



Kaon Experiments at CERN

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Outline:

- 1) CERN kaon programme and the NA62 experiment
- 2) The golden decay mode: $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
- 3) Lepton flavour/number violation in K decays
- 4) The dark photon and rare π^0 decays
- 5) Selected recent results and prospects
- 6) Possible long-term developments



Energy & precision frontiers

Discovery of a **Higgs boson**: success of the **Standard Model** (SM)

Limitations of the SM:

SM matter $\approx$ **5%** of total mass-energy



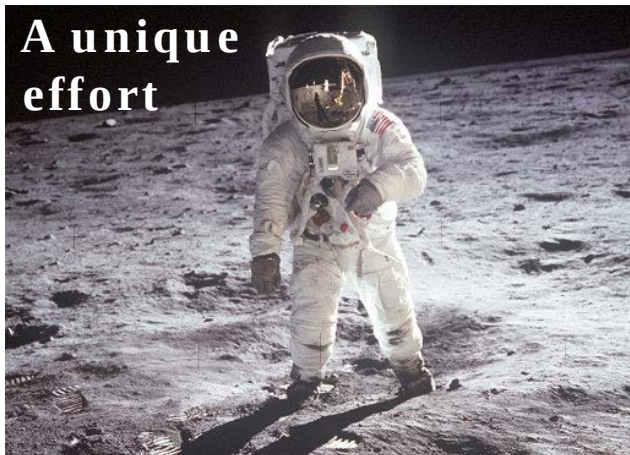
“New physics” extensions:
undiscovered particles

Searches for New physics: two complementary approaches



Energy frontier (LHC)

Direct production of new particles
in high-energy collisions.



Precision (intensity) frontier

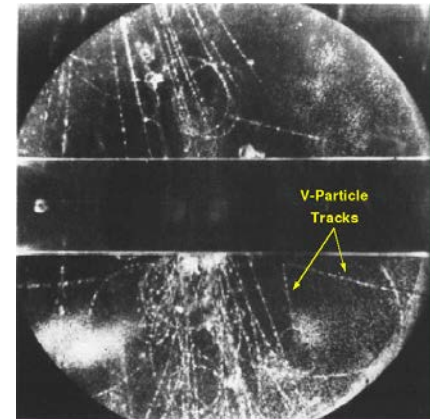
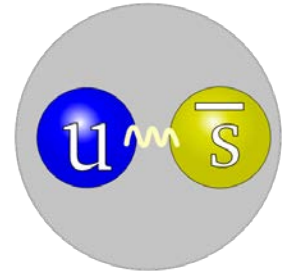
Low-energy observables:
tests of precise SM predictions
for rare or forbidden processes.



Precision frontier: kaon physics

The kaon:

- ❖ One of the lightest unstable particles; the “**minimal flavour laboratory**”.
- ❖ Discovered in **1947**.
- ❖ High production rates: high statistical precision.
- ❖ Essential in establishing the **foundations of particle physics** (quark mixing, matter-antimatter asymmetry).
- ❖ Current focus: searches for **new physics** with rare and forbidden decays.



Kaon physics facilities

BNL

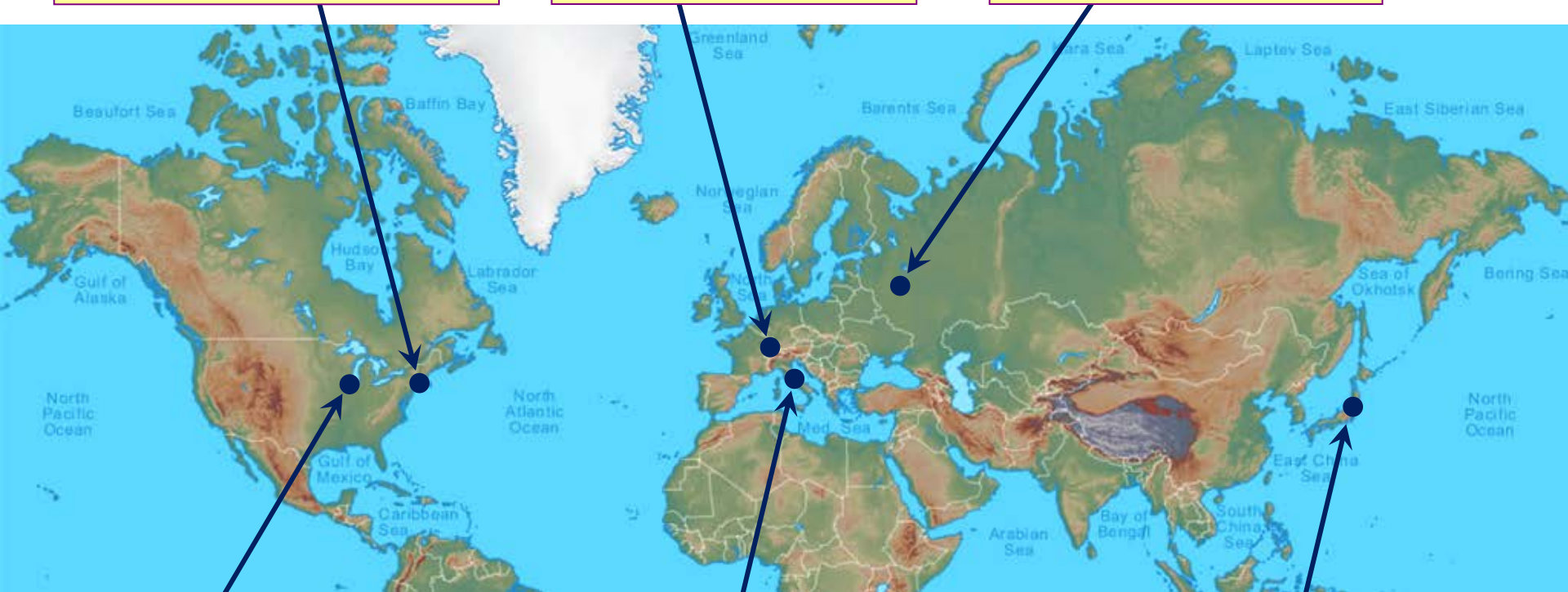
E865, E777, E787, E949

CERN

NA48, **NA62**, LHCb

IHEP Protvino

ISTRA+, OKA, KLOD



FNAL

KTeV, ORKA

LNF

KLOE, KLOE-2

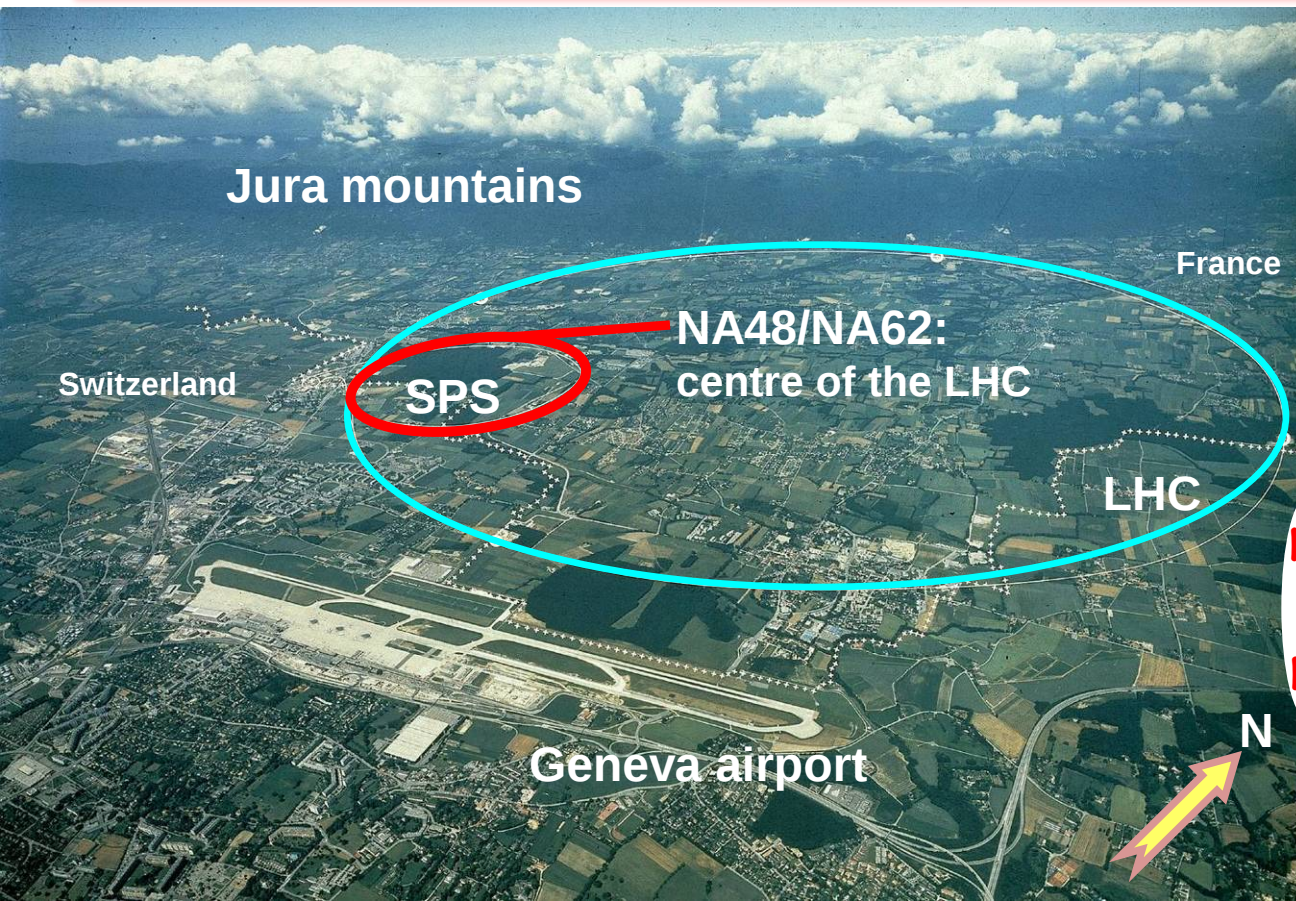
KEK/J-PARC

E391a, **KOTO**, TREK

A variety of experimental techniques:

K decay-in-flight (e.g. CERN), stopped **K⁺**, ϕ factory

CERN NA48/NA62 experiments



Kaon decay in flight experiments.
NA62: currently ~200 participants, 29 institutions

Earlier: NA31

1997: ϵ'/ϵ : $K_L + K_S$

1998: $K_L + K_S$

1999: $K_L + K_S$ | K_S HI

2000: K_L only | K_S HI

2001: $K_L + K_S$ | K_S HI

NA48
discovery
of direct
CPV

NA48/1

2002: K_S /hyperons

NA48/2

2003: K^+/K^-

2004: K^+/K^-

NA62
 R_K phase

2007: $K_{e2}^\pm/K_{\mu2}^\pm$ | tests

2008: $K_{e2}^\pm/K_{\mu2}^\pm$ | tests

NA62

2012: technical run

2014: 1st $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ run

Recent $K^\pm$ experiments at CERN

Experiment	NA48/2 ($K^\pm$)	NA62-R _K ($K^\pm$)	NA62 (K^+ ; <i>planned</i>)
Data taking period	2003–2004	2007–2008	2014–2017
Beam momentum, GeV/c	60	74	75
RMS momentum bite, GeV/c	2.2	1.4	0.8
Spectrometer thickness, X_0	2.8%	2.8%	1.8%
Spectrometer P_T kick, MeV/c	120	265	270
$M(K^\pm \rightarrow \pi^\pm \pi^+ \pi^-)$ resolution, MeV/c ²	1.7	1.2	0.8
K decays in fiducial volume	2×10^{11}	2×10^{10}	1.2×10^{13}
Main trigger	multi-track; $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$	$e^\pm$	$K_{\pi\nu\nu} + \dots$

Same detector (NA48)

The new NA62 detector:

- ❖ beam spectrometer and kaon tagger;
- ❖ improved mass reconstruction and particle identification;
- ❖ hermetic photon veto.

NA48/2 and NA62-R_K detector

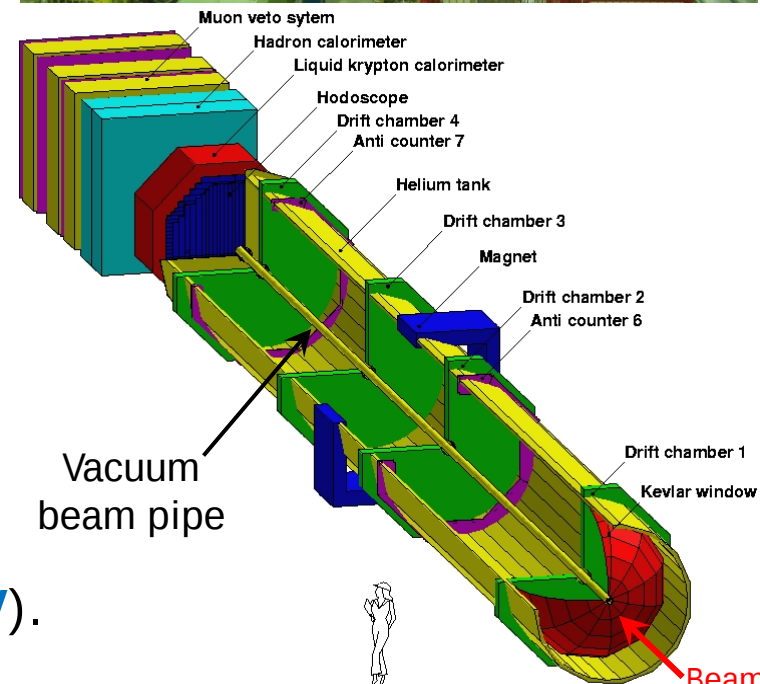
2003–2008: charged kaon beams,
the “old” NA48 detector

Narrow momentum band $K^\pm$ beams:
 $P_K = 60$ (74) GeV/c, $\delta P_K/P_K \sim 1\%$ (rms).

- ❖ Maximum $K^\pm$ decay rate ~ 100 kHz;
- ❖ **NA48/2**: six months in 2003–04;
- ❖ **NA62-R_K**: four months in 2007.

Principal subdetectors:

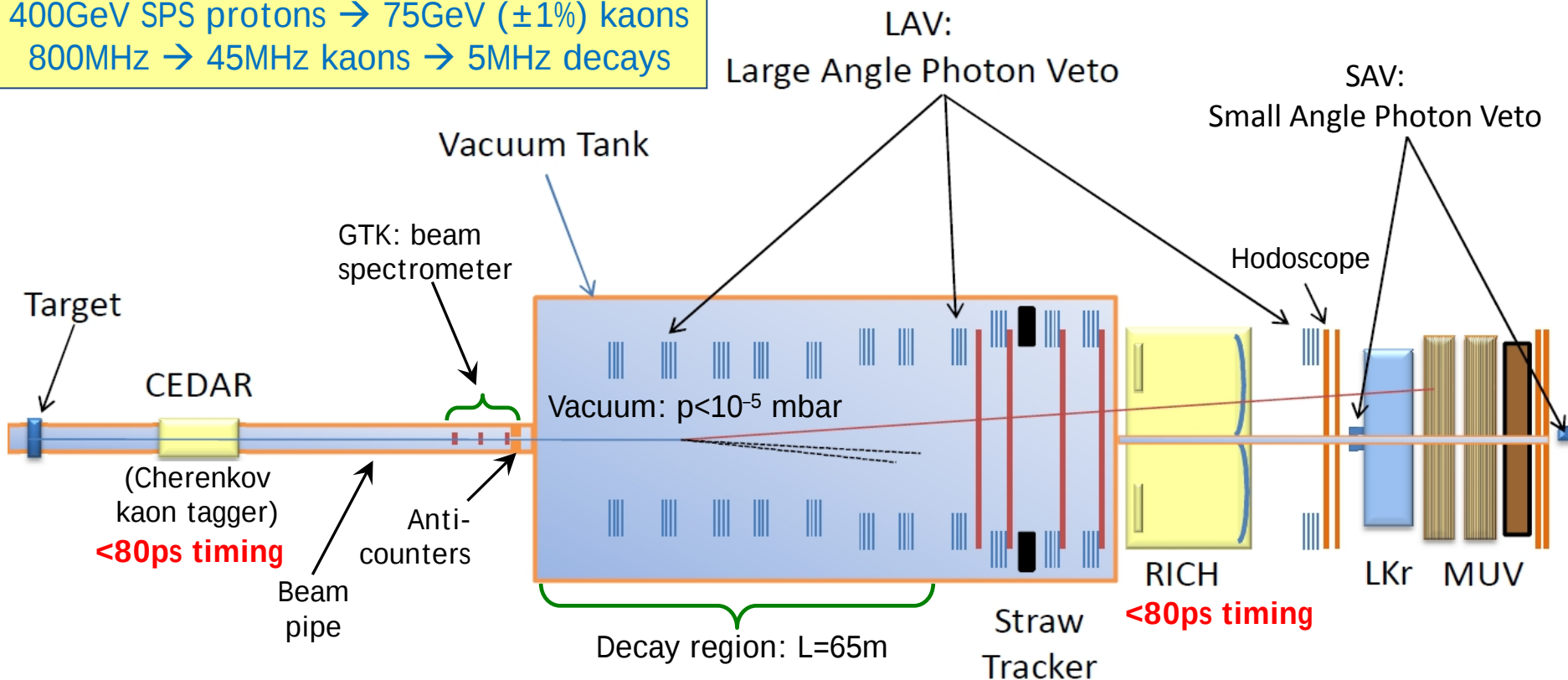
- ❖ **Magnetic spectrometer (4 DCHs)**
4 views/DCH: redundancy $\Rightarrow$ efficiency;
 $\delta p/p = 0.48\% \oplus 0.009\%$ [GeV/c] (in 2007)
- ❖ **Scintillator hodoscope**
Fast trigger, time measurement (**150ps**).
- ❖ **Liquid Krypton EM calorimeter (LKr)**
High granularity, quasi-homogeneous;
 $\sigma_E/E = 3.2\%/E^{1/2} \oplus 9\%/E \oplus 0.42\%$ [GeV];
 $\sigma_x = \sigma_y = 4.2\text{mm}/E^{1/2} \oplus 0.6\text{mm}$ (**1.5mm@10GeV**).



NA62 detector

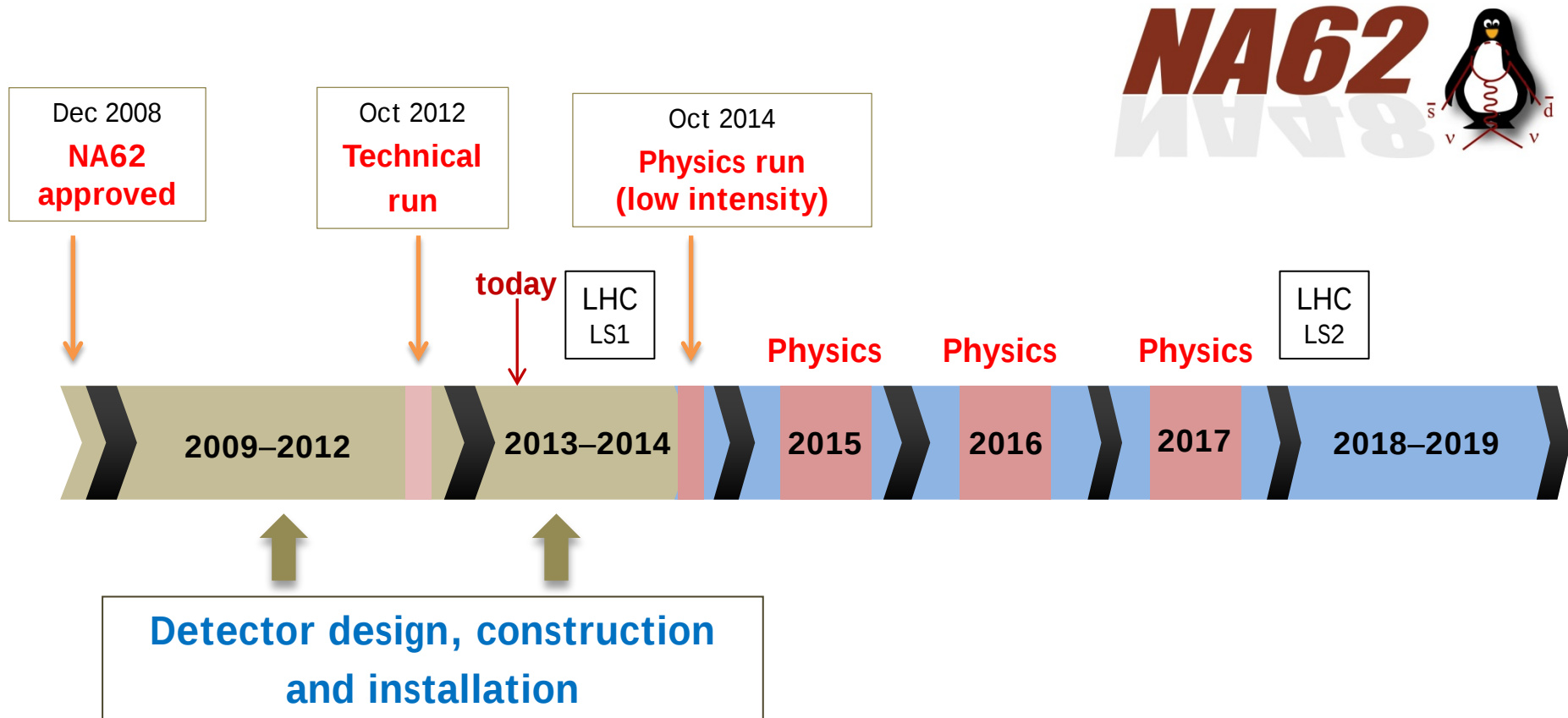
Un-separated hadron ($p/\pi^+/K^+$) beam:
 400GeV SPS protons $\rightarrow$ 75GeV ($\pm 1\%$) kaons
 800MHz $\rightarrow$ 45MHz kaons $\rightarrow$ 5MHz decays

Total length: $\sim 270\text{m}$



- ❖ Kinematic rejection factors (limited by beam pileup and tails of MCS):
 5×10^3 for $K^+ \rightarrow \pi^+ \pi^0$, 1.5×10^4 for $K \rightarrow \mu^+ \nu$.
- ❖ Hermetic photon veto: $\sim 10^8$ suppression of $\pi^0 \rightarrow \gamma\gamma$.
- ❖ Particle ID (RICH+LKr+MUV): $\sim 10^7$ muon suppression.

NA62 timeline



- ❖ Five years of construction interleaved with a technical run in **October 2012**
- ❖ First run with full detector: **October–December 2014**
- ❖ Physics data taking between the two LHC Long Shutdowns (LS1 to LS2)

NA62 construction & tests

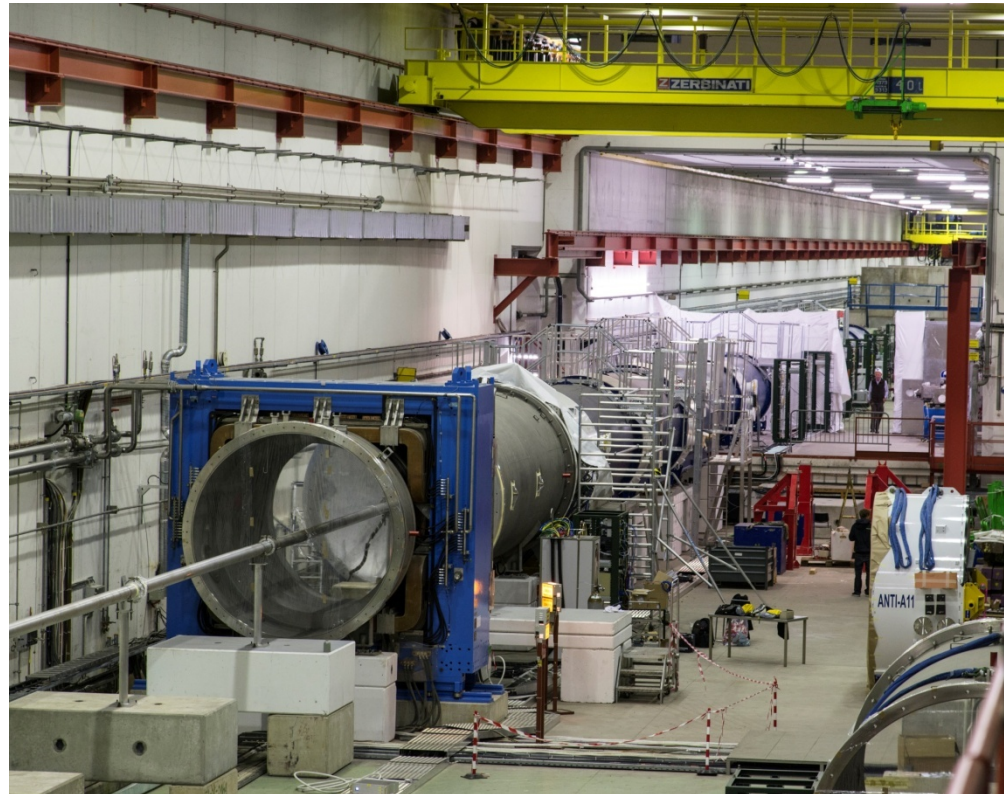
Photon veto (LAV) installation



Cherenkov kaon tagger (CEDAR+KTAG)



General view, October 2012

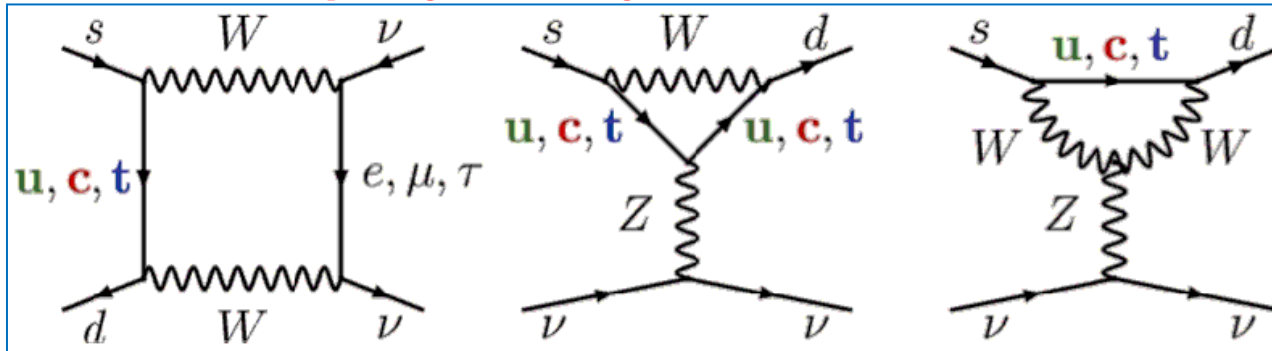


The background of the slide is a close-up, slightly blurred photograph of numerous gold bars stacked in rows. The bars are shiny and have a warm golden-yellow hue. A semi-transparent yellow rounded rectangle is centered over the image, containing the title text.

The golden decay: $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

The challenge: $K \rightarrow \pi \nu \bar{\nu}$

SM: box and penguin diagrams



Ultra-rare decays with the highest CKM suppression:

$$A \sim (m_t/m_W)^2 |V_{ts}^* V_{td}| \sim \lambda^5$$

- ❖ Hadronic matrix element related to a measured quantity ($K^+ \rightarrow \pi^0 e^+ \nu$).
- ❖ SM precision surpasses any other FCNC process involving quarks.
- ❖ Measurement of $|V_{td}|$ complementary to those from $B-\bar{B}$ mixing or $B^0 \rightarrow \rho \gamma$.

SM branching ratios

Brod et al., PRD 83 (2011) 034030

Mode	$BR_{SM} \times 10^{11}$
$K^+ \rightarrow \pi^+ \nu \bar{\nu} (\gamma)$	$7.81 \pm 0.75 \pm 0.29$
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	$2.43 \pm 0.39 \pm 0.06$



 CKM parametric Intrinsic

Theoretically clean,
almost unexplored,
sensitive to new physics.

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ at BNL E747/E949

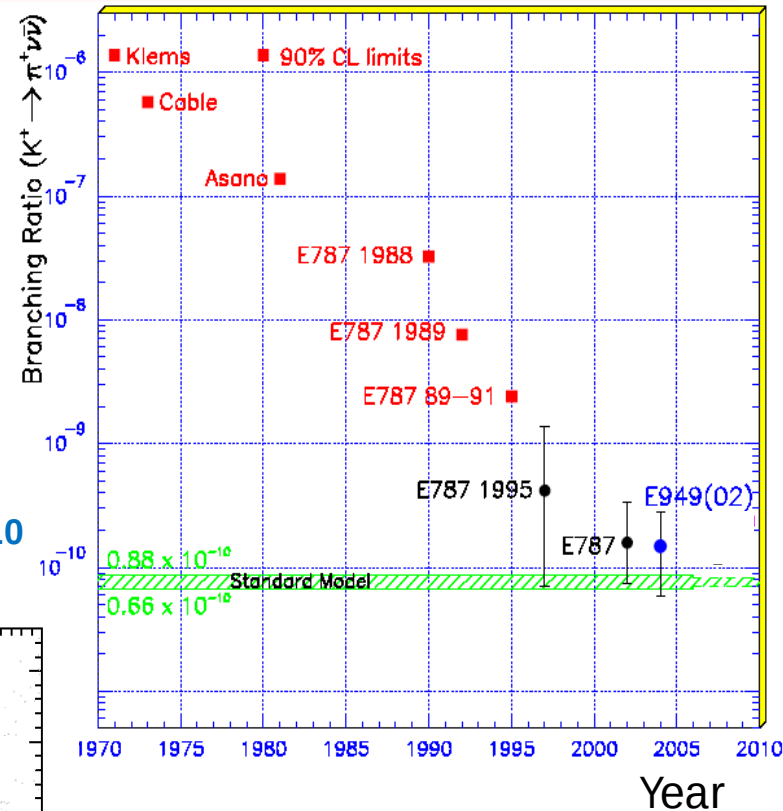
Technique: K^+ decay at rest

Data taking: E787 (1995–98), E949 (2002).
Separated K^+ beam (710 MeV/c, 1.6 MHz).
PID: range (entire $\pi^+ \rightarrow \mu^+ \rightarrow e^+$ decay chain).
Hermetic photon veto system.

Observed candidates: **7**

Expected background: **2.6**

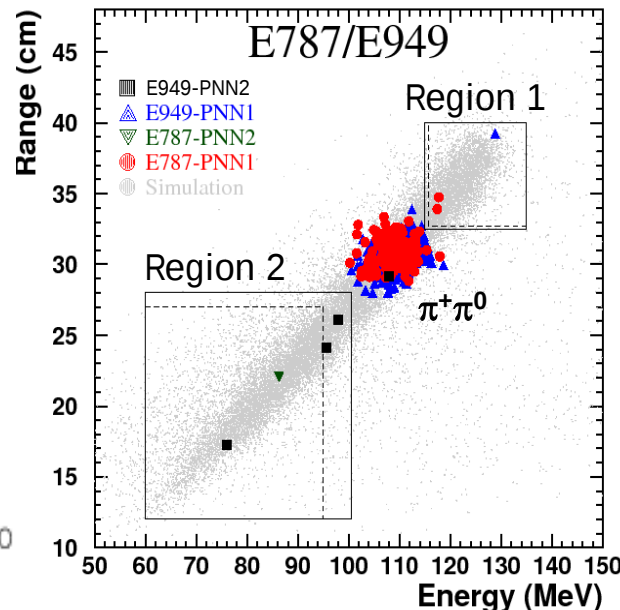
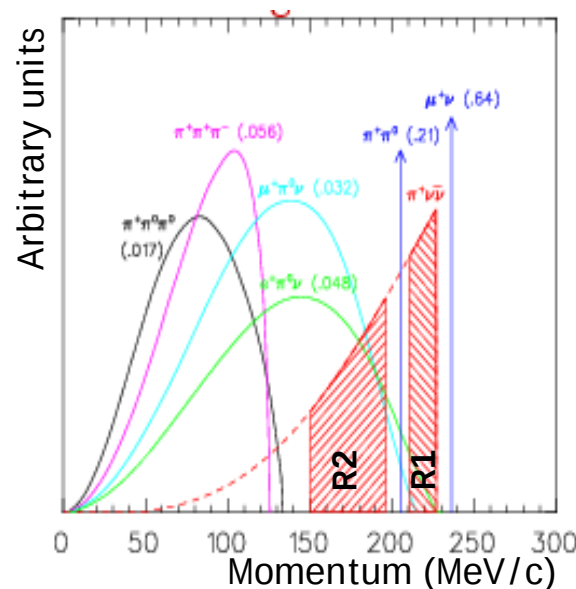
Final result: **$BR = (1.73^{+1.15}_{-1.05}) \times 10^{-10}$**



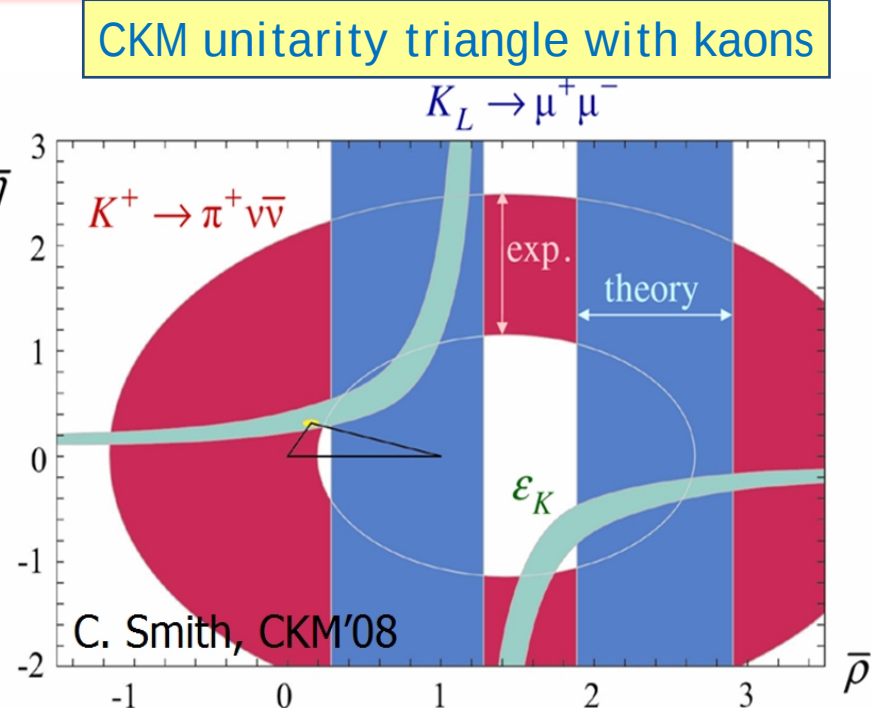
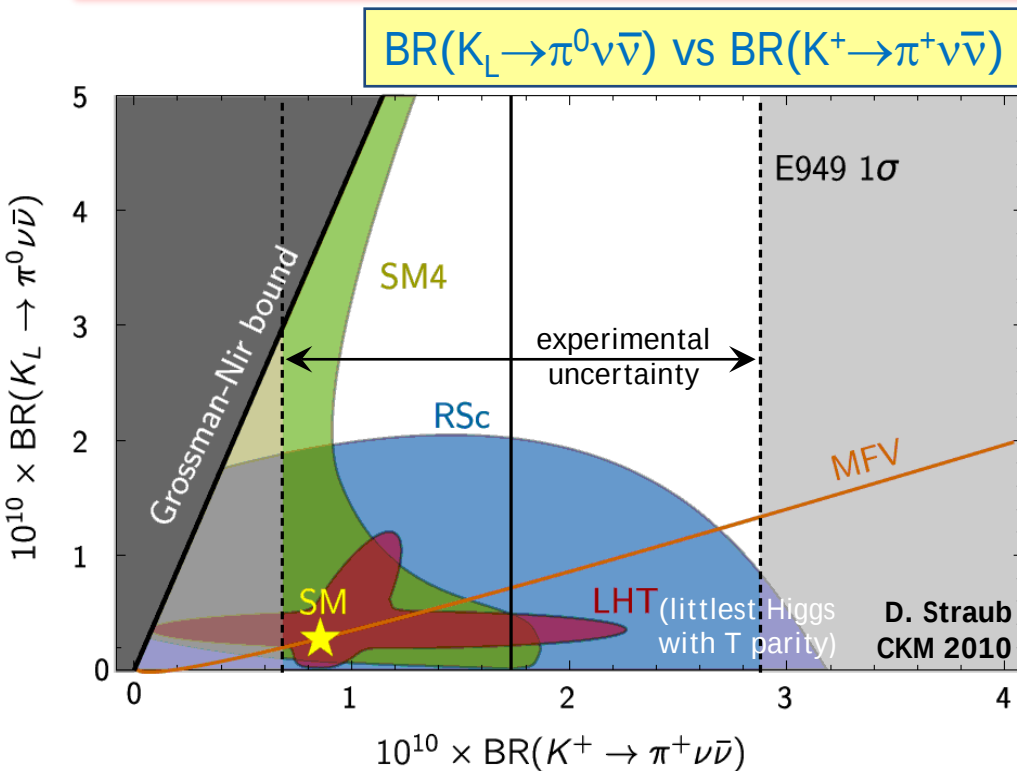
Background mainly in Region 2, due to $K_{2\pi}$ decay with π^+ scattering in the target.

*PRL 101 (2008) 191802;
PRD 79 (2009) 092004*

12



Experiment vs theory



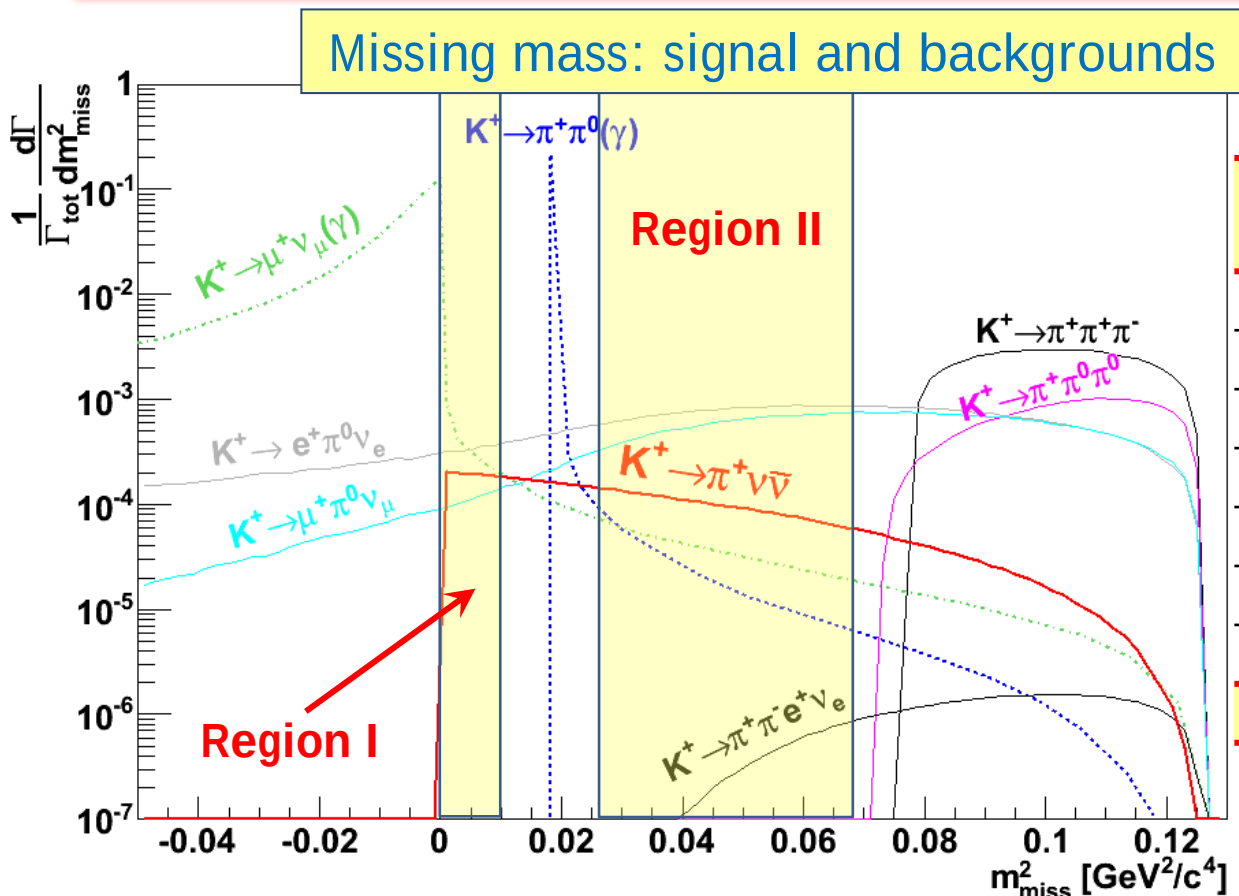
NA62 aim: collect $O(100)$ SM $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ decays with $<20\%$ background in 3 years of data taking using a novel decay-in-flight technique.

Signature: high momentum K^+ ($75\text{GeV}/c$) $\rightarrow$ low momentum π^+ ($15\text{--}35\text{ GeV}/c$).

Advantages: max detected K^+ decays/proton ($p_K/p_0 \approx 0.2$); efficient photon veto ($>40\text{ GeV}$ missing energy); good π^+ vs μ^+ identification with RICH.

Un-separated beam (6% kaons) $\rightarrow$ higher rates, additional backgrounds.

NA62: $K_{\pi\nu\nu}$ signal region



Signal & backgrounds (events/year)	
Signal	45
$K^+ \rightarrow \pi^+ \pi^0$	5
$K^+ \rightarrow \mu^+ \nu$	1
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	<1
Other 3-track decays	<1
$K^+ \rightarrow \pi^+ \pi^0 \gamma$ (IB)	1.5
$K^+ \rightarrow \mu^+ \nu \gamma$ (IB)	0.5
Total background	<10

92% of total $\text{BR}(K^+)$:

- ❖ Outside the signal kinematic region.
- ❖ Signal region is split into **Region I** and **Region II** by the $K^+ \rightarrow \pi^+ \pi^0$ peak.

8% of total $\text{BR}(K^+)$ including multi-body:

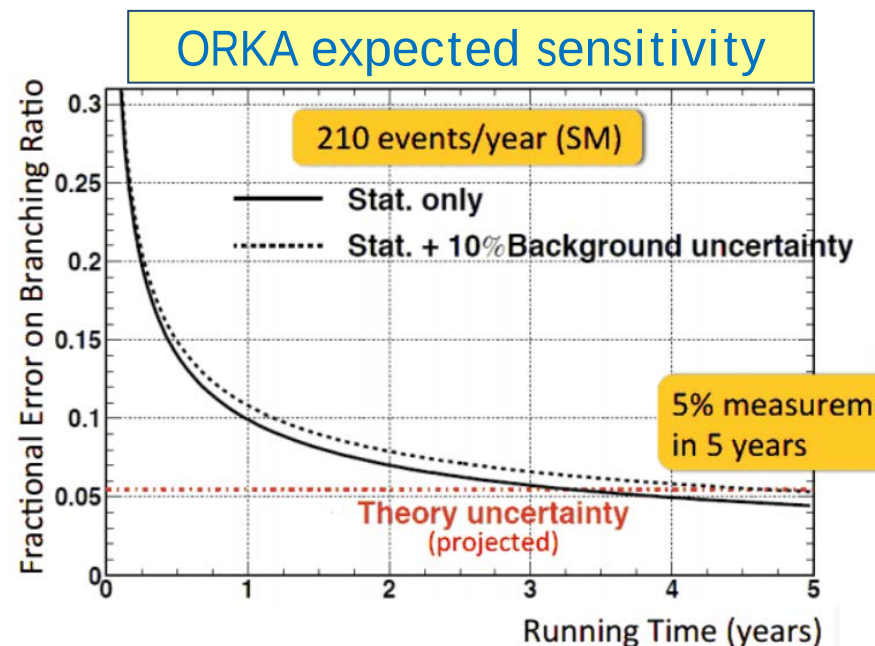
- ❖ Span across the signal region (not rejected by kinematic criteria).
- ❖ Rejection relies on **vetoes**, **PID**.

Other $K_{\pi\nu\nu}$ experiments

ORKA proposal @ FNAL (K^+)

- ❖ Builds on BNL stopped-kaon technique.
- ❖ Goal: **$O(10^3)$** SM $K^+ \rightarrow \pi^+ \nu \nu$ events.
- ❖ Higher sensitivity than NA62 due to more time scheduled for data taking.

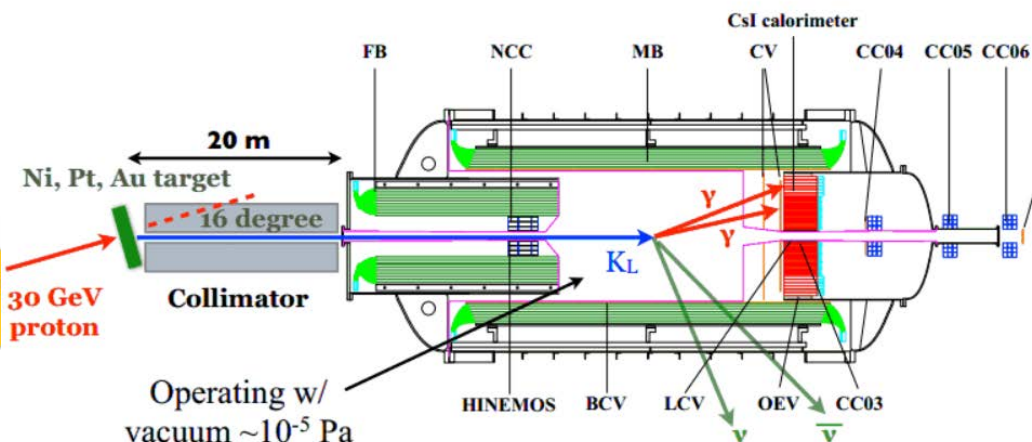
22 May 2014: DOE P5 “cannot recommend moving ahead with ORKA at this time”



KOTO @ J-PARC (K_L):

- ❖ Builds on KEK E391a technique.
- ❖ E391a: **$BR < 6.8 \times 10^{-8}$** @ 90%CL.
- ❖ Expect **$\sim 10^3$** times higher sensitivity.
- ❖ Goal: **~ 3** SM $K_L \rightarrow \pi^0 \nu \nu$ events.
- ❖ Data taking: **2013–2017**.
- ❖ Possibly to be followed by KOTO-2: **~ 100** SM events.

“Two photons + nothing”



Lepton Flavour and Lepton Number Violation

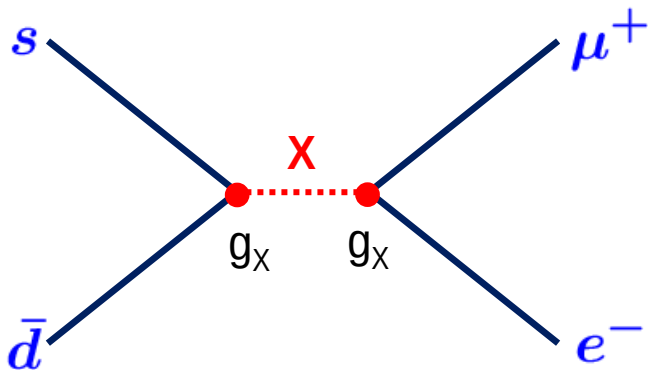
LFV in kaon decays

Kaons: historically competitive in searches for LFV phenomena

- ❖ Copious production: high statistics.
- ❖ Simple decay topologies: clean experimental signatures.
- ❖ Source of tagged π^0 via $K^+ \rightarrow \pi^+ \pi^0$, $K_L \rightarrow 3\pi^0$, ... :
best limits for LFV π^0 decays.

Tree-level contributions: high NP mass scales accessible

Example: $K_L \rightarrow \mu^+ e^-$



Dimensional argument:

$$\frac{\Gamma_X}{\Gamma_{\text{SM}}} \sim \left(\frac{g_X}{g_W} \cdot \frac{M_W}{M_X} \right)^4$$

For $g_X \approx g_W$ and $\mathcal{B} \sim 10^{-12}$,

$$M_X \sim 100 \text{ TeV}$$

LFV in $K^\pm$ and π^0 decays

Mode	UL at 90% CL	Experiment	Reference
$K^+ \rightarrow \pi^+ \mu^+ e^-$	1.3×10^{-11}	BNL E777/E865	PRD 72 (2005) 012005
$K^+ \rightarrow \pi^+ \mu^- e^+$	5.2×10^{-10}	BNL E865*	PRL 85 (2000) 2877
$K^+ \rightarrow \pi^- \mu^+ e^+$	5.0×10^{-10}		
$K^+ \rightarrow \pi^- e^+ e^+$	6.4×10^{-10}		
$K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$	1.1×10^{-9}	CERN NA48/2	PLB 697 (2011) 107
$K^+ \rightarrow \mu^- \nu e^+ e^+$	2.0×10^{-8}	Geneva-Saclay	PL 62B (1976) 485
$K^+ \rightarrow e^- \nu \mu^+ \mu^+$	no data		
$\pi^0 \rightarrow \mu^+ e^-$	3.6×10^{-10}	FNAL KTeV	PRL 100 (2008) 131803
$\pi^0 \rightarrow \mu^- e^+$	3.6×10^{-10}		

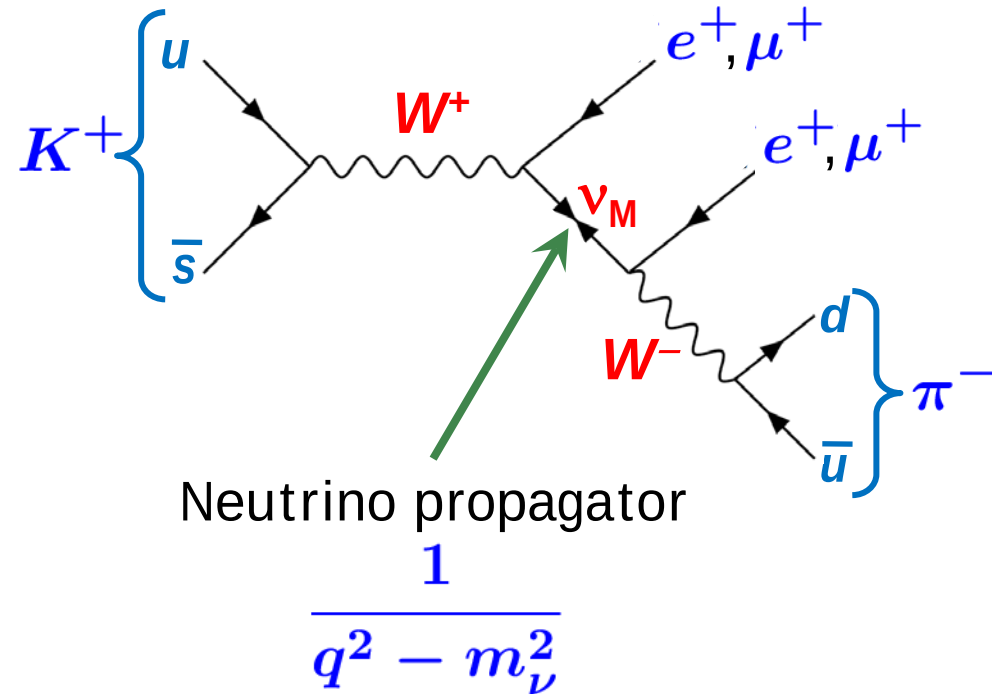
* CERN NA48/2 sensitivities for these 3 modes are similar to those of BNL E865

Expected NA62 single event sensitivities:
 $\sim 10^{-12}$ for $K^\pm$ decays, $\sim 10^{-11}$ for π^0 decays.

- ❖ NA62 is capable of improving on all these decay modes.
- ❖ Possibly worse sensitivity to decays to ee pairs (trigger downscaling).
- ❖ The 2014 data alone might be competitive (e.g. $K^+ \rightarrow \pi^- \mu^+ \mu^+$).

Sensitivity to Majorana neutrino

$$K^+ \rightarrow \pi^- \ell_1^+ \ell_2^+, \quad \ell = e, \mu$$

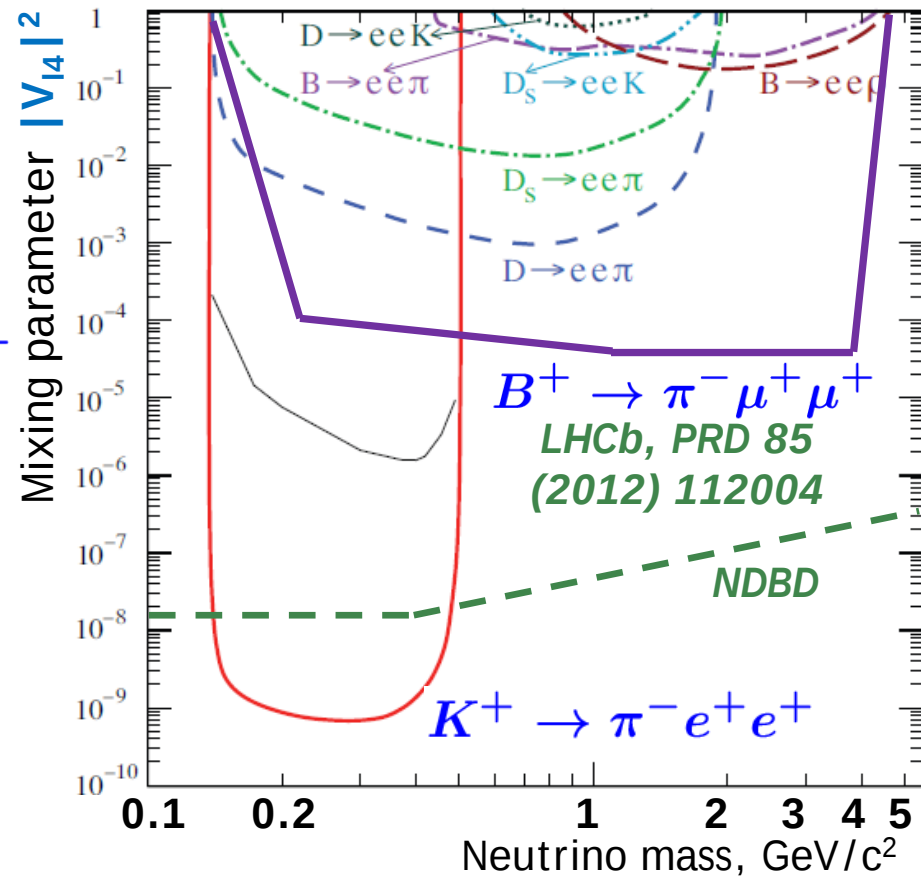


→ resonant enhancement for

$$m_\pi \lesssim m_\nu \lesssim m_K$$

Littenberg and Shrock,
PLB491 (2000) 285

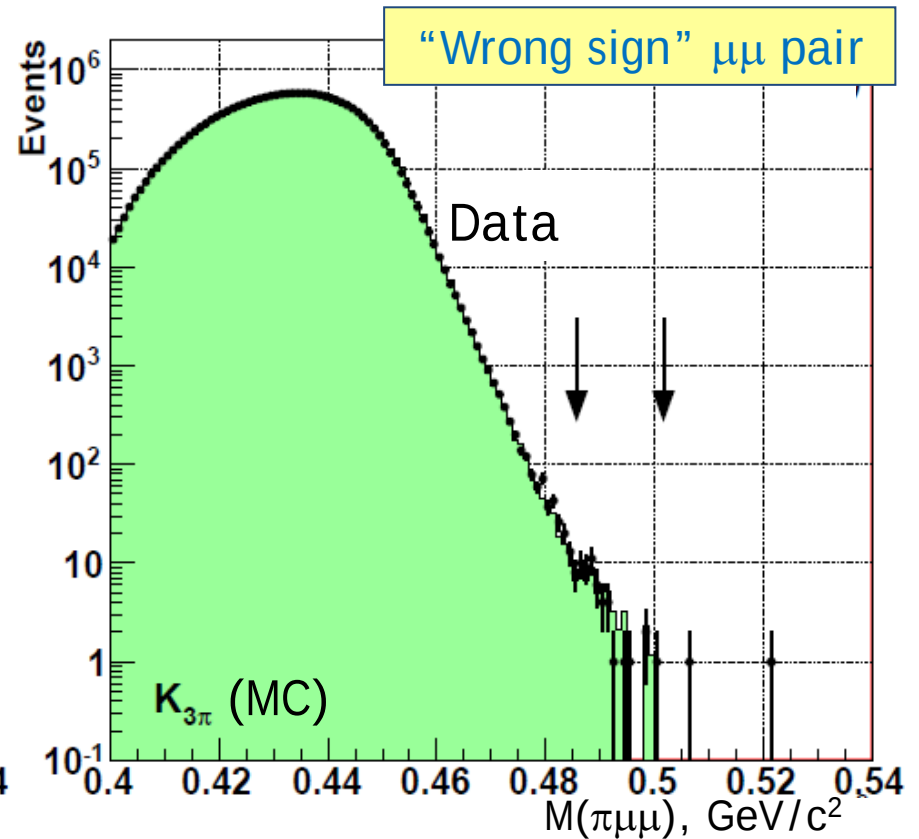
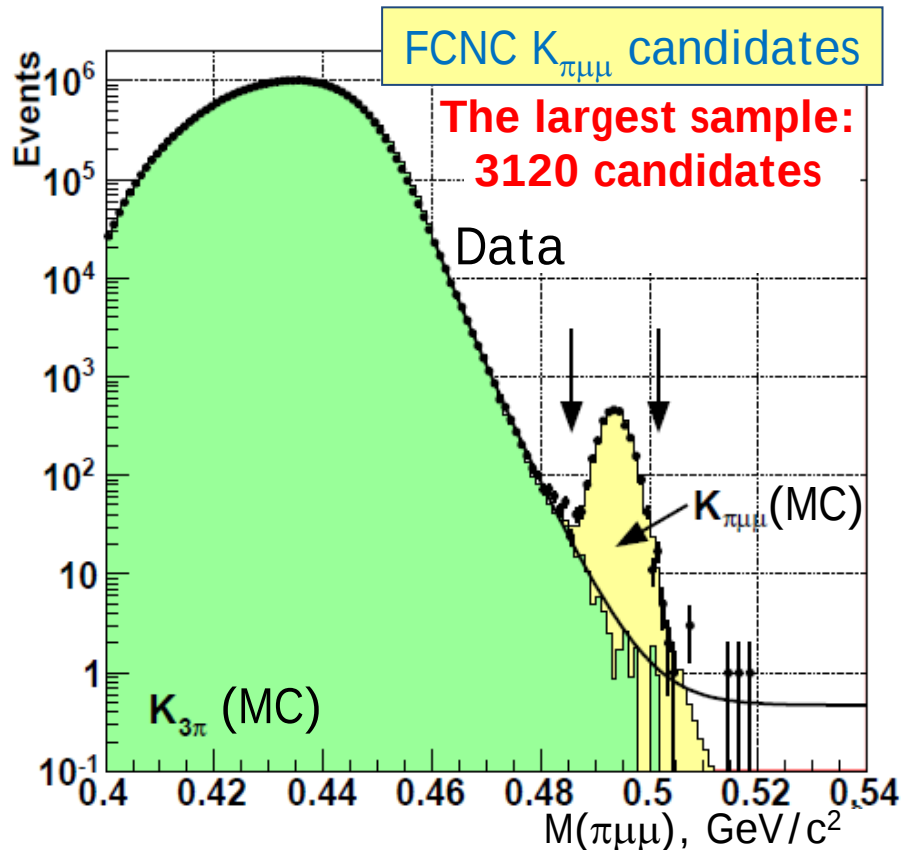
Majorana neutrino exclusion regions
from existing data



Plot from Atre et al.,
JHEP 0905 (2009) 030

NA48/2 $K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$ upper limit

PLB 697 (2011) 107



$$N_{\text{data}} = 52$$

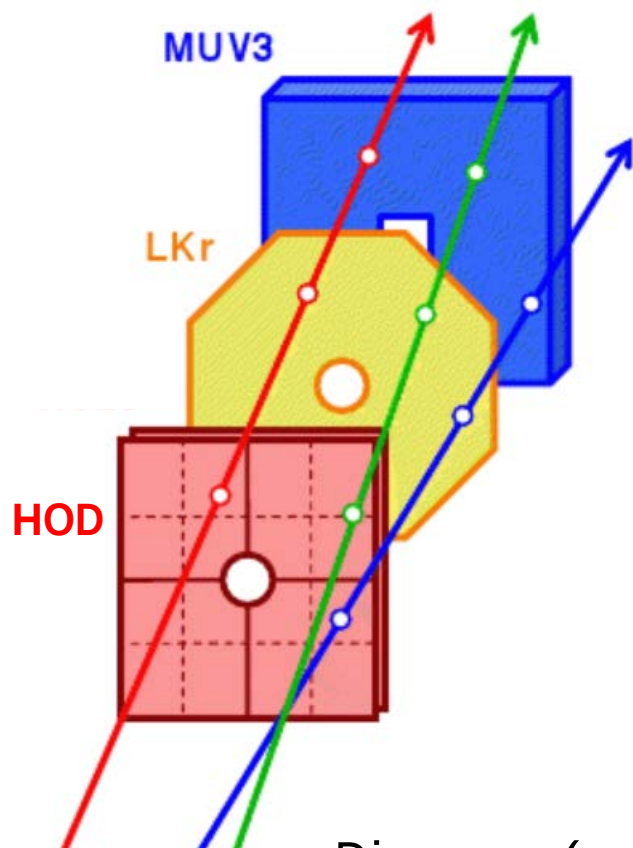
$$N_{\text{bkg}} = 52.6 \pm 19.8_{\text{syst.}}$$

$$\Rightarrow \mathcal{B}(K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm) < 1.1 \times 10^{-9} \text{ @90\% CL}$$

- ❖ Precision limited by background from $\pi^\pm \rightarrow \mu^\pm \nu$, despite $\text{SES} \approx 3 \times 10^{-11}$.
- ❖ Flat phase space assumed (rather than Majorana neutrino exchange).
- ❖ A dedicated re-analysis has a potential sensitivity of $\sim 10^{-10}$.

NA62: di-lepton trigger

NA62 three-track decay rate upstream HOD: $F_{3\text{track}} = 640 \text{ kHz}$
→ **Too high** to collect all three-track decays (the NA48/2 approach)



Available L0 trigger primitives:

- ❖ Q_N : at least **N** hodoscope quadrants;
- ❖ $LKR(x)$: total LKr energy deposit of at least **x** GeV;
- ❖ MUV_N : hits in at least **N** MUV3 pads.

L0 trigger conditions for di-lepton collection:

ee pair:	$Q_2 \times LKR(10)$
μe pair:	$Q_2 \times LKR(10) \times MUV_1$
$\mu\mu$ pair:	$Q_2 \times MUV_2$

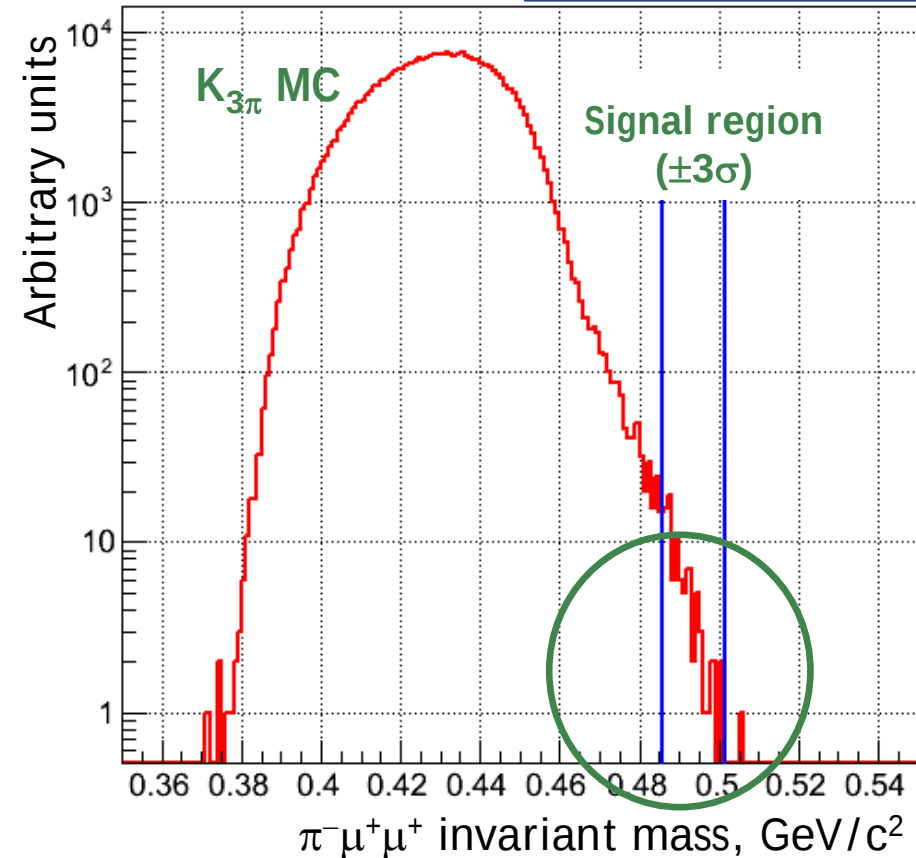
Di-muon ($\mu\mu$) rate dominated by accidentals;

ee and μe rates dominated by $K^+ \rightarrow \pi^+ \pi^+ \pi^-$ and $K^+ \rightarrow \pi^+ \pi^0$.

Total rate $F \sim 100 \text{ kHz}$: charge blind di-lepton collection is feasible.

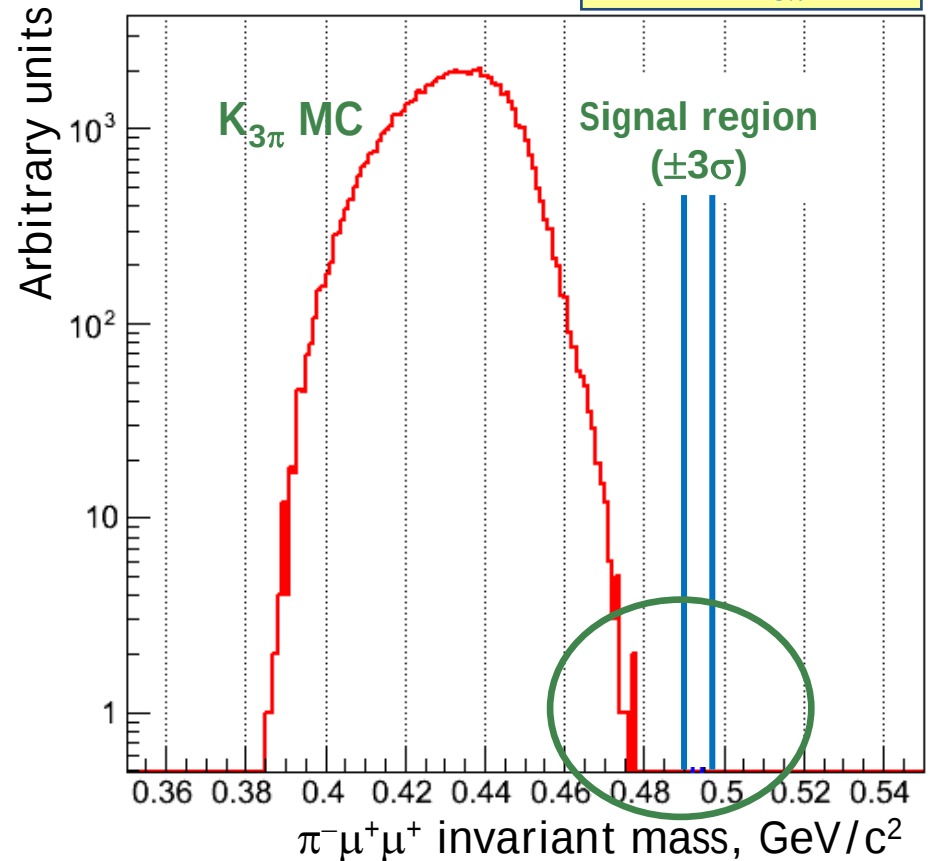
$K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$ at NA62

NA48/2 ($K_{3\pi}$ MC)



NA48/2: $K_{3\pi}$ background to $K_{\pi\mu\mu}$ due to $\pi^\pm \rightarrow \mu^\pm \nu$ decays in the spectrometer

NA62 ($K_{3\pi}$ MC)



NA62: no $K_{3\pi}$ background expected due to high spectrometer P_T (270 vs 120 MeV/c) and improved $\pi\mu\mu$ mass resolution (1.1 vs 2.6 MeV/c^2)

Search for the dark photon and rare π^0 decays

Dark photon: experimental status

Pospelov, PRD80 (2009) 095002

Secluded U(1) sector with weak admixture to photons: a natural SM extension.

A new light vector boson: the **dark photon**.

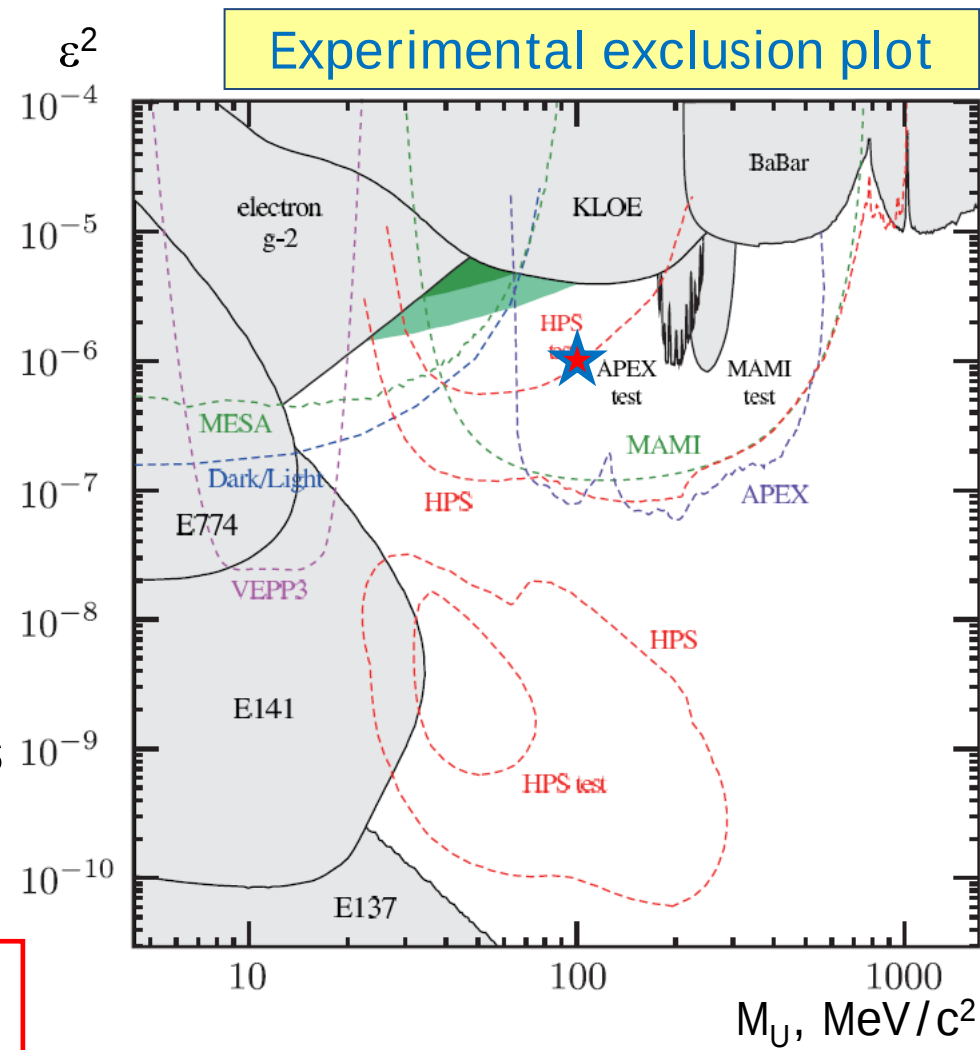
Possible parameters:

mixing parameter: $\epsilon^2 \sim (\alpha/\pi)^2 \sim 10^{-6}$,
DP mass: $M_U \sim \epsilon M_Z \sim 100 \text{ MeV}/c^2$.

Explains astrophysical observations and the **3.6σ** muon **$g-2$** anomaly.

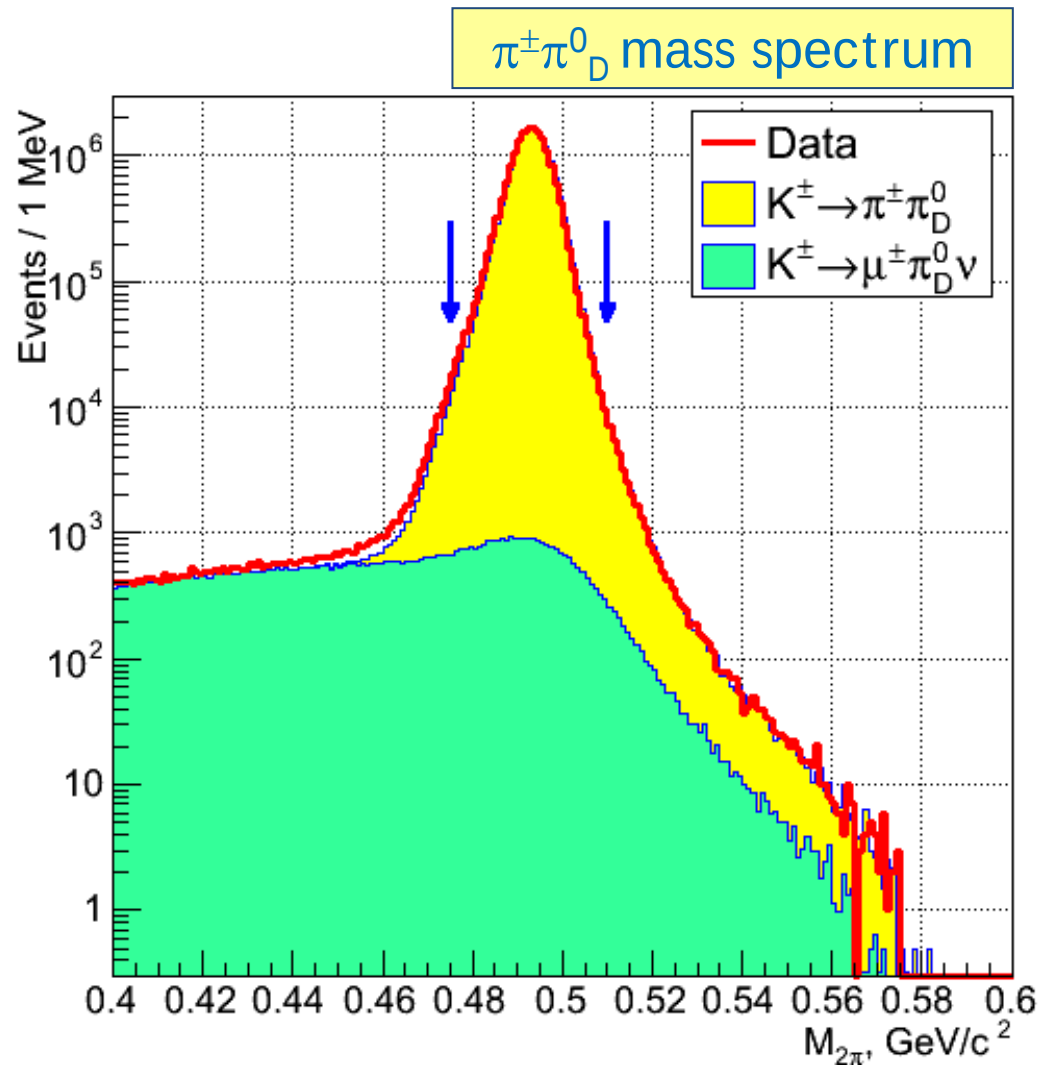
Davoudiasl et al., PRD89 (2014) 095006

NA48/NA62 are well suited to explore the favoured region
($\epsilon^2 \approx 10^{-6}$, $M_U \approx 100 \text{ MeV}/c^2$)



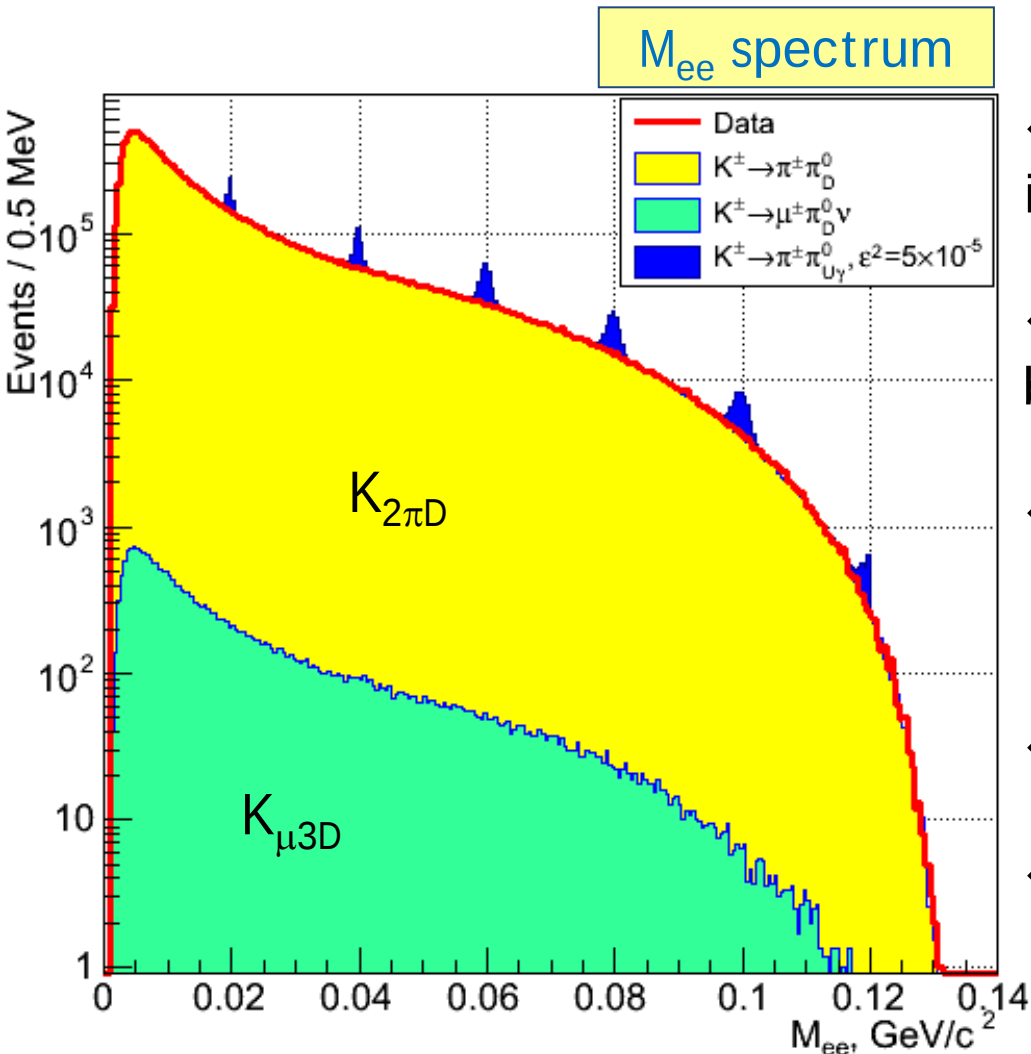
*Plot from Endo et al.,
PRD86 (2012) 095029*

NA48/2: $\pi^0_D \rightarrow e^+e^-\gamma$ sample



- ❖ Search for $\pi^0 \rightarrow U\gamma$, $U \rightarrow e^+e^-$. Assume $\text{BR}(U \rightarrow e^+e^-) = 1$, reasonable for $M_U < 2M_\mu$.
- ❖ An **existing data sample** collected in 2003–2004 with a 3-track trigger. Trigger efficiency: **~99%**.
- ❖ Large sample of tagged fully reconstructed π^0_D decays: **2×10^7** $K^\pm \rightarrow \pi^\pm \pi^0_D$.

NA48/2: M_{ee} spectrum of π^0_D

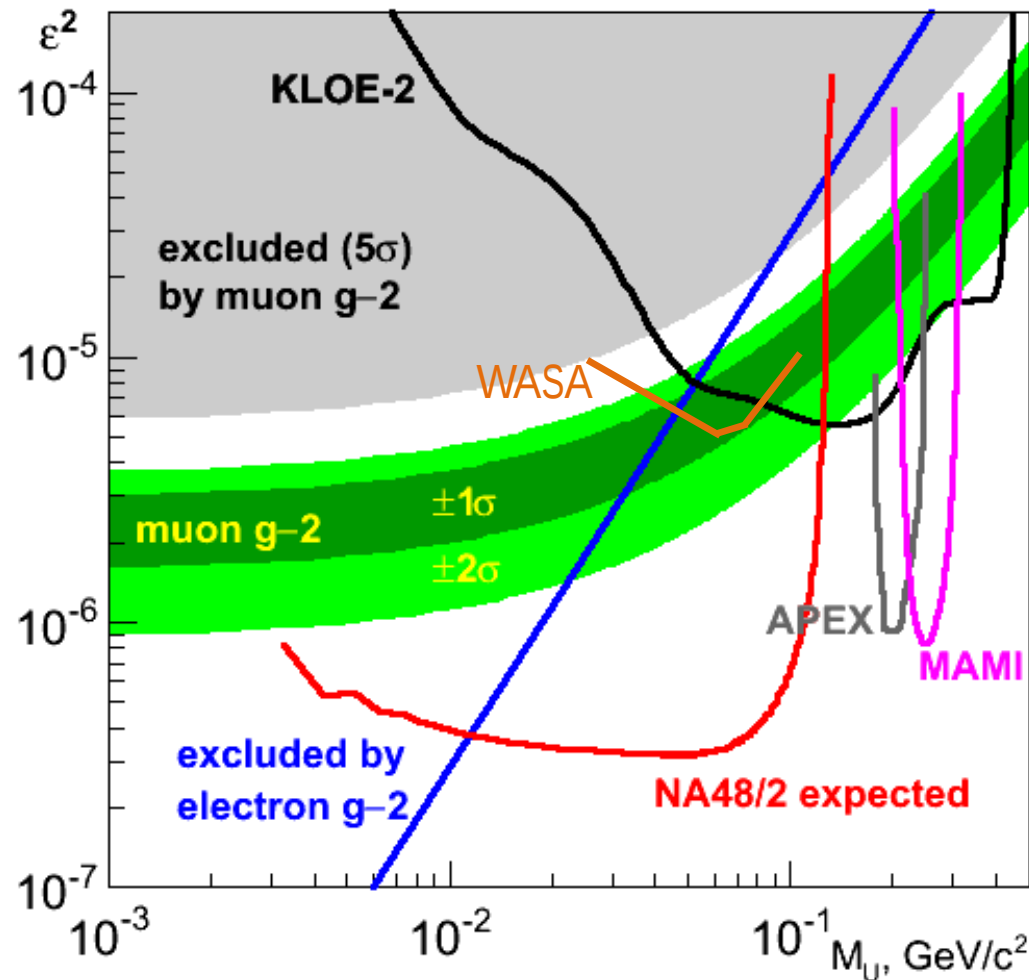


- ❖ Mean DP free path **$\sim 1\text{mm}$** : identical $\pi^0 \rightarrow U\gamma$ and π_D^0 topologies.
- ❖ Sensitivity to DP is limited by $K_{2\pi D}$ background fluctuation.
- ❖ **Upper limit** $\sim (\text{Kaon Flux})^{-1/2} \times (\text{Acceptance})^{-1/2} \times (M_{ee} \text{ resolution})^{+1/2}$
- ❖ Flux $\sim 2 \times 10^{11}$, acceptance $\sim 5\%$.
- ❖ Spectrometer resolution: $\delta M_{ee} \approx 0.012 M_{ee}$ ($< 1.4 \text{ MeV}/c$).

Form-factor and DP analyses in progress.

Future π_D^0 samples unlikely to bring large improvements.

NA48/2 vs other limits at low M_U



Experimental constraints

Electron and muon $g-2$:

Endo et al., PRD86 (2012) 095029

KLOE-2 [$\phi \rightarrow \eta e^+ e^-$]:

Babusci et al., PLB720 (2013) 111

A1 @ MAMI (Mainz Microtron)

*Merkel et al.,
PRL106 (2011) 251802*

APEX @ J-LAB

*Abrahamyan et al.,
PRL107 (2011) 191804*

WASA @ COSY [$\pi^0 \rightarrow \gamma e^+ e^-$]:

Adlarson et al., PLB726 (2013) 187

NB: the NA48/2 curve is the expected sensitivity, not a result yet

Rare π^0 decays at NA62

Single-event sensitivities for π^0 decays: $\sim 10^{-11}$

Decay mode	Experimental status	Reference	Physics interest
$\pi^0 \rightarrow e^+ e^- \gamma$	$BR(\pi^0 \rightarrow U \gamma) < 10^{-5}$ $30 \text{ MeV} < M_U < 100 \text{ MeV}$	WASA @ COSY PLB726 (2013) 187	Dark forces
$\pi^0 \rightarrow e^+ e^- e^+ e^-$	$BR = 3.34(16) \times 10^{-5}$	KTeV @ FNAL PRL100 (2008) 182001	Off-shell vectors
$\pi^0 \rightarrow e^\pm \mu^\mp$	$BR < 3.6 \times 10^{-10}$	KTeV @ FNAL PRL100 (2008) 131803	LFV
$\pi^0 \rightarrow 3\gamma$	$BR < 3.1 \times 10^{-8}$	Crystal Box @ LANL PRD38 (1988) 2121	C violation: $BR_{SM} \sim 10^{-31}$
$\pi^0 \rightarrow 4\gamma$	$BR < 2 \times 10^{-8}$		$BR_{SM} = 2.6 \times 10^{-11}$ NP: light scalars $\pi^0 \rightarrow SS, S \rightarrow \gamma\gamma$
$\pi^0 \rightarrow \nu \bar{\nu}$ (also $K^+ \rightarrow \pi^+ X$)	$BR < 2.7 \times 10^{-7}$	E949 @ BNL PRD72 (2005) 091102	RH neutrinos, dark matter

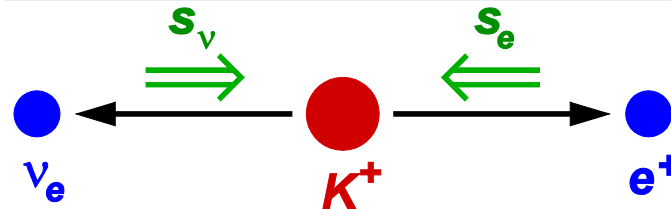
Selected recent results and prospects

$R_K = \Gamma(K_{e2})/\Gamma(K_{\mu2})$ in the SM

Lepton Universality test:

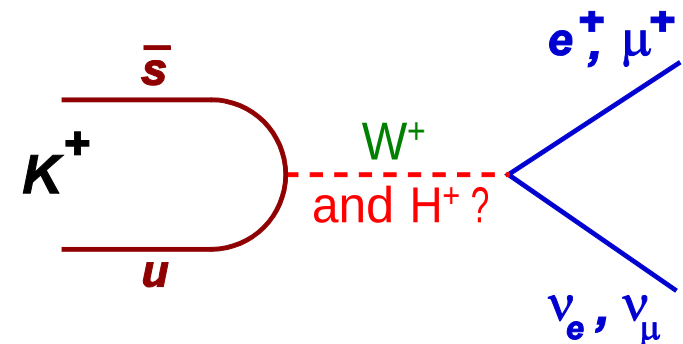
$$R_K = \frac{\Gamma(K^\pm \rightarrow e^\pm \nu)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu)} = \frac{m_e^2}{m_\mu^2} \cdot \left(\frac{m_K^2 - m_e^2}{m_K^2 - m_\mu^2} \right)^2 \cdot (1 + \delta R_K^{\text{rad. corr.}})$$

Helicity suppression: $\sim 10^{-5}$



Radiative correction
(well known, few %)

- ❖ **SM**: excellent sub-permille accuracy, not obstructed by hadronic uncertainties.
- ❖ Measurements of R_K and R_π have long been considered as LU tests.
- ❖ **Suppression of the SM contribution**: potentially accessible NP contributions.



$$R_K^{\text{SM}} = (2.477 \pm 0.001) \times 10^{-5}$$

*Cirigliano and Rosell,
PRL99 (2007) 231801*

$R_K = \Gamma(K_{e2})/\Gamma(K_{\mu2})$ beyond the SM

2HDM - tree level

$K^\pm \rightarrow l^\pm \nu$ can proceed via charged Higgs $H^\pm$
(in addition to $W^\pm$) exchange

→ Does not affect the ratio R_K

2HDM - one-loop level

Dominant contribution to R_K : $H^\pm$ mediated

LFV (rather than LFC) with emission of ν_τ

→ R_K enhancement can be experimentally accessible

$$R_K^{\text{LFV}} \approx R_K^{\text{SM}} \left[1 + \left(\frac{m_K^4}{M_{H^\pm}^4} \right) \left(\frac{m_\tau^2}{M_e^2} \right) |\Delta_{13}|^2 \tan^6 \beta \right] \Rightarrow \text{sensitive to slepton mixing}$$

❖ MSSM: $\sim 1\%$ effect possible

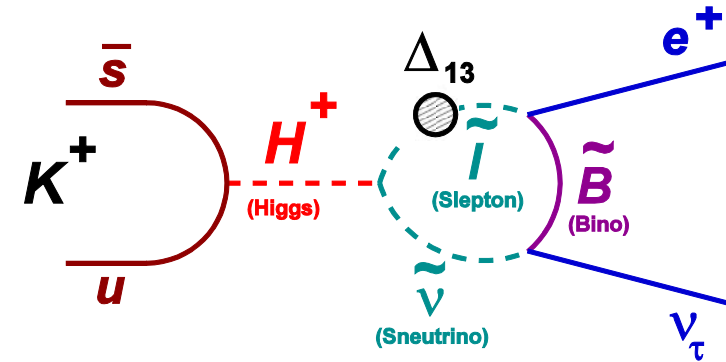
Girrbach and Nierste, arXiv:1202.4906

❖ However limited by $B_{(s)} \rightarrow \mu^+ \mu^-$ measurements

Fonseca, Romão and Teixeira, EPJC 72 (2012) 2228

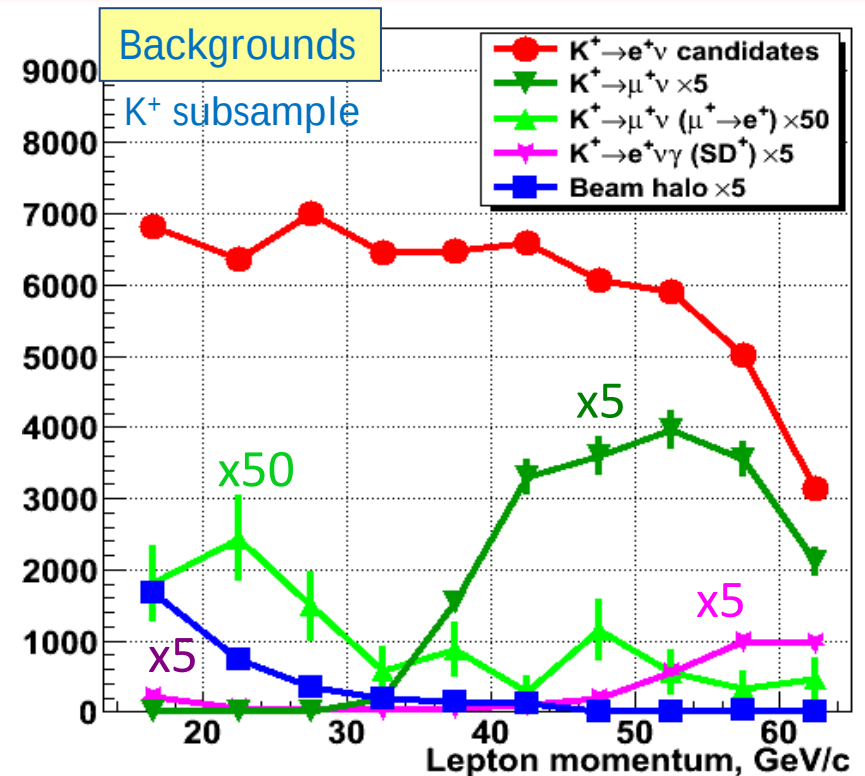
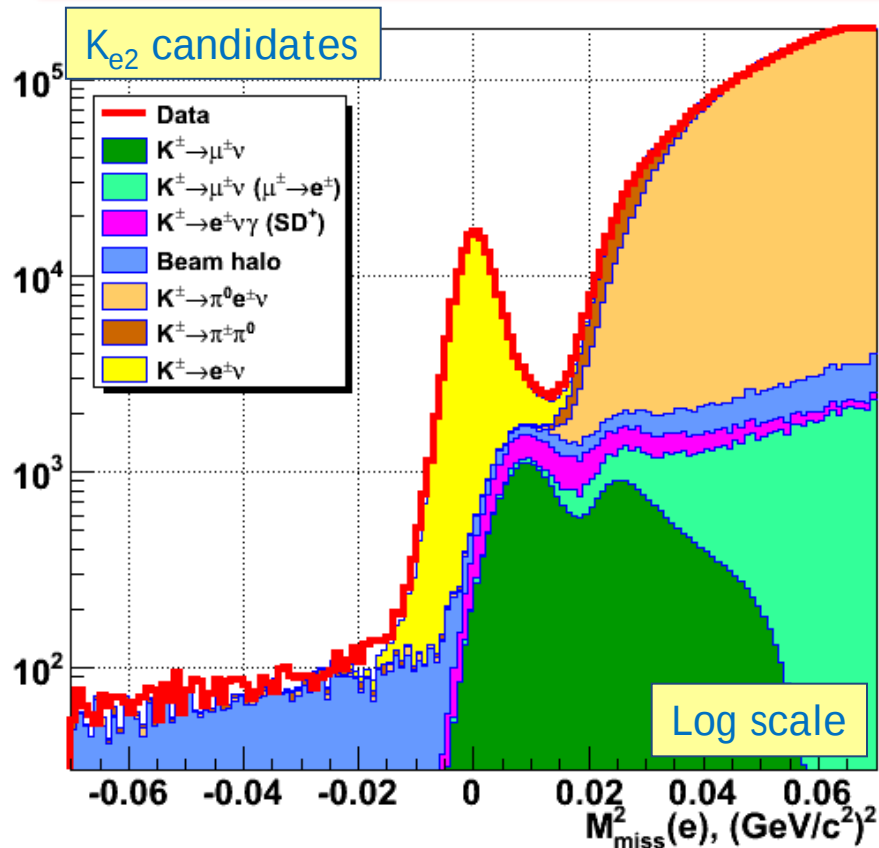
❖ Sensitive to SM extensions with 4th generation, sterile neutrinos

Lacker and Menzel, JHEP 1007 (2010) 006; Abada et al., JHEP 1302 (2013) 048



*Masiero, Paradisi and Petronzio,
PRD 74 (2006) 011701,
JHEP 0811 (2008) 042*

NA62- R_K data: K_{e2} sample



145,958 $K^+ \rightarrow e^+ \nu$ candidates.
 Background: $B/(S+B) = (10.95 \pm 0.27)\%$.
 Electron ID efficiency: $(99.28 \pm 0.05)\%$.

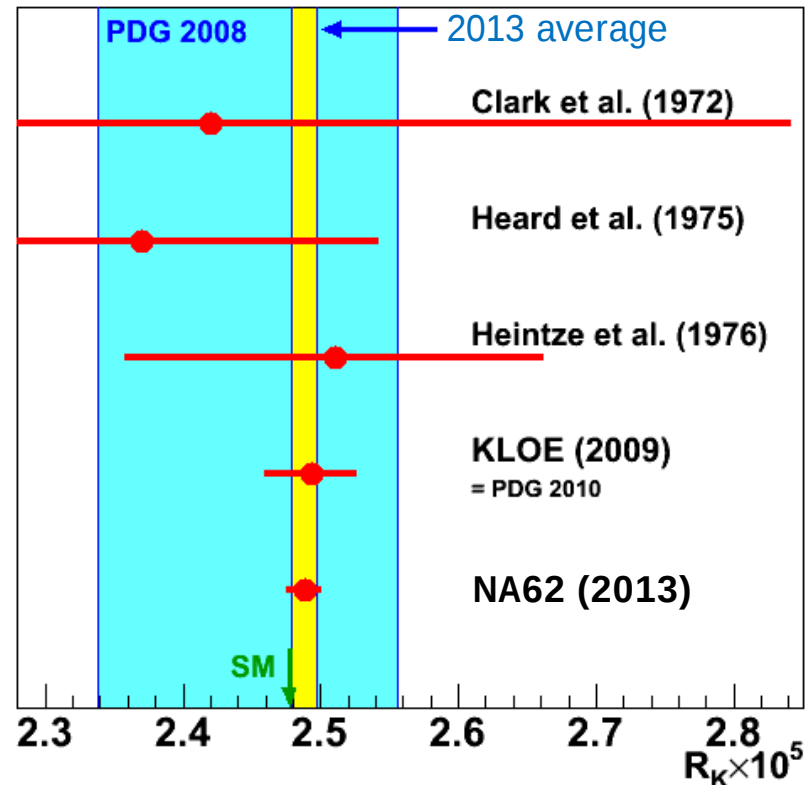
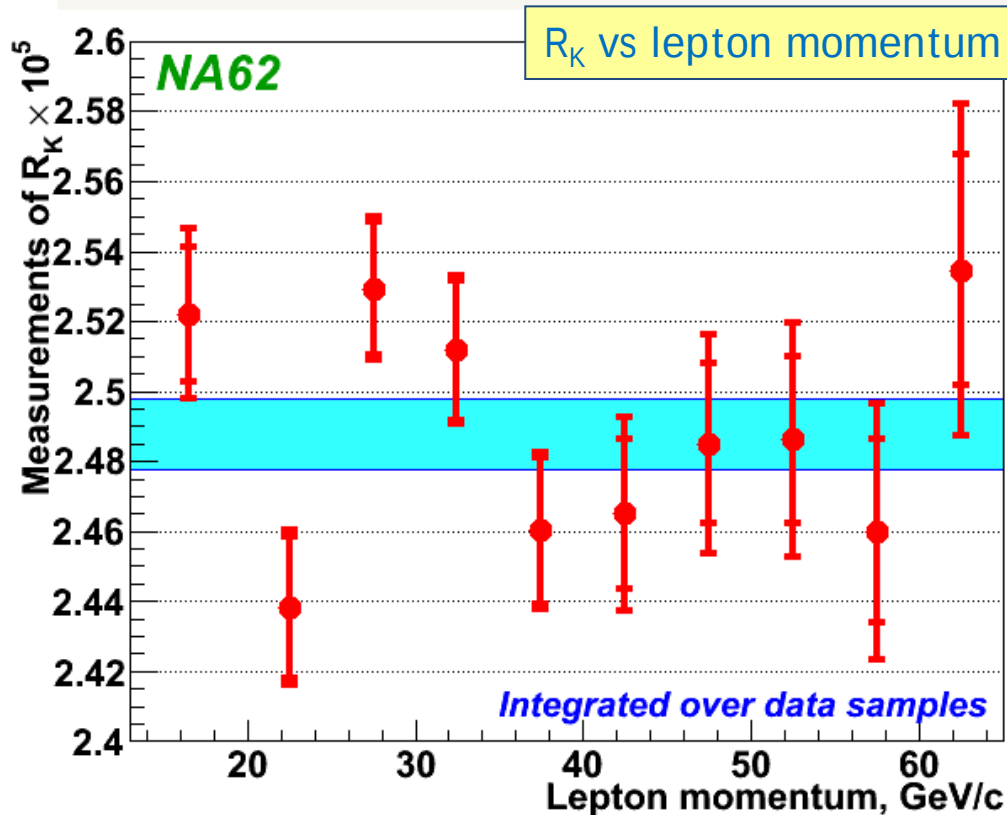
Source	$B/(S+B)$
$K_{\mu 2}$	$(5.64 \pm 0.20)\%$
$K_{\mu 2} (\mu \rightarrow e)$	$(0.26 \pm 0.03)\%$
$K_{e2\gamma} (SD)$	$(2.60 \pm 0.11)\%$
$K_{e3(D)}$	$(0.18 \pm 0.09)\%$
$K_{2\pi(D)}$	$(0.12 \pm 0.06)\%$
Opposite sign K	$(0.04 \pm 0.02)\%$
Beam halo	$(2.11 \pm 0.09)\%$
Total	$(10.95 \pm 0.27)\%$

NA62- R_K final result

$$R_K = (2.488 \pm 0.007_{\text{stat}} \pm 0.007_{\text{syst}}) \times 10^{-5}$$

$$= (2.488 \pm 0.010) \times 10^{-5}$$

PLB719 (2013) 326



World average	$R_K \times 10^5$	Precision
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PDG 2008	2.447 ± 0.109	4.5%
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2013	2.488 ± 0.009	0.4%
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NA62 prospects:
improve precision by a factor ~ 2 .
Competitor: TREK@J-PARC.

$K_{\mu 2}$: heavy neutral leptons (HNL)

Neutrino minimal SM (ν MSM):
 3 heavy sterile RH Majorana ν s ($N_{1,2,3}$).
 $m_1 \sim 10 \text{ keV}/c^2$: dark matter candidate.
 $m_2 \sim m_3 \sim 1 \text{ GeV}/c^2$:
 observable in $K^\pm, D^\pm \rightarrow l^\pm N$ decays.

Asaka et al., PLB 631 (2005) 151

NA62- R_K subsample: 18M $K^+ \rightarrow \mu^+ \nu_\mu$.

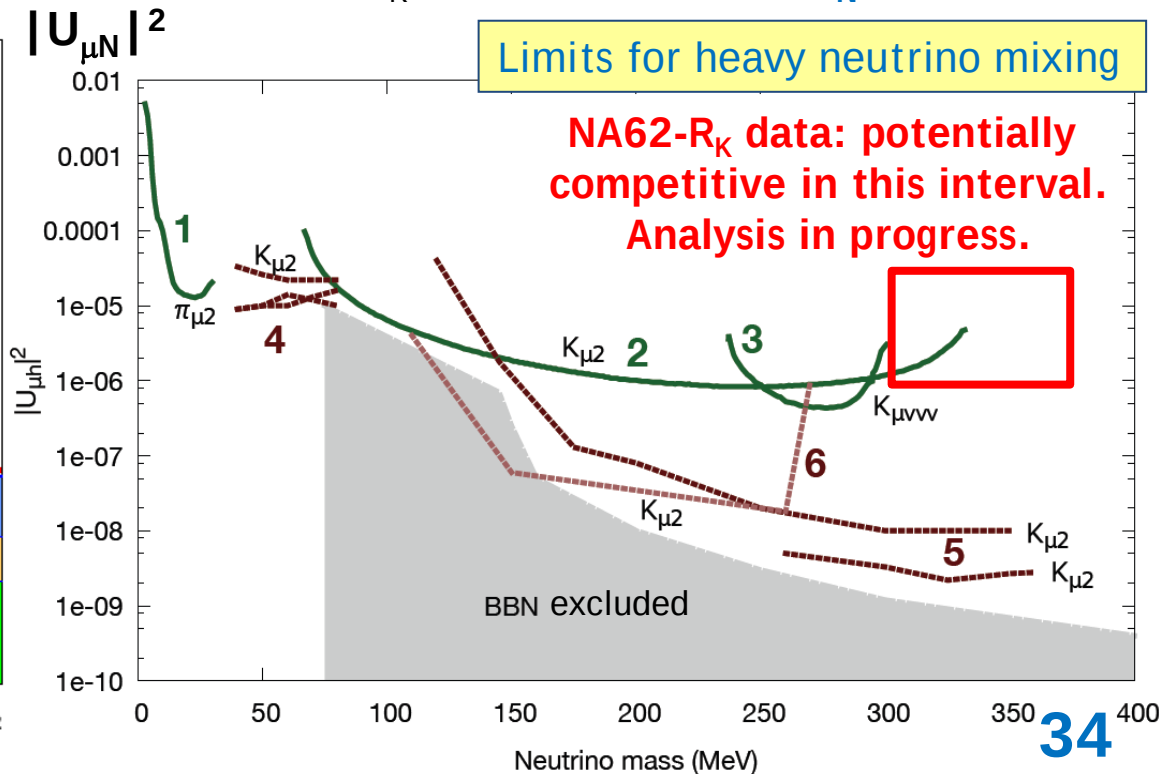
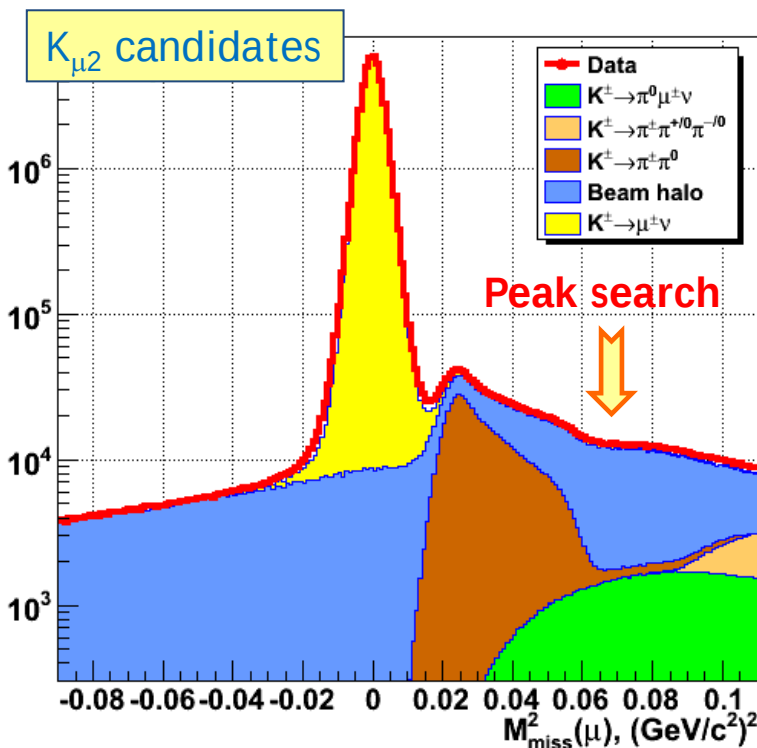
“Peak search” for HNL: $K^+ \rightarrow \mu^+ N$.

❖ NA62- R_K UL if no backgrounds:

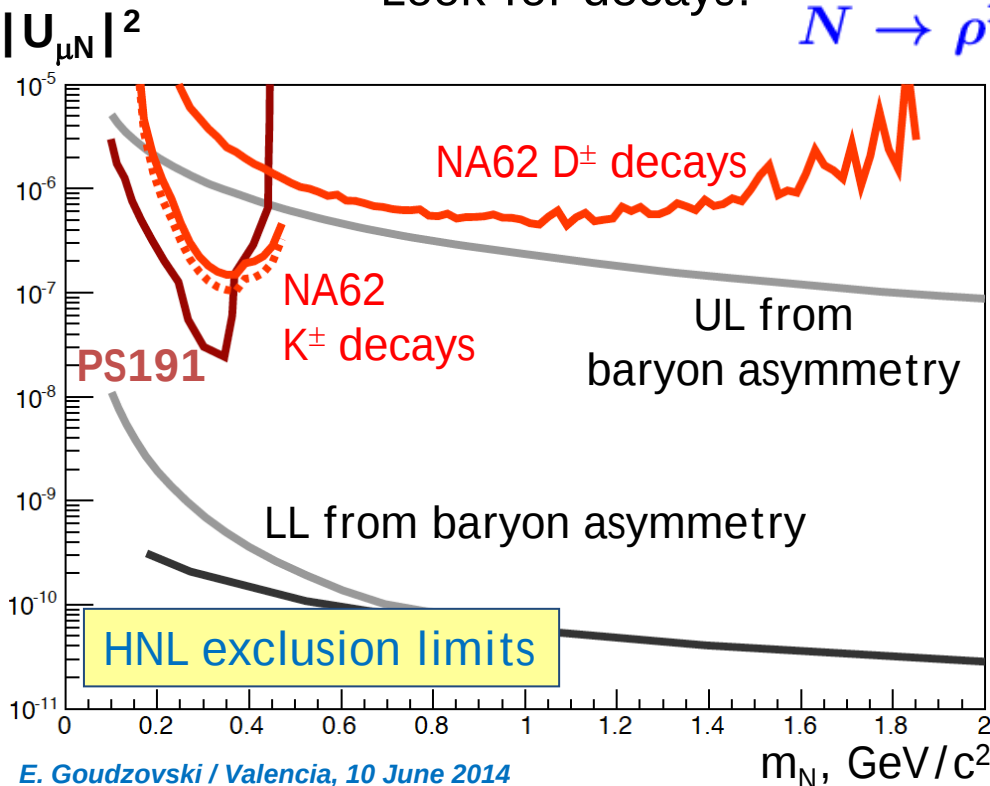
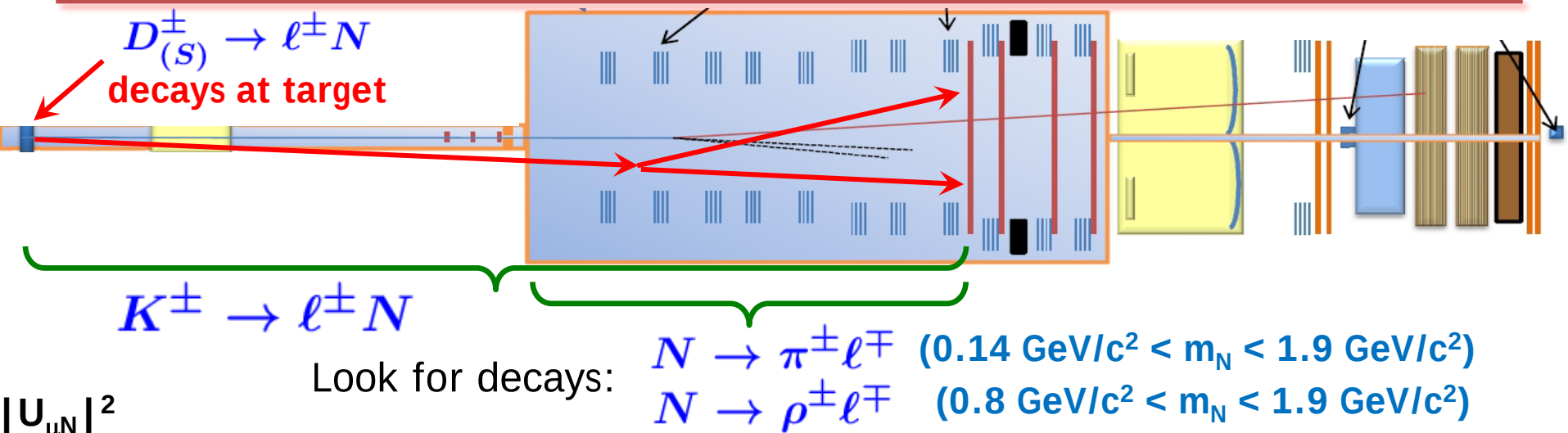
$$|U_{\mu N}|^2 < 10^{-7}, \quad 0.1 \text{ GeV}/c^2 < M_N < 0.38 \text{ GeV}/c^2.$$

❖ Sensitivity is limited by background fluctuation (mainly beam halo).

❖ NA62- R_K is competitive at $M_N \approx 0.3 \text{ GeV}/c^2$.



NA62 as a beam-dump experiment



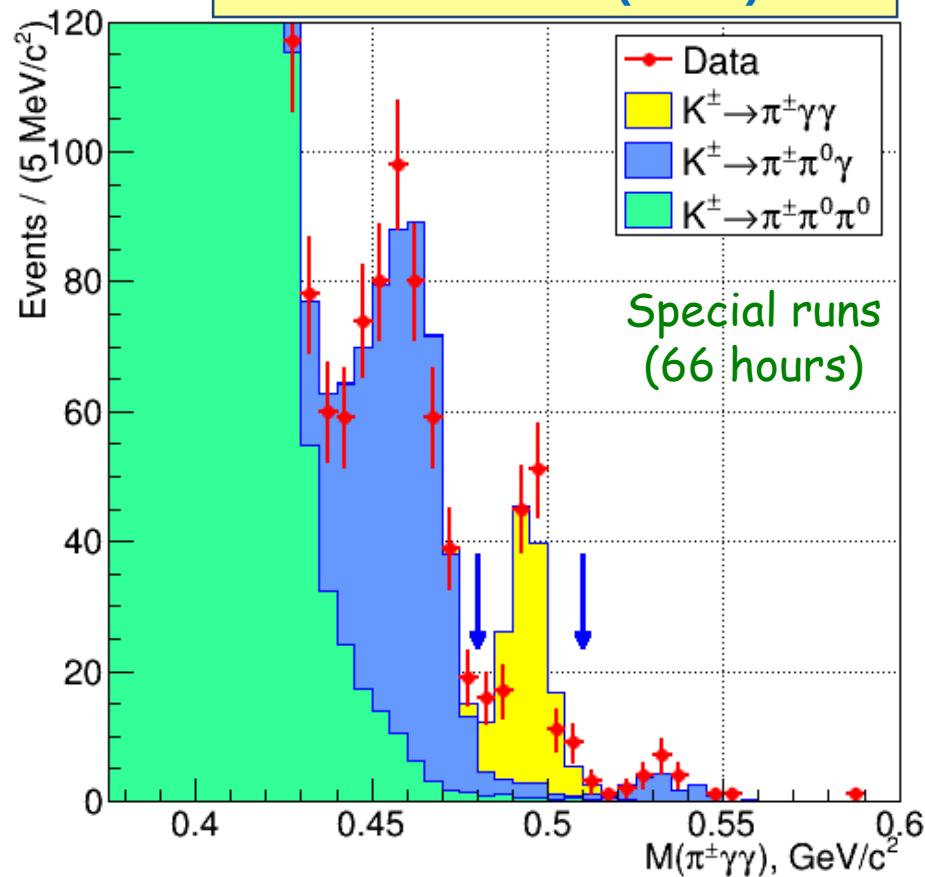
Hardware/trigger improvements are required to record $\pi^{\pm} \ell^{\mp}$ pairs (trigger for $\rho^{\pm} \ell^{\mp}$ pairs already exists).

Decay searches for HNL at mass scale expected in the νMSM ($\sim 1 \text{ GeV}/c^2$).

Compatible with the $K_{\pi\nu\nu}$ programme; first step in testing νMSM as an explanation for **BAU**.

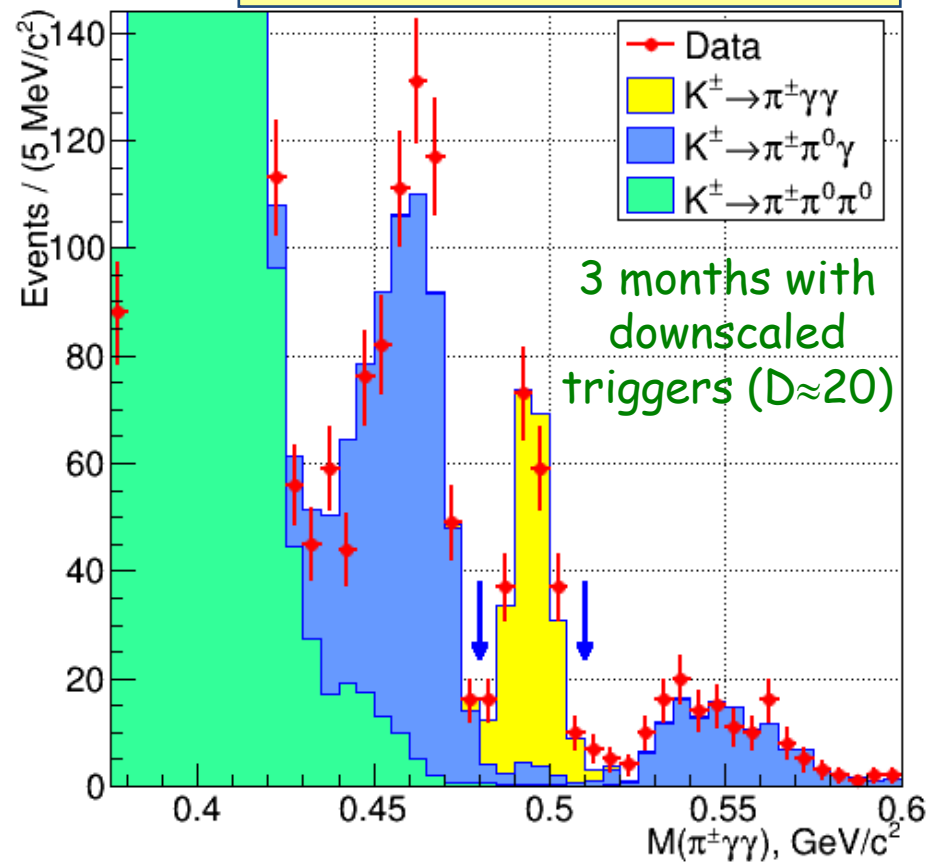
Minimum bias data: $K^\pm \rightarrow \pi^\pm \gamma \gamma$

NA48/2: PLB730 (2014) 141



$K_{\pi\gamma\gamma}$ candidates	149
$K_{2\pi(\gamma)}$ background	11.4 ± 0.6
$K_{3\pi}$ background	4.1 ± 0.4
$K_{\pi\gamma\gamma}$ signal	134 ± 12

NA62-R_K: PLB732 (2014) 65



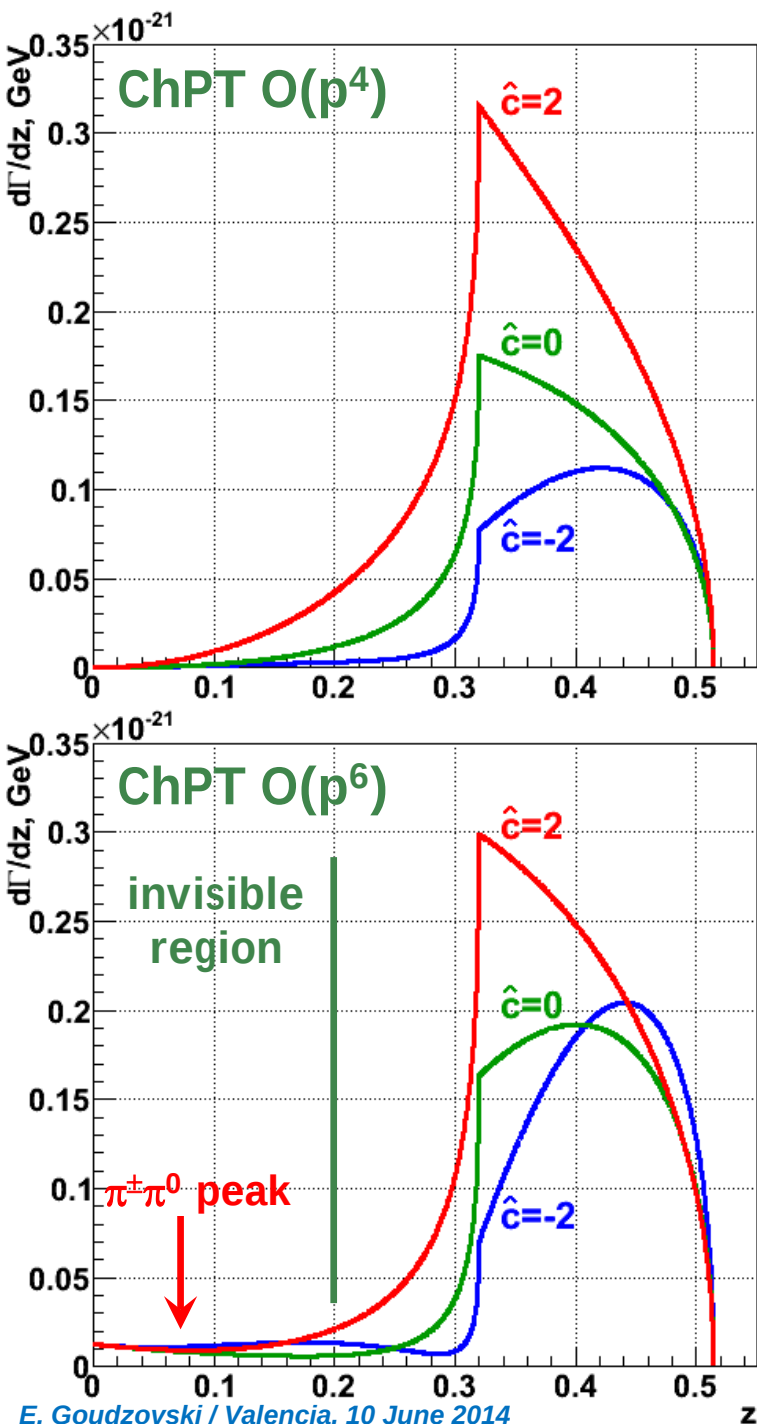
$K_{\pi\gamma\gamma}$ candidates	232
$K_{2\pi(\gamma)}$ background	15.3 ± 1.1
$K_{3\pi}$ background	2.1 ± 0.3
$K_{\pi\gamma\gamma}$ signal	215 ± 15

ChPT description

D'Ambrosio, Portoles, PLB386 (1996) 403

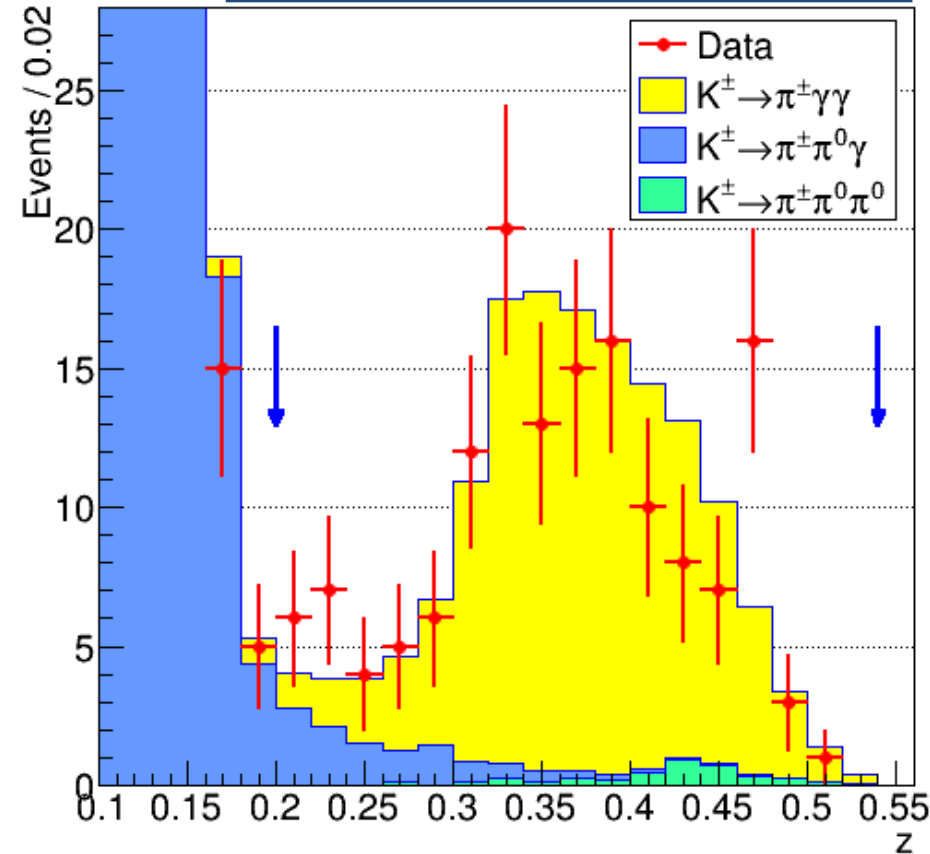
$$z = \frac{(q_1 + q_2)^2}{m_K^2} = \left(\frac{m_{\gamma\gamma}}{m_K} \right)^2, \quad y = \frac{p(q_1 - q_2)}{m_K^2}$$

- ❖ Leading ChPT contribution at **O(p⁴)**.
- ❖ Rate and spectrum determined by a single “unknown” parameter: **$\hat{c}$** .
- ❖ A number of fixed external inputs: **G_8** , **$K_{3\pi}$** amplitude parameters, “polynomial contributions” (**η_i**).
- ❖ Dominated by pion loop amplitude: cusp at di-pion threshold **$z=(2m_\pi/m_K)^2=0.32$** .
- ❖ ChPT **O(p⁶)**: non-zero **$d\Gamma/dz$** at **$z=0$** and a (weak) **y** -dependence of **$d\Gamma/dz$** .

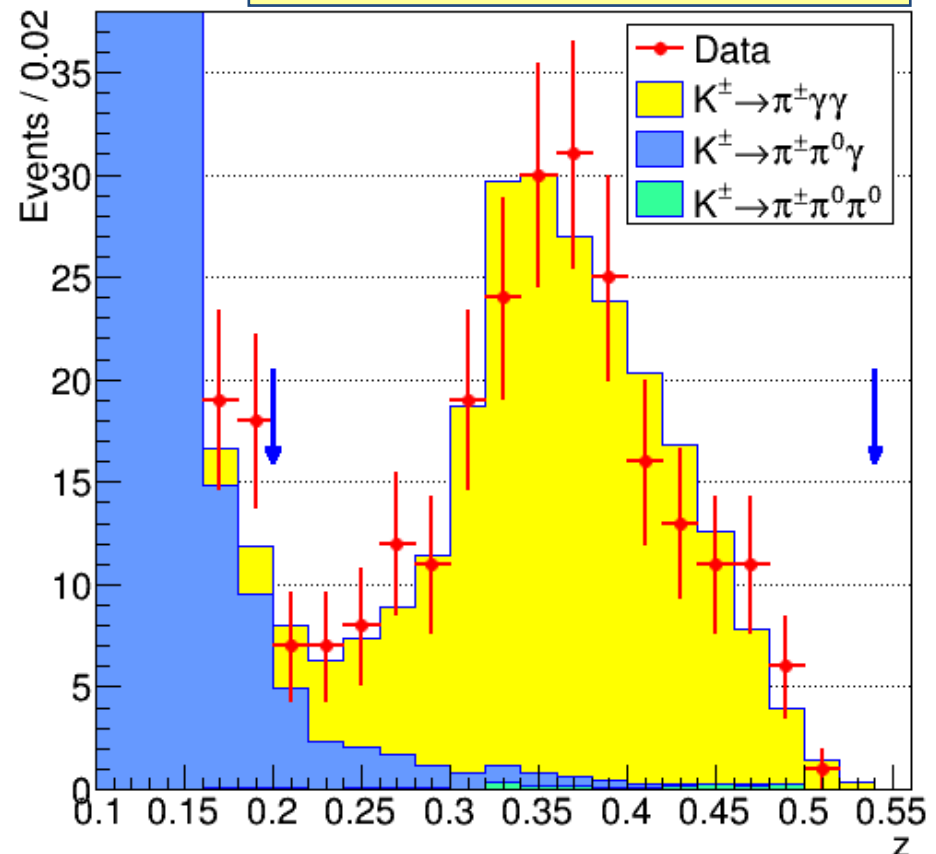


Fits to ChPT description

NA48/2: PLB730 (2014) 141



NA62- R_K : PLB732 (2014) 65



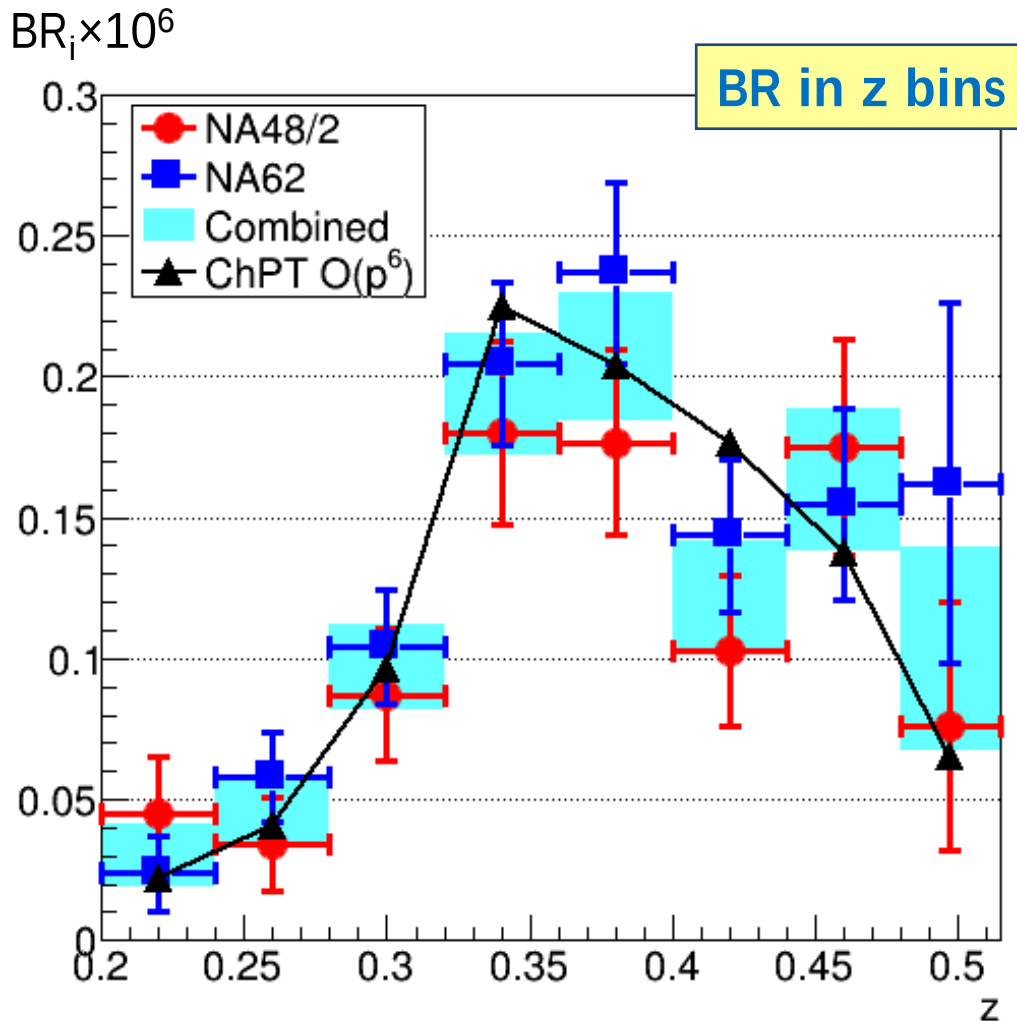
→ First published evidence for the cusp at di-pion threshold predicted by ChPT

NA48/2 & NA62- R_K combined measurements:

$$\hat{c}_4 = 1.72 \pm 0.20_{\text{stat}} \pm 0.06_{\text{syst}} ; \quad \hat{c}_6 = 1.86 \pm 0.23_{\text{stat}} \pm 0.11_{\text{syst}}$$

(NB: they depend on the external parameters)

Model-independent $\text{BR}(K^\pm \rightarrow \pi^\pm \gamma \gamma)$



Model-independent BR measurement in narrow z bins is possible:

- ✓ acceptance in bins is almost independent of the assumed $d\Gamma/dz$;
- ✓ weak y -dependence of the differential rate and acceptance.

Final result with **349** events:

$$\text{BR}_{\text{MI}}(z > 0.2) = (0.965 \pm 0.061_{\text{stat}} \pm 0.014_{\text{syst}}) \times 10^{-6}$$

Published: PLB732 (2014) 65

NA62 can collect $\sim 10^5$ $K^+ \rightarrow \pi^+ \gamma \gamma$ events with a minimum bias trigger

Long-term possibilities: personal view

- ❖ **Run 2014–2017**: dedicated to $K^+ \rightarrow \pi^+ \nu \nu$ (~ 100 SM events) and other rare K and π decays. **Suggestions for the physics programme?**

SPS LS2: 2018–2019

- ❖ **Run 2020–2023** possibilities:
 - Upgrades to improve precision on $K^+ \rightarrow \pi^+ \nu \nu$ (~ 1000 SM events).
 - Switch to neutral beam to pursue $K_L \rightarrow \pi^0 l^+ l^-$ and prototype studies for $K_L \rightarrow \pi^0 \nu \nu$. Need ~ 10 times higher SPS proton intensity ($\sim 10^{13}$ ppp). Have to cope with GHz photon and neutron fluxes.
 - Focus on heavy neutral lepton searches (in particular, add shielding upstream of the decay volume).

SPS LS3: 2024

- ❖ **Run 2025–2028** possibility:
Next generation $K_L \rightarrow \pi^0 \nu \nu$ experiment? Significant detector R&D required.

- ❖ **NA48/2** (2003–2004): a multi-purpose $K^\pm$ experiment.
 - ✓ $K^\pm$ physics at a new precision level (16 peer-reviewed papers so far);
 - ✓ Several rare $K^\pm$ and π^0 decay analyses in progress.
- ❖ **NA62- R_K** (2007–2008): minimum bias electron trigger.
 - ✓ Lepton Universality test at record **0.4%** precision:
 $BR(K^\pm \rightarrow e^\pm \nu) / BR(K^\pm \rightarrow \mu^\pm \nu) = (2.488 \pm 0.010) \times 10^{-5}$;
 - ✓ rare decays, heavy neutral leptons: analyses in progress.
- ❖ **NA62** (2014–2017): high-intensity K^+ experiment, ready to start.
 - ✓ expected single event sensitivities for K^+ decays: $\sim 10^{-12}$;
 - ✓ preparing for a (low intensity) physics run in 2014;
 - ✓ a diverse physics programme is taking shape;
 - ✓ proof-of-concept for the longer term programme.