

# Lepton-nucleon scattering

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IFIC

# Outline

- General Introduction/Motivation
- Neutrino-nucleon interactions:
  - Inclusive cross section
  - Quasielastic scattering
  - Resonance excitation

# Introduction

- Neutrino interactions/cross sections are **important** for:
  - Oscillation experiments
    - $\nu$  detection,  $E_\nu$  reconstruction,  $\nu$  flux calibration, backgrounds
  - Hadronic physics
    - Nucleon and Nucleon-Resonance (N- $\Delta$ , N-N\*) axial form factors
    - **Strangeness** content of the nucleon spin
  - Nuclear physics
    - Information about: nuclear correlations, MEC, spectral functions
  - Astrophysics
    - Dynamics of the core-collapse in **supernovae**
    - r-process nucleosynthesis
  - **Beyond** Standard Model
    - **Non-standard**  $\nu$  interactions

# Relevance for oscillation experiments

- (Kinematic)  $E_\nu$  reconstruction:

$$E_\nu = \frac{2m_n E_\mu - m_\mu^2 - m_n^2 + m_p^2}{2(m_n - E_\mu + p_\mu \cos \theta_\mu)}$$

- **Important** for **oscillations**:  $P(\nu_\mu \rightarrow \nu_\tau) = \sin^2 2\theta_{23} \sin^2 \frac{\Delta m_{23}^2 L}{4E_\nu}$

# Relevance for oscillation experiments

- (Kinematic)  $E_\nu$  reconstruction:

$$E_\nu = \frac{2m_n E_\mu - m_\mu^2 - m_n^2 + m_p^2}{2(m_n - E_\mu + p_\mu \cos \theta_\mu)}$$

- Not exact on nuclear targets

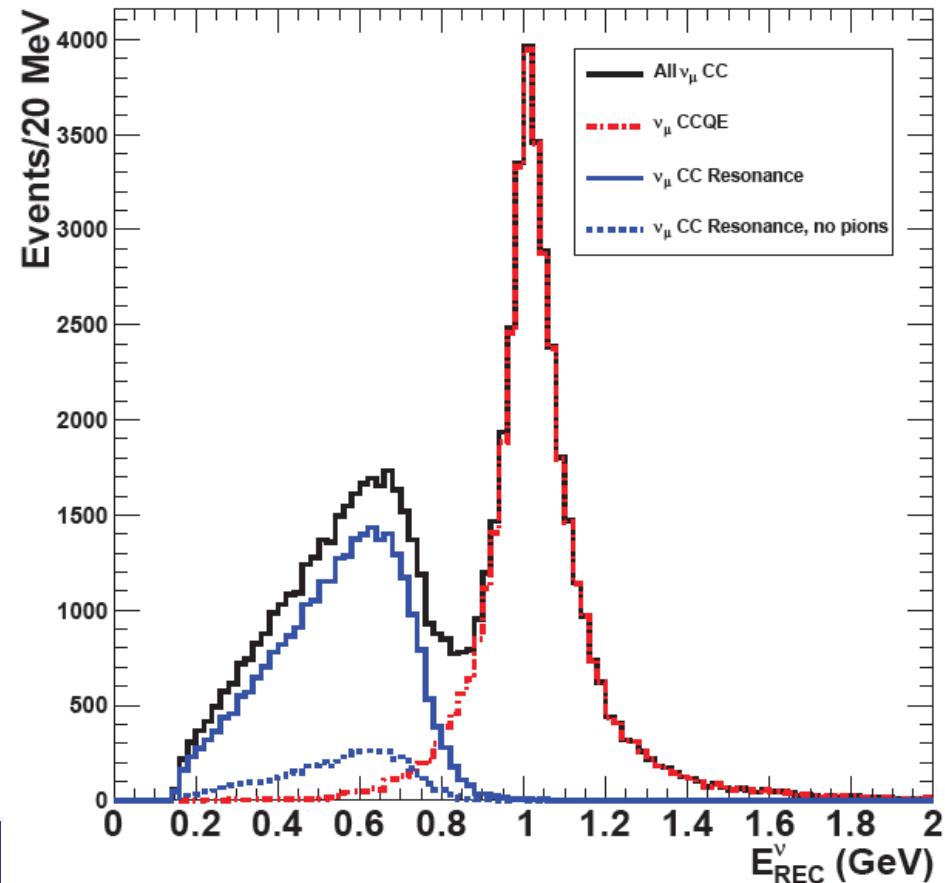
- CCQE-like events from

- absorbed pions

- 2p2h

- ...

GENIE  $E_\nu = 1$  GeV



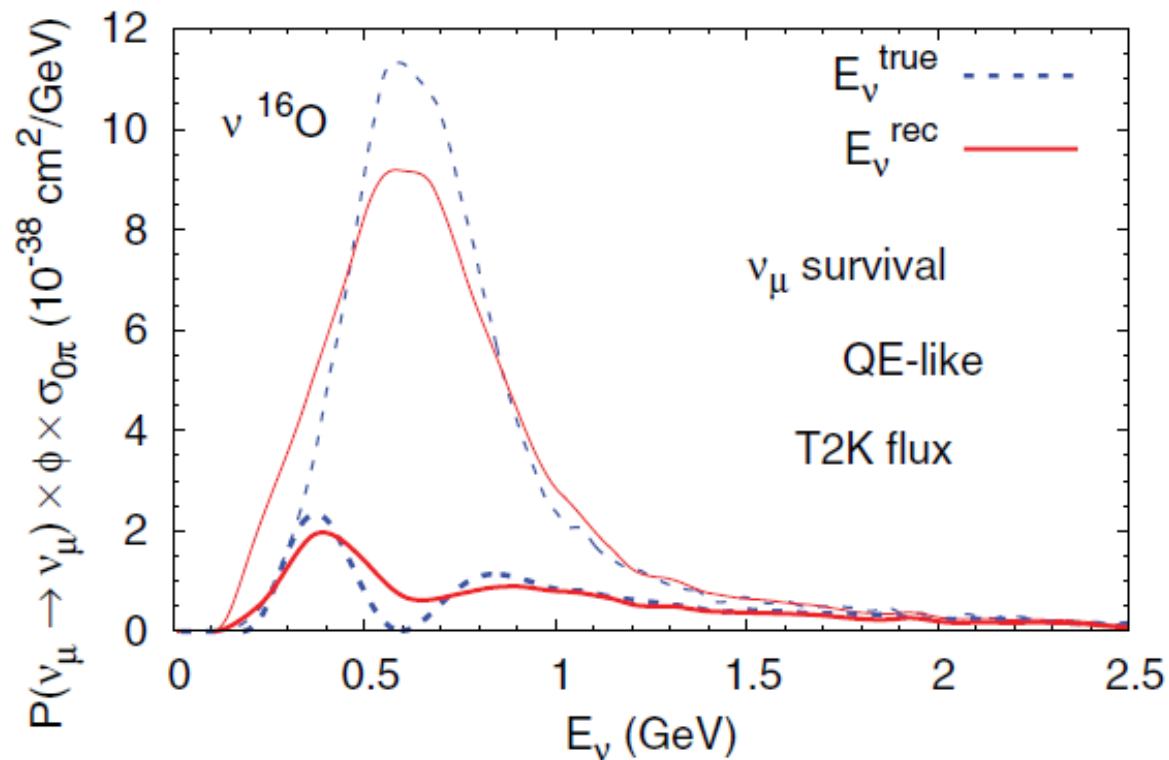
# Relevance for oscillation experiments

- (Kinematic)  $E_\nu$  reconstruction:

$$E_\nu = \frac{2m_n E_\mu - m_\mu^2 - m_n^2 + m_p^2}{2(m_n - E_\mu + p_\mu \cos \theta_\mu)}$$

- At T2K:

Lalakulich et al, PRC86 (2012) 054606



# Relevance for oscillation experiments

- (Calorimetric)  $E_\nu$  reconstruction (e.g. **MINOS**)

- $E_\nu = E_{\text{lep}} + E_{\text{had}}$

but

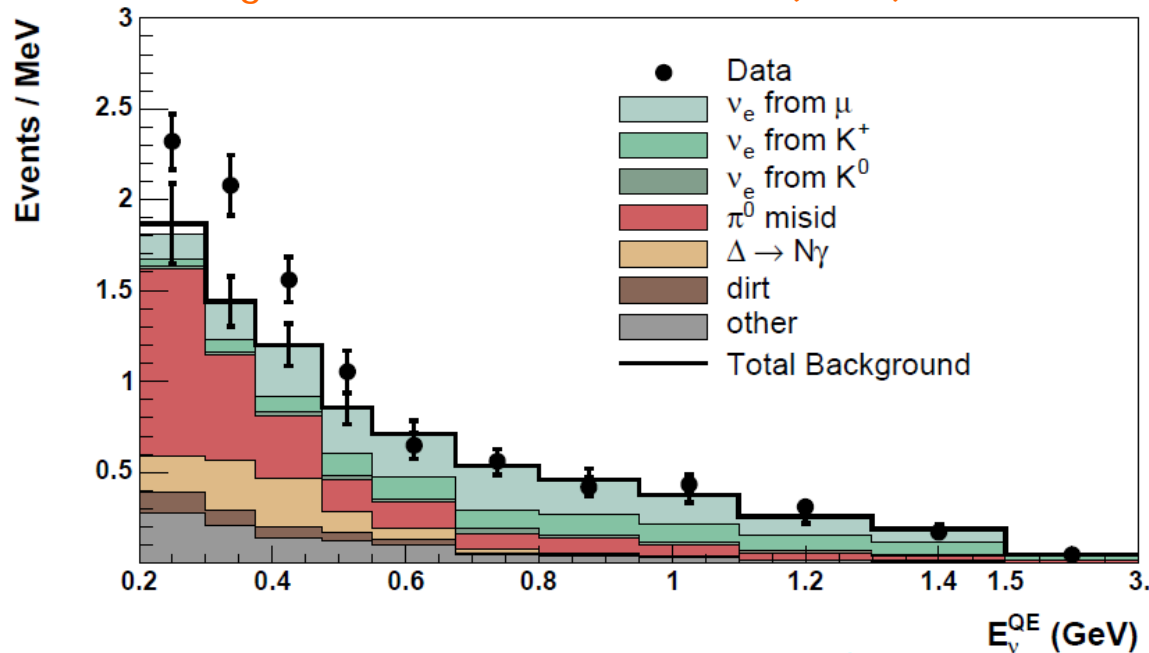
- There are invisible heavy fragments, neutrons or other undetected particles:  $E_{\text{vis}} < E_{\text{had}}$
- $E_{\text{vis}} \rightarrow E_{\text{had}}$  relies on the **simulation**

# Relevance for oscillation experiments

## ■ Backgrounds

- E.g. in the MiniBooNE  $\nu_\mu \rightarrow \nu_e$  search

Aguilar-Arevalo et al., PRL102 (2009) 101802



- NC backgrounds:  $\nu_l N \rightarrow \nu_l \pi^0 N'$   
 $\nu_l N \rightarrow \nu_l \gamma N'$

- Also important for  $\nu_\mu \rightarrow \nu_e$  measurements at T2K



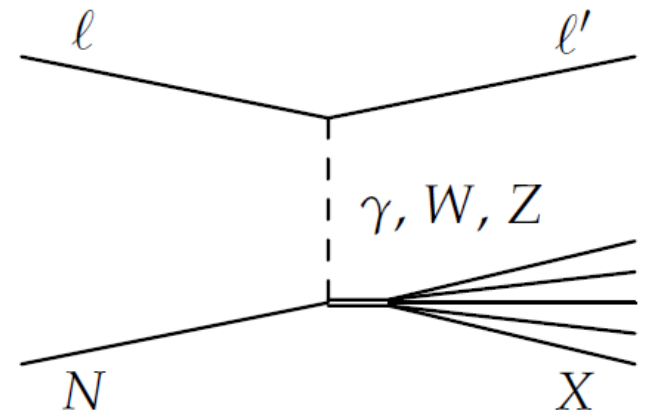
# Inclusive cross section

$$l(k) + N(p) \rightarrow l'(k') + X(p')$$

$$k = (k_0, \vec{k}) \quad p = (E, \vec{p})$$

$$k' = (k'_0, \vec{k}') \quad p' = (E', \vec{p}')$$

$$q = k - k' = p' - p = (\omega, \vec{q}) \quad q^2 = -Q^2 < 0$$



$$\mathcal{L}_{EW} = -eJ_{em}^\mu A_\mu - \frac{g}{2\cos\theta_W} J_{nc}^\mu Z_\mu - \frac{g}{2\sqrt{2}} J_{cc}^\mu W_\mu^+ + h.c.$$

$$\sin\theta_W = \frac{e}{g} \quad \cos\theta_W = \frac{M_W}{M_Z} \quad \frac{G_F}{\sqrt{2}} = \frac{g^2}{8M_W^2}$$

in the **leptonic** sector:

$$J_{em}^\mu = \bar{l}_i \gamma^\mu l_i + \bar{\nu}_i \gamma^\mu \nu_i \quad i = e, \mu, \tau$$

$$J_{cc}^\mu = \bar{\nu}_i \gamma^\mu (1 - \gamma_5) l_i$$

$$J_{nc}^\mu = \bar{l}_i \gamma^\mu (g_V - g_A \gamma_5) l_i + \frac{1}{2} \bar{\nu}_i \gamma^\mu (1 - \gamma_5) \nu_i \quad g_V = -\frac{1}{2} + 2\sin^2\theta_W, \quad g_A = -\frac{1}{2}$$

# Inclusive cross section

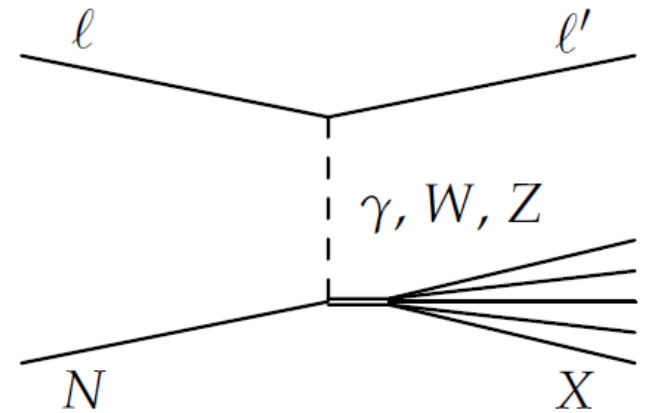
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$$q = k - k' = p' - p = (\omega, \vec{q}) \quad q^2 = -Q^2 < 0$$

$$\text{In Lab: } p = (M, \vec{0})$$



$$\frac{d\sigma}{dk'_0 d\Omega(\vec{k}')} = \frac{G_F^2}{(2\pi)^2} \frac{|\vec{k}'|}{k_0} L_{\mu\nu} W^{\mu\nu}$$

$$L_{\mu\nu} = k'_\mu k_\nu + k'_\nu k_\mu - g_{\mu\nu} k \cdot k' + i\epsilon_{\mu\nu\alpha\beta} k'^\alpha k^\beta$$

$$W^{\mu\nu} = \frac{1}{2M} \sum_{\text{polar.}} \sum_i \left( \int \frac{d^3 p_i}{2E_i (2\pi)^3} \right) (2\pi)^3 \delta^4(k' + p' - k - p) \langle X | J^\mu | N \rangle \langle X | J^\nu | N \rangle^*$$

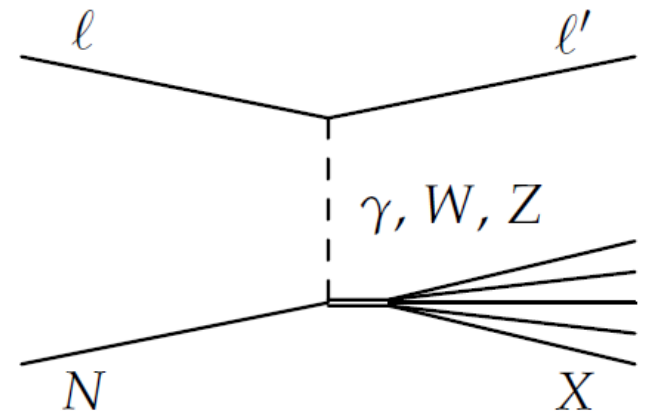
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General structure of the **hadronic** tensor:

$$W^{\mu\nu} = -W_1 g^{\mu\nu} + W_2 \frac{p^\mu p^\nu}{M^2} + W_4 \frac{q^\mu q^\nu}{M^2} + W_5 \frac{p^\mu q^\nu + q^\mu p^\nu}{M^2} \\ + W_3 i\epsilon^{\mu\nu\alpha\beta} \frac{p_\alpha q_\beta}{2M^2} + W_6 \frac{p^\mu q^\nu - q^\mu p^\nu}{M^2}$$

Structure functions:  $W_i = W_i(\omega, q^2)$

For **EM** interactions:  $q_\mu J^\mu = 0 \Rightarrow q_\mu W_{em}^{\mu\nu}$

$$W_{em}^{\mu\nu} = W_1 \left( \frac{q^\mu q^\nu}{q^2} - g^{\mu\nu} \right) + \frac{W_2}{M^2} \left( p^\mu - \frac{p \cdot q}{q^2} q^\mu \right) \left( p^\nu - \frac{p \cdot q}{q^2} q^\nu \right)$$

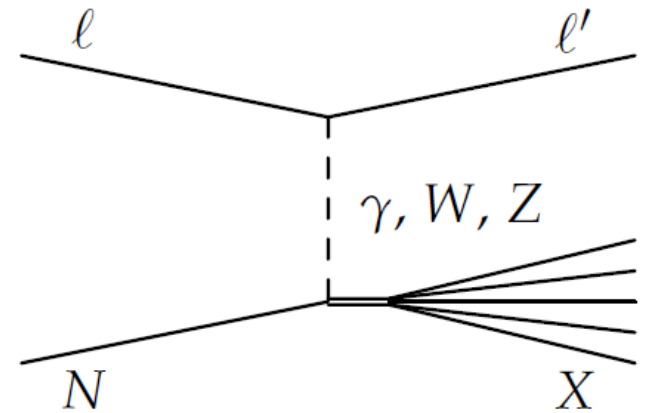
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In Lab:  $p = (M, \vec{0})$

$$\frac{d\sigma}{dk'_0 d\Omega(\vec{k}')} = \frac{G_F^2}{(2\pi)^2} \frac{|\vec{k}'|}{k_0} \left\{ W_1 2k \cdot k' + W_2 (2k'_0 k_0 - k \cdot k') \right. \\ \left. + 2 \frac{m_l^2}{M^2} [W_4 k \cdot k' - W_5 M k_0] + \frac{W_3}{M} [(k_0 + k'_0) k \cdot k' - k_0 m_l^2] \right\}$$

$$m_l \rightarrow 0$$

$$\frac{d\sigma}{dk'_0 d\Omega(\vec{k}')} = \frac{G_F^2}{2\pi^2} (k'_0)^2 \left[ W_1 2 \sin^2 \frac{\theta'}{2} + W_2 \cos^2 \frac{\theta'}{2} \pm W_3 \frac{(k_0 + k'_0)}{M} \sin^2 \frac{\theta'}{2} \right]$$

# Inclusive cross section

- Example: EM scattering on a point-like particle

$$\langle X | J^\mu | N \rangle \rightarrow \bar{u}(p') \gamma^\mu u(p)$$

$$W^{\mu\nu} = \frac{1}{2M} \int \frac{d^3 p'}{2E'} \delta^4(k' + p' - k - p) 4H^{\mu\nu}$$

$$H^{\mu\nu} = p'^\mu p^\nu + p'^\nu p^\mu - g^{\mu\nu} (p \cdot p' - M^2)$$

Using that:

$$\delta(E' + k'_0 - M - k_0) = \frac{E'}{M} \delta\left(k'_0 - k_0 - \frac{q^2}{2M}\right)$$

$$q^2 = (p' - p)^2 = 2M^2 - 2p \cdot p' \Rightarrow p \cdot p' - M^2 = -\frac{q^2}{2}$$

$$\frac{p \cdot q}{q^2} = \frac{M\omega}{q^2} = -\frac{1}{2}$$

# Inclusive cross section

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$$H^{\mu\nu} = p'^\mu p^\nu + p'^\nu p^\mu - g^{\mu\nu} (p \cdot p' - M^2)$$

one finds:

$$\begin{aligned} W_1 &= -\frac{q^2}{4M^2\omega} \delta\left(1 + \frac{q^2}{2M\omega}\right) \\ W_2 &= \frac{1}{\omega} \delta\left(1 + \frac{q^2}{2M\omega}\right) \end{aligned} \quad \Leftrightarrow \quad \begin{aligned} 2MW_1 &\equiv F_1 = x\delta(1-x) = F_1(x) \\ \omega W_2 &\equiv \delta(1-x) = F_2(x) \\ x &= -\frac{q^2}{2M\omega} \end{aligned}$$

# Inclusive cross section

- Example: EM scattering on a point-like particle

$$\langle X | J^\mu | N \rangle \rightarrow \bar{u}(p') \gamma^\mu u(p)$$

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$$H^{\mu\nu} = p'^\mu p^\nu + p'^\nu p^\mu - g^{\mu\nu} (p \cdot p' - M^2)$$

for real nucleons, at low  $\vec{q}^2$

$$W_1 = -\frac{q^2}{4M^2\omega} \delta \left( 1 + \frac{q^2}{2M\omega} \right) \rightarrow -\frac{q^2}{4M^2\omega} G^2(q^2) \delta \left( 1 + \frac{q^2}{2M\omega} \right)$$

$$W_2 = \frac{1}{\omega} \delta \left( 1 + \frac{q^2}{2M\omega} \right) \rightarrow \frac{1}{\omega} G^2(q^2) \delta \left( 1 + \frac{q^2}{2M\omega} \right)$$

# QE scattering on the nucleon

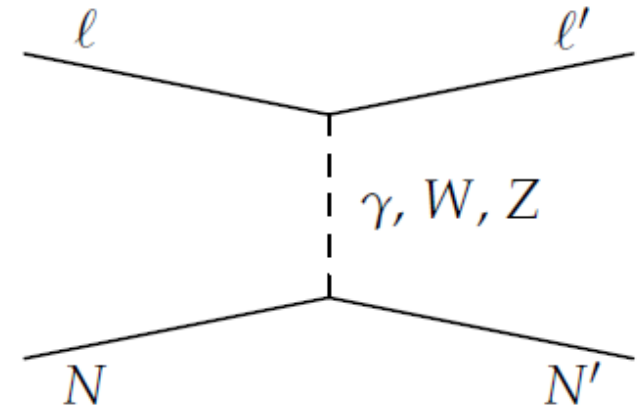
$$\text{EM} : l^\pm(k) + N(p) \rightarrow l^\pm(k') + N(p')$$

$$\text{CC} : \nu(k) + n(p) \rightarrow l^-(k') + p(p')$$

$$\bar{\nu}(k) + p(p) \rightarrow l^+(k') + n(p')$$

$$\text{NC} : \nu(k) + N(p) \rightarrow \nu(k') + N(p')$$

$$\bar{\nu}(k) + N(p) \rightarrow \bar{\nu}(k') + N(p')$$



$$\langle N' | J^\mu | N \rangle = \bar{u}(p') \Gamma^\mu u(p) = \mathcal{V}^\mu - \mathcal{A}^\mu$$

$$\mathcal{V}^\mu = \bar{u}(p') \left[ \gamma^\mu F_1 + \frac{i}{2M} \sigma^{\mu\nu} q_\nu F_2 + \frac{q^\mu}{M} F_S \right] u(p)$$

$$\mathcal{A}^\mu = \bar{u}(p') \left[ \gamma^\mu \gamma_5 F_A + \frac{i}{2M} \sigma^{\mu\nu} q_\nu \gamma_5 F_T + \frac{q^\mu}{M} \gamma_5 F_P \right] u(p)$$

■ T-inv.  $\Rightarrow F_i \in \text{Reals}$

■ T-inv. + C-sym.  $\Rightarrow F_S = F_T = 0 \Leftrightarrow$  absence of 2<sup>nd</sup> class currents

■  $F_i = F_i(q^2) \Leftrightarrow 2 p \cdot q + q^2 = 0$



# QE scattering on the nucleon

$$\mathcal{V}^\mu = \bar{u}(p') \left[ \gamma^\mu F_1 + \frac{i}{2M} \sigma^{\mu\nu} q_\nu F_2 \right] u(p)$$

$$\mathcal{A}^\mu = \bar{u}(p') \left[ \gamma^\mu \gamma_5 F_A + \frac{q^\mu}{M} \gamma_5 F_P \right] u(p)$$

■ Sachs form factors:  $G_E = F_1 + \frac{q^2}{2m_N} F_2$

$$G_M = F_1 + F_2$$

■ In the Breit frame:  $\vec{p} = -\vec{q}/2$ ,  $\vec{p}' = \vec{q}/2$ ,  $q^2 = -\vec{q}^2$

$$\langle N'_{s'} | \mathcal{V}^0 | N_s \rangle = G_E(\vec{q}^2) \delta_{ss'}$$

$$\langle N'_{s'} | \vec{\mathcal{V}} | N_s \rangle = G_M(\vec{q}^2) i \chi_{s'} (\vec{\sigma} \times \vec{q}) \chi_s$$

$$\langle N'_{s'} | \mathcal{A}^0 | N_s \rangle = 0$$

$$\langle N'_{s'} | \vec{\mathcal{A}} | N_s \rangle = F_A(\vec{q}^2) (E + M) \left[ \vec{\sigma} - \frac{(\vec{\sigma} \cdot \vec{q}) \vec{\sigma} (\vec{\sigma} \cdot \vec{q})}{(E + M)^2} \right] + F_P(\vec{q}^2) \vec{q} \frac{(\vec{\sigma} \cdot \vec{q})}{M}$$

# QE scattering on the nucleon

- (Flavor) structure of the quark currents:

$$\mathcal{L}_{EW} = -eJ_{em}^\mu A_\mu - \frac{g}{2\cos\theta_W} J_{nc}^\mu Z_\mu - \frac{g}{2\sqrt{2}} J_{cc}^\mu W_\mu^+ + h.c.$$

$$\begin{aligned} J_{em}^\mu &= \frac{2}{3}\bar{q}_u\gamma^\mu q_u - \frac{1}{3}(\bar{q}_d\gamma^\mu q_d + \bar{q}_s\gamma^\mu q_s) & q_\mu J_{em}^\mu &= 0 \leftarrow \text{CVC} \\ &= \frac{1}{2}\bar{q}\gamma^\mu \frac{\lambda_8}{\sqrt{3}}q + \bar{q}\gamma^\mu \frac{\lambda_3}{2}q & &\leftarrow SU(3)_{\text{flavor}} \\ &= \frac{1}{2}V_Y^\mu + V_3^\mu \end{aligned}$$

$$J_{cc}^\mu = \bar{q}_u\gamma^\mu(1 - \gamma_5)(q_d \cos\theta_C + q_s \sin\theta_C)$$

$$V_+^\mu = \bar{q}_u\gamma^\mu q_d = \bar{q}_u\gamma^\mu \frac{\lambda_1 + i\lambda_2}{2}q_d = V_1^\mu + iV_2^\mu$$

# QE scattering on the nucleon

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$$V_+^\mu = \bar{q}_u\gamma^\mu q_d = \bar{q}_u\gamma^\mu \frac{\lambda_1 + i\lambda_2}{2} q_d = V_1^\mu + iV_2^\mu$$

$V_{1,2,3}$  are components of the same conserved flavor  $SU(3/2)$  vector current

$$V_a = \bar{q}\gamma^\mu \frac{\lambda_a}{2} q \leftarrow SU(3)_{\text{flavor}}$$

$$V_a = \bar{q}\gamma^\mu \frac{\tau_a}{2} q \leftarrow SU(2)_{\text{flavor}}$$

# QE scattering on the nucleon

- (Flavor) structure of the **quark currents**:

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$$V_+^\mu = \bar{q}_u\gamma^\mu q_d = \bar{q}_u\gamma^\mu \frac{\lambda_1 + i\lambda_2}{2} q_d = V_1^\mu + iV_2^\mu$$

$$J_{nc}^\mu = \bar{q}_u\gamma^\mu \left[ \frac{1}{2} - \left(\frac{2}{3}\right) 2\sin^2\theta_W - \frac{1}{2}\gamma_5 \right] q_u + \bar{q}_d\gamma^\mu \left[ -\frac{1}{2} - \left(-\frac{1}{3}\right) 2\sin^2\theta_W + \frac{1}{2}\gamma_5 \right] q_d + (d \rightarrow s)$$

$$V_{nc}^\mu = (1 - 2\sin^2\theta_W)V_3^\mu - 2\sin^2\theta_W \frac{1}{2}V_Y^\mu - \frac{1}{2}\bar{q}_s\gamma^\mu q_s$$

# QE scattering on the nucleon

- **Vector** and **EM** form factors:

$$\vec{V}^\alpha = \mathcal{V}^\alpha \frac{\vec{\tau}}{2} \leftarrow \text{isovector current} \quad V_Y^\alpha = \mathcal{V}_Y^\alpha I \leftarrow \text{hypercharge (isoscalar) current}$$

$$\langle p | V_{\text{EM}}^\alpha | p \rangle = \langle p | V_3^\alpha + \frac{1}{2} V_Y^\alpha | p \rangle = \frac{\mathcal{V}^\alpha + \mathcal{V}_Y^\alpha}{2} \equiv \mathcal{V}_p^\alpha$$

$$\langle n | V_{\text{EM}}^\alpha | n \rangle = \langle n | V_3^\alpha + \frac{1}{2} V_Y^\alpha | n \rangle = \frac{-\mathcal{V}^\alpha + \mathcal{V}_Y^\alpha}{2} \equiv \mathcal{V}_n^\alpha$$

Then:  $\langle p | V_{\text{CC}}^\alpha | n \rangle = \langle p | V_1^\alpha + iV_2^\alpha | n \rangle = \mathcal{V}^\alpha = \mathcal{V}_p^\alpha - \mathcal{V}_n^\alpha$

$$\begin{aligned} \langle p | V_{\text{NC}}^\alpha | p \rangle &= \langle p | (1 - 2 \sin^2 \theta_W) V_3^\alpha - \sin^2 \theta_W V_Y^\alpha | p \rangle \\ &= \left( \frac{1}{2} - \sin^2 \theta_W \right) \mathcal{V}^\alpha + \sin^2 \theta_W \mathcal{V}_Y^\alpha \\ &= \left( \frac{1}{2} - 2 \sin^2 \theta_W \right) \mathcal{V}_p^\alpha - \mathcal{V}_n^\alpha \end{aligned}$$

- **Vector CC** and **NC** form factors can be expressed in terms of **EM** ones

# QE scattering on the nucleon

- (Flavor) structure of the **quark currents**:

$$\mathcal{L}_{EW} = -eJ_{em}^\mu A_\mu - \frac{g}{2\cos\theta_W} J_{nc}^\mu Z_\mu - \frac{g}{2\sqrt{2}} J_{cc}^\mu W_\mu^+ + h.c.$$

$$J_{cc}^\mu = \bar{q}_u \gamma^\mu (1 - \gamma_5) (q_d \cos\theta_C + q_s \sin\theta_C)$$

$$A_+^\mu = \bar{q}_u \gamma^\mu \gamma_5 q_d = \bar{q}_u \gamma^\mu \gamma_5 \frac{\lambda_1 + i\lambda_2}{2} q_d = A_1^\mu + iA_2^\mu$$

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$$A_{nc}^\mu = A_3^\mu + \frac{1}{2} \bar{q}_s \gamma^\mu \gamma_5 q_s$$

$A_{1,2,3}$  are components of the same **partially** conserved flavor SU(3/2) axial current

$$A_a = \bar{q} \gamma^\mu \gamma_5 \frac{\lambda_a}{2} q \leftarrow SU(3)_{\text{flavor}}$$

$$A_a = \bar{q} \gamma^\mu \gamma_5 \frac{\tau_a}{2} q \leftarrow SU(2)_{\text{flavor}}$$

# QE scattering on the nucleon

- (Flavor) structure of the quark currents:

$$A_a = \bar{q} \gamma^\mu \gamma_5 \frac{\lambda_a}{2} q$$

- **PCAC**:  $\partial_\mu A_a^\mu = i\bar{q} \left\{ m, \frac{\lambda_a}{2} \right\} \gamma_5 q$       $m = \text{diag}(m_u, m_d, m_s)$

- The axial current is **conserved** in the **chiral** ( $m \rightarrow 0$ ) limit

- In terms of (effective) hadronic degrees of freedom

$$A_a^\mu = -f_\pi \partial^\mu \pi_a + \dots \Leftrightarrow \partial_\mu A_a^\mu = f_\pi m_\pi^2 \pi_a + \dots$$

- Consequences:

$$F_P(Q^2) = \frac{2M^2}{Q^2 + m_\pi^2} F_A(Q^2)$$

$$F_A(0) \equiv g_A = 2g_{NN\pi} \leftarrow \text{Goldberger-Treiman relation}$$

$$\mathcal{L}_{NN\pi} = -\frac{g_{NN\pi}}{f_\pi} \bar{N} \gamma_\mu \gamma_5 (\partial^\mu \vec{\pi}) \vec{T} N$$

# QE scattering on the nucleon

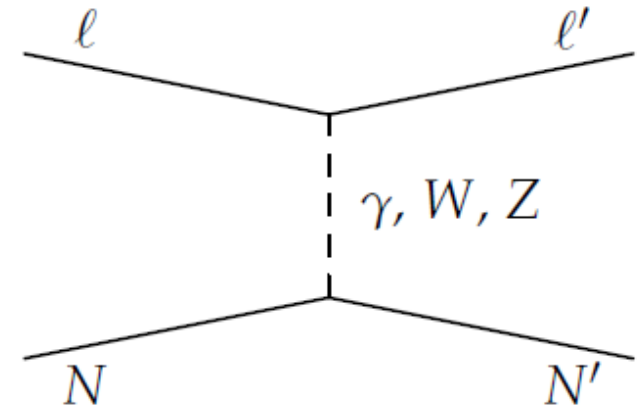
- Cross section:

$$\frac{d\sigma}{dk'_0 d\Omega(\vec{k}')} = \frac{G_F^2}{(2\pi)^2} \frac{|\vec{k}'|}{k_0} L_{\mu\nu} W^{\mu\nu}$$

$$W^{\mu\nu} = \frac{1}{2M} \int \frac{d^3 p'}{2E'} \delta^4(k' + p' - k - p) H^{\nu\mu}$$

$$H^{\alpha\beta} = \text{Tr} \left[ (\not{p} + M) \gamma^0 (\Gamma^\alpha)^\dagger \gamma^0 (\not{p}' + M) \Gamma^\beta \right]$$

$$\Gamma^\mu = \gamma^\mu F_1 + \frac{i}{2M} \sigma^{\mu\nu} q_\nu F_2 - \gamma^\mu \gamma_5 F_A - \frac{q^\mu}{M} \gamma_5 F_P$$





# QE scattering on the nucleon

## ■ Cross section:

- As an expansion in small variables  $q^2, m_l^2 \ll M^2, E_\nu^2$

$$\frac{d\sigma}{dq^2} = \frac{1}{2\pi} G^2 c_{\text{EW}}^2 \left[ R - \frac{m_l^2}{4E_\nu^2} S + \frac{q^2}{4E_\nu^2} T \right] + \mathcal{O}(q^4, m_l^4, m_l^2 q^2)$$

- CC:  $c_{\text{CC}} = \cos \theta_C$

$$R_{\text{CC}} = 1 + g_A^2$$

$$S_{\text{CC}} = \frac{2E_\nu + M}{M} + g_A^2 \frac{2E_\nu - M}{M}$$

$$T_{\text{CC}} = 1 - g_A^2 + 2 \frac{E_\nu}{M} (1 \mp g_A)^2 \mp 4 \frac{E_\nu}{M} g_A \kappa^\nu - \left( \frac{E_\nu}{M} \kappa^\nu \right)^2 \\ + 4E_\nu^2 \left[ \frac{1}{3} (\langle r_p^2 \rangle - \langle r_n^2 \rangle + g_A^2 \langle r_A^2 \rangle) - \frac{1}{2M^2} \kappa^\nu \right]$$

$$\kappa^\nu = \mu_p - \mu_n - 1$$

# QE scattering on the nucleon

- Cross section:

- As an expansion in small variables  $q^2, m_l^2 \ll M^2, E_\nu^2$

$$\frac{d\sigma}{dq^2} = \frac{1}{2\pi} G^2 c_{\text{EW}}^2 \left[ R - \frac{m_l^2}{4E_\nu^2} S + \frac{q^2}{4E_\nu^2} T \right] + \mathcal{O}(q^4, m_l^4, m_l^2 q^2)$$

- CC:  $c_{\text{CC}} = \cos \theta_C$

- Large fraction of the **CCQE** cross section depends on a **small number** of **nucleon** properties

- Charges, magnetic moments, mean squared radii

$$\langle r_p^2 \rangle = \frac{6}{G_E^{(p)}(0)} \left. \frac{dG_E^{(p)}(q^2)}{dq^2} \right|_{q^2=0}, \quad \langle r_n^2 \rangle = 6 \left. \frac{dG_E^{(n)}(q^2)}{dq^2} \right|_{q^2=0}$$

- axial coupling and axial radius

$$\langle r_A^2 \rangle = \frac{6}{F_A(0)} \left. \frac{dF_A(q^2)}{dq^2} \right|_{q^2=0}$$

# $\nu$ QE scattering on the nucleon

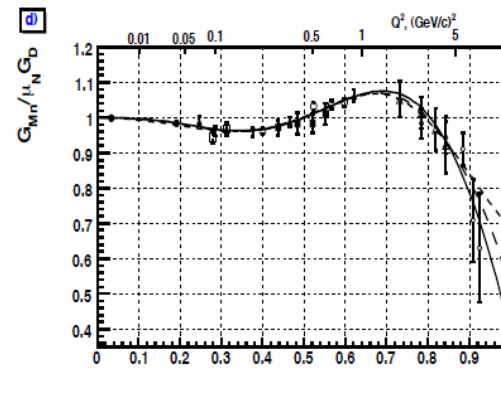
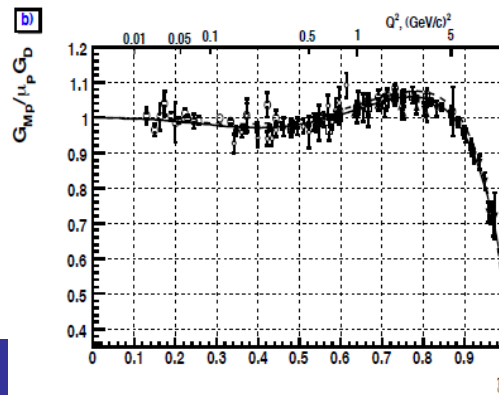
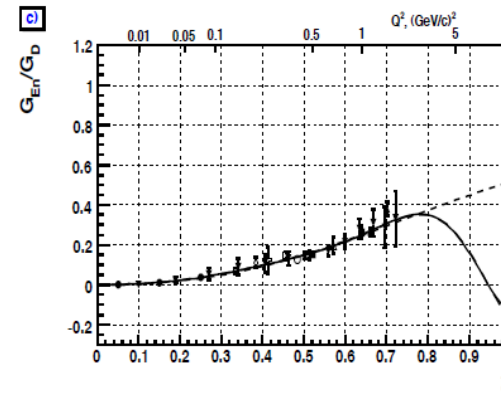
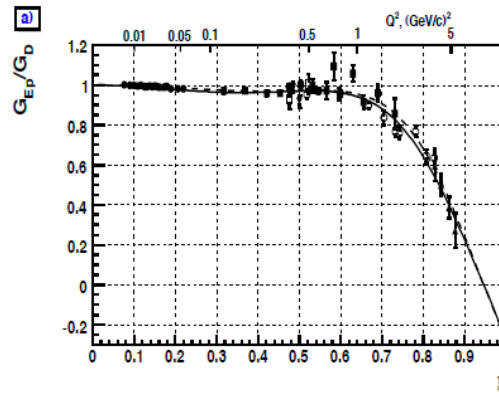
## ■ Measurement of the axial radius:

### ■ CCQE on H and D (BNL, ANL)

$$F_A(Q^2) = g_A \left(1 + \frac{Q^2}{M_A^2}\right)^{-2} \quad \langle r_A^2 \rangle = \frac{12}{M_A^2}$$

■  $M_A = 1.016 \pm 0.026$  GeV Bodek et al., EPJC 53 (2008)

### ■ Using:



# $\nu$ QE scattering on the nucleon

- Measurement of the axial radius:

- CCQE on H and D (BNL, ANL)

$$F_A(Q^2) = g_A \left(1 + \frac{Q^2}{M_A^2}\right)^{-2} \quad \langle r_A^2 \rangle = \frac{12}{M_A^2}$$

- $M_A = 1.016 \pm 0.026$  GeV Bodek et al., EPJC 53 (2008)

- From  $\pi$  electroproduction on p:

$$6 \left. \frac{dE_{0+}^{(-)}}{dq^2} \right|_{q^2=0} = \langle r_A^2 \rangle + \frac{3}{M} \left( \kappa^V + \frac{1}{2} \right) + \frac{3}{64f_\pi^2} \left( 1 - \frac{12}{\pi^2} \right)$$

- $M_A = 1.014 \pm 0.016$  GeV Liesenfeld et al., PLB 468 (1999) 20

# QE scattering on the nucleon

## ■ Cross section:

- As an expansion in small variables  $q^2, m_l^2 \ll M^2, E_\nu^2$

$$\frac{d\sigma}{dq^2} = \frac{1}{2\pi} G^2 c_{\text{EW}}^2 \left[ R - \frac{m_l^2}{4E_\nu^2} S + \frac{q^2}{4E_\nu^2} T \right] + \mathcal{O}(q^4, m_l^4, m_l^2 q^2)$$

- NC:  $c_{\text{NC}} = 1/4$

$$R_{\text{NC}}^{(p)} = \alpha_\nu^2 + (g_A - \Delta s)^2$$

$$T_{\text{NC}}^{(p)} = \alpha_\nu^2 - (g_A - \Delta s)^2 + 2 \frac{E_\nu}{M} [\alpha_\nu \mp (g_A - \Delta s)]^2 \mp 4 \frac{E_\nu}{M} (g_A - \Delta s) \kappa_{\text{NC}}^{(p)} - \left( \frac{E_\nu}{M} \kappa_{\text{NC}}^{(p)} \right)^2$$

$$+ 4E_\nu^2 \left\{ \alpha_\nu \left[ \frac{1}{3} (\alpha_\nu \langle r_p^2 \rangle - \langle r_n^2 \rangle - \langle r_s^2 \rangle) - \frac{1}{2M^2} \kappa_{\text{NC}}^{(p)} \right] + \frac{1}{3} (g_A - \Delta s) (g_A \langle r_A^2 \rangle - \Delta s \langle r_{As}^2 \rangle) \right\}$$

$$R_{\text{NC}}^{(n)} = 1 + (g_A + \Delta s)^2$$

$$T_{\text{NC}}^{(n)} = 1 - (g_A + \Delta s)^2 + 2 \frac{E_\nu}{M} [1 \mp (g_A + \Delta s)]^2 \pm 4 \frac{E_\nu}{M} (g_A + \Delta s) \kappa_{\text{NC}}^{(n)} - \left( \frac{E_\nu}{M} \kappa_{\text{NC}}^{(n)} \right)^2$$

$$+ 4E_\nu^2 \left\{ -\frac{1}{3} (\alpha_\nu \langle r_n^2 \rangle - \langle r_p^2 \rangle - \langle r_s^2 \rangle) + \frac{1}{2M^2} \kappa_{\text{NC}}^{(n)} + \frac{1}{3} (g_A + \Delta s) (g_A \langle r_A^2 \rangle + \Delta s \langle r_{As}^2 \rangle) \right\}$$

$$\kappa_{\text{NC}}^{(p)} = \alpha_\nu (\mu_p - 1) - \mu_n - \mu_s \quad \kappa_{\text{NC}}^{(n)} = 1 - \mu_p + \alpha_\nu \mu_n - \mu_s \quad \alpha_\nu = 1 - 4 \sin^2 \theta_W$$

# QE scattering on the nucleon

- **Strangeness** content of the nucleon:

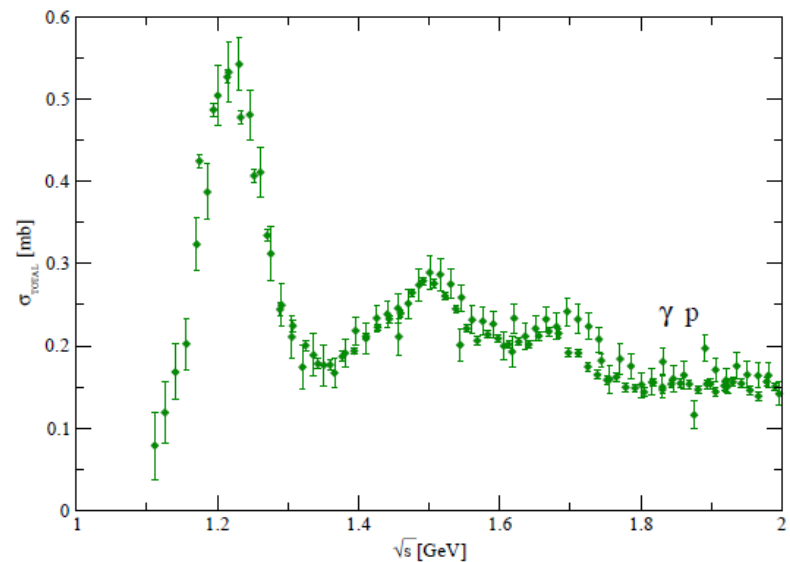
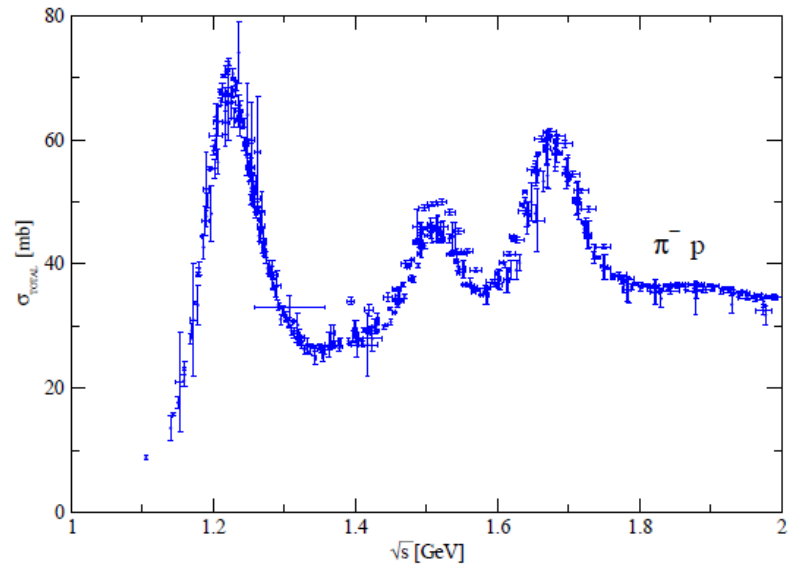
- $\langle r_s^2 \rangle, \mu_s, \langle r_{As}^2 \rangle \leftarrow$  insignificant

- $\Delta s$  strange axial coupling  $\Leftrightarrow$  strange quark contribution to the spin

$$\left. \frac{d\sigma_{\text{NC}}^{(p)} / dq^2}{d\sigma_{\text{NC}}^{(n)} / dq^2} \right|_{q^2=0} = \frac{\alpha_V^2 + (g_A - \Delta s)^2}{1 + (g_A + \Delta s)^2} \approx \frac{(g_A - \Delta s)^2}{1 + (g_A + \Delta s)^2} \approx \begin{cases} 0.62 & \text{if } \Delta s = 0 \\ 1.27 & \text{if } \Delta s = -0.3 \end{cases}$$

- A recent global fit: [Pate, Trujillo, arXiv:1308.5694](#)

# Baryon resonances



From PDG database

# Baryon resonances

- **Resonance** properties
  - Originally from  $\pi N \rightarrow \pi N$ 
    - Quantum numbers
    - Breit-Wigner mass, width, branching ratios
    - Cleaner: pole position and residues
  - $\gamma N \rightarrow \pi N, \gamma^* N \rightarrow \pi N$ 
    - Electromagnetic properties: helicity amplitudes

$$A_{1/2} = \sqrt{\frac{2\pi\alpha}{k_R}} \langle R, J_z = 1/2 | \epsilon_\mu^+ J_{\text{EM}}^\mu | N, J_z = -1/2 \rangle \zeta$$

$$A_{3/2} = \sqrt{\frac{2\pi\alpha}{k_R}} \langle R, J_z = 3/2 | \epsilon_\mu^+ J_{\text{EM}}^\mu | N, J_z = 1/2 \rangle \zeta$$

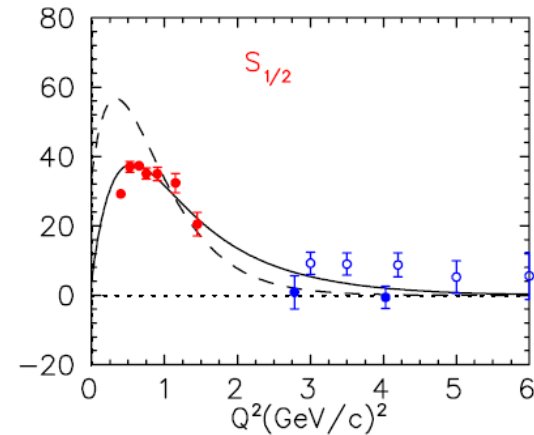
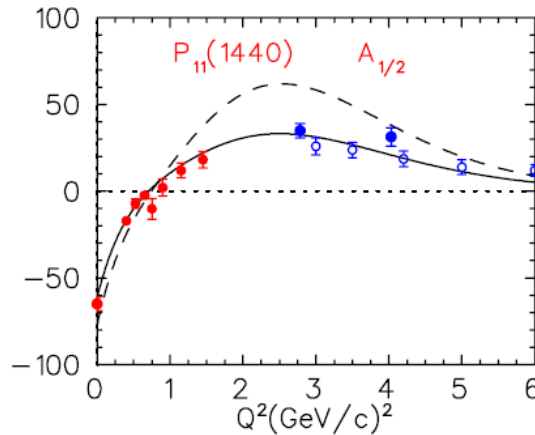
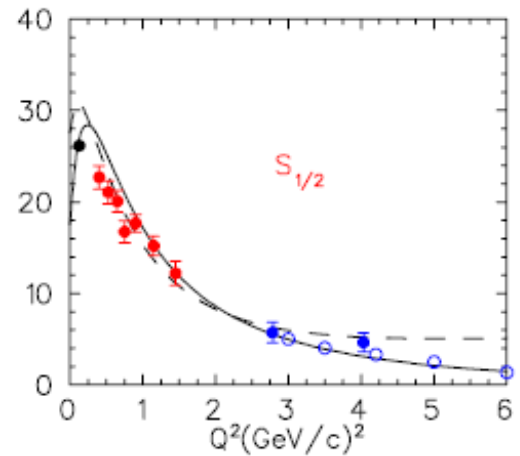
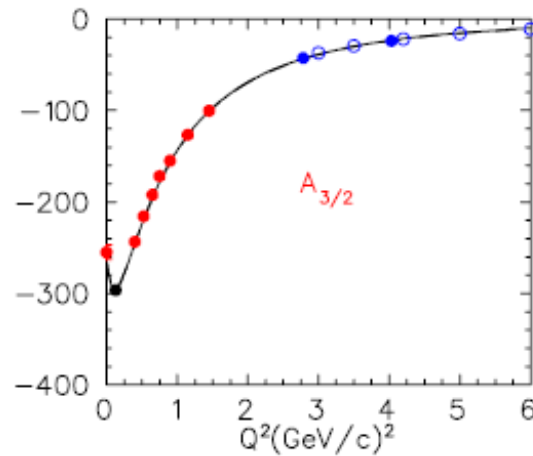
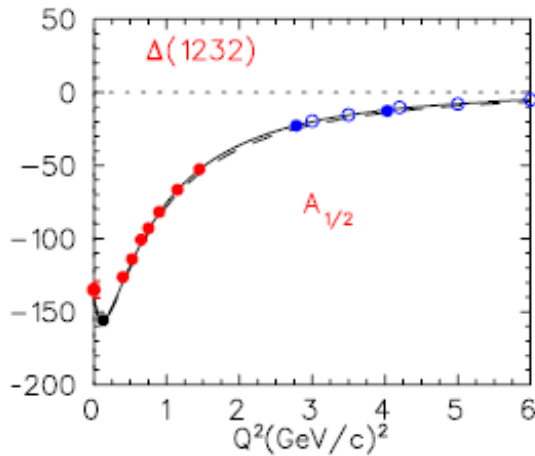
$$S_{1/2} = -\sqrt{\frac{2\pi\alpha}{k_R}} \frac{|\mathbf{q}|}{\sqrt{Q^2}} \langle R, J_z = 1/2 | \epsilon_\mu^0 J_{\text{EM}}^\mu | N, J_z = 1/2 \rangle \zeta$$



# MAID

- **Transition N-R e.m. helicity amplitudes extracted** for all 4-star resonances with  $W < 1.8$  GeV
- For example:

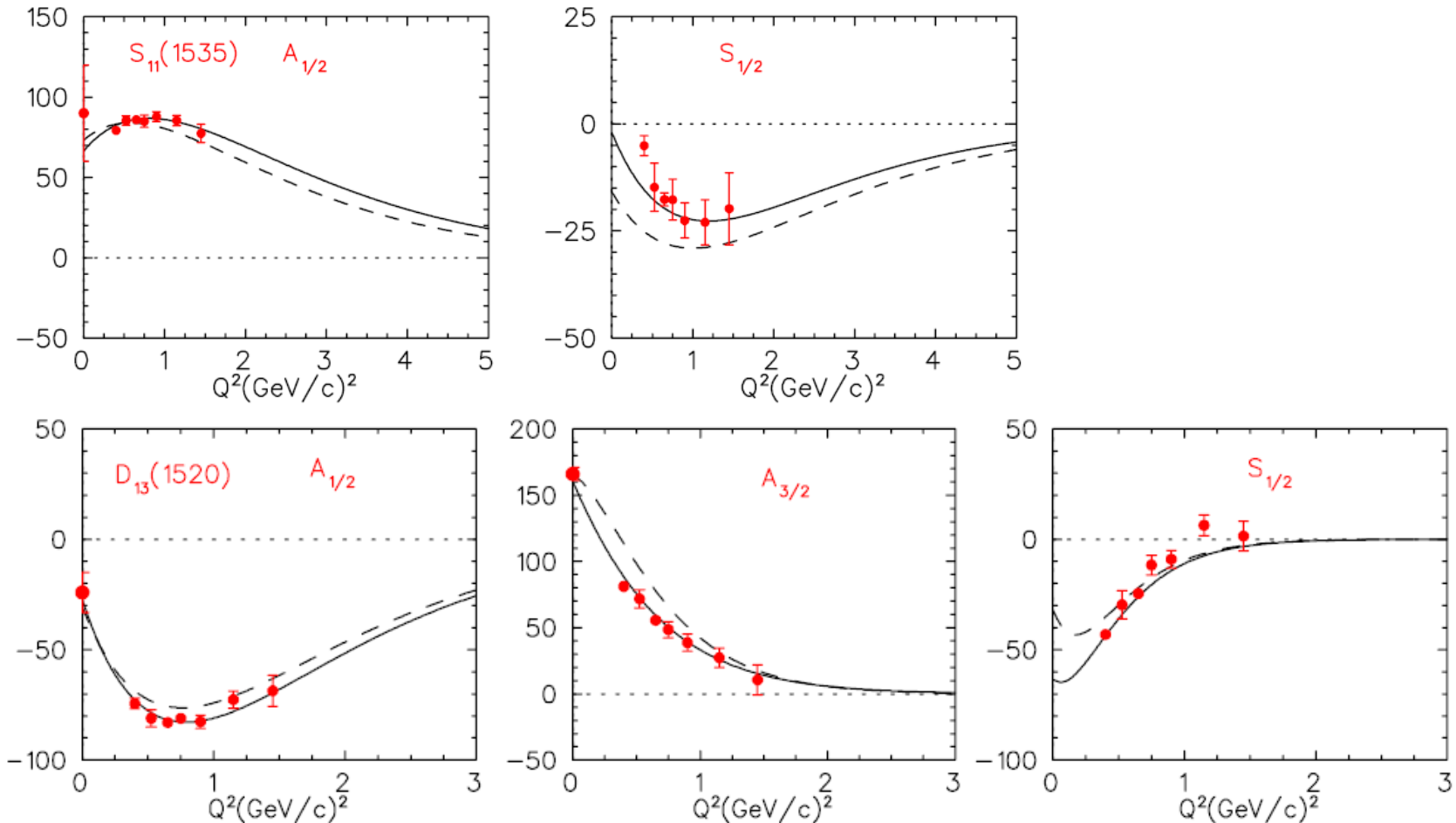
Tiator et al., EPJ Special Topics 198 (2011)



# MAID

- **Transition N-R e.m. helicity amplitudes extracted** for all 4-star resonances with  $W < 1.8$  GeV
- For example:

Tiator et al., EPJ Special Topics 198 (2011)



# Weak Resonance excitation

- **Resonances** contribute to:

- the **inclusive**  $\nu_l N \rightarrow l X$  cross section

- several **exclusive** channels:  $\nu_l N \rightarrow l N' \pi$

$$\nu_l N \rightarrow l N' \gamma$$

$$\nu_l N \rightarrow l N' \eta$$

$$\nu_l N \rightarrow l \Lambda(\Sigma) \bar{K}$$

- At  $E_\nu \sim 1$  GeV (MiniBooNE, SciBooNE, T2K,...)  $\Delta(1232)$  is **dominant**

But

- At  $E_\nu > 1$  GeV (MINER $\nu$ A)  $N^*$  become **important**

# Weak Resonance excitation

- CC  $N^*$  excitation:  $\nu_l(k) N(p) \rightarrow l^-(k') N^*(p')$

$$\frac{d\sigma}{dk'_0 d\Omega'} = \frac{1}{32\pi^2} \frac{|\vec{k}'|}{k_0 M_N} \mathcal{A}(p') |\bar{\mathcal{M}}|^2 \quad \leftarrow \text{Inclusive cross section}$$

$$\mathcal{A}(p') = \frac{M^*}{\pi} \frac{\Gamma(p')}{(p'^2 - M^{*2})^2 + M^{*2} \Gamma^2(p')}$$

$\Gamma(p')$   $\leftarrow$  total momentum dependent **width**

$$\mathcal{M} = \frac{G_F \cos \theta_C}{\sqrt{2}} l^\alpha J_\alpha$$

$$l^\alpha = \bar{u}(k') \gamma^\alpha (1 - \gamma_5) u(k) \quad \leftarrow \text{leptonic current}$$

$$J_\alpha = V_\alpha - A_\alpha \quad \leftarrow \text{hadronic current}$$

can be parametrized in terms of  
N- $N^*$  transition **form factors**

# Weak Resonance excitation

- Second resonance peak:  $N^*(1440)$ ,  $N^*(1520)$ ,  $N^*(1535)$

- $N^*(1440)$   $J^P=1/2^+$

$$J_\alpha = \bar{u}(p') \left[ \frac{F_1^V}{(2M_N)^2} (\not{q}q_\alpha - q^2\gamma_\alpha) + i \frac{F_2^V}{2M_N} \sigma_{\alpha\beta} q^\beta - F_A \gamma_\alpha \gamma_5 - \frac{F_P}{M_N} \gamma_5 q_\alpha \right] u(p)$$

- $N^*(1535)$   $J^P=1/2^-$

$$J_\alpha = \bar{u}(p') \left[ \frac{F_1^V}{(2M_N)^2} (\not{q}q_\alpha - q^2\gamma_\alpha) \gamma_5 + i \frac{F_2^V}{2M_N} \sigma_{\alpha\beta} q^\beta \gamma_5 - F_A \gamma_\alpha - \frac{F_P}{M_N} q_\alpha \right] u(p)$$

- $N^*(1520)$   $J^P=3/2^-$

$$J_\alpha = \bar{u}^\mu(p') \left[ \frac{C_3^V}{M_N} (g_{\alpha\mu} \not{q} - q_\alpha \gamma_\mu) + \frac{C_4^V}{M_N^2} (g_{\alpha\mu} q \cdot p' - q_\alpha p'_\mu) + \frac{C_5^V}{M_N^2} (g_{\alpha\mu} q \cdot p - q_\alpha p_\mu) \right. \\ \left. + \left( \frac{C_3^A}{M_N} (g_{\alpha\mu} \not{q} - q_\alpha \gamma_\mu) + \frac{C_4^A}{M_N^2} (g_{\alpha\mu} q \cdot p' - q_\beta p'_\mu) + C_5^A g_{\alpha\mu} + \frac{C_6^A}{M_N^2} q_\alpha q_\mu \right) \gamma_5 \right] u(p)$$

# Weak Resonance excitation

- Vector CC and NC form factors can be expressed in terms of EM ones

- CC:  $F_{1,2}^V = F_{1,2}^p - F_{1,2}^n$

- NC:  $\tilde{F}_{1,2}^{p(n)} = \left( \frac{1}{2} - 2 \sin^2 \theta_W \right) F_{1,2}^{p(n)} - F_{1,2}^{n(p)}$

- The same applies for  $C_{1,2,3}^V$

- Helicity amplitudes from  $\pi$  photo- and electro-production data

$$A_{1/2} = \sqrt{\frac{2\pi\alpha}{k_R}} \langle R, J_z = 1/2 | \epsilon_\mu^+ J_{\text{EM}}^\mu | N, J_z = -1/2 \rangle \zeta$$

$$A_{3/2} = \sqrt{\frac{2\pi\alpha}{k_R}} \langle R, J_z = 3/2 | \epsilon_\mu^+ J_{\text{EM}}^\mu | N, J_z = 1/2 \rangle \zeta$$

$$S_{1/2} = -\sqrt{\frac{2\pi\alpha}{k_R}} \frac{|\mathbf{q}|}{\sqrt{Q^2}} \langle R, J_z = 1/2 | \epsilon_\mu^0 J_{\text{EM}}^\mu | N, J_z = 1/2 \rangle \zeta$$

- Helicity amplitudes  $\Rightarrow$  EM form factors

# Weak Resonance excitation

- Axial transition form factors

- Poorly known (if at all...)

- PCAC:  $q^\alpha A_\alpha \approx 0$

- $\pi$ -pole dominance of the pseudoscalar form factor:  $F_P, C_6^A$

- $N^*(1440) J^P=1/2^+$

$$\text{PCAC} \Rightarrow F_P = -\frac{(M^* + M_N)M_N}{q^2 - m_\pi^2} F_A$$

$$\text{Using } \mathcal{L}_{N^*N\pi} = -\frac{g_{N^*N\pi}}{f_\pi} \bar{N}^* \gamma_\mu \gamma_5 (\partial^\mu \vec{\pi}) \vec{\tau} N \quad \begin{array}{l} g_{N^*N\pi} \Leftrightarrow \Gamma(N^* \rightarrow N\pi) \\ f_\pi \leftarrow \pi \text{ decay constant} \end{array}$$

$$\pi\text{-pole dominance} \Rightarrow F_P = -2g_{N^*N\pi} F(q^2) \frac{(M^* + M_N)M_N}{q^2 - m_\pi^2} \quad F(0) = 1$$

Therefore  $F_A(0) = 2g_{N^*N\pi} \leftarrow \text{Goldberger-Treiman relation}$

$$\text{Educated guess: } F_A(q^2) = F_A(0) \left(1 - \frac{q^2}{M_A^2}\right)^{-2} \quad M_A = 1 \text{ GeV}$$

# Weak Resonance excitation

- Axial transition form factors

- Poorly known (if at all...)

- PCAC:  $q^\alpha A_\alpha \approx 0$

- $\pi$ -pole dominance of the pseudoscalar form factor:  $F_P, C_6^A$

- $N^*(1535) J^P=1/2^-$

$$\text{PCAC} \Rightarrow F_P = -\frac{(M^* - M_N)M_N}{q^2 - m_\pi^2} F_A$$

$$\text{Using } \mathcal{L}_{N^*N\pi} = -\frac{g_{N^*N\pi}}{f_\pi} \bar{N}^* \gamma_\mu (\partial^\mu \vec{\pi}) \vec{\tau} N$$

$$g_{N^*N\pi} \Leftrightarrow \Gamma(N^* \rightarrow N\pi)$$

$f_\pi \leftarrow \pi$  decay constant

$$\pi\text{-pole dominance} \Rightarrow F_P = -2g_{N^*N\pi} F(q^2) \frac{(M^* - M_N)M_N}{q^2 - m_\pi^2} \quad F(0) = 1$$

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# Weak Resonance excitation

- Axial transition form factors

- Poorly known (if at all...)

- PCAC:  $q^\alpha A_\alpha \approx 0$

- $\pi$ -pole dominance of the pseudoscalar form factor:  $F_P, C_6^A$

- $N^*(1520) J^P=3/2^-$

$$\text{PCAC} \Rightarrow C_6^A = -\frac{M_N^2}{q^2 - m_\pi^2} C_5^A$$

$$\text{Using } \mathcal{L}_{N^*N\pi} = -\frac{g_{N^*N\pi}}{f_\pi} \bar{N}_\mu^* \gamma_5 (\partial^\mu \vec{\pi}) \vec{\tau} N$$

$$g_{N^*N\pi} \Leftrightarrow \Gamma(N^* \rightarrow N\pi)$$

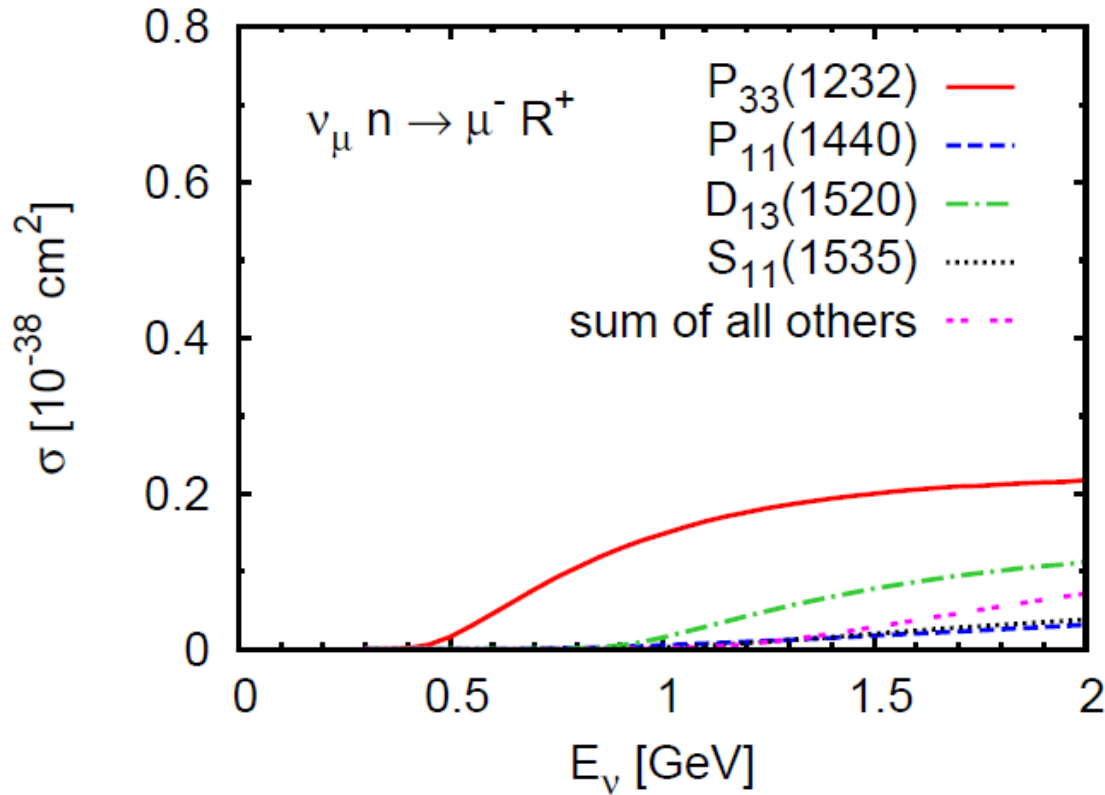
$f_\pi \leftarrow \pi$  decay constant

$$\pi\text{-pole dominance} \Rightarrow C_6^A = 2g_{N^*N\pi} F(q^2) \frac{(M^* - M_N)M_N}{q^2 - m_\pi^2} \quad F(0) = 1$$

Therefore  $C_5^A(0) = -2g_{N^*N\pi} \leftarrow$  Goldberger-Treiman relation

$$\text{Educated guess: } C_5^A(q^2) = C_5^A(0) \left(1 - \frac{q^2}{M_A^2}\right)^{-2} \quad M_A = 1 \text{ GeV} \quad C_3^A = C_4^A = 0$$

# Inclusive resonance production



T. Leitner, O. Buss, LAR, U. Mosel, PRC 79 (2009)  
 T. Leitner, PhD Thesis, 2009

- At  $E_\nu = 2$  GeV,  $\text{CCN}^*(1520)/\text{CC}\Delta \sim 0.5$ ,  $\text{CCN}^*(1440,1535)/\text{CC}\Delta \sim 0.22$
- $\text{N}^*(1520)$  is important for  $\nu_l N \rightarrow l N' \pi$

# Resonances in $\nu$ generators

- Rein-Sehgal model: Rein, Sehgal, Ann. Phys. 133 (1981) 79.
  - Used by almost all MC generators
  - Relativistic quark model of Feynman-Kislinger-Ravndal with SU(6) spin-flavor symmetry
  - Helicity amplitudes for 18 baryon resonances
  - Lepton mass = 0
    - Corrections: Kuzmin et al., Mod. Phys. Lett. A19 (2004)  
Berger, Sehgal, PRD 76 (2007)  
Graczyk, Sobczyk, PRD 77 (2008)
    - Poor description of  $\pi$  electroproduction data on p

# Resonances in $\nu$ generators

- Rein-Sehgal model: Rein, Sehgal, *Ann. Phys.* 133 (1981) 79.

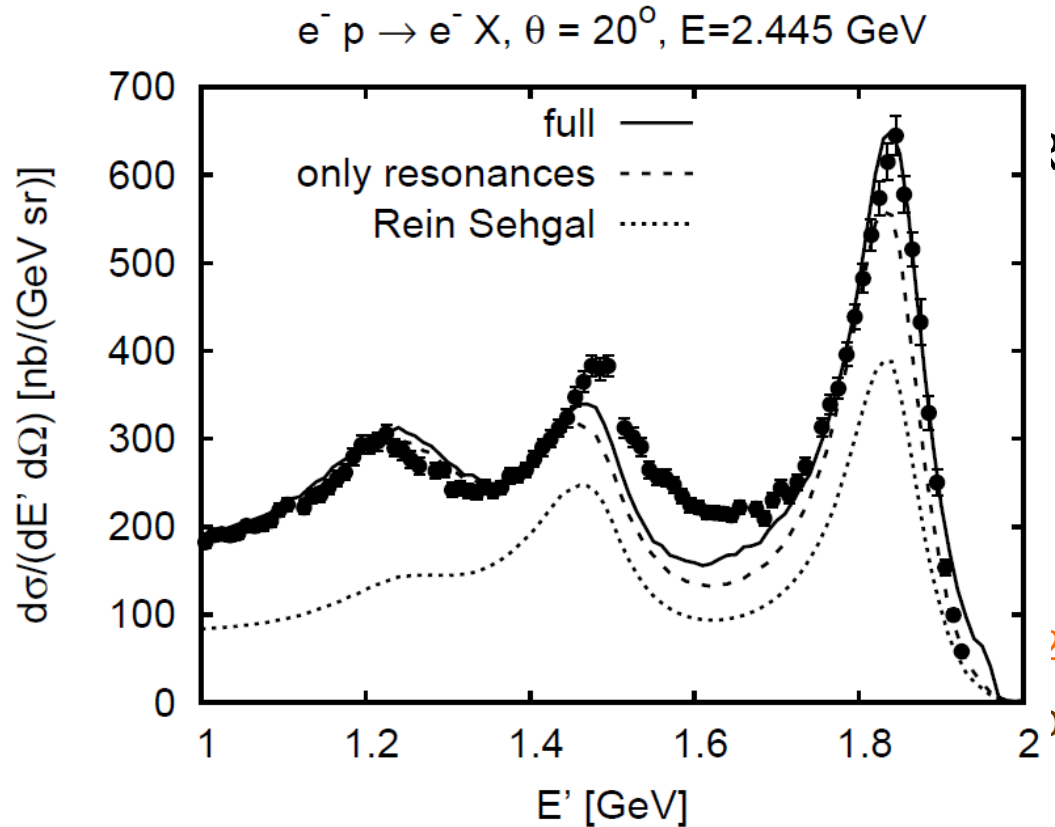
- Us

- Re

- sp

- He

- Le



avndal with SU(6)

Leitner et al., POS NUFACT08

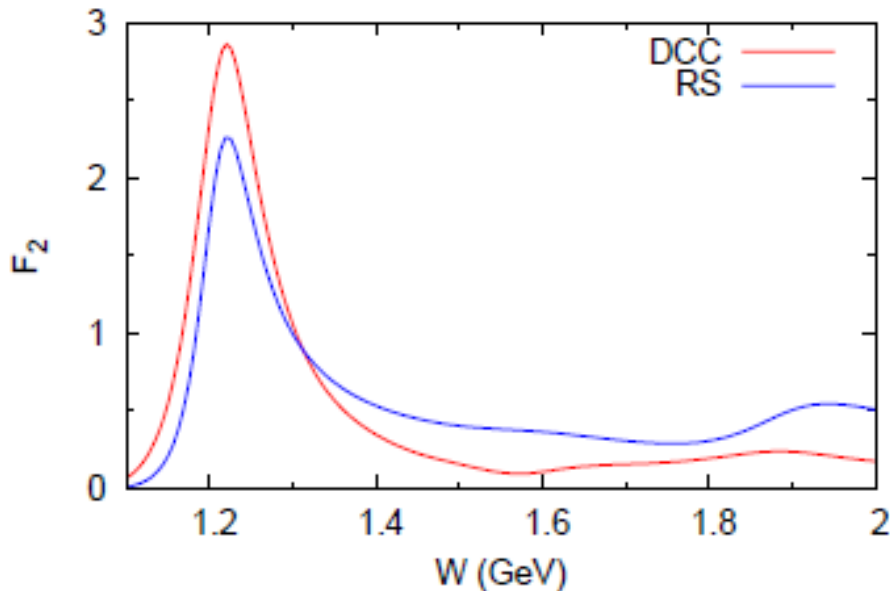
(2004)

# Resonances in $\nu$ generators

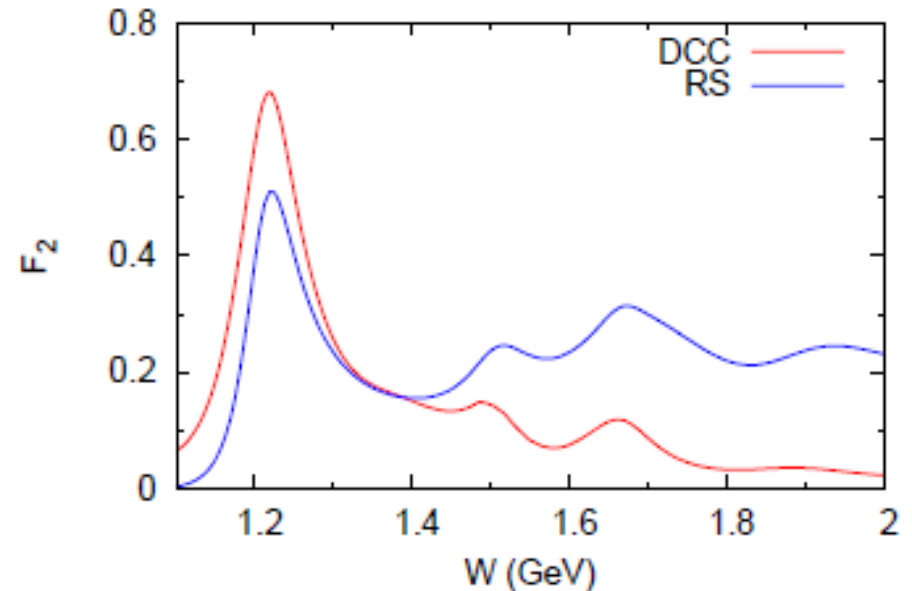
- Rein-Sehgal model: Rein, Sehgal, Ann. Phys. 133 (1981) 79.

- Used by almost all MC generators

$\nu_e + p \rightarrow e^- + p + \pi^+$



$\nu_e + n \rightarrow e^- + p + \pi^0$



- Also **unsatisfactory** in the axial sector: Kamano et al., PRD86 (2012)

PCAC (at  $Q^2 \rightarrow 0$ ):  $\pi N \rightarrow X \Leftrightarrow F_2$

# Summary

- A proper understanding of  $\nu$ -nucleon interactions is the **first step** towards a realistic description of  $\nu$ -nucleus scattering
- Inelastic scattering in the few-GeV region dominated by **baryon resonance excitation**
- Available experimental information from hadronic and electromagnetic reactions can be used to **constrain**  $\nu$ -nucleon interactions
- New measurements on **H** and **D** targets are highly desirable
  
- **Bibliography:**
  - A. W. Thomas, W. Weise, The structure of the nucleon
  - F. Halzen, A. D. Martin, Quarks and leptons
  - J. D. Walecka, Electron Scattering for Nuclear and Nucleon Structure
  - T. J. Leitner, Neutrino-Nucleus interaction in a coupled-channel hadronic transport model (PhD thesis)
  - LAR, Y. Hayato, J. Nieves, Progress and open questions in the physics of neutrino cross sections, arXiv:1403.2673, New J. Phys.

# NuSTEC School

- Fermilab, October 18-27, 2014
- Topics:
  - Electroweak interactions on the nucleon
  - Strong and electroweak interactions in nuclei
  - The nuclear physics of electron and neutrino scattering in nuclei in the quasielastic regime and beyond
  - Pion production on the nucleon
  - Description of exclusive channels and final state interactions
  - Inclusive electron and neutrino scattering in the deep inelastic regime
  - Impact of uncertainties in neutrino cross sections
  - Selected experimental illustrations