_r^2 - \omega_r\omega_b + 2\omega_b^2) + g^4(16\omega_r^2 + \omega_r\omega_b + 19\omega_b^2) \right] + \text{logs}$$

\* in the **45  $\oplus$  16** model

How do we compute the spectrum @ 1-loop?

# Coleman-Weinberg effective 1-loop potential

Expansion of the effective potential and VEVs in number of loops ( $\hbar$ )

$$V = \sum_{i=0}^{\infty} V_i \hbar^i$$

$$\vec{v} = \sum_{i=0}^{\infty} \vec{v}_i \hbar^i$$

▸  $V_0$  = tree-level scalar potential

**1-loop Coleman-Weinberg potential in the  $\overline{\text{MS}}$  scheme**

$$V_1(\phi, \mu) = \frac{1}{64\pi^2} \text{Tr} \left[ \mathbf{M}_S^4(\phi) \left( \log \frac{\mathbf{M}_S^2(\phi)}{\mu_r^2} - \frac{3}{2} \right) + 3\mathbf{M}_G^4(\phi) \left( \log \frac{\mathbf{M}_G^2(\phi)}{\mu_r^2} - \frac{5}{6} \right) \right]$$

# Vacuum state

**Stationary conditions for the scalar potential**  $\longrightarrow$  **VACUUM STATE**

$$\begin{aligned}
 0 &= \partial_a V_0(\vec{v}_0) + \hbar \left( \vec{v}_1 \cdot \vec{\partial} \partial_a V_0(\vec{v}_0) + \partial_a V_1(\vec{v}_0) \right) + \mathcal{O}(\hbar^2) \\
 &= \partial_a V_0(\vec{v}) + \hbar \partial_a V_1(\vec{v}_0) + \mathcal{O}(\hbar^2)
 \end{aligned}$$

**Derivative of the Coleman-Weinberg potential**

$$\begin{aligned}
 \partial_a V_1 &= -\frac{1}{32\pi^2} \text{Tr} \left[ \mathbf{M}_S^2 \partial_a \mathbf{M}_S^2 + \mathbf{M}_G^2 \partial_a \mathbf{M}_G^2 \right] \\
 &+ \frac{1}{64\pi^2} \text{Tr} \left[ \left\{ \mathbf{M}_S^2, \partial_a \mathbf{M}_S^2 \right\} \log \frac{\mathbf{M}_S^2}{\mu^2} + 3 \left\{ \mathbf{M}_G^2, \partial_a \mathbf{M}_G^2 \right\} \log \frac{\mathbf{M}_G^2}{\mu^2} \right]
 \end{aligned}$$



# Computation of masses

## Scalar masses (in the vacuum state)

$$\begin{aligned}
 m_{ab}^2 &= \partial_a \partial_b V_0(\vec{v}_0) + \hbar \left( \vec{v}_1 \cdot \vec{\partial} \partial_a \partial_b V_0(\vec{v}_0) + \partial_a \partial_b V_1(\vec{v}_0) \right) + \mathcal{O}(\hbar^2) \\
 &= \partial_a \partial_b V_0(\vec{v}) + \hbar \partial_a \partial_b V_1(\vec{v}_0) + \mathcal{O}(\hbar^2)
 \end{aligned}$$

## Double derivative of the Coleman-Weinberg potential

$$\begin{aligned}
 \partial_a \partial_b V_1 &= -\frac{1}{32\pi^2} \text{Tr} \left[ \partial_a \mathbf{M}_S^2 \partial_b \mathbf{M}_S^2 + \mathbf{M}_S^2 \partial_a \partial_b \mathbf{M}_S^2 + \partial_a \mathbf{M}_G^2 \partial_b \mathbf{M}_G^2 + \mathbf{M}_G^2 \partial_a \partial_b \mathbf{M}_G^2 \right] \\
 &+ \frac{1}{64\pi^2} \text{Tr} \left[ \left( \{ \partial_a \mathbf{M}_S^2, \partial_b \mathbf{M}_S^2 \} + \{ \mathbf{M}_S^2, \partial_a \partial_b \mathbf{M}_S^2 \} \right) \log \frac{\mathbf{M}_S^2}{\mu^2} + \mathbf{S}_{ab} \right] \\
 &+ \frac{3}{64\pi^2} \text{Tr} \left[ \left( \{ \partial_a \mathbf{M}_G^2, \partial_b \mathbf{M}_G^2 \} + \{ \mathbf{M}_G^2, \partial_a \partial_b \mathbf{M}_G^2 \} \right) \log \frac{\mathbf{M}_G^2}{\mu^2} + \mathbf{G}_{ab} \right]
 \end{aligned}$$

# Computation of masses

## Double derivative of the Coleman-Weinberg potential

$$\begin{aligned} \partial_a \partial_b V_1 = & -\frac{1}{32\pi^2} \text{Tr} \left[ \partial_a \mathbf{M}_S^2 \partial_b \mathbf{M}_S^2 + \mathbf{M}_S^2 \partial_a \partial_b \mathbf{M}_S^2 + \partial_a \mathbf{M}_G^2 \partial_b \mathbf{M}_G^2 + \mathbf{M}_G^2 \partial_a \partial_b \mathbf{M}_G^2 \right] \\ & + \frac{1}{64\pi^2} \text{Tr} \left[ \left( \{ \partial_a \mathbf{M}_S^2, \partial_b \mathbf{M}_S^2 \} + \{ \mathbf{M}_S^2, \partial_a \partial_b \mathbf{M}_S^2 \} \right) \log \frac{\mathbf{M}_S^2}{\mu^2} + \mathbf{S}_{ab} \right] \\ & + \frac{3}{64\pi^2} \text{Tr} \left[ \left( \{ \partial_a \mathbf{M}_G^2, \partial_b \mathbf{M}_G^2 \} + \{ \mathbf{M}_G^2, \partial_a \partial_b \mathbf{M}_G^2 \} \right) \log \frac{\mathbf{M}_G^2}{\mu^2} + \mathbf{G}_{ab} \right] \end{aligned}$$

$\mathbf{S}_{ab}$  and  $\mathbf{G}_{ab}$  are *infinite series* of *nested commutators* due to  $[\mathbf{M}^2, \partial \mathbf{M}^2] \neq 0$ :

$$\mathbf{S}_{ab} = \Upsilon \left( \frac{\mathbf{M}_S^2}{\mu^2}, \partial_a \mathbf{M}_S^2, \partial_b \mathbf{M}_S^2 \right), \quad \mathbf{G}_{ab} = \Upsilon \left( \frac{\mathbf{M}_G^2}{\mu^2}, \partial_a \mathbf{M}_G^2, \partial_b \mathbf{M}_G^2 \right),$$

$$\Upsilon(\mathbf{A}, \mathbf{A}_a, \mathbf{A}_b) := \sum_{m=1}^{\infty} (-1)^{m+1} \frac{1}{m} \sum_{k=1}^m \binom{m}{k} \{ \mathbf{A}, \mathbf{A}_a \} \underbrace{[\mathbf{A}, \dots [\mathbf{A}, \mathbf{A}_b] \dots]}_{(k-1) \times \text{commutator}} (\mathbf{A} - \mathbb{1})^{m-k}$$

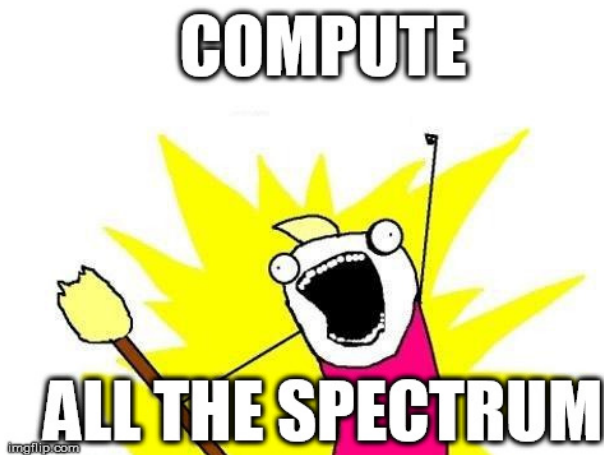
yielding *polynomial* and *logarithmic* terms

# Computation of masses

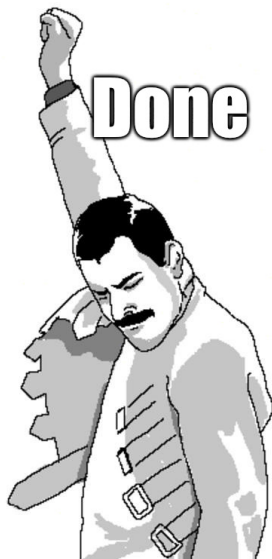
## Various contributions to masses :

- $V_0 @ \vec{v}_1$  &  $V_1 @ \vec{v}_0$  (including **nested commutators**  $\mathbf{S}_{ab}$  and  $\mathbf{G}_{ab}$ )
- **scalar** ( $\mathbf{M}_S^2$ ) & **gauge** ( $\mathbf{M}_G^2$ )
- **poly** & **log**

# Spectrum computation



# Spectrum computation



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# Results

## Spectrum for the 1-loop thresholds in the 2-loop RGEs

- would-be pseudo-Goldstone bosons  $(8, 1, 0)_{45}$  and  $(1, 3, 0)_{45}$  @ 1-loop  
 $\longrightarrow$  **non-tachyonic**  
 (for  $a_2 \rightarrow 0$  = 1-loop correction can overwhelm the tree-level contribution)
- tree level masses for the rest of the spectrum

## Various CHECKS

- Goldstones remain massless even @ 1-loop level ✓
- various limits: e.g. in  $SU(5)$  ( $\omega_b = \omega_r$ )  $\longrightarrow$   $m_{(8,1,0)_{45}}^2 = m_{(1,3,0)_{45}}^2 = 0$  ✓  
 (part of the same 24-plet with the true Goldstone  $(3, 2, -\frac{5}{6})_{45}$ )  
 = highly non-trivial task (**poly**  $\longleftrightarrow$  **log**)

# Summary & outlook

## $\tau_p$ calculation accuracy :

not enough to *rule out / distinguish* any particular GUT model



i) the *mediator mass* at best @ *LO*

or

ii) *flavour structure* of BLV currents not robust

||

too sensitive to generic  $\mathcal{O}(1)$  *Planck-scale effects*

# Summary & outlook

\*\*\* minimal realistic GUT @ NLO \*\*\*

**renormalizable non-SUSY SO(10)** model with a  $126 \oplus 45$

▷▷▷ the only *perturbative* unified theory, with at least some classes of Planck scale operators (*gauge kinetic form operators*) under control



can compute *radiative corrections* to masses and  $\tau_p$

▷▷▷ genuinely *quantum* theory = no available *tree level* description (*tachyons*)



*1-loop*

▷▷▷ *robustness* in the  $\nu$  *channel* = prerequisite for *proton decay upper limit*



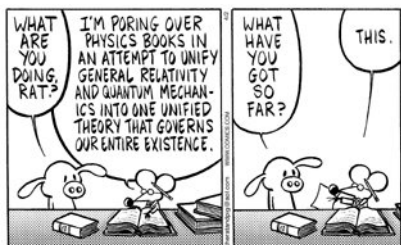
*DUNE* sensitive to “*flavour robust*” final states



# Summary & outlook

## TO DO :

- compute the whole **spectrum** ( $V_{eff}$  approach) ✓
- numerical analysis of the **RGE running** = scan of the parameter space ✗
- show spectrum is **realistic** & check **vacuum state** longevity ✗
- provide the first ever **NLO computation** of corresponding  $\tau_p$  ✗



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# Thank you for your attention!

# Tree level scalar potential

$$V(\phi, \Sigma, \Sigma^*) = V_{45}(\phi) + V_{126}(\Sigma, \Sigma^*) + V_{\text{mix}}(\phi, \Sigma, \Sigma^*),$$

where

$$V_{45} = -\frac{\mu^2}{4}(\phi\phi)_0 + \frac{a_0}{4}(\phi\phi)_0(\phi\phi)_0 + \frac{a_2}{4}(\phi\phi)_2(\phi\phi)_2,$$

$$V_{126} = -\frac{\nu^2}{5!}(\Sigma\Sigma^*)_0 + \frac{\lambda_0}{(5!)^2}(\Sigma\Sigma^*)_0(\Sigma\Sigma^*)_0 + \frac{\lambda_2}{(4!)^2}(\Sigma\Sigma^*)_2(\Sigma\Sigma^*)_2 +$$

$$+ \frac{\lambda_4}{(3!)^2(2!)^2}(\Sigma\Sigma^*)_4(\Sigma\Sigma^*)_4 + \frac{\lambda'_4}{(3!)^2}(\Sigma\Sigma^*)_{4'}(\Sigma\Sigma^*)_{4'} +$$

$$+ \frac{\eta_2}{(4!)^2}(\Sigma\Sigma)_2(\Sigma\Sigma)_2 + \frac{\eta_2^*}{(4!)^2}(\Sigma^*\Sigma^*)_2(\Sigma^*\Sigma^*)_2,$$

$$V_{\text{mix}} = \frac{i\tau}{4!}(\phi)_2(\Sigma\Sigma^*)_2 + \frac{\alpha}{2 \cdot 5!}(\phi\phi)_0(\Sigma\Sigma^*)_0 + \frac{\beta_4}{4 \cdot 3!}(\phi\phi)_4(\Sigma\Sigma^*)_4 +$$

$$+ \frac{\beta'_4}{3!}(\phi\phi)_{4'}(\Sigma\Sigma^*)_{4'} + \frac{\gamma_2}{4!}(\phi\phi)_2(\Sigma\Sigma)_2 + \frac{\gamma_2^*}{4!}(\phi\phi)_2(\Sigma^*\Sigma^*)_2$$

## Symmetry breaking patterns

	$\omega_b \neq 0, \omega_r \neq 0$	$\omega_b = 0, \omega_r \neq 0$	$\omega_b \neq 0, \omega_r = 0$	$\omega_b = \omega_r \neq 0$	$\omega_b = -\omega_r \neq 0$
$\sigma = 0$	$3_C 2_L 1_R 1_{B-L}$	$4_C 2_L 1_R$	$3_C 2_L 2_R 1_{B-L}$	$5 1_Z$	$5' 1_{Z'}$
$\sigma \neq 0$	$3_C 2_L 1_Y$	$3_C 2_L 1_Y$	$3_C 2_L 1_Y$	5	$3_C 2_L 1_Y$

Table: Unbroken symmetries in various limits of the VEVs.