

# Inflation from the most general $f(R)$ theory

Michał Artymowski

Jagiellonian University

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(with Z. Lalak and M. Lewicki)

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- ▶ Can we still obtain successful inflation with all possible higher order terms?

## $f(R)$ as a Brans-Dicke theory

The action of  $f(R)$  theory

$$\frac{1}{2} \int d^4x \sqrt{-g} R \rightarrow \frac{1}{2} \int d^4x \sqrt{-g} f(R). \quad (1)$$

The action of  $f(R)$  can be rewritten as

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2} \varphi R - U(\varphi) + \mathcal{L}_m \right], \quad (2)$$

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On the other hand the action of Brans-Dicke theory is

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2} \varphi R - \frac{\omega_{\text{BD}}}{2\varphi} (\nabla\varphi)^2 - U(\varphi) + \mathcal{L}_m \right] \quad (3)$$

$f(R)$  is a Brans-Dicke theory with  $\omega_{\text{BD}} = 0$



## From Brans-Dicke to Einstein frame

The gravitational part of the action may be canonical after transformation to Einstein frame

$$\tilde{g}_{\mu\nu} = \varphi g_{\mu\nu} \quad (4)$$

which gives the action of the form of

$$S = \int d^4x \sqrt{-\tilde{g}} \left[ \frac{1}{2} \tilde{R} - \frac{3}{4} \left( \frac{\tilde{\nabla} \varphi}{\varphi} \right)^2 - \frac{U(\varphi)}{\varphi^2} \right], \quad (5)$$

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where  $V = U/\varphi^2$  ( $\varphi = \varphi(\phi)$ ).

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where  $V = U/\varphi^2$  ( $\varphi = \varphi(\phi)$ ). **BD = GR + scalar field**

# The Starobinsky model and saddle-point inflation

The oldest and one of the most successful inflationary models

$$f(R) = R + \frac{R^2}{6M^2} \quad \Rightarrow \quad V(\phi) = \frac{3}{4}M^2 \left(1 - e^{-\sqrt{2/3}\phi}\right)^2 \quad (6)$$

The model is great because of small  $r$  and non-gaussianities, which are perfectly consistent with the data. Nevertheless higher order corrections of the form

$$\sum_{n=3}^{\infty} \alpha_n \frac{R^n}{M^{2(n-1)}} \quad (7)$$

may spoil the plateau. How to get inflation without the  $R^2$  domination? We need a small, but very flat part of the Einstein frame potential, i.e. we need the saddle-point inflation. **Problem:**  
**Saddle point inflation doesn't fit the data!**

## Saddle-point inflation with vanishing $k$ derivatives

In general one can define the saddle point with first  $k$  derivatives vanishing. In that case  $1 - n_s \simeq \frac{2k}{N_*(k-1)}$  when freeze-out of primordial inhomogeneities happens close to the saddle point. Thus, for sufficiently big  $k$  one can fit the Planck data!

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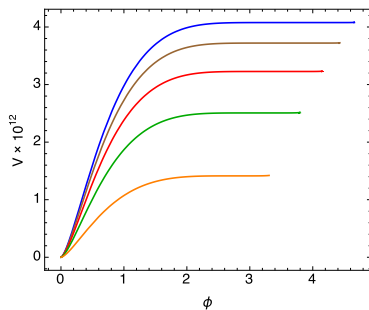
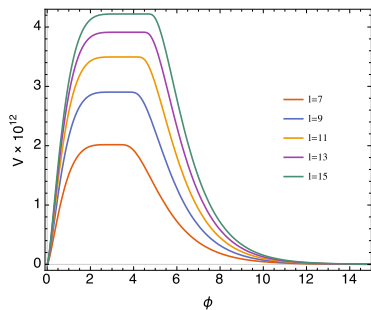
$$f(R) = R + \alpha_2 \frac{R^2}{M^2} + \sum_{n=3}^l \alpha_n \frac{R^n}{M^{2(n-1)}}, \quad (8)$$

We want first  $l - 2$  derivatives to vanish, which gives

$$R_s = \sqrt{p} M^2, \quad \alpha_n = (-1)^{n-1} \frac{2(l-3)!}{(l-n)!(n-1)!} p^{\frac{3-n}{2}} \quad (9)$$

where  $p := \sqrt{(l-1)(l/2-1)}$ . You can sum it up and obtain the analytical form of  $f(R)$ .

# Odd and even $l$



Odd (even)  $l$  gives a plateau with a local maximum (saddle point).  
The scale of inflation and length of the plateau grows with  $l$ .

## The $l \rightarrow \infty$ limit

For  $l \rightarrow \infty$  one finds

$$f(R) = R \left( e^{-\frac{\sqrt{2}R}{M^2}} + \frac{\sqrt{2} + \alpha_2}{M^2} R \right). \quad (10)$$

The  $\alpha_2$  tell us about the contribution of  $R^2$  to  $f(R)$ . Even for  $\alpha_2 = 0$  this guy fits the data perfectly well. What is the problem?



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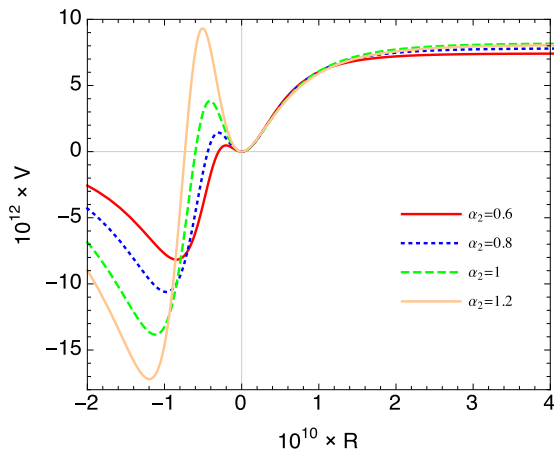
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- ▶ The saddle point moves to infinity
- ▶ Some contribution of the  $R^2$  term is needed ( $\alpha_2 > 0$ ) in order to obtain a GR vacuum.

## Einstein frame potential



The GR minimum at  $R = 0$  is (surprisingly) stable on both, classical and quantum level!

## The stability of the GR vacuum

For any finite  $\alpha_2$  one obtains two vacua - in  $R = 0$  (GR) and in some  $R < 0$  (anti de-Sitter - the true vacuum). In theory one could reach the true vacuum by

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- ▶ Classical evolution of the field - can we pass the local maximum? The EOM of  $R$  is following

$$\ddot{R} + 3\frac{\dot{a}}{a}\dot{R} = \frac{1}{3F'}(2f - RF - F''\dot{R}^2). \quad (11)$$

At the local maximum one finds  $F' = 0$  and therefore one requires  $\dot{R}^2 = (2f - RF)/F''$ . LHS is always positive, but RHS is negative! The only solution, which can pass the local maximum is imaginary and therefore unphysical. this can be avoided for  $\alpha_2 \gtrsim 0.7$

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- ▶ Quantum tunnelling from GR to anti de-Sitter - two trajectories exist, but none of them gives tunnelling.

## The same idea for scalar fields

One could look for similar conditions for the flatness of a general potential in the minimally coupled scalar theory. Let's assume that the universe is filled with a homogeneous scalar field  $\varphi$  with the potential

$$V(\varphi) = V(f(\varphi)), \quad (12)$$

where

$$f(\varphi) = \xi \sum_{k=1}^n \lambda_k \varphi^k. \quad (13)$$

The requirement of vanishing of the first  $n - 1$  derivatives of  $V$  is equivalent to vanishing derivatives of  $f$ , which leads to  $f(\varphi)$  of the form

$$f(\varphi) = \frac{\xi}{n} (n\lambda)^{\frac{-1}{n-1}} \left( 1 + \left( (n\lambda)^{\frac{1}{n-1}} \varphi - 1 \right)^n \right), \quad (14)$$

where  $\lambda := \lambda_n$ .

## Flat potentials for finite $n$

Such  $f(\varphi)$  has a stationary point at  $\varphi_s = (n\lambda)^{\frac{-1}{n-1}}$ , which is also a stationary point of  $V$ . Practically any  $V$  as a function of  $f$  will give you an inflationary potential!



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The  $f(\varphi)$  simplifies in two cases

$$f(\varphi) = \frac{\xi}{n} (1 - (1 - \varphi)^n) \quad \text{for} \quad \lambda = \lambda_1, \quad (15)$$

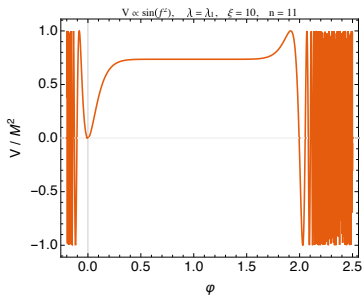
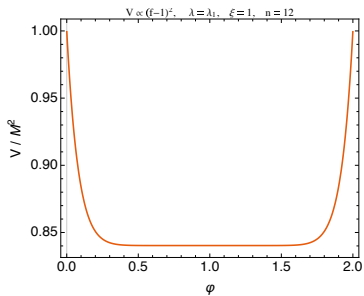
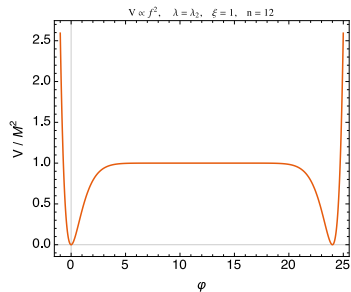
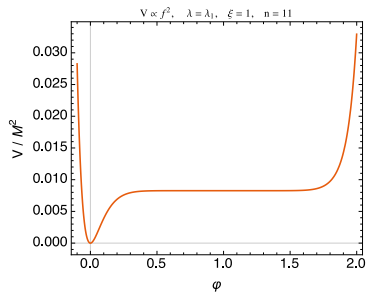
$$f(\varphi) = \left(1 - \left(1 - \frac{\xi}{n}\varphi\right)^n\right) \quad \text{for} \quad \lambda = \lambda_2, \quad (16)$$

where

$$\lambda_1 := 1/n \quad \text{and} \quad \lambda_2 := \frac{1}{\xi}(\xi/n)^n \quad (17)$$

The  $\lambda_1$  seems to be troublesome from the point of view of perturbativity, but do we really know what does it mean in this case?

# Flat potentials for finite n



# Flat potentials for infinite n

## Flat potentials for infinite $n$

Is it possible to take the  $n \rightarrow \infty$  limit? For  $\lambda = \lambda_2$  one finds

$$f(\varphi) = 1 - e^{-\xi\varphi} \quad (18)$$

$V \propto f^2$  gives the Brans-Dicke generalisation of the Starobinsky inflation!

$$\omega_{\text{BD}} = \frac{1}{\xi^2} - \frac{3}{2} \quad (19)$$

This means that the Starobinsky potential is objectively one of the very flattest that one can get. This is confirmed by analysing both  $f(R)$  and regular, minimally coupled scalar theory.

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Another application of  $f(\varphi)$  is induced inflation, which enable multi-phase inflation, arbitrarily low scale and solving the problem of initial conditions.

## Flat potentials and $\alpha$ -attractors

$\alpha$ -attractors - theory with kinetic term with a pole

$$\frac{(\partial\psi)^2}{\left(1 - \frac{\psi^2}{6\alpha^2}\right)^2}. \quad (20)$$

If you'd re-define the field to obtain a canonical kinetic term it would appear, that any potential  $V(\psi)$  is stretched around the pole, just like for  $V(f(\varphi))$ . In fact you can use  $f(\varphi)$  as a scalar field and find a direct relation between  $f$  and  $\psi$ .

$$\psi(f) = \frac{\sqrt{6\alpha} \left( (6\alpha(1-f)\xi) \sqrt{\frac{2}{3\alpha}\xi} - 1 \right)}{(6\alpha(1-f)\xi) \sqrt{\frac{2}{3\alpha}\xi} + 1}. \quad (21)$$

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- ▶ Consistency with the PLANCK data, no problems with stability
- ▶ The idea works for the minimally coupled scalar field as well! The Starobinsky model is one of the examples!
- ▶ Total equivalence between the scalar field picture and  $\alpha$ -attractors